



## ADAPTATION FUND

AFB/PPRC.30/51  
3 October 2022

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirtieth Meeting  
Bonn, Germany, 11-12 October 2022

Agenda Item 11 d)

### **PROPOSAL FOR INNOVATION SMALL GRANT FOR INDONESIA**

## Background

1. At its thirtieth meeting, having considered document AFB/B.30/5/Rev.1, the Adaptation Fund Board decided:

*(a) To adopt the medium-term strategy as amended by the Board, as contained in the Annex 1 of the document AFB/B.30/5/Rev.1 (the MTS); and*

*(b) To request the secretariat:*

*(i) To broadly disseminate the MTS and work with key stakeholders to build understanding and support;*

*(ii) To prepare, under the supervision of the MTS task force, a draft implementation plan for operationalizing the MTS, containing a draft budget and addressing key assumptions and risks, including but not limited to funding and political risks, for consideration by the Board at its thirty-first meeting; and*

*(iii) To draft, as part of the implementation plan, the updates/modifications to the operational policies and guidelines of the Adaptation Fund needed to facilitate implementation of the MTS, for consideration by the Board at its thirty-first meeting.*

*(Decision B.30/42)*

2. Pursuant to decision B.30/42, subparagraph b (ii), the secretariat prepared a draft implementation plan for the MTS, including an assessment of assumptions and risks. The secretariat shared a version of the draft with the MTS task force for comments.

3. The draft implementation plan also contains suggestions for specific funding windows that might be opened under the MTS in complement of the Fund's existing funding windows for single-country and regional adaptation projects and readiness support projects. Following the approval of the implementation plan, the secretariat would present specific proposed details for each new funding window at subsequent meetings of the Board for its consideration, in accordance with the timeline contained in the implementation plan.

4. At its thirty-first meeting, the Adaptation Fund Board discussed the draft implementation plan for the MTS, and members of the Board proposed amendments to the document. The secretariat then presented a revised draft, in document AFB/B.31/5/Rev.1. Having considered that document, the Board decided:

*(a) To approve the implementation plan for the medium-term strategy for the Fund for 2018–2022 contained in the Annex I to document AFB/B.31/5/Rev.1 (the plan);*

*(b) To request the secretariat:*

*[...]*

- (iii) *To prepare, for each proposed new type of grant and funding window, a specific document containing objectives, review criteria, expected grant sizes, implementation modalities, review process and other relevant features and submit it to the Board for its consideration in accordance with the tentative timeline contained in Annex I to document AFB/B.31/5/Rev.1, with input from the Board's committees;*
- (iv) *Following consideration of the new types of support mentioned in subparagraph (b)(iii), to propose, as necessary, amendments to the Fund's operational policies and guidelines Fund to better facilitate the implementation of such new types of support; and*

[...]

(Decision B.31/32)

5. At its thirty-second meeting, the Board considered document AFB/PPRC.23/4/Rev.2, *Program on Innovation: Small Grants Projects through Direct Access Modality*, and the Board decided:

- (a) *To approve the process for providing funding for innovation through small grants to National Implementing Entities (NIEs), as described in document AFB/PPRC.23/4/Rev.2, including the proposed objectives, review criteria, expected grant sizes, implementation modalities, review process and other relevant features as described in the document; and*
- (b) *To request the secretariat to prepare the first request for proposals to NIEs for US\$ 2 million, to be launched at the twenty-fourth session of the Conference of the Parties to the United Nations Framework Convention on Climate Change in December 2018.*

(Decision B.32/4)

6. Subsequently, the first request for proposals to NIEs for US\$ 2 million was launched at the UNFCCC Conference of the Parties in December 2018.

7. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted or with track changes.



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Innovation Small Grant

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**Country/Region:** Indonesia  
**Project Title:** Developing “Climate Smart Community” System to Increase Climate Resilience for Saddang Watershed Communities  
**Thematic Focal Area:** Disaster risk reduction, Social innovation  
**Implementing Entity:** Kemitraan (The Partnership for Governance Reform)  
**AF Project ID:** AFRDG00064  
**IE Project ID:** Requested Financing from Adaptation Fund (US Dollars): 250,000  
**Reviewer and contact person:** Rywon Yang **Co-reviewer(s):** Alyssa Gomes  
**IE Contact Person:**

Technical Summary:	<p>This project “Developing “Climate Smart Community” System to Increase Climate Resilience for Saddang Watershed Communities” aims to increase the adaptive capacity to climate change impacts of the communities in Saddang Watershed by building capacity and raising awareness through technology-based climate knowledge and learning system and climate change adaptation monitoring information system. This will be done through the three components below:</p> <p><u>Component 1:</u> Development of technology-based climate knowledge and learning systems (USD 111,071);</p> <p><u>Component 2:</u> Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community (USD 59,072);</p> <p><u>Component 3:</u> Building collective intelligence through knowledge management and youth local community-based dissemination (USD 49,607).</p> <p><u>Requested financing overview:</u></p>
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	<p>Project/Programme Execution Cost: USD 21,250  Total Project/Programme Cost: USD 241,000  Implementing Fee: USD 9,000  Financing Requested: USD 250,000</p> <p>The initial technical review found that the project takes an innovative approach by downscaling the climate data and information to the regency level, making the climate information and adaptation knowledge directly relevant to the local communities and local government. The data and information will be provided through technology platforms developed from a previous Adaptation Fund project. However, the project does not clarify the long-term sustainability of the project outcome, and some issues were raised for clarification related to who will operate and manage the improved technology platforms; what will be the financial sources for the O&amp;M of the platforms; how the training results of the local champions will spread; how the youth climate observer group will remain active; how will the media platform will operate after the completion of the project. Also, gender consideration needs further development. A number of clarification requests (CRs) and a few corrective action requests (CARs) have been raised by the technical review.</p> <p>The final technical review finds that some of the pending CRs and CARs raised have not been fully addressed, and that some of the clarifications provided via response sheets, while useful, should have been reflected in the proposal document itself.</p>
Date:	

Review Criteria	Questions	Comments Initial Technical Review	Comments Final Technical Review
Country Eligibility	1. Is the country party to the Kyoto Protocol?	<b>Yes.</b>	-
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b>  As per the endorsement letter dated 2 August 2022.	-

	2. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience? <sup>1</sup>	<p><b>Not cleared.</b></p> <p>The Saddang watershed region is facing increased extreme weather events while the local farmers do not have timely access to applicable climate information or sufficient knowledge to interpret it, which weakens their adaptive capacity, leading them to practice unsustainable land management activities.</p> <p>The project aims to improve the adaptive capacity of the communities of Saddang watershed region by enhancing and utilizing an IT-based information platform ("Climate Smart Community") and climate change adaptation monitoring system developed through a previous Adaptation fund project, "Community Adaptation for Forest-Food Based management in Saddang Watershed Ecosystem".</p> <p>The project also aims to enhance the capacity and knowledge of the local government and residents on how to</p>	<p><b>CR 1: Not cleared.</b></p> <p>The response sheet did clarify the issues however it is not reflected in the proposal. The initial setting of the climate-smart community platform was developed through the previous AF project and is currently being operated by TLKM(EE). The proposed project will accelerate the use and further development of the application. Also, it will focus on advocating this project to the relevant government stakeholders to ensure its self-sustainability by securing the government budget.</p> <p><b>CR 2: Not cleared.</b></p> <p>The operation and maintenance will be ensured by village governments and <i>Karang Taruna</i> group with support from provincial and regency-level governments. The budget will be secured through the annual Village Fund Budget. (Page 10)</p>

<sup>1</sup> A concrete adaptation project/programme is defined as a set of activities aimed at addressing the adverse impacts of and risks posed by climate change. The activities shall aim at producing visible and tangible results on the ground by reducing vulnerability and increasing the adaptive capacity of human and natural systems to respond to the impacts of climate change, including climate variability. Adaptation projects/programmes can be implemented at the community, national, regional and transboundary level. Projects/programmes concern activities with a specific objective(s) and concrete outcome(s) and output(s) that are measurable, monitorable, and verifiable. (Source: Operational Policies and Guidelines, amended October 2017)

		<p>interpret, utilize and apply the information to the decision-making process to build resilience toward climate change impact.</p> <p><b>CR 1:</b> Please explain further the current operational status of the climate-smart community technology platform developed during the previous AF project (Who owns and operates the system; what is the source of funding for operation; application usage statistics (if available)) and how this will be changed after the project.</p> <p><b>CR 2:</b> Please clarify how will the operation and maintenance of the applications or their future development (ex. update of the information) be ensured after the completion of the project? The target region is facing inaccessibility to climate information due to the limitation of a communication network or internet network.</p> <p><b>CR 4:</b> Please explain in the project activities how utilization of the climate-smart community technology platform</p>	<p>The response sheet did clarify the issues however it is not reflected in the proposal.</p> <p><b>CR 4: Cleared</b>, as per the additional information on page 12. In the face of the limited internet network problem, five (5) weather stations will be installed in the region covered by the internet network for data collection. For information dissemination to remote areas, the project will use community-based radio.</p> <p><b>CR 5: Cleared</b>, as per the additional information on page 10 The nine (9) villages will be selected through a consultation process based on the principle of Free, Prior, and Informed Consent (FPIC). The villages from the previous AF project will be prioritized, which were selected through socialization and interview of potential beneficiaries at the village level. The direct beneficiaries (180) from the nine (9) villages will be selected from <i>Karang Taruna</i> (youth organization in village, stipulated in Minister of Social Affairs Regulation No. 25 of 2019 concerning <i>Karang Taruna</i>) to strengthen their capacity</p>
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		<p>will be ensured in response to this problem.</p> <p><b>CR 5:</b> Please describe how the direct beneficiaries (180) from 9 villages will be selected (process, criteria, etc.)</p> <p><b>CR 6:</b> Please explain further how the local champions will spread their knowledge and experience acquired through training to other residents.</p> <p><b>CR 7:</b> Please confirm if the installation of 5 (five) weather stations need any approval from or prior discussion with relevant authorities.</p> <p><b>CR 8:</b> Output 2.1 considers the capacity building of 43 stakeholders. Please provide an indicative list of the potential institutions of the stakeholders that are being considered.</p> <p><b>CR 9:</b> Outcome 3.1 includes the formation of a local youth climate observer group. Please explain further how the participants will be selected based on which criteria.</p> <p><b>CR 10:</b> Please clarify related to the operation and maintenance of the community-based radio broadcasting</p>	<p>and raise their awareness of climate change adaptation issues.</p> <p><b>CR 6: Cleared</b>, as per the additional information on page 10 The project targets youth as local champions. Besides the knowledge sharing on climate information, the training will also include programs to enhance local champions' capacities in leadership, dissemination, advocacy, and gender-responsive climate awareness to spread their knowledge and experience acquired through this project.</p> <p><b>CR 7: Not cleared</b> The installation of five (5) weather stations needs approval from the village government since it will be installed on the village-owned land. The approval will be acquired through prior consultation with the village head and a letter of cooperation or contract with the village. The village will handle the maintenance of the stations. Please include this clarification in the proposal.</p> <p><b>CR 8: Cleared</b>, as per the additional information on pages 11-12</p>
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		after the project completion (by whom, with what financial resources)?	<p>Capacity building will be provided to the stakeholders from different groups such as provincial-, regency-, and village-level governments, academics, and CSOs. The indicative list is provided in the proposal.</p> <p><b>CR 9: Not cleared.</b> At least one local youth climate observer will be selected for each village. The selection criteria will be the level of activity or participation in the group, basic knowledge, inclusive thinking, and enthusiasm to disseminate the obtained knowledge. Please include this clarification in the proposal.</p> <p><b>CR 10: Not cleared.</b> The operation of the community-based radio will be ensured by the <i>Karang Taruna</i> group. The project will campaign for the allocation of funding through government budgets such as the State Revenue and Expenditure Budgets (APBN), Regional Revenue and Expenditure Budgets (APBD), and legal and non-binding sources of funding following the provisions of laws and regulations (Minister of Social Affairs Regulation No. 2019 concerning Youth Organizations,</p>
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			<p>especially in Chapter IX: Funding, Article 44).</p> <p>Please include this clarification on the potential ways to operate the radio after the project and the actions that will be taken during the project to ensure this, in the proposal.</p>
	<p>3. Does the project encourage or accelerate development of innovative adaptation practices, tools and technologies?</p>	<p><b>Not cleared.</b></p> <p>This project encourages the improvement and diffusion of climate information, adaptation knowledge, and solutions through technology platforms(applications). The technology platform itself is not new, but to ensure practical usage of the information provided by these platforms, this project is downscaling the information and knowledge to the regency level, making the information directly relevant to the local communities and local government. This would qualify as innovative since the technology is being adapted to the local context ensuring the inclusion of the local communities. Another aspect that this proposal highlight is the involvement of local youth in the knowledge-sharing process as innovative. However, it is unclear how their involvement will</p>	<p><b>CR 11: Cleared.</b></p> <p>The continuous operation of the youth group will be ensured by the government funding for the <i>Karan Taruna</i> group as per the Minister of Social Affairs Regulation No. 25 of 2019, especially in Chapter IX: Funding, Article 44.</p>

		<p>continue after the completion of the project.</p> <p><b>CR 11:</b> Please explain how the continuous participation of the youth will be ensured after the completion of the project.</p>	
	<p>4. Does the project help generate evidence base of effective, efficient adaptation practices, products or technologies, as a basis for potential scaling up?</p>	<p><b>Not cleared.</b></p> <p>The project could be an example of downscaling the climate information and knowledge to the micro(regency) level in the other region of Indonesia. It is expected that a working group or other forms of arrangement will be established within the local government which will ensure the long-term application of the climate change adaptation monitoring information system to the decision-making process.</p> <p>The media platform for the diffusion of the information will be the potential outlet for the dissemination and promotion of the project results and outcomes in the long term. However, the scalability of the project is not contemplated throughout the proposal.</p>	<p><b>CR 12: Not cleared.</b></p> <p>The project could be replicated and scaled up by expanding its target area not only to the adjacent villages within the same watershed region but also to other villages at the provincial level with similar climate profiles and vulnerability.</p> <p>The response sheet did clarify the issues however it is not reflected in the proposal.</p>

