



ADAPTATION FUND

# Overview of access criteria for Innovation grants

NIE Readiness Seminar

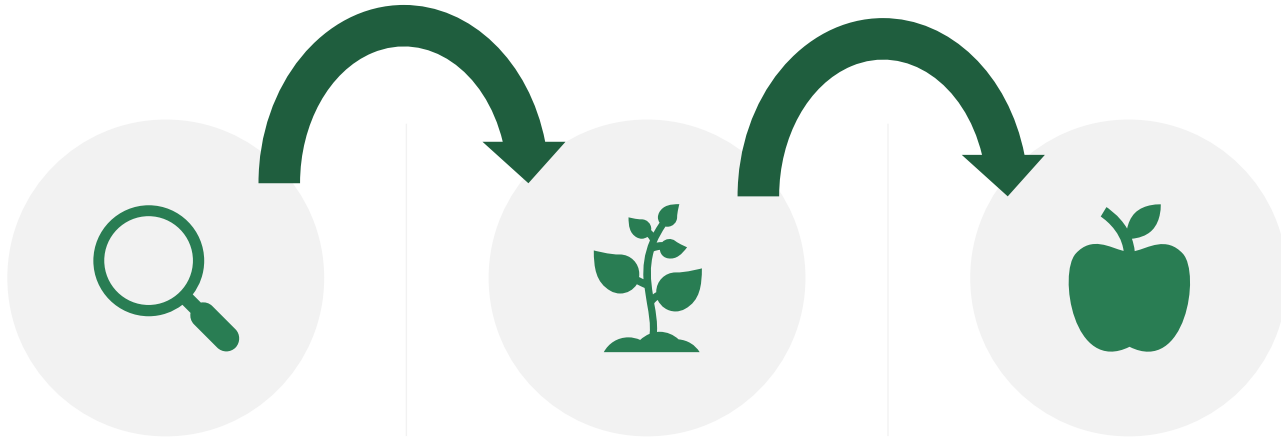
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# What is Innovation?



**Understood as the creating, testing, deployment or diffusion of new, adapted or improved adaptation solutions**

**Developed contextually and with the inclusion of the communities most vulnerable to climate change**

**Emphasis on iterative deployment where change, learning, and new information are embraced**



# What is not innovation for the Adaptation Fund

- ✓ Patents and business generation in isolation
- ✓ **Basic technology transfers**, i.e. projects that include no or insignificant innovative techniques and/or practices or their adaptation to new circumstances
- ✓ **Measures well demonstrated elsewhere** over a significant period of time, **with no innovative element** in their application in the new context
- ✓ **Very high-risk ideas** with no evidence base



