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# **AF accreditation criteria, including compliance with AF ESP and GP and internal control framework**

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**9 June 2022**



# AF accreditation criteria and required competencies



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❑ Consist of four broad categories:

- Legal status;
- Financial and management integrity;
- Institutional capacity; and
- Transparency, Self-investigative Powers, Anti-corruption measures and mechanism to address E&S and gender complaints.

❑ **Full assessment** – regular accreditation and second-time re-accreditation

❑ **Areas of assessment for re-accreditation applications depend on many factors:**

- Regular re-accreditation vs fast-track accreditation/fast-track re-accreditation
- With or without AF Funded project
- **For re-accreditation applications**, the description of how an entity meets the fiduciary standards should focus **on any changes that have occurred within the organization since the original accreditation.**
- **The most recent supporting documentation must be submitted.**

# Legal status (criterion 1)



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- A. Demonstration of legal personality
- B. Demonstration of legal capacity/authority
- C. Demonstration of ability to directly receive funds

A

- A copy of constitution or articles of incorporation document, by-laws and other evidence of the applicant's lawful incorporation and legal personality
- Applicable legal authority which may affect the entity, i.e. Laws, Decrees, Act or Regulation
- A description of structure if applicant is a unit, a division or department within the government



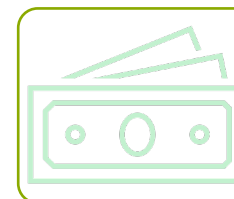
B

- Evidence to enter into contracts with international organizations
- Evidence to take action and defend itself in a Court of Law
- Evidence to enforce obligations by contracting parties



C

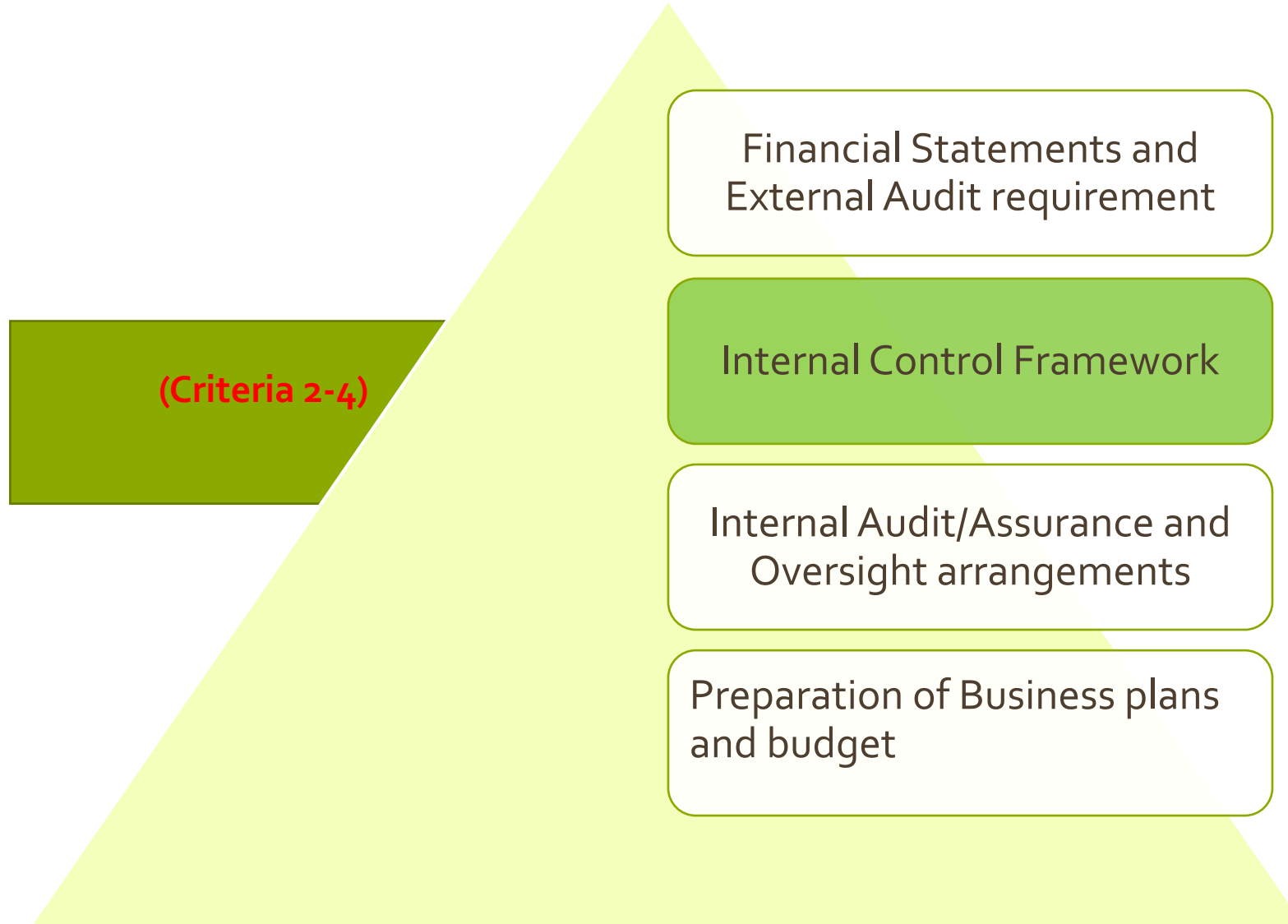
- Evidence of authority to directly receive, administer, disburse funds, grants, donations, gifts or endowments
- Evidence of authority to open and maintain bank accounts, including who authorizes that
- List or websites reference of significant foreign loans or donor funds handled over the last 3 years to execute projects.