		<b>CR 12:</b> Please clarify the replicability and scalability potential of the project to other regions.	
	5. Does the project engage, empower and/or benefit the most vulnerable communities and social groups?	<p><b>Not cleared.</b> The project targets 180 local residents of 9 villages in the Saddang Watershed region as direct beneficiaries who will be the local champions to spread climate change adaptation knowledge in the villages. (page 11)</p> <p>The project also considers the inclusion of local youth in knowledge-sharing activities.</p> <p><b>CR 14:</b> Please clarify based on which criteria the 9 villages will be selected.</p>	<p><b>CR 14: Not cleared.</b> The nine (9) villages will be selected based on the Free, Prior, and Informed Consent (FPIC) process. The target villages from the previous AF project will be prioritized. Other villages will be selected based on the result of socio-economic, gender, and ecological assessment, field survey, and document review of the candidate villages. The climate change vulnerability of the village will be considered.</p> <p>The selection process and criteria of nine(9) villages are clarified in the response sheet (CR 5, CR 14), however, it is not reflected in the proposal.</p>
	6. Does the project advance gender equality and the empowerment of women and girls?	<p><b>Not cleared.</b> The proposal stresses that the role of women in the region is limited despite their education level. (Page 5) However, the project does not clarify how this situation will be improved through the project. The proposal also indicates that ‘the project will ensure the active involvement of women in all elements</p>	<p><b>CR 15: Cleared.</b> Women will be included in the youth group and benefit from the capacity building and training. The project will improve women’s access to climate change information in the long term.</p> <p><b>CR 16: Cleared,</b> page 20-21 For each activity, at least 30% female participation will be ensured.</p>

		<p>of the implementation of activities' on page 13 without further clarification.</p> <p><b>CR 15:</b> Please clarify how the outcomes of the project will impact women's lives.</p> <p><b>CR 16:</b> Please clarify how women will be involved in the project activities.</p> <p><b>CR 17:</b> Please clarify the number of direct beneficiaries disaggregated by gender in output 1.1.1, output 2.1.1, output 3.1.1. from the III.C 'Results Framework' table.</p>	<b>CR 17: Cleared,</b> page 20-21
Resource Availability	1. Is the requested project funding within the parameters for small grants set by the Board?	<b>Yes</b> (USD 250,000).	-
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project budget before the fee?	<b>Yes</b> (USD 9,000, equivalent to 3.73%)	-
Implementation Arrangements	1. Is the project submitted through a National Implementing Entity accredited by the Board?	<b>Yes.</b> Kemitraan (Partnership for Governance Reform in Indonesia) is an accredited NIE of the AF.	-
	2. Is the timeframe for the proposed activities adequate?	<p><b>Not cleared.</b></p> <p><b>CR 18:</b> Please clarify if the project timeframe of 1 year would be sufficient to install weather stations, gather climate data, upgrade the application, and test the information dissemination through the application.</p>	<b>CR 18: Clear.</b> page 8, 19

	3. Is a summary breakdown of the budget for the proposed activities included?	<p><b>Not cleared.</b></p> <p><b>CAR 1:</b> Monitoring and Evaluation arrangements described in III.B. and the table on page 19p. does not include Project Performance Reports (PPRs). Please revise.</p>	<p><b>CAR 1: Clear.</b> page 18</p>
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ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

*PROJECT/PROGRAMME CATEGORY: Innovation Small Grant*

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**Country/Region:** Indonesia  
**Project Title:** Developing “Climate Smart Community” System to Increase Climate Resilience for Saddang Watershed Communities  
**Thematic Focal Area:** Disaster risk reduction, Social innovation  
**Implementing Entity:** Kemitraan (The Partnership for Governance Reform)  
**AF Project ID:**  
**IE Project ID:** Requested Financing from Adaptation Fund (US Dollars): 250,000  
**Reviewer and contact person:** Rywon Yang Co-reviewer(s): Alyssa Gomes  
**IE Contact Person:**

**Technical  
Summary:**

This project “Developing “Climate Smart Community” System to Increase Climate Resilience for Saddang Watershed Communities” aims to increase the adaptive capacity to climate change impacts of the communities in Saddang Watershed by building capacity and raising awareness through technology-based climate knowledge and learning system and climate change adaptation monitoring information system. This will be done through the three components below:

Component 1: Development of technology-based climate knowledge and learning systems (USD 111,071);

Component 2: Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community (USD 59,072);

Component 3: Building collective intelligence through knowledge management and youth local community-based dissemination (USD 49,607).

Requested financing overview:

Project/Programme Execution Cost: USD 21,250  
Total Project/Programme Cost: USD 241,000

	<p>Implementing Fee: USD 9,000 Financing Requested: USD 250,000</p> <p>The initial technical review finds that the project takes an innovative approach by downscaling the climate data and information to the regency level, making the climate information and adaptation knowledge directly relevant to the local communities and local government. The data and information will be provided through technology platforms developed from a previous Adaptation Fund project. However, the project does not clarify the long-term sustainability of the project outcome, and some issues were raised for clarification related to who will operate and manage the improved technology platforms; what will be the financial sources for the O&amp;M of the platforms; how the training results of the local champions will spread; how the youth climate observer group will remain active; how will the media platform will operate after the completion of the project. Also, gender consideration needs further development. A number of clarification requests (CRs) and a few corrective action requests (CARs) have been raised by the technical review.</p>
Date:	

Review Criteria	Questions	Comments	Responses (5 September 2022)
Country Eligibility	1. Is the country party to the Kyoto Protocol?	<b>Yes.</b>	
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b>  As per the endorsement letter dated 2 August 2022.	
	2. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the	<b>Not cleared.</b>  The Saddang watershed region is facing increased extreme weather events while the local farmers do not have timely access to applicable climate information or	<b>CR 1:</b> The operationalization of the climate-smart community platform is still ongoing and managed by TLKM (EE) in collaboration with application developers. The previous AF project was an early stage in developing the watershed-based climate-smart community model. That previous project included socialization and training so users could interact via the



	<p>adverse effects of climate change and build in climate resilience?<sup>1</sup></p>	<p>sufficient knowledge to interpret it, which weakens their adaptive capacity, leading them to practice unsustainable land management activities.</p> <p>The project aims to improve the adaptive capacity of the communities of Saddang watershed region by enhancing and utilizing an IT-based information platform ("Climate Smart Community") and climate change adaptation monitoring system developed through a previous Adaptation fund project, "Community Adaptation for Forest-Food Based management in Saddang Watershed Ecosystem".</p> <p>The project also aims to enhance the capacity and knowledge of the local government and residents on how to interpret, utilize and apply the information to the decision-making process to build resilience toward climate change impact.</p> <p><b>CR 1:</b> Please explain further the current operational status of the</p>	<p>presented platform. The process of assisting the use and development of applications will be accelerated through this innovation, with youth organizations serving as the driving force in the village.</p> <p>TLKM is currently in charge of operation and maintenance. Through this AF Innovation project, it will offer intensive support and tenacious advocacy to the government, particularly at the village level, so that the operation and financing can be handled independently by the village community.</p> <p>It should be noted that each village in Indonesia receives a Village Fund Budget (annually) from the Ministry of Village, Development of Disadvantaged Regions, and Transmigration of the Republic of Indonesia based on Law Number 6 of 2014. This fund can be allocated to ensure the operation and maintenance of the system. Cooperation with various relevant parties will be advocated for system development, such as the Communication and Information Services, The Regional Disaster Management Agency, The Meteorology, Climatology, and Geophysics Center, and The Regional Research and Development Planning Agency at both the provincial and regency levels.</p> <p>Current application usage statistics can be seen at the following link:</p> <p><a href="https://drive.google.com/drive/folders/1uqtj1Dsujqk0TQDi zUS1B1GMUX8H051-?usp=sharing">https://drive.google.com/drive/folders/1uqtj1Dsujqk0TQDi zUS1B1GMUX8H051-?usp=sharing</a></p>
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<sup>1</sup> A concrete adaptation project/programme is defined as a set of activities aimed at addressing the adverse impacts of and risks posed by climate change. The activities shall aim at producing visible and tangible results on the ground by reducing vulnerability and increasing the adaptive capacity of human and natural systems to respond to the impacts of climate change, including climate variability. Adaptation projects/programmes can be implemented at the community, national, regional and transboundary level. Projects/programmes concern activities with a specific objective(s) and concrete outcome(s) and output(s) that are measurable, monitorable, and verifiable. (Source: Operational Policies and Guidelines, amended October 2017)

		<p>climate-smart community technology platform developed during the previous AF project (Who owns and operates the system; what is the source of funding for operation; application usage statistics (if available)) and how this will be changed after the project.</p> <p><b>CR 2:</b> Please clarify how will the operation and maintenance of the applications or their future development (ex. update of the information) be ensured after the completion of the project?</p> <p>The target region is facing inaccessibility to climate information due to the limitation of a communication network or internet network.</p> <p><b>CR 4:</b> Please explain in the project activities how utilization of the climate-smart community technology platform will be ensured in response to this problem.</p> <p><b>CR 5:</b> Please describe how the direct beneficiaries (180) from 9 villages will be selected (process, criteria, etc.)</p>	<p><b>CR 2:</b> The operation and maintenance of this system will be transferred gradually to the village-level community through the Village Government and enhanced with pertinent government collaboration at the provincial and regency levels, as previously stated in CR 1.</p> <p>This innovation project will ensure multi-stakeholder cooperation runs in the system cycle. At the village community level, strengthening is carried out by the <i>Karang Taruna</i> (Youth Organization in Village) and village governments (<u>as we stated in paragraph 25</u>). Both of them will become the main actors in running this system and influencing it in the future. The project implementer will support the development process and continue communicating with the village community, even until the project ends. Project implementers will also carry out intensive advocacy efforts to ensure that various parties participate. One of the instruments that can strengthen this advocacy process is the Climate Change Adaptation Working Group which involves multi-stakeholders. The working group work plan will include the operation and maintenance of the climate-smart community system to ensure this can continue.</p> <p><b>CR 4:</b> Some areas in the Saddang watershed area still do not have access to communication and internet networks. For this reason, the installation of weather stations will be carried out in villages that have areas covered by an internet network (4G/LTE). To ensure those remote areas will receive information from the climate-smart community system, project will using community based radio. The community-based radio broadcasts will disseminate positive and essential information especially about climate and adaptation action that reaches areas that do not have regular internet access.</p>
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		<p><b>CR 6:</b> Please explain further how the local champions will spread their knowledge and experience acquired through training to other residents.</p> <p><b>CR 7:</b> Please confirm if the installation of 5 (five) weather stations need any approval from or prior discussion with relevant authorities.</p> <p><b>CR 8:</b> Output 2.1 considers the capacity building of 43 stakeholders. Please provide an indicative list of the potential institutions of the stakeholders that are being considered.</p> <p><b>CR 9:</b> Outcome 3.1 includes the formation of a local youth climate observer group. Please explain further how the participants will be selected based on which criteria.</p> <p><b>CR 10:</b> Please clarify related to the operation and maintenance of the community-based radio broadcasting after the project completion (by whom, with what financial resources)?</p>	<p><b>CR 5:</b> The criteria for selecting a village are carried out by conducting a consultation process with the principles of Free, Prior, and Informed Consent (FPIC) at the village level. We prioritize strengthening several villages, namely villages that were previously the location of the previous AF project intervention in the Saddang watershed. This was achieved by conducting socialization and interviews with potential project beneficiaries at the village level.</p> <p>180 direct project beneficiaries will gain increased capacity through intensive mentoring to become local champions for climate change adaptation at the village level. A minimum of 20 people will be chosen from each intervention village who have joined the <i>Karang Taruna</i> (Youth Organization in Village) group, as specified in Minister of Social Affairs Regulation No. 25 of 2019 concerning <i>Karang Taruna</i>, to strengthen their capacity and raise their awareness of climate change adaptation issues <u>(as we stated in paragraph 25)</u>. Gender justice or proportionality between men and women will be considered in selecting <i>Karang Taruna</i> members who will focus on increasing capacity and understanding climate change adaptation. We have added this information in <u>PART II. D. Compliance with Relevant National Technical Standards, paragraph 50.</u></p> <p><b>CR 6:</b> Local champions, the primary target beneficiaries in this project, will be given a series of trainings to increase their capacity. Then during the project period, the field officer in each village who lived-in in the village will ensure that local champions continue their activities to build climate change adaptation actions. Some of the training content that will be provided includes how the beneficiaries (local champions) provide assistance and advocacy; leadership; understanding micro-climate; dissemination; climate-smart agriculture or climate-</p>
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			<p>resilient agroforestry systems; disaster management; and gender-responsive climate-aware as we stated in <u>PART II A. paragraph 26.</u></p> <p>The previous AF project in the Saddang watershed conducted activities to raise youth group awareness by establishing and strengthening Youth Climate Change Care (<i>Kelompok Peduli Perubahan Iklim/KPPI</i>). The lessons learned are that intensive assistance is required to raise youth awareness; one approach that can be taken is to ensure that field officers live with the community in the village and engage in intensive interactions.</p> <p><b>CR 7:</b> Based on previous AF projects in the Saddang watershed, permission from the village government is required to install weather stations in the village. As a result, prior consultation with the village head is required to determine the best location for the weather station installation. The weather station will be installed on village-owned land, so a letter of cooperation or contract with the village is required, stating that the village-owned land will be used for the installation of the weather station, as well as the village government's commitment to maintaining and ensuring that the equipment will be maintained in the village.</p> <p><b>CR 8:</b> Capacity building in output 2.1 is intended to strengthen stakeholders' knowledge in order to develop regional policies that address the issue of climate change adaptation. Capacity building is also aimed at preparing government employees to operate a climate change monitoring system.</p> <p>The target beneficiaries of this output are 43 people from various elements, including the government (provincial, district, and village/kelurahan levels),</p>
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			<p>academics/universities, and CSOs. We have added a list of stakeholders in the <u>PART II section. A. Project Component (pages 11-12).</u></p> <p><b>CR 9:</b> This project aims to prepare at least one young person from each village to be a climate observer. The main criteria seen are the level of activity or participation in the group; having basic knowledge; thinking inclusively; having the enthusiasm to disseminate the knowledge obtained. This is necessary because the village climate observer will collect much data and information and capture the context and issues of climate change at the local level.</p> <p><b>CR 10:</b> The <i>Karang Taruna</i> group will run community-based radio broadcasting. As previously stated, funding for <i>Karang Taruna</i> (Youth Organizations in Village) can come from the State Revenue and Expenditure Budgets (APBN), Regional Revenue and Expenditure Budgets (APBD), and legal and non-binding sources of funding following the provisions of laws and regulations (Minister of Social Affairs Regulation No. 2019 concerning Youth Organizations, especially in Chapter IX: Funding, Article 44). During the project, <i>Karang Taruna</i> funding sources will be advocated for so that the relevant government can support it. After the project is completed, funding will be provided for the continued operation and maintenance of this community-based radio broadcasting system.</p>
	3. Does the project encourage or accelerate development of innovative adaptation practices, tools and technologies?	<p><b>Not cleared.</b></p> <p>This project encourages the improvement and diffusion of climate information, adaptation knowledge, and solutions through technology platforms(applications). The technology platform itself is not</p>	<p><b>CR 11:</b> The youth who will benefit from the project are members of the <i>Karang Taruna</i> (Youth Organization in Village) group. According to the rules of the Minister of Social Affairs Regulation No. 25 of 2019, especially in Chapter IX: Funding, Article 44, <i>Karang Taruna's</i> funding comes from the State Revenue and Expenditure Budget (APBN), Regional Revenue and Expenditure Budgets (APBD), and legal and non-binding sources of funding.</p>

