Project Scale-Up: Access Criteria and Application

Ishani Debnath, Readiness Consultant, AFB Secretariat
Background

• **Goal:**
  ✓ To increase the impact of a project.
  ✓ Provide readiness funding to support planning, assessment, capacity enhancement for designing and developing scaling up pathways for Adaptation Fund project/programmes under implementation and nearing completion or completed.

• **Specific objectives:**
  i. To share valuable experiences and models
  ii. To create wider change and resilience
  iii. Support the greatest possible impact through widespread adaptation.
  iv. Amplifying Adaptation Fund projects with other funds
Available up to a maximum of US $100,000 per project/programme, for the development of evidence-based outputs to prepare for scaling up, with the aim to increase impact and adaptation.
To be eligible to receive a project scale-up grant, the NIE must meet all of the below criteria. The NIE:

- Must be an accredited national implementing entity of the Adaptation Fund and have an accreditation status of “Accredited”.
- Must have, at minimum, completed the medium-term review/evaluation or, for projects with a life span of less than 4 years, have submitted at least two project performance reports (PPR) for the project/programme being proposed for scale up.
- Must submit together with the application form, a letter of endorsement by the Designated Authority to the Adaptation fund in support of the scale-up grant application.
- Must have identified a potential source, or sources, where the entity could seek funding to scale up the proposed project/programme.
Support the following (and other) assessments:

- Undertaking an assessment of project/programme scalability (which could include but is not limited to, for example, undertaking technical studies such as vulnerability assessment, feasibility study, socio-economic study, cost effectiveness study etc).
- Consultation with public and private stakeholders for project/programme scale up.
- Development and implementation of a scale-up strategy or development of a proposal for funding scaled-up activities.
- Enhancing individual, organization or institutional capacity for scaling up through attending training, workshops, seminars and/or adapting policies and/or procedures in line with different contexts.
Exercise

Which of the following statements are true?

Project scale-up grants can be used to:
1. Support a project to transition to scale-up
2. Enable a range of scale up preparation activities to take place
3. Facilitate the transition of a project from AF funding to another funding source of similar or higher value.
4. Fund future scaling-up

WINNERS GET A PRIZE!!
The grant applications are only considered at regular meetings of the Board and submission deadlines are the same as for regular concrete projects/programmes.

- Deadlines are posted on AF Calendar
A. PROJECT INFORMATION

Date of receipt:  
Title of Adaptation Fund project/programme to be scaled-up:  
Adaptation Fund Grant ID:  
Country:  
Implementing Entity:  
Execution Entity (if applicable):  
Amount of Financing Requested (in U.S Dollars Equivalent):

B. BACKGROUND (Maximum 200 words)


C. Timeframe of Activity

Expected start date of activity |  
Completion date of activity

D. Type of support requested

D.1. Describe the goal of the proposed scale-up. (Maximum 100 words)
D.2. What is the expected output from use of the proposed grant for project scale-up?

D.3. Provide a brief description of the project components that will be scaled-up, including a description of the scaling-up approach. (Maximum 200 words)

D.4. Provide a brief rationale/justification for the proposed project/programme scale-up and scaling-up strategy, including any supporting evidence. (Maximum 200 words)
D5. Have you identified potential source(s) of funding to implement the scaled-up project/programme? Please list.

D6. Using the table below, provide a breakdown of activities or outline/roadmap of the activities that will be followed to achieve the expected output mentioned in D.2. In the case in which a scaling-up plan/strategy is the output, include a breakdown of the activities leading up to delivery of the plan/strategy. Please add more rows or delete as necessary.

<table>
<thead>
<tr>
<th>Types of Activities</th>
<th>Description of proposed activities (please provide short description)</th>
<th>Expected outputs</th>
<th>Tentative timeline (completion date)</th>
<th>Requested budget summary per output* (USD)</th>
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<tr>
<td>Execution costs requested***</td>
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<tr>
<td>Total project costs</td>
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<td>Implementing entity management fee requested**</td>
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<td>Total Grant Requested (USD)</td>
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E. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures.

<table>
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<tr>
<th>Head of Implementing Entity</th>
<th>Signature</th>
<th>Date (Month, day, year)</th>
<th>Implementing Entity Contact Person</th>
<th>Telephone</th>
<th>Email Address</th>
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F. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

(Enter Name, Position, Ministry) | Date: (Month, day, year)
Thank You

Readiness Team at the Adaptation Fund

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