# Financial and Management Integrity



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# Internal Control Framework



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❑ **Elements that require IE applicant elaboration:**

❑ **Accountability:** evidence/confirmation that the internal control framework is operating satisfactorily

- Supported by periodic review of the effectiveness of the internal control elements, i.e., internal control reviews carried out by management or by the internal and external auditors.

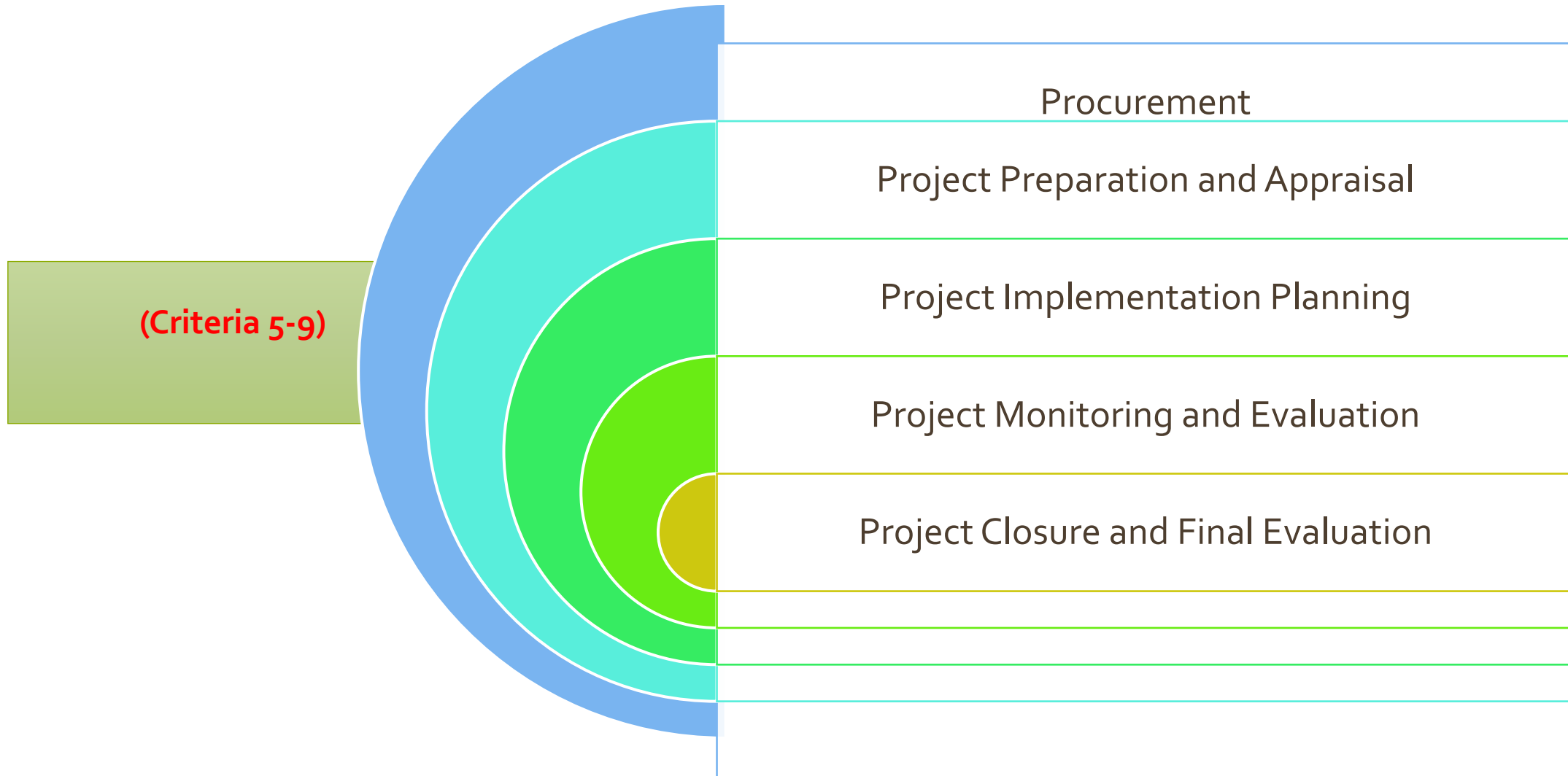
❑ **Payment and Disbursements:** The application and supporting documents should include a demonstration of the organization's capability to ensure that all payments and disbursements, **with a particular reference to projects, are properly checked and made only for bona-fide/ approved purposes.**