		<p>new, but to ensure practical usage of the information provided by these platforms, this project is downscaling the information and knowledge to the regency level, making the information directly relevant to the local communities and local government. This would qualify as innovative since the technology is being adapted to the local context ensuring the inclusion of the local communities.</p> <p>Another aspect that this proposal highlight is the involvement of local youth in the knowledge-sharing process as innovative. However, it is unclear how their involvement will continue after the completion of the project.</p> <p><b>CR 11:</b> Please explain how the continuous participation of the youth will be ensured after the completion of the project.</p>	<p>This creates opportunities for youth activities to be sustained in implementing climate change adaptation actions at the village community level. This project will encourage <i>Karang Taruna</i> groups in the village to direct their activities toward climate change adaptation actions.</p>
	<p>4. Does the project help generate evidence base of effective, efficient adaptation practices, products or technologies, as</p>	<p><b>Not cleared.</b></p> <p>The project could be an example of downscaling the climate information and knowledge to the micro(regency) level in the other region of Indonesia.</p>	<p><b>CR 12:</b> The ideas in this project are highly possible to scaled up and replicated. This project is expected to provide tangible benefits to the community, such as: (i) communities in the downstream watershed area are better prepared to face the threat of climate change because they can oversee weather conditions in the upstream watershed area in real time (whether it rains in intensity normal or extreme); (ii) smallholders have begun</p>

	<p>a basis for potential scaling up?</p>	<p>It is expected that a working group or other forms of arrangement will be established within the local government which will ensure the long-term application of the climate change adaptation monitoring information system to the decision-making process.</p> <p>The media platform for the diffusion of the information will be the potential outlet for the dissemination and promotion of the project results and outcomes in the long term.</p> <p>However, the scalability of the project is not contemplated throughout the proposal.</p> <p><b>CR 12:</b> Please clarify the replicability and scalability potential of the project to other regions.</p>	<p>to use and compile a new planting calendar that considers updated climate information; (iii) the response to landslides in the upstream watershed area will be swift.</p> <p><b><u>Scalability:</u></b></p> <p>Assume these advantages are genuine, and the information is massively amplified. In that case, other village governments (apart from project intervention) are expected to prepare and facilitate the climate system because they are related to the village's livelihood interests. The government, particularly at the provincial and regency levels, will welcome the idea of implementing the project in other villages through local funding because it provides immediate benefits.</p> <p><b><u>Replicability:</u></b></p> <p>These adaptation actions can be more widely disseminated in other areas that require similar interventions (e.g., in other watershed areas vulnerable to the effects of climate change/disaster). This can be accomplished by strengthening policy support at the provincial level and forming provincial working groups. In the near future, the impact of dissemination will affect the replicability of the different areas that are also often affected by climate change (e.g., Luwu Regency, North Luwu Regency, Gowa Regency, Maros Regency, Makassar City, Bulukumba Regency and Bantaeng Regency). This is because these areas have the same problem and the same parties at the provincial and ministry level.</p>
	<p>5. Does the project engage, empower and/or benefit the most vulnerable</p>	<p><b>Not cleared.</b></p> <p>The project targets 180 local residents of 9 villages in the Saddang Watershed region as</p>	<p><b>CR 14:</b> As previously stated in CR 5, the intervention villages were chosen by first conducting a Free, Prior, and Informed Consent (FPIC) process at the village level. We prioritize strengthening several villages, specifically those</p>



	communities and social groups?	<p>direct beneficiaries who will be the local champions to spread climate change adaptation knowledge in the villages. (page 11)</p> <p>The project also considers the inclusion of local youth in knowledge-sharing activities.</p> <p><b>CR 14:</b> Please clarify based on which criteria the 9 villages will be selected.</p>	<p>that were previously the site of an AF project intervention in the Saddang watershed.</p> <p>Several other villages (that were not intervention villages in the previous AF project) were chosen based on the coverage area that represented a watershed unity. A socio-economic, gender, and ecological assessment will be conducted first, followed by a field survey and a review of documents containing statistical data about the village in the selection process. The village's level of vulnerability to climate change will also be determined using data from Indonesia's Vulnerability Index Data Information System (SIDIK).</p>
	6. Does the project advance gender equality and the empowerment of women and girls?	<p><b>Not cleared.</b></p> <p>The proposal stresses that the role of women in the region is limited despite their education level. (Page 5) However, the project does not clarify how this situation will be improved through the project.</p> <p>The proposal also indicates that 'the project will ensure the active involvement of women in all elements of the implementation of activities' on page 13 without further clarification.</p> <p><b>CR 15:</b> Please clarify how the outcomes of the project will impact women's lives.</p>	<p><b>CR 15:</b> This project will empower village youth to actively disseminate knowledge about climate change adaptation in their communities. In the context of this project, 'youth' does not only refer to men; women will be strongly encouraged to participate in all aspects of the project.</p> <p>The system developed in this project will overcome the current lack of information access that many women's groups encounter. As a result, women will have an excellent opportunity to obtain information and learn how to adapt to climate change quickly and easily.</p> <p><b>CR 16:</b> This project encourages women to actively disseminate knowledge about climate change adaptation at the village community and local government levels. To that end, the project will require at least 30% female participation in each project activity, <u>see the table in Part III. C Results Framework (Output 1.1.1, Output 2.1.1, and Output 3.1.1).</u></p> <p><b>CR 17:</b> We have added the number of beneficiaries which disaggregated by gender, <u>see the table in Part III. C Results Framework.</u></p>



		<p><b>CR 16:</b> Please clarify how women will be involved in the project activities.</p> <p><b>CR 17:</b> Please clarify the number of direct beneficiaries disaggregated by gender in output 1.1.1, output 2.1.1, output 3.1.1. from the III.C 'Results Framework' table.</p>	
Resource Availability	1. Is the requested project funding within the parameters for small grants set by the Board?	<b>Yes</b> (USD 250,000).	
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project budget before the fee?	<b>Yes</b> (USD 9,000, equivalent to 3.73%)	
Implementation Arrangements	1. Is the project submitted through a National Implementing Entity accredited by the Board?	<b>Yes.</b> Kemitraan (Partnership for Governance Reform in Indonesia) is an accredited NIE of the AF.	
	2. Is the timeframe for the proposed activities adequate?	<p><b>Not cleared.</b></p> <p><b>CR 18:</b> Please clarify if the project timeframe of 1 year would be sufficient to install weather stations, gather climate data, upgrade the application, and test the</p>	<p><b>CR 18:</b> Based on previous AF project experience, the installation of weather stations, application upgrades, and trials can be completed in four (4) months. Activities such as capacity building and advocacy are also carried out simultaneously. This is due to the presence of each person in charge of each project component. However, to improve the quality of project outputs and outcomes, we</p>

		information dissemination through the application.	are considering extending the project duration to 17 months. These changes can be seen in <u>PART III. Implementation Arrangements (page 18, paragraph 60)</u> and <u>PART I. Project Calendar (page 8)</u>
	3. Is a summary breakdown of the budget for the proposed activities included?	<p><b>Not cleared.</b></p> <p><b>CAR 1:</b> Monitoring and Evaluation arrangements described in III.B. and the table on page 19p. does not include Project Performance Reports (PPRs). Please revise.</p>	<p><b>CAR 1:</b> Revised. Added in <u>PART III B. Monitoring and Evaluation figure and table (page 18)</u></p>



ADAPTATION FUND

## PROGRAMME ON INNOVATION: SMALL GRANT PROJECT PROPOSAL

### PART I: PROJECT INFORMATION

<b>Country:</b>	Indonesia
<b>Title of Project:</b>	Developing “Climate Smart Community” System to Increase Climate Resilience for Saddang Watershed Communities
<b>National Implementing Entity:</b>	Kemitraan (The Partnership for Governance Reform)
<b>Executing Entity/ies:</b>	Tim Layanan Kehutanan Masyarakat (TLKM)
<b>Amount of Financing Requested:</b>	250,000 (in U.S Dollars Equivalent)

### Project Background and Context

*Provide brief information on the problem the proposed project is aiming to solve. Outline the economic, social, development, and environmental context in which the project would operate.*

1. Saddang Watershed is one of the critical watersheds to be restored. This watershed crosses the administrative area of South Sulawesi Province with 661,932 ha, which is the second-largest watershed in South Sulawesi<sup>1</sup>. Geographically, the Saddang watershed is in the administrative area of Tana Toraja, North Toraja and Enrekang Regency (upstream), and Pinrang Regency (downstream). Communities that depend on and utilize natural resources in the Saddang watershed for water and other basic needs totaled 1,184,215 people, consisting of 599,511 men (51%) and 584,704 women (49%). The majority of whom work as farmers. Saddang watershed also serves primary income sources such as rice fields covering an area of 133,354.79 ha, ponds covering an area of 17,378.37 ha, gardens of 90,354 ha, and livestock for as many as 10,734,898 individuals<sup>2</sup>.
2. Climate issues are a big challenge for the Saddang watershed ecosystem community because they directly impact material and non-material losses. Climate phenomena such as drought and extreme rains have caused impacts in **abrasion, flooding, landslides, increased sedimentation, and the loss of people's ponds into rivers**<sup>3</sup>. This extreme climatic condition has unwittingly changed people's land-use patterns to become more vulnerable (rebound vulnerability). One example is the long drought in the early 2000s which led to massive land conversion in the upstream watershed, from mixed coffee plantations to corn monocultures. The steep agricultural areas in Toraja, North Toraja, and Enrekang Regency will increase the potential for landslides in the future. Changes on a large scale will also increase sedimentation during this period and change river flow patterns. This drastic change in river flow patterns causes a shifting vulnerability. For example, in Pinrang Regency's case, Paria Village, which used to be flooded frequently, has now moved to Baba Binanga Village<sup>4</sup>.
3. In addition, unsustainable land management activities such as agricultural practices in slope areas, monoculture farming in the highlands, and land conversion contributed to the **increase in critical land area** in the Saddang watershed, covering an area of 485,021.70 ha in 2018<sup>5</sup>. One of the indications is by looking at the change in forest cover into agricultural land covering an area of 160,331.17 ha in 2020<sup>6</sup>. As a result, nature's ability to support human needs has already reached its ability limit<sup>7</sup>.

