



ADAPTATION FUND

**Accreditation of a second entity and a
brief overview of the reaccreditation
process,**

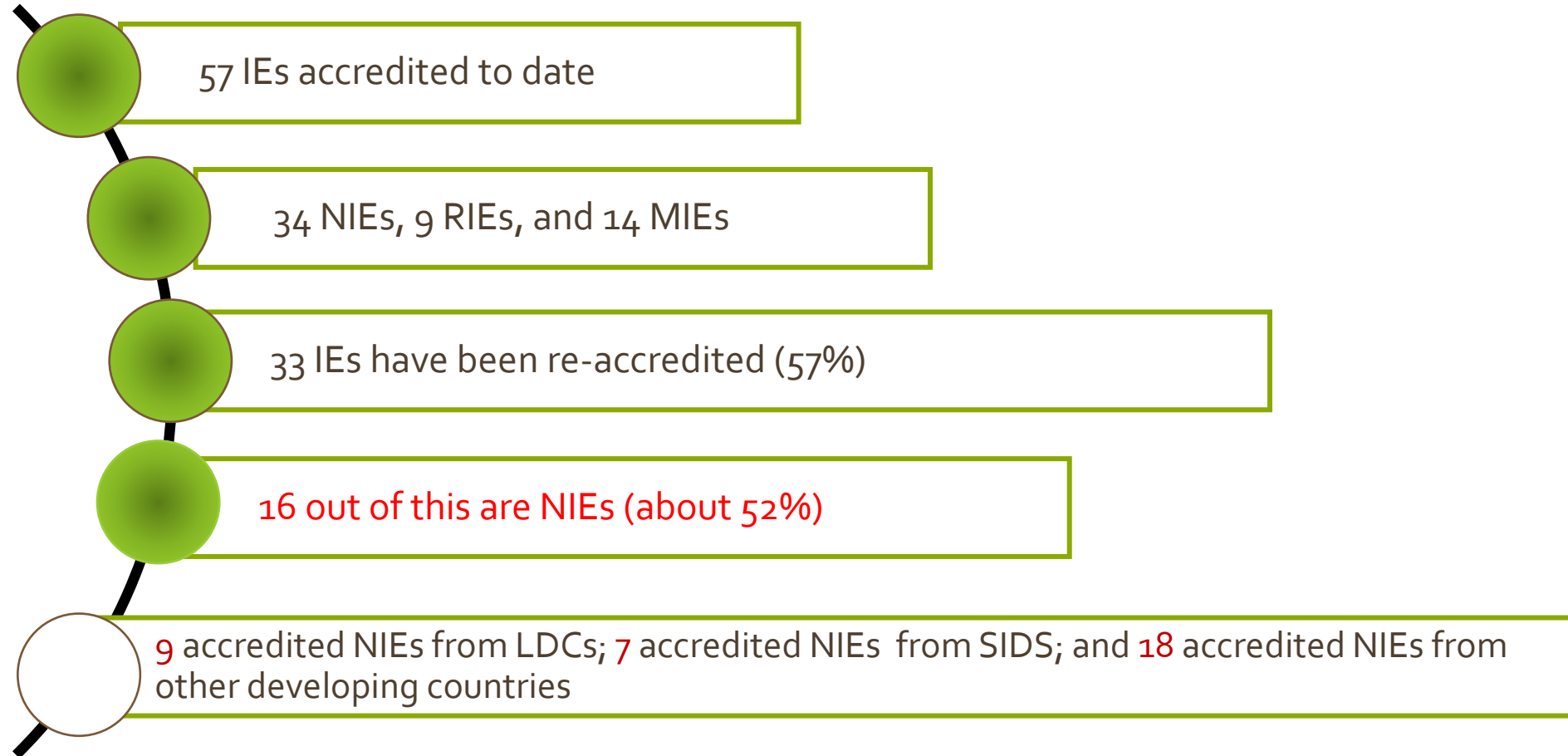
NIE Seminar, September, 2022



AF Accreditation portfolio to date



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Reinforces AF's commitment to Direct access and the importance of country ownership and building national capacity in adaptation