- Required basic documents and information are as follows:
  - A description of the disbursement system and procedures, including the most important steps in processing payments for both projects and other expenditures;
  - A description of authorized signatories in the payment and disbursement system; and
  - Evidence of a recent audit, or similar review, related to the disbursements and payments function of projects.

# Institutional Capacity



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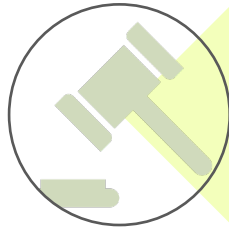
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# Transparency, Self-investigative Powers, Anti-corruption measures and mechanism to address E&S and gender complaints

**(Criteria 10-12)**



Policies and Framework and capacity to deal with fraud, corruption and other forms of malpractice



Mechanism to deal with complaints on environmental and social harms caused by projects



Commitment by the entity to apply the Fund's Environmental & Social and Gender policy

# Commitment to abide by Fund's ESP and GP

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- ☐ The ESP and GP is operationalized at the Fund level at two key stages:
  - **During the process of accrediting Implementing Entities (IEs);** and
  - During the process of project and programme review
- ☐ During the accreditation process, the Accreditation Panel will assess whether the IE has the capacity and commitment to address environmental and social risks, and whether a grievance mechanism is available in order to either be accredited or to maintain accreditation.
- ☐ The IEs are responsible for the environmental and social risk management of projects/programmes under their supervision.
- ☐ The IE applicant demonstrates, in a written/official statement issued at the highest managerial level, its commitment to **abide by** the ESP and GP in all projects/programmes funded by the Fund.