<sup>1</sup> —Watershed Management Information System, Website, 2022

<sup>2</sup> —Regency Statistics Document in Figures, Central Bureau of Statistics of South Sulawesi Province, 2022

<sup>3</sup> —Surveys and Interviews in Saddang Watershed Community, 2021

<sup>4</sup> —Naufal et al, Adaptation from Maladaptation: A Case Study of Community-Based Initiatives in the Saddang Watershed, 2022 (Unpublished)

<sup>5</sup> —Critical Land Data Analysis, Watershed and Protected Forest Management Center Jeneberang-Saddang, 2018

<sup>6</sup> —Land Cover Data Analysis, Forest Area Designation Center, 2020

<sup>7</sup> —Vol. 1 No. 1: November, Mapping of Indications of Ecosystem Services for the Masupu Watershed, 2019

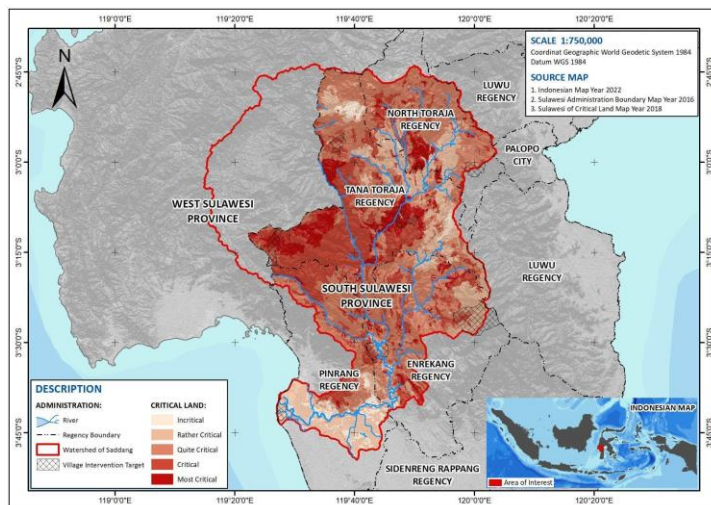


Figure 1. Saddang Watershed Situation Map

4. The increase in critical land in the Saddang watershed poses a severe threat to the carrying capacity of the watershed, both its function as life support and its hydrological role. Moreover, uncertain climatic conditions and unsustainable land management increase the community's vulnerability in the watershed. So, in facing the challenges of climate change in the Saddang watershed, efforts are needed that are mutually integrated so that potential losses or losses from the impacts of climate change can be reduced. One of them is the need for real-time access to microclimate information (within the watershed) that is integrated so that farmers' losses can be minimized or anticipated. Dissemination of climate information that the central and regional governments have carried out in various media, socialization, or notification letters is still neglected by some communities in the Saddang watershed ecosystem. As one example, information regarding the opening or closing of the floodgates at the dam downstream of the Saddang watershed was informed a few days after it was carried out. This makes the information so that it cannot be used properly, so the community's anticipation in responding to these actions is weak. In addition to the issue of sources of information, the context of the knowledge capacity of the community in understanding the changes that occur to what extent this will have an impact is also something that needs to be considered. Capacity building and awareness-raising are still required to create a climate-aware community that can adequately reduce the level of vulnerability, whether in the present (short term) or the future (long term). The community suffers many losses in the affected sector due to conventional farming or aquaculture patterns that only refer to local knowledge. Some villages do not have communication or internet networks (blank spots or remote areas).

#### Environmental and Climate Change Context

5. The destructive influence of accepting the impacts of climate change in the Saddang watershed is increasingly at risk. This impact continues to create new disasters in the Saddang watershed. In fact, in the last seven years, the disaster has damaged 2,220 people's houses in the Saddang watershed.

Table 1. Disaster Data

Regency	Disaster	Frequency	Fatalities	Damage to Infrastructure
Enrekang	Flood	7	2,447	26
	Landslide	9	553	79
	Tornado	5	34	108
	Forest and Land Fires	8	-	-

Regency	Disaster	Frequency	Fatalities	Damage to Infrastructure
Pinrang	Flood	10	4,600	580
	Landslide	4	30	8
	Tidal Wave / Abrasion	4	1,000	1
	Tornado	18	305	1,169
Tana Toraja	Flood	5	865	7
	Landslide	45	1,123	138
	Tornado	22	264	80
	Forest and Land Fires	14	-	-
North Toraja Utara	Landslide	11	10,616	31
	Tornado	9	10	14
TOTAL		171	21,847	2,241

Source: Disaster Information and Communication Data Center, National Disaster Management Authority (2015-2021)

6. In Table 1, it is noted that Tana Toraja Regency has the most frequent intensity of landslides and tornados. North Toraja Regency is the largest disaster impact recipient in the upstream Saddang watershed. While downstream of Saddang watershed, Pinrang Regency has the most incredible intensity of flood disaster. The disastrous events that occurred in four regencies greatly affected the decrease in the productivity of cultivated commodities. The occurrence of crop failure even **resulted in the fatalities** of 14 people and suffered as many as 17,809 people<sup>8</sup>. The results of the vulnerability study of the Saddang watershed show that Tana Toraja Regency will experience an increase in the occurrence of landslides covering an area of 14,443.41 ha, while Pinrang Regency will experience an increase in the event of floods covering an area of 23,515.18 ha which has great potential to damage ponds, rice fields, and plantation in the 2040s.<sup>9</sup>



Figure 2. Landslide in the upstream Saddang watershed, 2021 (Doc: Kemitraan-TLKM)

7. The portrait of the landslide disaster in Figure 2 occurred in 2021 in Tana Toraja Regency and claimed lives. This incident destroyed 2 ha of community agricultural land because an overflow of landslides buried it, and it also impacted the public road access had to be closed for two days. This condition was previously unanticipated **due to the absence of effective and quick access to climate information** that the public could access as a basis for bringing signs of potential for disaster. Previously there was a climate information system built by the authorized agency. Still, the utilization of the information was not optimal because it only displayed macro-climate data. The scientific data and information had not been much elaborated into "knowledge" that was easily understood by the public. Climatic factors that cause landslides are high rainfall, and geographical factors such as slope conditions > 45% and the soil's ability to bind water have crossed the limit<sup>10</sup>.

<sup>8</sup> Disaster Data Analysis, National Disaster Management Authority, 2022

<sup>9</sup> Community Vulnerability to Climate Change, Climate Change Risks, and Climate Change Adaptation Strategies Based Study on Saddang Watershed, TLKM Foundation (via KAPABEL) and Kemitraan, 2021

<sup>10</sup> Surveys and Interviews in Saddang Watershed, 2021



Figure 3. Graph of Climate Change Vulnerabilities

8. The level of vulnerability of an area is strongly influenced by the support for physical, environmental, and socio-economic conditions in the village/urban village. Based on Figure 3, 95% of villages in the four regencies of the Saddang watershed are **vulnerable to climate change**. It was noted that the adaptability of the village/urban village was very low, ranging from 0.19 – 0.50, while the level of exposure was quite high, ranging from 0.70 – 0.87<sup>11</sup>. The important aspect that drives landslides and floods with rainfall of 2,600 - 3,000 mm per year will result in 2,419 potential landslides and floods in the Saddang watershed in the 2040s<sup>12</sup>. This puts the high potential of decreasing productivity and the risk of crop failures in sight.



Figure 4. Crop failure due to flooding in the Downstream Saddang watershed, 2021 (Doc: Kemitraan-TLKM)

9. This impact also poses threats and significant losses in the downstream area of the Saddang watershed, increased sedimentation due to landslides in forest areas, changes in river flow patterns, and even flooding from upstream of the Saddang watershed, which causes **crop failure losses, migration, and ablation to the loss of community ponds**.<sup>13</sup> In actual conditions, the sedimentation rate analysis in the Saddang watershed is 146,709.23 (tons per ha), and the projected climate change conditions in the 2040s will increase by 147,342.28 (tons per ha). One of them has occurred population migration due to flooding and ablation in Cilallang sub-village, Duampanua, Pinrang. Previously as many as 200 families living in one sub-village began to slowly decrease until now, only 20 families have survived and adapted to the threat of flooding and ablation. One of the residents has also lost his pond covering an area of  $\pm 10$  ha, which is predicted to be in the hundreds of millions of rupiah.<sup>14</sup> This project will be directed at building the community's adaptive intelligence, especially for the most affected smallholders. This will be very useful in making decisions or responding to these impacts so as not to cause more significant losses. Weather anomalies make it more difficult for small farmers to make decisions in agricultural practices, which are very dependent on when the rains come and when the dry season begins. By increasing the capacity of knowledge and skills in adapting and supported by information systems that apply to them, the decisions they take in responding to the crisis will become more appropriate and ensure the sustainability of their livelihoods.

<sup>11</sup> Results of Vulnerability Data Analysis, Vulnerability Index Data Information System, Center for Climate Change and Forest and Land Fire Control, MoEF, 2022

<sup>12</sup> Community Vulnerability to Climate Change, Climate Change Risks, and Climate Change Adaptation Strategies Based Study on Saddang Watershed, TLKM Foundation (via KAPABEL) and Kemitraan, 2021

<sup>13</sup> Surveys and Interviews in Saddang Watershed, 2021

<sup>14</sup> *Ibid*, 2021

## Socio-Economic Context

10. The development of a community structure will be in line with economic growth and development in a region. In principle, development based on a rapidly growing economy will reduce the carrying capacity of the environment and result in changes in the socio-economic structure of the community. In particular, the four regencies in the Saddang watershed have 117,360 people classified as poor, most of whom are in rural areas. Although these four regencies are not among the poorest regions of South Sulawesi, the poverty depth index with an average of 1.74 and the poverty severity index with an average of 0.37 is relatively low, so land clearing in the forest in rural areas are potential to happen. Based on statistical data, the number of unemployed increased in 2021 by 16,579 compared to 2020 by 16,393 people<sup>15</sup>.
11. In line with developments in the community structure, it becomes a challenge for village youth. The number of village youths who choose to migrate to urban areas to continue their education and careers has begun to increase. Currently, only less than 50 percent of young people survive living in rural areas<sup>16</sup>. Migrating is one of the youth's efforts to revive their economy and get out of poverty. The need for the next generation in priority sectors is hampered because most young people who continue their education and career choose to live in urban areas.
12. In addition, the role of women is also not comparable to the role of men in utilizing the Saddang watershed ecosystem. The contribution of women to priority sectors in the Saddang watershed is still very minimal. Based on statistical data, the percentage of women's income contribution in the Saddang watershed is still 38% average of the number of women in the Saddang watershed area. This is because the opportunity to continue education and a career is challenging if women have continued to the level of marriage, so women will be faced with the choice of being a housewife. Currently, the number of unemployed women is 5,815 people, and it is dominated by women who have graduated from college<sup>17</sup>.

## Developmental Context

13. In the national context, Indonesia has the main objective of increasing through the National Action Plan for Climate Change Adaptation (RAN-API) 2014-2023 and the National Action Plan for Sustainable Development Goals (SDGs) 2015-2019 resilience to impacts. Climate change through a needs allocation approach strategy, policy dialogue, and policy reform, but there are still some challenges in its implementation. For example, adaptation activity interventions tend to be multi-sectoral, and their implementation has some differences or no integration between sectors. Some of the challenges are uncertainty and gaps in human resource capacity in managing adaptation issues. This project will answer the challenges in RAN-API problems by designing the system built to be sustainable and flexible by clarifying its working mechanism; in this case, it will map the stakeholders involved as monitoring and coordinating functions in program implementation. This project will also realize adaptation and mitigation governance in order to achieve the existing NDC targets as mandated in the Regulation of the Minister of Environment and Forestry Number P.16/MENLHK/SETJEN/SET.1/8/2020 concerning the Strategic Plan of the Directorate General of Climate Change Control year 2020 – 2024.
14. The significant impact of climate change is the biggest contributor to farmers' losses. This inequality makes the South Sulawesi government continue to strive to achieve the Sustainable Development Goals (TPBs/SDGs). These efforts still require regional, site, and multi-sectoral support to complete the SDG's target in 2030. Moreover, the Saddang watershed is designated as a sustainable food area: rice, corn, shrimp, and Special Economic Zones, as well as being a National Rice Reserve area with a contribution of 500 - 600 thousand tons per day. The impacts of climate change that are felt by the community of the Saddang watershed ecosystem cannot be directly resolved, so adjustments are needed to anticipate the major effects of climate change. This effort is carried out as a response to reduce potential losses. Data shows that if

<sup>15</sup> Regency Statistics Document in Figures, Central Bureau of Statistics of South Sulawesi Province, 2022

<sup>16</sup> Surveys and Interviews in Saddang Watershed, 2021

<sup>17</sup> Regency Statistics Document in Figures, Central Bureau of Statistics of South Sulawesi Province, 2022

climate-resilient development is carried out at the National level, both in the form of adaptation and mitigation, the possible loss is projected to decrease by 58.3 Trillion Rupiah from 115.4 Trillion Rupiah (down nearly 50%).<sup>18</sup>

15. On a local government scale, South Sulawesi Provincial Regulation No. 1 of 2021 concerning the Regional Medium-Term Development Plan (RPJMD) for 2018-2023 accommodates the Medium-Term Development Plan in four regencies' project intervention areas. However, its implementation also has challenges, namely, the gap between mitigation issues and adaptation management. This is reinforced by the Decree of the Governor of South Sulawesi Number 949/IV/2022 concerning the Establishment of the South Sulawesi Province Low Carbon Development Coordination Working Group (POKJA PPRK) in 2022. This POKJA PPRK will undoubtedly focus more on the issue of mitigation, so this project is expected to encourage adaptation strategies so that there is a balance in the management of climate change control actions. This project will also promote the Regional Action Plan for Climate Change Adaptation (RAD-API) at the regency level, which is expected to be an initiator in an effort to support regional development that mainstreams climate change adaptation at the provincial level.
16. Ministry of National Development Planning or National Development Planning Agency (BAPPENAS) and Low Carbon Development Indonesia (LCDI) have developed the Indonesia Low Carbon Development Action Planning and Monitoring Application (AKSARA) system. However, this application is very oriented towards climate change mitigation actions, not yet mainstreaming the issue of climate change adaptation. So that in project implementation, climate change adaptation monitoring applications are made to strengthen the issue of climate change adaptation which will be integrated with existing systems. Several applications can be integrated, such as the application system that the Ministry of Environment and Forestry has created as supporting tools, including the National Registry System (SRN) and the Vulnerability Index Data Information System (SIDIK), and the SPECTRUM application. A weather monitoring application system from the Agency for Meteorology, Climatology, and Geophysics (BMKG) and the Pompengan River Center (BBWS) has strengthened climate and weather data. Project interventions will fulfill what is still lacking from the existing system and make it easier, efficient, and effective. It becomes an innovation that can be replicated and used as a supporting tool in the context of climate-resilient regional development.