How an entity can become accredited with the AF



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- ❑ **Nomination:** An entity that meets the accreditation standards is identified and nominated as a potential implementing entity by a Designated Authority (DA)
- ❑ **Application:** Submission of accreditation application to the Secretariat together with the required supporting documentation to verify how an applicant meets the fiduciary standards and their commitment and ability to comply with the environmental and social policy and gender policy.
- ❑ **Screening by the AFS:** To ensure that all the necessary information is provided. AFS follows up with the potential implementing entities to ensure that the application package is complete.
- ❑ **Review by the AP:** The Panel reviews the application, identifies any questions and potential gaps and communicates directly with the applicant until it is ready to make a final assessment and recommendation.
- ❑ **AP Recommendation:** Based on the Panel's assessment and recommendation, the AFB approves recommendation for accreditation.

Background “Key Decisions”

Fund’s Operational Policies and Guidelines (OPG), Accreditation is “valid for a period of five years with the possibility of renewal

At its twenty-second meeting, the Board decided to adopt the re-accreditation process outlines in Annex III of the report of the fourteenth meeting of the Accreditation Panel (Document AFB/B.22/4).

At its twenty-eight meeting the Adaptation Fund Board decided to fast-track the re-accreditation of implementing entities accredited with the Green Climate Fund (GCF) within a period of four years prior to the submission of the re-accreditation application to the Adaptation Fund as described in document AFB/EFC/19/7 (Decision B.28/38).

At its thirtieth meeting the AF Board decided- Decision B.31/1- to adopt the updated re-accreditation process contained in Annex I to the Report of the twenty-seventh meeting of the Accreditation Panel.

Deadlines

NOTIFICATION BY THE SECRETARIAT

The secretariat will continue to send out notification letters to accredited entities **18 months prior to the expiration of the entity's accreditation**. In addition, the online accreditation system generates an automatic notification to the implementing entities.

SUBMISSION OF RE-ACCREDITATION APPLICATION

The implementing entity is strongly recommended to submit its re-accreditation application and supporting documentation through the online accreditation system maintained by the Secretariat, **12 months prior to its accreditation expiry date**. If the entity does not submit the application by its accreditation expiry date, the Panel will make a recommendation to the Board to change the status of the entity from “Accredited” to “Not-Accredited” at the accreditation expiry date.

ACQUISITION OF RE-ACCREDITATION

Acquisition of re-accreditation: The implementing entity is strongly recommended to achieve re-accreditation within **three years from its accreditation expiry date**. If the entity does not achieve re-accreditation within three years from its accreditation expiry date, the Panel will make a recommendation to the Board to change the status of the entity to “Not-Accredited”

Status of an Implementing Entity



Accredited: When an implementing entity achieves accreditation following a Board decision, its accreditation is valid for five years



In Re-accreditation Process: When an implementing entity submits its re-accreditation application before the accreditation expiry date, it acquires a status of “In Re-accreditation Process” at its accreditation expiry date, until it achieves re-accreditation within three years from the accreditation expiry date.



Not-Accredited: If an implementing entity does not submit re-accreditation application by its accreditation expiry date, or the entity does not achieve re-accreditation within three years from the accreditation expiry date, it acquires the status of “Not-Accredited” following a Board decision.

Implication of the status of an Implementing Entity

	Eligible to submit a new funding proposal	Eligible to participate in AF activities as IE	Eligible to be included in AF communications
(1) "Accredited"	Yes	Yes	Yes
(2) "In Re-accreditation Process"	No	Yes	Yes
(3) "Not Accredited"	No	No	No

Effective date of the update

Notification by the secretariat: The secretariat will continue to send out notification letters to accredited entities **18 months** prior to the expiration of the entity's accreditation. In addition, the online accreditation system generates an automatic notification to the implementing entities.

Focus areas of review of a 'Regular' re-accreditation

Review of a 'regular' re-accreditation will focus on three aspects (i) continued compliance with the Fund's fiduciary standards, (ii) compliance with the Fund's environmental and social policy and the Gender Policy and (iii) the results of the assessment of the implementing entity's performance regarding quality at entry and project/programme implementation.