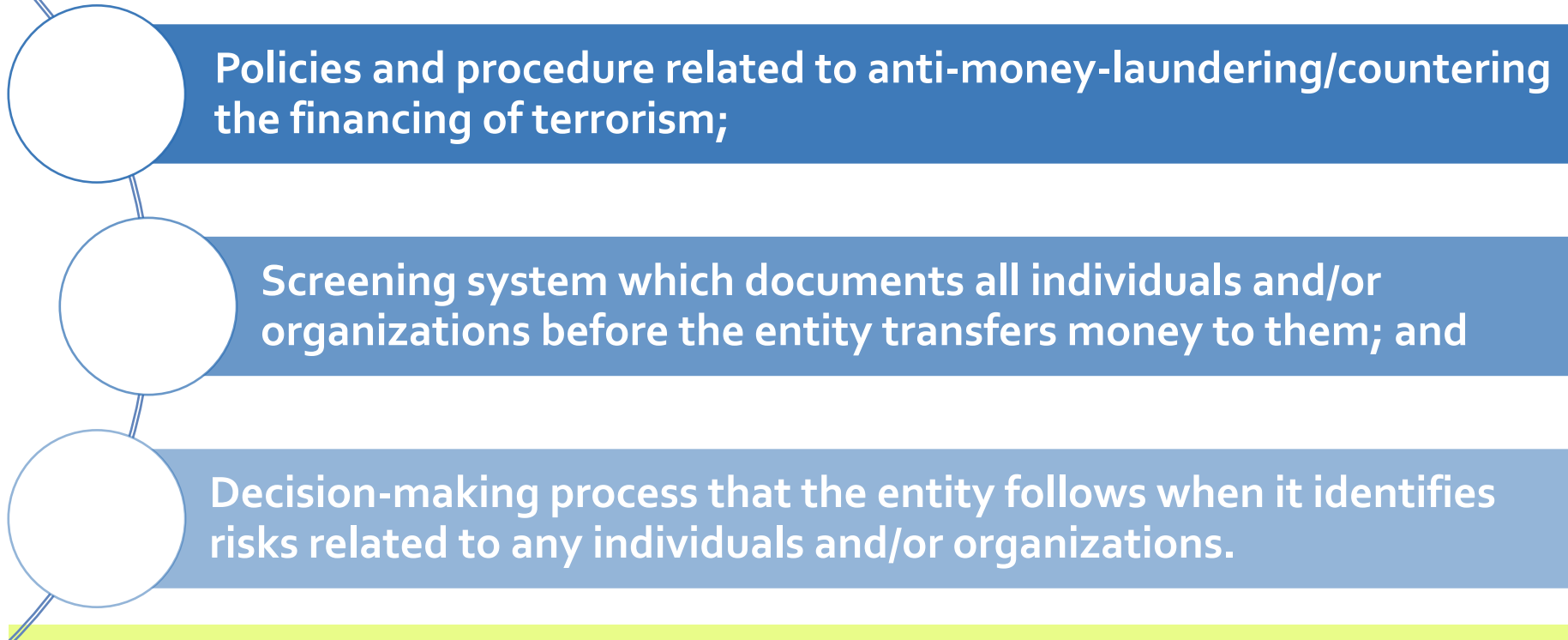
# AML/CFT requirements



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- ❑ **Anti Money Laundering (AML) and Countering the Financing of Terrorism (CFT)**  
:“examples of supporting documentation” related to the “internal control framework”, “procurement” and “policies and framework to deal with financial mismanagement” criteria in the accreditation application form (Criteria 3 (b); 5(a); 10 (c))

- ❑ The documentation needed to meet these requirements include:



- ❑ [Regular Accreditation Application Guidance document](#) (English, French and Spanish)
- ❑ [Fast-track Accreditation Application Guidance document](#) (English, French and Spanish)
- ❑ [Regular Reaccreditation Application Guidance document](#) (English, French and Spanish)
- ❑ [Fast-track Re-accreditation Application Guidance document](#) (English, French and Spanish)
- ❑ [Guidance on Accreditation Standards](#) (English, French and Spanish)
- ❑ [The Re-accreditation Process](#) (English, French & Spanish)
- ❑ [AF e-Learning course on Direct Access](#) (English, French and Spanish)
- ❑ [AF Environmental and Social Policy](#) (English & Spanish; *French under translation*)
- ❑ [AF Gender Policy](#) (updated in March 2021) (English & Spanish; *French under translation*)
- ❑ [NIE Accreditation Toolkit](#) (English & French)
- ❑ [Information Note for Designated Authorities for IE nomination](#)



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Thank you!

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