### **Project Innovation Context**

17. The agricultural sector is the most significantly affected sector based on the previously collected information. Extreme rain (very heavy) in the upstream area and lasts for days will potentially cause an overflow of water downstream of the river (flood) and impact agricultural activities downstream. Several areas in the four regencies of the Saddang watershed are still unable to access climate information due to the absence of a communication network or internet network. So far, climate information obtained by the community, especially those living in the area, has no network, only through places of worship or information dissemination from the Village/Sub-District Head. When a large landslide occurs in the upstream watershed area, people in the downstream watershed area need to obtain information to anticipate and minimize the adverse effects of a flash flood. The use of technology in accessing climate information is essential, whether for agriculture, plantations, or as an alarm, if a disaster strikes. If farmers can find out in advance about the incident, the harvesting process may be carried out more quickly to reduce the high level of losses.
18. Whether ready or not the community is in a watershed unit can be seen from the adaptation response during a disaster. Some responses are incidental or adaptive responses that are permanent or long-term responses. It needs to be supported by sufficient knowledge and skills to support the proper response before making a decision. Agricultural practices using local knowledge that villagers have widely used are currently no longer relevant in predicting climate or weather contexts (e.g., when it will rain or when the rainy and dry seasons begin). Because at this time, the season can no longer be predicted with a static approach. Even if climate

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<sup>18</sup> Executive Summary Climate Resistant Development Policy Document, 2020-2045

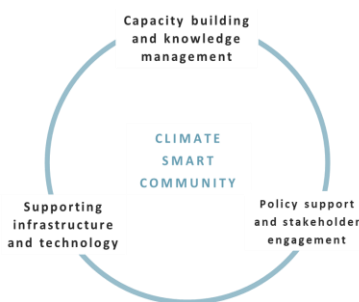


change makes rain patterns more dynamic (it will come sooner or later than the previous year), it can still be predicted using a scientific approach.

19. The previous Adaptation Fund project implemented by Kemitraan (the Partnership for Governance Reform) with KAPABEL Consortium in 2020-2022, namely "Community Adaptation for Forest-Food Based Management in Saddang Watershed Ecosystem," has initiated the first step for the development of this climate knowledge and learning system through development of the "Climate Smart Community" system. The project results have also resulted in the initial initiation of the development of an information system for monitoring climate change adaptation. However, it is still within the scope of the province of South Sulawesi. Besides that, based on data and information from previous project lessons, the project will require more extraordinary efforts to attract youth participation, especially in highland areas where most youth migrate to cities. There will be minimal youth actions that will contribute to village development for climate resilience. Funding for innovation projects from the Adaptation Fund will have a much greater and significant impact on changes in adaptation patterns to climate change in the Saddang watershed by maximizing appropriate technology in carrying out adaptation actions. The knowledge capacity of the community and stakeholders will be further upgraded with capacity-building designs that will be tailored to the needs of the beneficiaries.

20. This innovation project with the theme "**Developing Climate Smart Community Systems to Increase Climate Resilience for Saddang Watershed Communities**" will address the strengthening of the adaptive capacity of elements of the Saddang watershed ecosystem community to increase their adaptive resilience through:

- a) Strengthening technology-based climate knowledge and learning systems in increasing awareness and adaptive capacity of the Saddang watershed ecosystem community through **the development of the "Climate Smart Community" system**. This system will strengthen local community knowledge in understanding the microclimate context in agricultural activities and anticipating disaster impacts by combining it with scientific knowledge that is easy to access and use. In addition to community capacity, supporting technology tools will be provided to ensure that increasing knowledge and learning capacity is carried out effectively and efficiently.
- b) Strengthening policies and participation of stakeholders to support the technology-based adaptive actions of the Saddang watershed ecosystem community through **policy advocacy and development of an information system for monitoring climate change adaptation**. This will significantly improve information governance related to climate change adaptation by building an integrated multi-sectoral climate change adaptation information system.
- c) **Building collective intelligence through community-based research, knowledge management, and dissemination**. Participatory research will be carried out by involving young local researchers whose capacity will be increased so that they are more sensitive to the issue of climate change and its potential impact on the villages where they live.



## Project Objectives

List the main objectives of the project.

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21. **The main objective** of this project is **to increase the adaptive capacity to climate change** impacts of the community in four (4) regencies in the Saddang Watershed area. Specifically, the proposed project will address the following objectives:

- 1) **Development of technology-based climate knowledge and learning systems** to increase awareness and adaptive capacity of Saddang watershed ecosystem community;
- 2) **Strengthening policies and participation of stakeholders** to support the technology-based adaptive actions for Saddang watershed ecosystem community;
- 3) **Building collective intelligence** through knowledge management and encouraging youth local community-based dissemination.

## Project Components and Financing

Fill in the table presenting the relationships among project components, activities, expected concrete outputs, and the corresponding budgets. If necessary, please refer to the INSTRUCTIONS FOR PREPARING A REQUEST FOR PROGRAMME ON INNOVATION: SMALL GRANTS PROJECTS THROUGH DIRECT ACCESS for a detailed description of each term.

Project Components	Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
1. Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem community	1.1.1. Strengthening community knowledge capacity in adapting to climate change  1.2.1. Development of technology-based supporting facilities and infrastructure to increase the adaptive capacity of the Saddang watershed ecosystem community  1.2.2. The existence of the equipment and or supporting devices in running the climate-smart community system	1.1. Strengthening community capacity as an effort to increase technology-based climate resilience  1.2. Development and operation of the application of climate knowledge and learning "Climate Smart Community"	111,071
2. Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community	2.1.1. Increased capacity of the parties in formulating policies or strategic plans related to climate change adaptation  2.1.2. The existence of local government policies that strengthen technology-based climate change adaptation actions  2.1.3. Development and operation of climate change adaptation monitoring information systems in four regencies	2.1. The ongoing support of the parties for climate change adaptation actions based on the use of technology	59,072
3. Building collective intelligence through knowledge management and youth local community-based dissemination	3.1.1. Strengthening the capacity of local youth climate observers who are also transformed into influencers for climate-smart communities  3.1.2. Dissemination of lessons learned from the climate change adaptation innovation program in the Saddang watershed	3.1. The implementation of youth-based climate learning through the "climate-smart community system"	49,607
4. Project Execution cost			21,250
5. Total Project Cost			219,750
6. Project Cycle Management Fee charged by the Implementing Entity (if applicable)			9,000
<b>Amount of Financing Requested</b>			<b>250,000</b>



Projected Calendar

Indicate the dates of the following milestones for the proposed project/programme

Milestones	Expected Dates
Start of Project Implementation	October 2022
Project Closing	<del>November-March 2024</del> <sup>23</sup>
Terminal Evaluation	<del>January-July</del> 2024

## PART II: PROJECT JUSTIFICATION

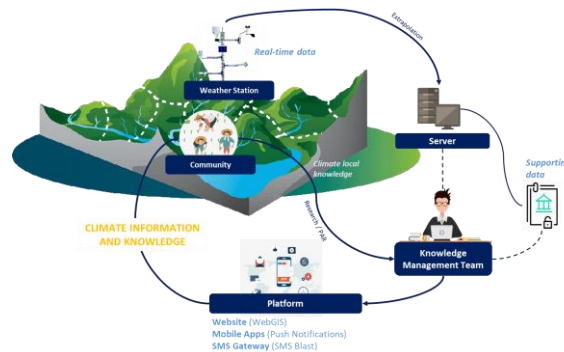
### A. Project Components

*Describe the project components, particularly focusing on the concrete adaptation activities of the project, and how these activities contribute to climate resilience.*

#### **Component 1: Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem community**

22. The development of technology-based climate knowledge and learning system aims to increase capacity and broaden the thinking horizon of village-level communities about the effects of climate change on their livelihoods and how they should act to adapt to face the challenges of the times. This idea is a development of the previous Adaptation Fund project in 2020-2022 with the title "Community Adaptation for Forest-Food Based Management in Saddang Watershed Ecosystem," which initiated the "Climate Smart Community" platform as a means to strengthen the adaptive capacity of the Saddang watershed community to climate change.

23. Climate Smart is defined as the community can better **understand the facts and current climate conditions in real-time**, both direct impacts and impacts seen from upstream and downstream of the watershed. This knowledge can be used to help reduce the level of vulnerability that may occur. With this system, villagers can anticipate and respond appropriately to rescue and minimize impacts. For communities in the upstream watershed, access to information in the form of adaptive plants that can also contribute to the health of the watershed can be developed in order



to reduce the potential for maladaptation in the upstream watershed, whose impact will be significantly felt by the community in the downstream watershed. For communities in the downstream watershed, information can be used to make decisions about their livelihoods. The information obtained can be used to anticipate potential impacts that will occur (for example, if there is extreme rain in the upstream watershed, then it is reinforced by indications of rising water in the downstream watershed, the community can respond more quickly, such as harvesting earlier and carrying out disaster preparedness).

24. Stakeholders can use this smart community climate system platform for climate change adaptation to facilitate monitoring and ensure the dissemination of information about the situation and climate conditions in the Saddang Watershed in the context of Climate Change Adaptation. The main elements of this system include microclimate conditions in the village (rainfall, temperature, air, wind), climate education, and a means to share local knowledge about other climate change adaptations. However, currently, a lot needs to be developed from these initiatives, one of which is related to capacity building and further development of innovations that have been carried out previously. The scientific knowledge obtained through the technological devices presented needs to be elaborated with the local climate knowledge of the community to produce an information system based on local wisdom in the village. Therefore, this innovation project will aim deeper and further into developing the "Climate Smart Community" system, not just presenting a technology platform but also how the beneficiaries can quickly accelerate themselves so that the existing technology becomes more appropriate for use longer in the future.

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***Outcome 1.1. Strengthening community capacity as an effort to increase technology-based climate resilience***

25. The capacity-building element in this project targets 180 direct beneficiaries from 9 villages who will be given appropriate lessons to be more adaptive to climate change. A minimum of 20 people will be chosen from each intervention village who have joined the Karang Taruna (Youth Organization in Village) group, as specified in Minister of Social Affairs Regulation No. 25 of 2019 concerning Karang Taruna, to strengthen their capacity and raise their awareness of climate change adaptation issues. These direct beneficiaries will become local champions or driving forces in spreading the perspective of climate change adaptation in each village. It will also have an impact on all villagers. The "Climate Smart Community" system will be ensured to work and be used as a reference for the community and parties in making decisions requiring consideration of climate information. This outcome will be achieved through:

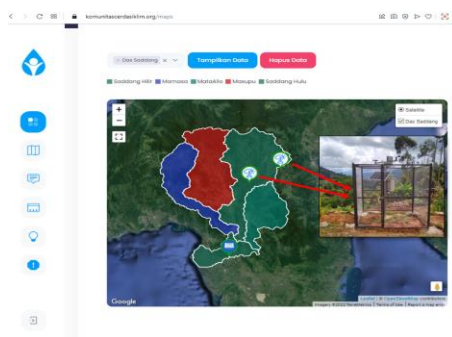
26. **Training:** Trainings ~~that~~ to support the adaptive capacity building of ~~rural and youth~~ communities in rural project priority will be carried out, such as understanding microclimate; youth leadership, facilitating, and advocacy; dissemination training; climate-smart agriculture or climate-resilient agroforestry systems; disaster management; and gender-responsive climate-aware village assistance.

27. **Facilitating or Technical Assistance:** The strategy in ensuring the deliverable of knowledge to beneficiaries is to involve trained village assistants who lived-in in the village that will internalize an understanding of climate change adaptation to beneficiaries.

***Outcome 1.2. Development and operation of the application of climate knowledge and learning "Climate Smart Community"***

28. This project targets the development of the Climate Smart Community technology platform in terms of its unique features. Development is also carried out by increasing the number of climate stations to obtain more accurate real-time data and represent microclimate conditions with a broader range in the Saddang watershed landscape. This outcome will be achieved through:

29. **IT System Development:** Application development will be carried out by adding key features such as integrating local climate knowledge with scientific knowledge, increasing the capacity to receive data and information flows, and other features that will evolve based on user needs. In addition, development is also carried out on elements of supporting devices. Currently, two (2) weather stations are integrated with the Climate Smart community apps system in Tana Toraja and North Toraja Regencies. **Five (5) more weather stations will be added** to cover each of the Masupu, Mata Allo, and Saddang Hilir sub-watersheds in the Saddang watershed landscape, both upstream and downstream.



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***Component 2: Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community***

30. Policy strengthening and stakeholder participation aim to ensure the sustainability of knowledge and learning systems in enhancing climate change adaptation actions. This will also support the achievement of component 1 of the project. Multi-stakeholder participation will be supported by strengthening digital information systems for various sectors' integrated climate change adaptation actions. Through this program, the strengthening and mainstreaming of climate change adaptation issues at the local government level will be encouraged by enhancing the institutional capacity, strengthening coordination between stakeholders, and promoting cross-sectoral policies.

**Outcome 2.1.** *The ongoing support of the parties for climate change adaptation actions based on the use of technology*

31. The parties' synergy is needed so that this system continues to run and will constantly develop. To achieve this, efforts are required to build a common understanding or vision to bridge the gap in understanding and sectoral ego in each key stakeholder entity. In facilitating the integration of key stakeholder data and information in carrying out climate change adaptation actions, technological facilities are needed that support the ease of sharing information. The previous project entitled "Community Adaptation for Forest-Food Based Management in Saddang Watershed Ecosystem" through AF funding has initiated the development of an information system for monitoring climate change adaptation. However, it is still within the scope of South Sulawesi Province. Developing an information system for monitoring climate change adaptation is necessary to internalize the regency level approach. This outcome will be achieved through:

**32. Capacity Building:** Capacity building activities through training, socialization, workshops, and regular discussions will be carried out to ensure understanding of the development plan for climate change adaptation actions in each regency, as well as a mutual commitment to building collaborative works. One of the essential parts of this capacity building is training in simulations, whether it's a simulation of the implementation of joint monitoring of climate change adaptation to simulations of extreme weather that are integrated upstream and downstream to reduce the risk of loss.

