Overview of AF Accreditation process and requirements for a second NIE

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Background to the AF Accreditation Process

- Ensures that applicant entities follow fiduciary and safeguard standards while accessing financial resources of the Adaptation Fund.

- Applicants undergo an assessment for accreditation to make sure they adhere to sound accreditation standards and implement effective social and environmental safeguards to identify any project risks in advance, prevent any harm and improve the effectiveness and sustainability of results.

- Follows a transparent and systematic process through an Adaptation Fund Accreditation Panel (the Panel) supported by the Secretariat.
AF Accreditation portfolio to date

- 57 IEs accredited to date
- 34 NIEs, 9 RIEs, and 14 MIEs
- 33 IEs have been re-accredited (58%)
- 16 out of this are NIEs (about 48%)
- 10 accredited NIEs from LDCs; 7 accredited NIEs from SIDS; and 17 accredited NIEs from other developing countries

Recent Accreditations:
- SPC
- PKSF
- CCCCC

Reinforces AF’s commitment to Direct access and the importance of country ownership and building national capacity in adaptation.
AF Accreditation Process

- **Nomination**: An entity that meets the accreditation standards is identified and nominated as a potential implementing entity by a Designated Authority (DA).

- **Application**: Submission of accreditation application to the Secretariat together with the required supporting documentation to verify how an applicant meets the fiduciary standards and their commitment and ability to comply with the environmental and social policy and gender policy.

- **Screening by the AFS**: To ensure that all the necessary information is provided. AFS follows up with the potential implementing entities to ensure that the application package is complete.

- **Review by the AP**: The Panel reviews the application, identifies any questions and potential gaps and communicates directly with the applicant until it is ready to make a final assessment and recommendation.

- **AP Recommendation**: Based on the Panel’s assessment and recommendation, the AFB approves recommendation for accreditation.
Parties of the Accreditation Process

- DA: Presentation of the Endorsement Letter
- App. IE: Application
- AFB sec: Screening
- AP: Initial Review and Subsequent Reviews upon applicant's Response to questions and queries
  - Final Assessment Report and Panel Recommendations
- AFB: Decision
Accreditation modalities

- Accreditation is valid for a period of 5 years

Re-accreditation Process

- An organization can change significantly in five-years and therefore the process of accreditation renewal must be commensurate with any potential changes to the organization.

- Applicants are requested to describe any changes that have occurred since its accreditation with AF and provide the most up-to-date supporting documentation in compliance with the re-accreditation criteria.

- It is important to start the process early - avoid a major gap between accreditation expiration and the granting of re-accreditation.
Accreditation modalities – cont’d

- **Regular Accreditation:** Available to entities of all types, sizes, and risk profiles.

- **Streamlined Accreditation:** Designed to support *smaller entities* access AF resources; typically available for applicants that *execute or implement projects that cost up to USD 1 million*; that have a *staff of up to 25 members* engaged in projects, and that have *annual administrative expenses of up to USD 1 million*.

- **Fast-track Accreditation:** Available to all applicant entities that had been accredited by the GCF within a period of four years prior to the submission of the accreditation application to the Fund.

- **Regular re-accreditation & fast-track re-accreditation:** Allow an entity to renew its accreditation status, either through the Regular Reaccreditation or Fast-Track Reaccreditation modality.
  - Developed to provide the Panel with a systematic approach to review the sustainability of an applicant’s competencies under the fiduciary standards as well as its potential to address the criteria of the ESP and GP.
Second NIE nomination

At the Thirty-sixth AFB Meeting (April 2021) through Decision B.36/42

Having considered the analysis contained in document AFB/B.36/5, the Adaptation Fund Board (the Board) decided:

(a) To enable the accreditation of up to two National Implementing Entities (NIEs) per country for eligible developing-country Parties, and to request the secretariat to reflect that change in the Operational Policies and Guidelines (OPG) and to submit the revised OPG for consideration by the Board at its thirty-seventh meeting;

(b) To strongly encourage countries to make use of the direct access modality of the Adaptation Fund in order to enhance national capacity and country ownership, including, whenever possible, through the accreditation of NIEs and the submission of projects through the same.

The decision enables countries to nominate and accredit up to two national implementing entities (NIEs) per country

It encourages all developing countries, including those that do not yet have NIEs, to make use of the Direct Access modality to bring potential new entities forward for accreditation and to develop adaptation projects through the modality.
THANK YOU