

ACCESS CRITERIA FOR EDA GRANTS TO SUPPORT FULL PROJECT DEVELOPMENT

NIE CLIMATE FINANCE READINESS SEMINAR

26 SEPTEMBER, 2022 WASHINGTON DC



ADAPTATION FUND

Helping developing countries build resilience and adapt to climate change

Alyssa Gomes
Climate Change Specialist

KEY DOCUMENTS AND REFERENCES

- **OPG-Annex 5** (review sheets/criteria , details of what needs to go in each section – the basis for how we undertake the review)
- **Instructions for preparing a request for project funding for EDA (to complement OPG Annex 5)**
- **Environmental and Social Policy**
- **Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy**
- **Gender Policy and Action Plan of the Adaptation Fund (amended in March 2021)**
- **Guidance document for Implementing Entities on compliance with the Adaptation Fund Gender Policy**

<https://www.adaptation-fund.org/documents-publications/operational-policies-guidelines/>

<https://www.adaptation-fund.org/apply-funding/enhanced-direct-access-eda-grants/>



PROJECT JUSTIFICATION

PROJECT SUPPORTS CONCRETE ADAPTATION ACTIONS

- ✓ **Coherent Rationale:** the suitability of activities in responding to the threats posed by future CC and on the use of EDA as a modality to address the adaptation issues.
 - ✓ Likely climate scenarios in the country and target area
 - ✓ Clarity on the environmental, social and economic climate change impacts
 - ✓ The activities are suited to addressing the climate change impacts identified (why you chose these activities and not others)
 - ✓ Activities will lead to substantial **tangible outcomes** (please be clear about these)
- ✓ The activities align with the project's overall goal and objectives ensuring the cohesion of the components among themselves – **a good logical framework**



PROJECT JUSTIFICATION

EDA RATIONALE

- ✓ Project components should articulate the characteristics of the EDA model with description of **locally led adaptation solutions**
- ✓ Presence of sub-project which could be **USPs**.
 - ✓ Importance of USPs alignment with project objectives and with the Fund's SRF.
 - ✓ Type, sector, size and geographic locations of potential USPs should be fully described as far as possible.
- ✓ Demonstrate that the adaptation measures proposed through the EDA are adequate for the identified climate threats.
- ✓ Arrangements for technical assistance, monitoring and oversight of activities
- ✓ Review and decision-making process for funding requests made by beneficiaries at sub-national level
- ✓ **Capacity building** to support communities and structures at sub-national level to manage and report on funds



ECONOMIC, SOCIAL AND ENVIRONMENTAL BENEFITS, IN COMPLIANCE WITH ESP AND GP

- ✓ Information on the **expected beneficiaries** of the project, with particular reference to **the equitable distribution of benefits to vulnerable communities, households, and individuals**
- ✓ In areas where **marginalized and vulnerable groups and indigenous communities have been identified**, outline **particular benefits** provided by the project to those groups
- ✓ Outline benefits in all three areas: economic, social and environmental
- ✓ Quantify the estimated benefits whenever possible
- ✓ Highlight how you have integrated information resulting from the initial gender analysis to respond to the different needs, capabilities, roles and knowledge resources of women and men.



STAKEHOLDER CONSULTATIONS IN COMPLIANCE WITH ESP AND GP

- ✓ The consultative process is **comprehensive and gender-responsive** and involved **all direct and indirect stakeholders** of the project, including vulnerable groups
- ✓ Outline all the stakeholders involved in the consultation process in the proposal **with attention to minority groups, marginalized and vulnerable groups, and indigenous people** in the target areas, where relevant
- ✓ Include a report **documenting the consultative process** and contains
 - ✓ a) the list of stakeholders already consulted (principles of choice, role ascription, date of consultation),
 - ✓ b) a description of the consultation techniques (tailored specifically per target group),
 - ✓ c) **the key consultation findings (in particular suggestions and concerns raised)**
- ✓ Specify **how the results of the consultative process are reflected in the project design**, including **consultation on safeguards process and outcomes**



ENVIRONMENTAL AND SOCIAL RISKS, IN COMPLIANCE WITH THE ESP AND GP

- ✓ Identify **all potential environmental and social impacts and risks**.
- ✓ State the category in which the screening process has classified the project. **(Category A, B or C)**. *Please also ensure that these categories reflect AF ESP and not your organization's.*
- ✓ Provide a checklist, indicating which environmental and social impacts and risks have been identified (**for each ESP principle**) and **provide justification of the risk identification findings**.
- ✓ For the identified risk, carry out an environmental and social impact assessment
- ✓ Include sufficient supporting documentation (annexes) including **a gender assessment and action plan report**.



GENDER POLICY - REQUIREMENTS

2. PROJECT PROPSAL REQUIREMENTS	CONCEPT STAGE	FULL PROPOSAL STAGE
Initial Gender Assessment	✓	
Full Gender Assessment		✓
Gender-Responsive Indicators		✓
Gender-Responsive Implementation & Monitoring Programs		✓
Preliminary gender equal consultation	✓	
Comprehensive gender equal consultation		✓
Implement a fair and transparent Grievance Mechanism		✓



CONCEPT AND FULL PROPOSAL ESP REQUIREMENTS

Project Proposal (OPG Annex 5) IE will demonstrate and document the following:	Concept Proposal	Full Proposal
II. JUSTIFICATION		
II.B. Economic, social and environmental benefits; Avoidance/mitigation of adverse impacts.	✓	✓
II.E. Compliance with relevant national technical standards	✓	✓
II.H. Consultative process	✓	✓
II.K. Environmental and social impacts and risks	✓	✓
III. IMPLEMENTATION ARRANGEMENTS		
III.C. Environmental & Social risk management measures		✓
III. D. Monitoring and evaluation program, budget		✓
III.E. Results framework including milestones, targets, & indicators		✓



IE FEES/ EXECUTION COSTS, BUDGET

- ✓ **Ensure that the figures add up across all budget tables** – total amount requested, vs. components table vs. budget vs. Disbursement table.
- ✓ **The figures are rounded to a whole number (i.e. no decimals),**
- ✓ The Project Execution Cost is below 12% of the total budget (including fee)
- ✓ The management fee (“IE fee”) is below 10% of the total budget before fee

In case of Implementing Entity serving as the Executing Entity, the limit for execution is 1.5%. The justifications must be provided, as this arrangement can be approved only on an exceptional basis.



EDA IMPLEMENTATION ARRANGEMENTS

- ✓ Description of **decision-making structure** at local level and institutional levels involved, providing evidence for locally led adaptation;
- ✓ Describe roles and responsibilities of IE and EE(s) or other organizations/stakeholders involved in the project;
- ✓ **Continued capacity building** + multi-stakeholder engagement approach
- ✓ Process to **identify, screen and address environmental and social risks** should be described



COMPLIANCE WITH ESP/GP– IMPLEMENTATION ARRANGEMENTS (ESMP)

- ✓ Identify adequate and credible measures to manage the impacts for all environmental and social risks that have been identified in section II, and the corresponding impacts that have been assessed
- ✓ ESMP should contain/include:
 - ✓ clearly allocated roles and responsibilities for its implementation
 - ✓ opportunities for consultation and adaptive management
 - ✓ credible budget provisions, as needed, for the implementation of the ESMP
- ✓ Outline the arrangements for the IE to supervise executing entities for implementation of ESMP
- ✓ Include clear monitoring and evaluation arrangements for ESP compliance
- ✓ Include an accessible and meaningful grievance mechanism in place, mentioning all parts of the grievance process, including where grievances can be addressed



Thank you!



ADAPTATION FUND