**33.** The following is an indicative list of potential stakeholders whose capacity will be increased.:

<u>Stakeholders / Institutions</u>	<u>Number of Persons</u>
<b><u>Government - Provincial Level</u></b>	
<u>Centers for Climate Change and Forest and Land Fire Control of Sulawesi Region (BPPIKHL Sulawesi)</u>	<u>1</u>
<u>Regional Development Planning, Research and Development Agency of South Sulawesi</u>	<u>1</u>
<u>Meteorological, Climatological, and Geophysical Agency (BMKG) Region IV Makassar</u>	<u>1</u>
<u>Regional Disaster Management Agency (BPBD) of South Sulawesi</u>	<u>1</u>
<u>Environmental Agency (DLH) of South Sulawesi</u>	<u>1</u>
<u>Low Carbon Development Working Group (POKJA PRKD) of South Sulawesi Region</u>	<u>1</u>
<b><u>Government – Regency Level</u></b>	
<u>Regional Development Planning, Research and Development Agency of North Toraja</u>	<u>1</u>
<u>Regional Development Planning, Research and Development Agency of Tana Toraja</u>	<u>1</u>
<u>Regional Development Planning, Research and Development Agency of Enrekang</u>	<u>1</u>
<u>Regional Development Planning, Research and Development Agency of Pinrang</u>	<u>1</u>
<u>Community Empowerment and Village Service (DPMD) of North Toraja</u>	<u>1</u>
<u>Community Empowerment and Village Service (DPMD) of Tana Toraja</u>	<u>1</u>
<u>Community Empowerment and Village Service (DPMD) of Enrekang</u>	<u>1</u>
<u>Community Empowerment and Village Service (DPMD) of Pinrang</u>	<u>1</u>
<u>Regional Disaster Management Agency (BPBD) of North Toraja</u>	<u>1</u>
<u>Regional Disaster Management Agency (BPBD) of Tana Toraja</u>	<u>1</u>
<u>Regional Disaster Management Agency (BPBD) of Enrekang</u>	<u>1</u>
<u>Regional Disaster Management Agency (BPBD) of Pinrang</u>	<u>1</u>
<u>Environmental Agency (DLH) of North Toraja</u>	<u>1</u>
<u>Environmental Agency (DLH) of Tana Toraja</u>	<u>1</u>
<u>Environmental Agency (DLH) of Enrekang</u>	<u>1</u>
<u>Environmental Agency (DLH) of Pinrang</u>	<u>1</u>
<u>Communication and Informatics Services of North Toraja</u>	<u>1</u>
<u>Communication and Informatics Services of Tana Toraja</u>	<u>1</u>
<u>Communication and Informatics Services of Enrekang</u>	<u>1</u>

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Stakeholders / Institutions	Number of Persons
Communication and Informatics Services of Pinrang	1
Forest Management Unit (KPH) Saddang I – Tana Toraja	1
Forest Management Unit (KPH) Saddang II – North Toraja	1
Forest Management Unit (KPH) Mata Allo – Enrekang	1
Forest Management Unit (KPH) Sawitto – Pinrang	1
<b>Government – Villages/Kelurahan Level</b>	
Head of Villages / Kelurahan	9
<b>Academics / Universities</b>	
Academics / Universities	2
CSOs	
CSOs	2
<b>Total Indicative Stakeholders (by person)</b>	<b>43</b>

32.

**33-34. Policy Advocacy:** To ensure that the climate change adaptation monitoring information system is running, it is necessary to advocate for building a joint commitment, either in the form of a working group or other arrangements, as well as a means of communication and multi-stakeholder coordination to encourage regional development that mainstreams the issue of climate change adaptation. With intensive communication and coordination, policies and commitments for cooperation directed toward climate-resilient development are enabled.

**34-35. Development of Adaptation to Climate Change Information System:** The development of apps will be carried out so that the contents of the information system can also be implemented up to the regency level. In addition, several key features will be added based on key stakeholder inputs based on evolving needs in the implementation process.

### **Component 3: Building collective intelligence through knowledge management and youth local community-based dissemination**

**35-36.** Knowledge management and dissemination are directed to all project target parties to obtain information, increase awareness, and receive and utilize information to realize collective intelligence. Participatory action research will be carried out to disseminate local climate knowledge, which can also contribute to ensuring the functioning of the climate-smart community system.

#### **Outcome 3.1. The implementation of youth-based climate learning through a “climate-smart community system”**

**36-37.** Youth-based climate learning will be carried out by encouraging young local climate observers at the village level in its implementation. Village facilitators will also assist the climate observation, collecting data, and conducting the Participatory Action Research (PAR). The data and information findings can lead to solutions formulated jointly with beneficiaries. This project will encourage the young village-based local climate observer while still mainstreaming the aspect of gender equality in the involvement of beneficiaries. The project lessons will be disseminated so that these lessons learned and climate change adaptation action innovation campaigns at local, national, and even international levels can be accepted and replicated in the future. This outcome will be achieved through:

**37-38. Capacity Building:** Capacity building for local youth and village facilitators in conducting climate observation; collecting data; participatory action research (PAR); ethnography; mapping; and creating publication products through training activities and workshops. In addition, technical assistance is also carried out by involving experts from relevant universities or institutions in the consultation process.

**38-39. Community-based Broadcasting:** This project will encourage the active participation of young village climate observers by establishing a community-based broadcasting radio (both digital and analog or local

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radio). With this, climate learning from the assisted-village youth can be disseminated more widely to youths in other areas in South Sulawesi and even Indonesia. On a local scale, local radio broadcasts can be the tools for villagers to listen to information about the local climate.

**39-40. Dissemination:** The data and information collected will be analyzed to produce output in the form of articles, podcasts, or any publication product based on relevant themes so that they can be disseminated to spread climate knowledge. Digital publications are carried out through social media and websites. Campaign content creation will also be carried out by creating audio and/or visual content such as short videos, infographics, posters, flyers, leaflets, etc. The learning module will also be made as a guide for beneficiaries to use and utilize the application system, as well as a guide in conducting climate observations, data collection, and village ethnography.

## B. Economic, Social and Environmental Benefits

*Describe how the project provides economic, social and environmental benefits, with particular reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Describe how the project will avoid or mitigate negative impacts, in line with the Environmental and Social Policy of the Adaptation Fund.*

**40-41.** This project will provide various economic, social, and environmental benefits for all stakeholders. This project will provide suitable lessons to be replicated throughout other regions in South Sulawesi and even Indonesia to have a much broader impact. The beneficiaries of this project are re-directed to vulnerable communities as climate change impact recipients; in this case, communities in four (4) regencies in South Sulawesi (landscape area of the Saddang watershed) and local institutions have been involved. This project is oriented toward participatory, transparent, and open principles so that all beneficiaries targeted by the project can be actively engaged in achieving the project's main objectives. This project is also designed with gender mainstreaming in mind, with fair and unbiased inclusion of vulnerable and marginalized groups. The active involvement of women in all elements of the implementation of activities in this project will be one of the main concerns. The benefits obtained for all entities in this project are:

**41-42. Local Communities:** Knowledge capacity will be improved, which is adaptive to understanding climatic conditions, including linear with land-use patterns in practical farming or planting according to time, both in the context of upstream watershed communities and downstream watershed communities those more affected. The community will also be aware of wise land use and adapt to the needs and applicable environmental standards to minimize land damage.

**42-43. Government:** It will help to encourage adaptation and mitigation actions against climate change in accordance with regulatory mandates that are strengthened by advocating integrated management policies with one another, including minimizing the occurrence of miscommunication between government agencies involved. It can also trigger the establishment of new policies that are responsive to the environment.

**43-44. Civil Society Organization:** They will be integrated into carrying out their projects to synergize, including sharing knowledge on lessons learned from projects, primarily adaptation actions to climate change.

**44-45. Academics / University:** It will provide new data and information revealed from several field findings to trigger more comprehensive knowledge management. It also encourages the emergence of new research or research that can help solve various problems.

**45-46. Private Sector:** Will share information regarding community-based environmental management by prioritizing community involvement in every activity carried out, including producing action guidelines in managing *Corporate Social Responsibility* (CSR) funds to benefit the environment and community.

## C. Innovative Adaptation Practices

*Describe how the project encourages or accelerates development of innovative adaptation practices, tools or technologies and/or describe how the project helps generate evidence base of effective, efficient adaptation practices, products or technologies, as a basis for potential scaling up.*

**46-47. Climate Smart Community:** This project will promote innovative adaptation technologies to help solve adaptation problems by ~~synergizing-calaborating~~ local community climate knowledge and technology-based climate-related science knowledge. The project also encourages the development of the Climate Smart Community technology platform regarding its special features. The system to be built can provide climate

information to anticipate disaster risks due to climate change. This system is also expected to assist parties such as Agency for Meteorology, Climatology and Geophysics Center (BMKG), National Disaster Management Authority (BNPB), researchers, and even local governments in receiving climate data. More simply, the table below provides a comparison of the existing conditions of climate data and information flows and what innovations are addressed through this innovative technology platform:

Before Innovation Project	Innovation Project- Climate Smart Community Apps
1. Lack of coaching or capacity building for receiving data and climate information flows at the local level.	1. Climate Smart Community platform provides guidance or capacity building for climate knowledge that is easily understood by local communities.
2. Still using manual recording, which takes a long time to process the information	2. Climate data is recorded automatically, and real-time is then transferred to the cloud server and managed by the knowledge management team so that it can be disseminated quickly.
3. It takes quite a long procedure, and some parties are still having difficulty accessing climate information at the local level	3. Climate information can be used by various parties and can be easily accessed through multiple platforms (mobile apps, website, SMS blast)

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47. **Climate Change Adaptation Monitoring Information System:** The innovation in this system is intended to integrate information about climate change mitigation actions carried out in the province of South Sulawesi by the parties. Information on actions and activities, both adaptation and mitigation of climate change, will be provided openly and transparently following a collective (participatory) agreement so that all parties can monitor, provide input and suggestions, and this information can also be used as a reference in preparing regional climate-resilient development plans.
48. **Youth Climate Observer:** The lack of awareness of village youth in the Saddang watershed area has implications for the minimal level of regional climate resilience. It is at risk of having a greater impact on vulnerability. The direct involvement of youth in developing a climate-smart community system, community-based broadcasting radio, and becoming climate observers is an innovation that is carried out so that village youth can be more literate regarding climate issues so that they can become a driving force (influencers) for local adaptive actions later, armed with their knowledge. This innovation project is expected to shift the old paradigm that youth should continue their education and have a career in big cities to improve their standard of living, with a new paradigm that youth should continue their education, receive knowledge and learning, to give the best they can for rural development. In this case, it related to the issue of climate change, the impact of which will sooner or later be felt directly for their livelihoods in the future.

### D. Compliance with Relevant National Technical Standards

*Please confirm whether the project meets relevant national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and is in line with the Environmental and Social Policy of the Adaptation Fund.*

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49. This project will become a more massive information channel among all stakeholders. All data and information content will be adjusted according to Presidential Instruction No. 9 of 2015 concerning the Management of Public Communication and Law No. 19 of 2016 concerning Information and Electronic Transactions, and the security and transparency of the data presented will follow the protocol of Presidential Regulation no. 39 of 2019 concerning the Indonesian One-Data Policy. This project is designed for gender-responsive sites by providing proportional opportunities in terms of involvement between men and women according to Presidential Instruction No. 9/2000 on Gender Mainstreaming in National Development, which applies to all ministries, including the Minister of Environment and Forestry Regulation No. P. 31/MenLHK/Setjen/Set.1/5/2017 concerning Guidelines for the Implementation of Gender Mainstreaming in the Environment and Forestry Sector.

~~49-50.~~ This project directs youths as the beneficiaries in disseminating knowledge and raising awareness of climate change adaptation. To ensure that the youth groups ~~that the activities will intervene in the project are on achieve the targetgoal and ensure their the sustainabilityability~~, this project will encourage the strengthening of ~~village-youth groupscommunities~~, namely ~~Karang Taruna~~ (Youth Organization). ~~Karang Taruna~~ is regulated ~~byunder~~ Indonesia's government regulations, namely the ~~Minister of Social Affairs Regulation No. 25 of 2019 concerning Karang Taruna~~. This regulation governs membership, rights, obligations, responsibilities, and funds.

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~~50-51.~~ Content Data and information regarding climate change adaptation will be guided by the Minister of Environment and Forestry Regulation No. P.33/Menlhk/Setjen/Kum.1/3/2016 concerning guidelines for the preparation of climate change adaptation actions by involving various sectors in its planning. In addition, the entire series of project activities will comply with relevant and applicable national regulations through the support of the Directorate General of Climate Change Control, particularly the Directorate of Climate Change Adaptation, and several Experts in the field of Biodiversity and Climate Change at Hasanuddin University.

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~~51-52.~~ Several supporting tools in the "Climate Smart Community" system that are in direct contact with the environment, for example, the installation of climate stations, can be ensured that they have gone through a series of environmental standard procedures that comply with the ISO 140001 standard regarding Environmental Management Systems by carrying out several initial activities, namely an assessment of the impact analysis on the environment. The data in the Climate Change Adaptation Action Monitoring System developed in this project will be integrated with applications that BAPPENAS has made, in this case, the National Low Carbon Action Plan Planning and Monitoring Application System (AKSARA), as well as several applications that the Ministry has made of Environment and Forestry namely Information Systems Vulnerability Index Data (SIDIK), the National Registry System (SRN), and the SPECTRUM Application related to the need for data collection and calculation of android-based greenhouse gas emission reductions. Furthermore, data and information originating from the "Climate Smart Community" application are expected to be used by parties such as BMKG, BNPB, researchers, and even local governments.