AF Regular Re-Accreditation process: Balance between Assessment and Capacity Building

➤ Legal status

- Demonstration of legal personality
- Ability to contract with AF and authority to directly receive funds
- Demonstration of legal capacity to serve as plaintiff or defendant in a Court of law

➤ Financial Management and Integrity

- Financial Statements, External Audit requirement, Existence of Accounting procedure
- Internal Control Framework
- Internal Audit/Assurance and Oversight arrangements
- Preparation of Business plans and budget

AF Regular Re-Accreditation process: Balance between Assessment and Capacity Building

► Institutional Capacity

- Procurement
- Project Preparation and Appraisal
- Project Implementation Planning and Quality at entry review
- Project Monitoring and Evaluation during implementation
- Project Closure and Final Evaluation

► Transparency, Self-investigative Powers, Anti-corruption measures and handling complaints about harmful Gender, Environmental or Social Impact of projects

- Policies and Framework and capacity to deal with fraud, corruption and other forms of malpractice
- Commitment by the entity to apply the Fund's Environmental & Social and Gender policy
- Mechanism to deal with complaints on environmental and social harms caused by projects

With or without AF funded Project

	Section 6 Project preparation and appraisal. This should include impact (environment, socio- economic, political, gender etc.) assessment study with risk assessment and mitigation plans	Section 7 Project implementation Planning and Quality-at- entry Review	Section 8 Project Monitoring and Evaluation during implementation	Section 9 Project closure and final evaluation
With approved AF funded Project but no planning and QAE	No	Yes	Yes	Yes
With approved AF funded Project, completed planning and QAE but implementation not yet started	No	No	Yes	Yes
With approved AF funded Project, and implementing it	No	No	No	Yes
With approved AF funded Project, completed with a final report on completion	No	No	No	No
No approved AF funded Project	Yes	Yes	Yes	Yes

Fast Track Re-accreditation

“The GCF accreditation procedures are consistent with those of the Fund and that they can be relied upon subject to the following conditions and extra review to be done by the Accreditation Panel of the Fund:

- The GCF accreditation Stage II (GCF Board decision on accreditation) of the applicant should have been completed within four years prior to the date of submission of the re-accreditation application to the Fund;*
- The Fiduciary Standard related to the legal status needs to be reviewed by the Fund;*
- Applicants that were not assessed against the GCF’s Environmental and Social Safeguards would be reviewed for compliance with the Fund’s Environmental and Social Policy;*
- Applicants would have to provide a commitment statement to abide by the Environmental and Social Policy of the Fund;*
- Applicants would have to demonstrate that they have a mechanism to deal with complaints on environmental and social harms caused by projects/programs;*
- Applicants that were not assessed against the GCF’s gender policy would be reviewed for compliance with the Fund’s Gender Policy;*
- Applicants would have to provide a commitment statement to abide by the Gender Policy of the Fund; and*
- Applicants would have to demonstrate that they have a mechanism to deal with complaints on Gender harms caused by projects/programs.*

Focus areas of review of a 'Fast-track' re-accreditation

Under the fast-track re-accreditation process approved by the Board (Decision B.28/38) the review will focus on **(i) the fiduciary standard related to the legal personality;** **(ii) commitment by the implementing entity to apply the Fund's Environmental and Social Policy (ESP) and Gender Policy (GP);** and **(iii) Mechanism to deal with complaints on environmental and social harms and gender harms caused by projects/programmes.**

SECTION I: Background/Contact

SECTION II: Legal Status

SECTION III: Transparency, self-investigative powers, and anti-corruption measures

Second-time 'Fast-track' re-accreditation

Along with these three criteria, some additional criteria can be applied to fast track re-accreditation. First, criteria related to conditions attached to fast-track accreditation with the GCF will be assessed. Second, **from the second-time fast-track** reaccreditation with the Fund, financial mismanagement and integrity criteria of the fiduciary standards will be assessed along with the aforementioned three criteria.



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