# Thematic areas



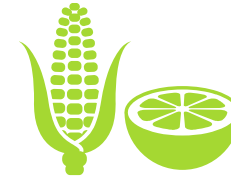
- Disaster Risk Reduction



- Nature-based solutions



- Coastal management



- Agriculture



- Water resources Management



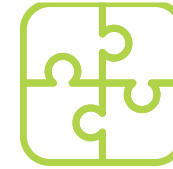
- Forests and Land Use



- Rural development



- Food Security



- Social innovation



- Urban adaptation



- Advancement of gender equality



- Enhancement of cultural heritage



- Focus on communities



- Inclusion of youth

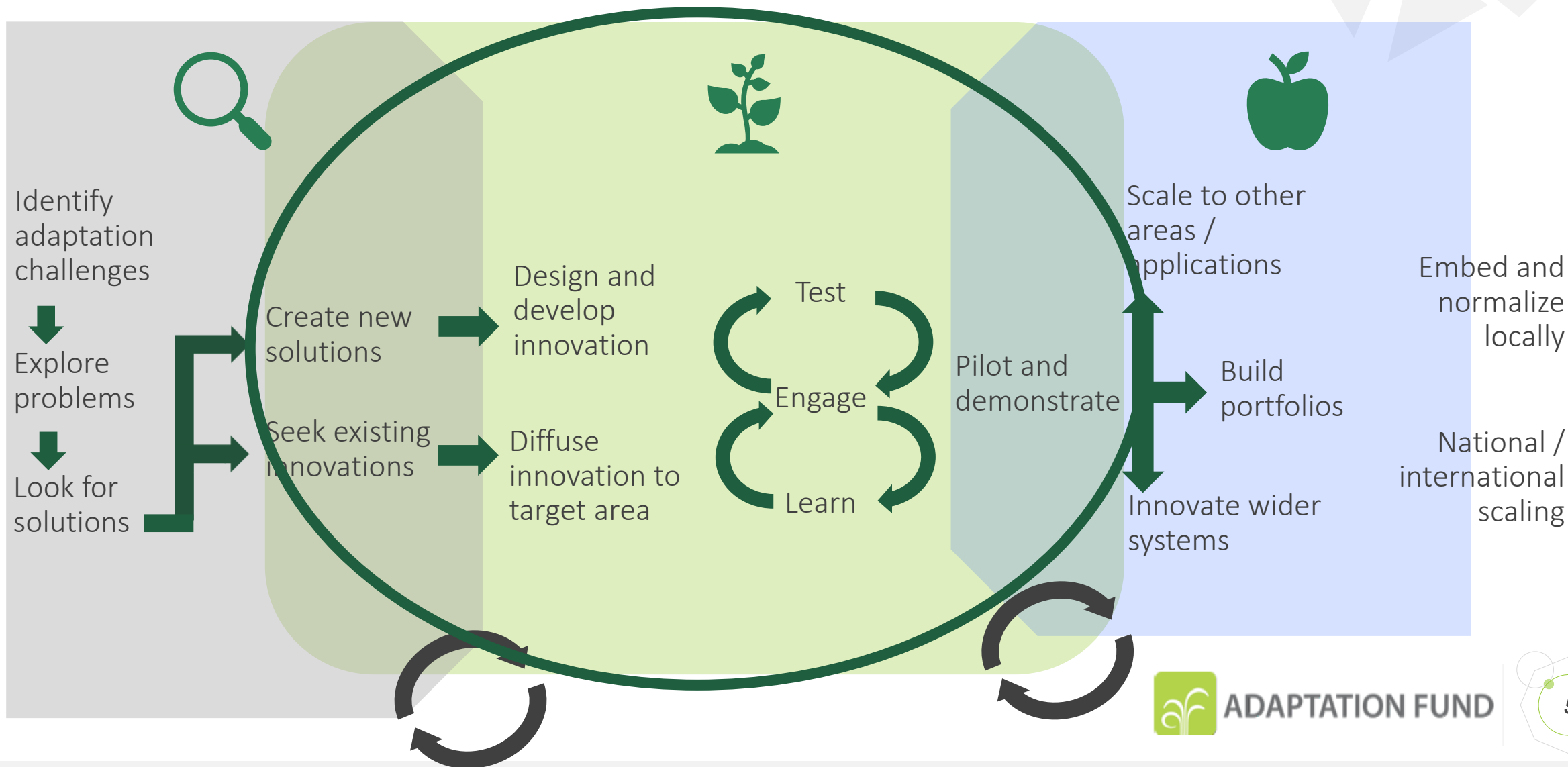


- Innovative adaptation financing



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# The innovation pathway



# Innovation specific sections in the proposal template

## Innovation Rationale

- ✓ Describe the **problem** the project is aiming to solve.
- ✓ Identify and define the **climate adaptation problem that is to be overcome through innovation**
- ✓ **A concise problem statement:** current situation, the desired future, and the gap between the two.
- ✓ **How the innovation process** (i.e., through the testing of new, adapted or improved methods, technologies and/or approaches to adaptation, or trialing innovation on the path to scale for adaptation solutions) **contribute towards overcoming that problem**
- ✓ Innovation rationale: **fundamental reason or logic that underpins a chosen course of action.**

## Learning and knowledge management

- ✓ **Learning loops** are fundamental to innovation.
- ✓ How does the project/programme incorporate approaches that not only assess how well adaptation activities are being implemented, but also which questions the appropriateness of those activities in the first place
- ✓ Encompasses **iterative, participatory and action learning interactions.**
- ✓ **Keep track of experiences gained from their project and analyze them periodically** to enrich the global, national and local knowledge to accelerate understanding about what kinds of interventions work.



## Multiple perspectives on innovation

- ✓ How the project/programme was, and will continue to be, co-developed together with the communities most vulnerable to climate change.
- ✓ Outline the perspectives on innovation garnered from a variety of stakeholders
- ✓ Facilitate a **co-design process that ensures inclusive participation** and continuous engagement of all partners, including buy-in and commitment.

## Financial and project risk management.

- ✓ How is data (arising from the project) used to inform the innovation's design, especially during its early stages, that point innovators in the direction of better design choices.
- ✓ Project/programmes may adopt a **“portfolio approach” where multiple projects and investments offers the chance to spread risk**, with multiple investments helping to mitigate the chance of loss, because if one investment fails others might still succeed.
- ✓ While it is important to estimate and measure risk accurately, in designing interventions, **projects/programmes should consider how people (beneficiaries) estimate and perceive risk**



## Potential for Scaling

- ✓ For the Adaptation Fund, scaling is in the context of the “potential for scaling”.
- ✓ Learning from the project must be captured and disseminated so others can use it as an evidence base for scaling.
- ✓ Scaling can be implemented where appropriate, and otherwise be considered as part of learning and knowledge capture.
- ✓ Scaling should include long-term partnership and support beyond existing institutional funding cycles and strategies.
- ✓ A key starting point is a well-founded understanding of the problem that needs a solution at scale
- ✓ Vision of scale of impact - focus is on impact of the innovation, rather than solely on the innovation per se.







# Indicators for innovation

Strategic Results Framework

**Strategic Results Framework** amended in March 2019. Consists of impact level results, with associated core indicators, outcomes and outputs, and indicators associated with the outcomes and outputs.

**Outcome 8** is focused specifically on innovation, which aligns with the MTS Innovation Strategic Focus.

It is foreseeable that the objectives and indicators for innovation will need to be **periodically revisited and potentially refined.**

**Suggested Reading:** Specific Objectives and Indicators for the Innovation Aspects of Projects and Programmes

[AFB/B.37/6](#)



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# Suggested reading

PROGRAMME ON INNOVATION: OPERATIONALIZATION OF LARGE GRANTS FOR INNOVATION describes the process for the creation of Large Innovation Projects and in Annex IV (pages 28 to 43) provides a set of instructions on what type of questions proponents should think when writing their proposals

FURTHER CLARIFICATION OF VISION AND DEFINITION OF INNOVATION UNDER THE ADAPTATION FUND: ANALYSIS OF RELEVANT ELEMENTS AND GUIDANCE ON REVIEW CRITERIA states the Fund's approach to innovation, and in Annex I (pages 13 to 19) presents guidance on how to approach innovation in adaptation within proposals







# Thank You




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## Innovation Team at the Adaptation Fund


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