## E. Learning and Knowledge Management

*If applicable, describe the learning and knowledge management component to capture and disseminate lessons learned.*

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~~52-53.~~ This project is oriented toward knowledge management, communication strategies, and appropriate learning systematics. The project includes one main component that focuses on knowledge management, namely **Component 3** of the project. Strategies to ensure that learning and dissemination efforts are carried out are effective, including:

~~53-54.~~ **Knowledge Cycle Management:** In strengthening knowledge and learning in this program management cycle, this knowledge and learning will be captured and disseminated by forming a competent project **Knowledge Management Team** to be recruited. In project implementation, the Knowledge Management team will be formed to ensure the running of the IT systems built and maintain and manage the project database. The team also focuses on processing data and information and knowledge into audio, visual, or a combination of both contents to be later disseminated to increase awareness of regional climate change adaptation. The involvement of parties from college or relevant institutions as facilitators in capacity building that has been designed will also be carried out to support efforts to strengthen knowledge and learning

~~54-55.~~ **Established village youth-based climate observer group** so that action-oriented research and dissemination efforts at the local level can run in a participatory manner. Youth and women's representatives from the village level are strengthened in their knowledge capacity to become influencers of climate change adaptation, both at the village level and at the same time as a catalyst for climate-smart communities, including the ability to manage data, disseminate and advocate.

## F. Environmental and Social Impacts and Risks

Provide an overview of the environmental and social impacts and risks identified as being relevant to the project. Describe how the project will engage, empower and/or benefit the most vulnerable communities and social groups, including gender considerations, in line with the Environmental and Social Policy of the Adaptation Fund.

55-56. This project does not provide significant environmental and social impacts and risks. Based on the OPG Annex 3-Environmental and Social Policy Adaptation Fund, it can be categorized in **category C**.

Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
1. <i>Compliance with the law</i>	Implementing Entities will ensure that all activities comply with the law, and in principle, IE will ensure that all licensing components are to be implemented in compliance with applicable legislation. Related to this proposal on Part II - Section D	None
2. <i>Access and equity</i>	The program does not hinder access to basic health services, clean water and sanitation, energy, education, housing, safe and decent working conditions, and land rights. The project also does not exacerbate existing inequities, particularly concerning marginalized or vulnerable groups. The project has been designed not to impede any group's access to the essential services and rights stated in the principles.	None
3. <i>Marginalized and Vulnerable Groups</i>	At the program sites, marginal and vulnerable groups have been identified and facilitated in the previous program, namely through the "Community Adaptation for Forest-Food Based Management in Saddang Watershed Ecosystem" through the Adaptation Fund funding in 2020-2022. In planning this innovation program, marginalized and vulnerable groups were involved and participated in facilitation activities, and FGDs were held with several stakeholders. No further assessment is required.	None
4. <i>Human Rights</i>	This program will respect Human Rights. This will be done by creating awareness among everyone involved in program implementation, including design, implementation, monitoring, and evaluation, of the Universal Declaration of Human Rights as a program-wide principle. So it does not require further assessment of compliance. No further assessment is required.	None
5. <i>Gender Equity and Women's Empowerment</i>	The program is designed so that women and men have equal opportunities to participate in accordance with gender policies and receive commensurate social and economic benefits. The Program will actively involve equal participation in program activities and stakeholder consultation. The program also ensures that both men and women effectively access positions in the program and that women are encouraged to apply and take up jobs. In essence, program design and implementation will ensure equal access for men and women.	None
6. <i>Core Labour Right</i>	The program meets core labor standards as identified by the International Labor Organization / ILO core labor standards. The ILO's core labor standards are contained in the LO Declaration of Principles and Fundamental Rights in 1998. This Declaration includes four basic principles and rights, which are further developed in eight human rights conventions. The Program will incorporate core ILO labor standards in program design and implementation and create awareness among all involved about applying these standards. The entire programming is not related to the violation of core labour rights.	None
7. <i>Indigenous peoples</i>	The program can be ensured that it will not conflict with the principles of Indigenous Peoples or the Rights of Indigenous Peoples and other international instruments that apply to indigenous peoples. The program does not directly involve indigenous peoples in program design and	None

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Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
	implementation. Therefore, no further assessment is required.	
8. <i>Involuntary Resettlement</i>	Not relevant	None
9. <i>Protection of Natural Habitats</i>	Not relevant	None
10. <i>Conservation of Biological Diversity</i>	Not relevant	None
11. <i>Climate Change</i>	The project aims to increase adaptive capacity to climate change without causing beneficiaries to accept other climate change impacts and not be expected to contribute to GHG emissions. No further assessment is required.	None
12. <i>Pollution prevention &amp; resource efficiency</i>	The facilities and infrastructure provided will not cause pollution, waste production, and release of pollutants (including greenhouse gases). Therefore, no further assessment is required.	None
13. <i>Public Health</i>	The facilities and infrastructure provided by this project will not interfere with public health. Therefore, no further assessment is required.	None
14. <i>Physical and Cultural Heritage</i>	The facilities and infrastructure provided by this project will not damage the physical and cultural heritage of the village. No further assessment is required.	None
15. <i>Soil and Land Conservation</i>	Not relevant	None

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## G. Justification for Funding Requested

Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

56. This innovation project targets all community elements in the Saddang watershed ecosystem as beneficiaries, specifically farmers, fish farmers, youth, and government staff who are direct beneficiaries. This project will impact the community where the platform used can be easily accessed by all parties as a medium for learning and disseminating knowledge about how to adapt to climate change, especially in the context of the Saddang watershed, where rural areas dominate the area. The technology-based innovation has become a new way of climate change adaptation action, especially in South Sulawesi. This can ensure that knowledge about climate change adaptation is spread to the village community level, which used to be very difficult to get access to information. With the funding of this innovation project, the initiation of technology-based climate change adaptation actions will provide greater development, renewal, and capacity building. It will have a greater impact on increasing community resilience to climate change. The impact will be more tangible, and advocacy steps will be carried out at the government level, so the possibility of this project being replicated will be even greater.
57. **Component 1:** Without AF funding through this innovation project, the idea of a “**Climate Smart Community**” system will be more challenging to develop in the Saddang watershed landscape. This can be seen from the need for greater effort to convince local governments that the technology system created will directly provide significant benefits to the community. The government must be shown concrete evidence on the ground that this system is successful so that they can only be willing to invest in regional budgets to

be allocated to technology-based climate change adaptation actions. Currently, the platform is up and running, and there are already two (2) weather stations in two (2) villages in the Saddang watershed operating. Both communities in the upstream and downstream watersheds will lose the opportunity to gain access to more effective and efficient information, which will be used as knowledge and lessons learned in taking action or responding to their livelihoods that are vulnerable to being affected by climate change conditions.

58. **Component 2:** Without AF funding, the climate change adaptation monitoring information system will only be implemented at the provincial level. **There has been no initiation from governments at the regency level** within the province of South Sulawesi to participate in this climate change adaptation monitoring information system. In addition, government institutions will lose the opportunity to develop technological innovations in climate change adaptation actions to increase climate-resilient regions' development.

59. **Component 3:** Without AF funding, **village youth will lose the opportunity to increase their capacity** to understand the context of climate change through the lessons they will need later. Ideas related to adaptive action based on the latest technology development will not be widely disseminated. Thus, public awareness of climate change adaptation issues has not been significantly increased.

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## PART III: IMPLEMENTATION ARRANGEMENTS

### A. Arrangements for Project Implementation

*Describe the arrangements for project / programme implementation.*

60. The implementing entity (IE) for this project is Kemitraan (the Partnership for Governance Reform), as the National Implementing Entity (NIE) of Adaptation Fund in Indonesia. This project will be implemented for 173 months. In project implementation, Kemitraan will work with the Yayasan Tim Layanan Kehutanan Masyarakat (TLKM) which in this project become Executing Entity (EE). TLKM had previously been the lead consortium of KAPABEL, which became EE in the Project Adaptation Fund for 2020-2022 entitled "Community Adaptation for Forest-Food Based Management in Saddang Watershed Ecosystem" in Indonesia. In the previous project, Kemitraan and TLKM established the Climate Smart Community Platform and Climate Change Adaptation Monitoring Information System in South Sulawesi. With the capacity and experience of each institution that will be involved in this project, it is hoped that this project will have a significant impact on the beneficiaries in a targeted, effective, efficient, and gender-responsive way.



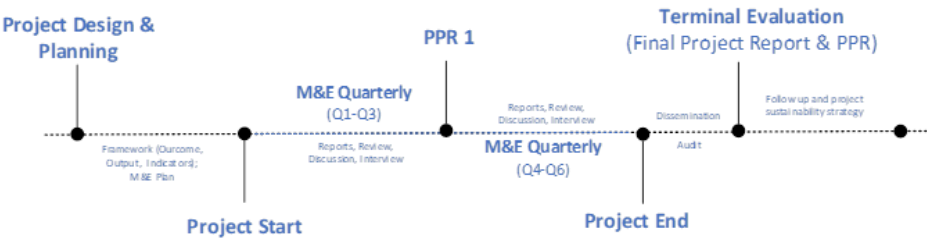
61. In the implementation to achieve the objectives, this project will be managed by the **Project Management Unit (PMU)** with the composition of the Project Director, Project Manager (PM), and Finance Manager (FM). The Project Director is in charge of leading the Executing Entity, which will be responsible for reporting project results to NIE and DA. The Project Manager (PM) will lead the implementation or execution of the project; ensure that all achievement of project outcome-output indicators go according to plan; report results based on M&E planning to the Project Director; coordinate and disseminate to relevant stakeholders both at the provincial and regency levels. To ensure that the project implementation agenda in the field is carried out correctly, effectively, and efficiently, it is necessary to obtain assistance from operational personnel. In this program, the Outcome Project Leaders/Coordinators will be recruited to assist PM tasks in pursuing the achievement of project indicators. In addition, the strategy in ensuring the process and maintenance of the project learning system can continue, namely by forming a Knowledge Management and Communication team which also helps PM to achieve project indicators.

62. This project is oriented towards gender mainstreaming, from planning to implementation. It will ensure the sustainability of a gender-responsive project once the project is completed. Entities in project implementation will include adequate gender understanding as a criterion for selecting project staff and operational personnel. Furthermore, the capacity-building process will be carried out to increase awareness of gender issues and understand the content of the project components before implementation. It will also equip operational staff and personnel with adequate knowledge of gender mainstreaming considerations in programs and adequate capacity to support project implementation—gender-responsive change.

**B. Monitoring and Evaluation**

Describe the monitoring and evaluation arrangements and provide a budgeted M&E plan.

63. In the project management cycle, monitoring and evaluation are an integral part of achieving the objectives of project implementation. Monitoring and Evaluation will provide the information needed to assess and guide project strategy, ensure effectiveness, meet reporting requirements, and inform future planning. M&E as an integral part of the project management flow is described as follows:



64. The monitoring and evaluation plan is prepared by considering the program context, institutional capacity, information needs, and grant requirements. In this project, monitoring will be carried out by the PMU who is responsible for M&E. Monitoring will be carried out every quarter (3 months) to see that the process (activities) and program results (outputs, outcomes) are still running on the track. Monitoring is carried out using document review methods, interviews, and stakeholder discussions. Monitoring is directed to see efficiency, effectiveness, and results. The results of M&E can be used as learning materials for improvement or development in other places so that the M&E results obtained will be reported periodically to related parties: Adaptation Fund, Partnership-Kemitraan (the Partnership for Governance Reform) as NIE, Directorate General of Climate Change Control, MoEF as the Designated Authority (DA), and sub-national and local stakeholders.

Deliverables	Time	Responsible	Cost
Quarterly Report	<u>Jan 2023</u> ( <del>Oct – Dec</del> - <del>Dec</del> -2022 period) <u>Apr 2023</u> (Jan – Mar 2023 period) <u>Jul 2023</u> ( <del>Apr – Jun</del> - <del>Jun</del> -2023 period) <del>Nov-Oct 2023</del> ( <del>Jul – Oct</del> - <del>Sep</del> 2023 period) <u>Jan 2024</u> ( <u>Oct – Dec 2023 period</u> ) <u>Apr 2024</u> ( <u>Jan – Mar 2024 period</u> )	Project Manager (PM)	\$ <del>21,443,786</del>
<u>Project Performance Report (PPR) – I</u>	<u>Oct 2023</u>	<u>Project Manager (PM)</u>	<u>\$ 357</u>
Final Project Report & PPR (Terminal Evaluation)	<del>January</del> - <u>July</u> 2024	Project Manager (PM)	\$ 357

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Deliverables	Time	Responsible	Cost
Audit	<del>November – May – June – December</del> 2024	Auditors	\$ 2,893

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## C. Results Framework

Include a simple results framework for the project proposal, including milestones, targets, and indicators.

Result	Indicator	Baseline	Milestone	Means of verification
<b>Component 1.</b> Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem communities				
<u>Outcome 1.1.</u> Strengthening community capacity as an effort to increase technology-based climate resilience	Number of people that increasing their capacity to adapt to climate change	0	180 direct beneficiaries (people)	Reports Documentation
<u>Output 1.1.1.</u> Strengthening community knowledge capacity in adapting to climate change	Number of people that increasing their knowledge capacity to adapt to climate change	0	180 direct beneficiaries (people) – <i>30% participation of women</i>  <i>Men: 125</i> <i>Women: 55</i>	Reports Documentation
<u>Outcome 1.2.</u> Development and operation of the application of climate knowledge and learning “Climate Smart Community”	Number of facilities that exist to support climate adaptive capacity building application	0	3 facilities  (1)- Weather Station (2)- Cloud Server (3)- Operating Office	Reports Documentation
<u>Output 1.2.1.</u> Development of technology-based supporting facilities and infrastructure to increase the adaptive capacity of the Saddang watershed ecosystem community	Number of climate-smart apps that are running and improved	0	1 application	Reports Documentation
<u>Output 1.2.2.</u> The existence of the equipment and or supporting devices in running the climate-smart community system	Number of weather stations equipment that integrated into the climate-smart community apps	2 unit weather station	7 unit weather station	Reports Documentation
<b>Component 2.</b> Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community				
<u>Outcome 2.1.</u> The ongoing support of the parties for climate change adaptation actions based on the use of technology	Number of any relevant institutions that increase their participation in technology-based actions of climates changes adaptation	0	43 people	Reports Documentation
<u>Output 2.1.1.</u>		0		Reports

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Result	Indicator	Baseline	Milestone	Means of verification
Increased capacity of the parties in formulating policies or strategic plans related to climate change adaptation	Number of people that increase their capacity in making policies or regional planning strategies that internalize climate change adaptation issues		43 people - <u>30% participation of women</u> <u>Men: 30</u> <u>Women: 13</u>	Documentation
<u>Output 2.1.2.</u> The existence of local government policies that strengthen technology-based climate change adaptation actions	Number of policies/commitment	0	2 policies/MoU/Decree	Reports Documentation
<u>Output 2.1.3.</u> Development and operation of climate change adaptation monitoring information systems in four regencies	Number of monitoring information systems that are running and improved	0	1 application	Reports Documentation

**Component 3.** Building collective intelligence through knowledge management and youth local community-based dissemination

<u>Outcome 3.1.</u> The implementation of youth-based climate learning through the "climate-smart community system"	Number of villages implementing climate learning through climate-smart community systems	0	9 villages	Reports Documentation
<u>Output 3.1.1.</u> Strengthening the capacity of local youth climate observers who are also transformed into influencers for climate-smart communities	Number of local youth climate observer group	0	1 group <u>(minimum 1 person of each village) – 30% women participation</u> <u>Men: 6</u> <u>Women: 3</u>	Reports Documentation
<u>Output 3.1.2.</u> Dissemination of lessons learned from the climate change adaptation innovation program in the Saddang watershed	Number of content/publication product	0	1 broadcasting platform 1 podcast 1 short video 1 documentary 1 infographic 1 leaflet 1 poster 1 learning modules	Reports Documentation

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## D. Alignment with Adaptation Fund Result Framework

Demonstrate how the project / programme aligns with the Results Framework of the Adaptation Fund

Project Objective(s) <sup>19</sup>	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
1. Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem communities	180 people that Increased capacity and awareness of the community and stakeholders to adapt to climate change in the modern era  <del>1 innovation in practice, approach, tools, and technology in adapting to climate change through the development of the Climate Smart Community system</del>  <u>1 innovation in practice, approach, tools, and technology in adapting to climate change through the development of the Climate Smart Community system</u>	<u>Outcome 3:</u> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level  <del>Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies</del>  <u>Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies</u>	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses  3.2. Percentage of targeted population applying appropriate adaptation responses  <del>8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.</del>  <u>8. Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level.</u>	111,071
2. Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community	43 stakeholders that increase the capacity and support in carrying out collaborative actions for technology-based climate change adaptation in watershed landscapes  <del>2 policies as an effort to improve the climate resilience of the Saddang watershed ecosystem community</del>  <u>2 policies as an effort to improve the climate resilience of the Saddang watershed ecosystem community</u>	<u>Outcome 2:</u> Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses  <del>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</del>  <u>Outcome 7: Improved policies and regulations that promote and enforce resilience measures</u>	2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased  <del>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</del>  <u>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</u>	59,072

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<sup>19</sup> The AF utilized OECD/DAC terminology for its results framework. Project proponents may use different terminology but the overall principle should still apply

3. Building collective intelligence through knowledge management and youth local community-based dissemination	People in 9 villages and also the stakeholders increase their understanding so that they can push the issue of climate change to become a priority	<u>Outcome 3:</u> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	49,607
Project Outcome(s)	Project Outcome Indicator(s)	Fund Output	Fund Output Indicator	Grant Amount (USD)
<u>Outcome 1.1.</u> Strengthening community capacity as an effort to increase technology-based climate resilience	180 people that are increasing their capacity and awareness to adapt to climate change	<u>Output 3.2:</u> Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning	3.2.1 No. of technical committees/ associations formed to ensure transfer of knowledge	80,714
<u>Outcome 1.2.</u> Development and operation of the application of climate knowledge and learning "Climate Smart Community"	3 facilities that exist to support climate adaptive capacity building application	<u>Output 8:</u> Viable innovations are rolled out, scaled up, encouraged and/or accelerated.	8.1. No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated  8.2. No. of key findings on effective, efficient adaptation practices, products and technologies generated	30,357
<u>Outcome 2.1.</u> The ongoing support of the parties for climate change adaptation actions based on the use of technology	43 people (staff) from any relevant institutions that increase their participation in technology-based actions of climates changes adaptation  <u>2-policies/ commitment/ MoU /decree that internalize climate change adaptation issues</u>  <u>2 policies/ commitment/ MoU / decree that internalize climate change adaptation issues</u>	<u>Output 2.1:</u> Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events  <u>Output 7:</u> Improved integration of climate-resilience strategies into country development plans  <u>Output 7:</u> Improved integration of climate-resilience strategies into country development plans	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)  <u>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</u>  <u>7.1. No. of policies introduced or adjusted to address climate change risks (by sector)</u>	59,072
<u>Outcome 3.1.</u> The implementation of youth-based climate learning through the "climate-smart community system	1 platform of media that managed to increase community awareness through the dissemination process  <u>9-villages implementing climate learning through</u>	<u>Output 3.1:</u> Targeted population groups participating in adaptation and risk reduction awareness activities  <u>Output 3.2:</u> Strengthened capacity	3.1.1 No. of news outlets in the local press and media that have covered the topic  <u>3.2.1 No. of technical committees/ associations</u>	49,607

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<p>climate-smart community systems</p> <p>Conducting 1 climate observer group based on youth-village community</p> <p>6 communication products will be created</p> <p>9 villages implementing climate learning through climate-smart community systems</p> <p>Conducting 1 climate observer group based on youth-village community</p> <p>6 communication products will be created</p>	<p>of national and subnational stakeholders and entities to capture and disseminate knowledge and learning</p> <p>Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning</p>	<p>formed to ensure transfer of knowledge</p> <p>3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders</p> <p>3.2.1 No. of technical committees/ associations formed to ensure transfer of knowledge</p> <p>3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders</p>	
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## E. Budget

Include a budget, including a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs.

Project Components	Outcomes	Main Activities	Cost (\$)	Total (\$)
<p><u>Component 1:</u> Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem communities</p>	<p><u>Outcome 1.1:</u> Strengthening community capacity as an effort to increase technology-based climate resilience</p>	<p>1.1.1.1. Field Facilitator Trainings 2,500</p> <p>1.1.1.2. Regular FGD meetings for targeted beneficiaries 3,214</p> <p>1.1.1.3. Serial trainings for targeted beneficiaries 17,143</p> <p>1.1.1.4. Operationalizations of Field Facilitators 46,286</p> <p>1.1.1.5. Climate Smart Community socialization 3,214</p> <p>1.1.1.6. Operationalizations of Outcome Project Coordinator - Climate Smart Community 8,357</p>		<b>80,714</b>
	<p><u>Outcome 1.2:</u> Development and operation of the application of climate knowledge and learning "Climate Smart Community"</p>	<p>1.2.1.1. Procurement of apps development services 10,714</p> <p>1.2.1.2. Forming the management team 357</p> <p>1.2.1.3. Training/knowledge transfer to the management team 714</p> <p>1.2.2.1. Procurement and installation of weather station 12,500</p> <p>1.2.2.2. Procurement of supporting equipment 6,072</p>		<b>30,357</b>
<p><u>Component 2:</u> Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community</p>	<p><u>Outcome 2.1:</u> The ongoing support of the parties for climate change adaptation actions based on the use of technology</p>	<p>2.1.1.1. Capacity building on drafting the policies and/or regional planning documents that internalize the climate change issues 2,143</p> <p>2.1.1.2. Initial socialization to internalize the monitoring information system apps at the Regency level 4,286</p> <p>2.1.1.3. Operationalizations of Outcome Project Coordinator – Policy Encouraging and Stakeholder Engagement 8,357</p> <p>2.1.2.1. Workshop on forming multi-stakeholder coordination groups 2,143</p> <p>2.1.2.2. Adaptation of Climate Change – Regional Action Plans Study 22,857</p> <p>2.1.2.3. Workshop on finalization of the policy/strategic action plans draft 2,143</p> <p>2.1.2.4. Policy advocacy 4,286</p>		<b>59,072</b>

Project Components	Outcomes	Main Activities	Cost (\$)	Total (\$)
		2.1.3.1. Procurement of apps development services	7,143	
		2.1.3.2. Procurement of supporting equipment	5,714	
<u>Component 3:</u> Building collective intelligence through knowledge management and youth local community-based dissemination	<u>Outcome 3.1:</u> The implementation of youth-based climate learning through the "climate-smart community system"	3.1.1.1. Socialization of local youth climate observer and forming the groups 3.1.1.2. Serial trainings for local youth climate observer 3.1.1.3. Operationalizations of Outcome Project Coordinator – Knowledge Management & Learning 3.1.1.4. Operationalizations of Knowledge Management Team 3.1.1.5. Reporting, Review, FGD, Interview, M&E 3.1.2.1. Operationalization of Community-Based Broadcasting (Radio) 3.1.2.2. Creating the communication product	3,214 5,893 8,357 22,286 2,500 5,357 2,000	49,607
Project Execution Cost		Project Director (LoE 25%) Project Manager Finance Manager (LoE 30%) M&E Specialists Audit Program	2,786 10,214 2,786 2,571 2,893	21,250
PCM Fees			9,000	9,000
<b>TOTAL (USD)</b>				<b>\$ 250,000</b>

## F. Disbursement Schedule

Include a disbursement schedule with time-bound milestones.

Disbursement Schedule	Upon signature of the Agreement	1 Year after Project Start	Total
Scheduled date	October 2022	October 2023	
Project Cost	\$ 153,825	\$ 65,925	\$ 219,750
Project Execution Cost	\$ 14,875	\$ 6,375	\$ 21,250
PCM Fees	\$ 6,300	\$ 2,700	\$ 9,000
<b>Total</b>	<b>\$ 175,000</b>	<b>\$ 75,000</b>	<b>\$ 250,000</b>

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## PART IV: ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY

### A. Record of endorsement on behalf of the government<sup>20</sup>

Provide the name and position of the government official and indicate date of endorsement. If this is a regional project/programme, list the endorsing officials all the participating countries. The endorsement letter(s) should be attached as an annex to the project/programme proposal. Please attach the endorsement letter(s) with this template; add as many participating governments if a regional project/programme:

<del>(Enter Name, Position, Ministry)</del> <u>Laksmi Dewanthi</u> <u>Director General for Climate Change</u> <u>Ministry of Environment and Forestry</u>	Date: <del>(Month August, day 2, year 2022)</del>
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### B. Implementing Entity certification

Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (President Decree No. 16 Year 2015; President Decree No. 60 Year 2021; MoEF Regulations No. P.13/Menlhk/Setjen/OTL.0/1/2016; MoEF Regulations No. P.33/Menlhk/Setjen/Kum.1/3/2016; Indonesia Intended Nationally Determined Contribution (INDC); COP 21 Paris Agreement signed by Government of Indonesia; Book and Map of Information System of Vulnerability Index Data (SIDIK); Climate Change Adaptation National Action Plan)~~(.....list here.....)~~ and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

<p><del>Name &amp; Signature</del> <u>Laode M Syarif</u> Implementing Entity Coordinator</p>	
<p>Date: <del>(Month, Day, Year)</del></p>	<p>Tel. and email: <u>+62 21 2278 0580</u> <u>laode.syarif@kemitraan.or.id</u></p>
<p>Project Contact Person: <u>Dewi Rizki</u></p>	
<p>Tel. And Email: <u>+62 81 1845 3112</u> <u>dewi.rizki@kemitraan.or.id</u></p>	

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<sup>20</sup> Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.



**MINISTRY OF ENVIRONMENT AND FORESTRY  
DIRECTORATE GENERAL OF CLIMATE CHANGE**

Mangga Wanabakti Building Block VII 12<sup>th</sup> Floor, Jalan Gatot Subroto – Senayan, Jakarta 10270  
Phone +62 21 5730144 Fax. : +62 21 5720194

Website : <http://ditjenppm.menlhk.go.id>

email : [tusetditopi@gmail.com](mailto:tusetditopi@gmail.com);

Our Ref. : *S. 277/PP1/AM/CH.018/2022*  
Subject : Endorsement Letter for Innovation Funding  
Project in Saddang Watershed

Jakarta, 2 August 2022

Attention to:  
The Adaptation Fund Board Secretariat  
c/o Global Environment Facility  
Mail stop: N 7-700, 1818 H Street NW  
Washington DC 20433 USA  
email: [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org)

Dear Board Members,

We have received program proposal for innovation funding with title "Developing Climate Smart Community System to Increase Climate Resilience for Saddang Watershed Communities". The proposed program has objectives:

1. Development of technology-based climate knowledge and learning systems to increase awareness and adaptive capacity of Saddang watershed ecosystem community;
2. Strengthening policies and participation of stakeholders to support the technology-based adaptive actions for Saddang watershed ecosystem community;
3. Building collective intelligence through knowledge management and encouraging youth local community-based dissemination

After a thorough assessment process, we come to the decision that the proposals have met and are in accordance with the National Priorities in the implementation of adaptation programme and activities to increase adaptive capacity of the community in vulnerable regions in Indonesia.

With this consideration, and in my capacity as the National Designated Authority of Adaptation Fund in Indonesia, I recommend the above proposal to be granted support from the Adaptation Fund Board. The programme will be implemented by the submitting entity under the supervision of the National Implementing Entity of Adaptation Fund Indonesia, *Kemitraan* - Partnership for Governance Reform.

Thank you for your attention and further cooperation.

Sincerely Yours,

**Laksmi Dhewanthi**  
Director General for Climate Change  
as National Designated Authority  
for Adaptation Fund Indonesia

Copy to:  
*Kemitraan* (Partnership Governance Reform in Indonesia)



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