

Accessing finance from the Adaptation Fund



ADAPTATION FUND

Overview of the AF project/
programme funding process

Adaptation Fund at a Glance



Only global UN fund created to fund **concrete adaptation projects**, in most climate-vulnerable developing countries



One of 1st fully functioning climate funds, operating since '07; **pioneered innovative 'Direct Access' climate finance modality**



As urgency of climate change has risen, it has grown rapidly to almost **\$1B funding today**



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Tangible results

IMPACT 1:

Reduction in vulnerability of communities and increased adaptive capacity of communities to respond to the impacts of climate change



10.04 million

DIRECT BENEFICIARIES

21.17 million

INDIRECT BENEFICIARIES

Number of direct beneficiaries is a conservative estimate, as it is not consistently reported (i.e. some projects report as no. of households)



414

EARLY WARNING SYSTEMS

Includes projects targeting several small scale EWS at the local level as well as those targeting one large regional system

IMPACT 2:

Strengthened policies that integrate climate resilience strategies into local and national plans



98

**POLICIES INTRODUCED
OR ADJUSTED TO ADDRESS**

CLIMATE CHANGE RISKS

Includes any policy whether at the local, regional or national level

IMPACT 3:

Increased ecosystem resilience in response to climate change induced stresses



380,242 ha

**HA OF NATURAL HABITATS
CREATED, PROTECTED OR
REHABILITATED RESTORED**

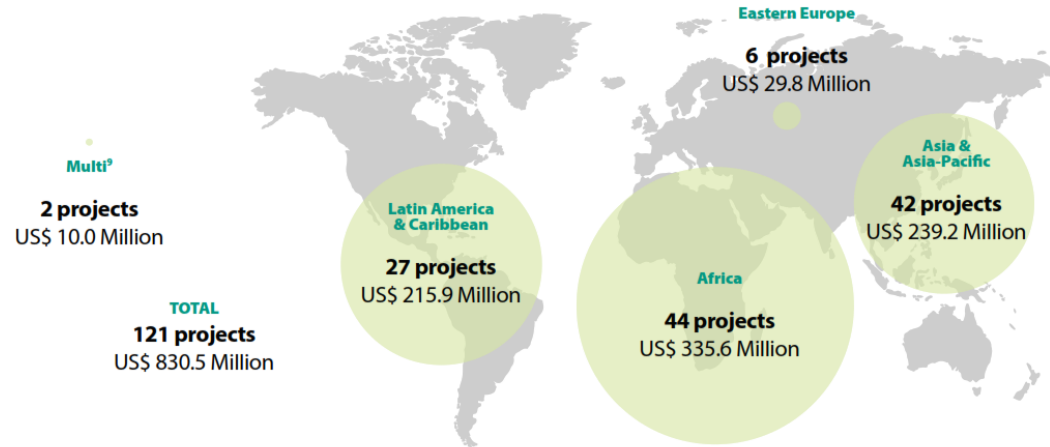


161,775 m

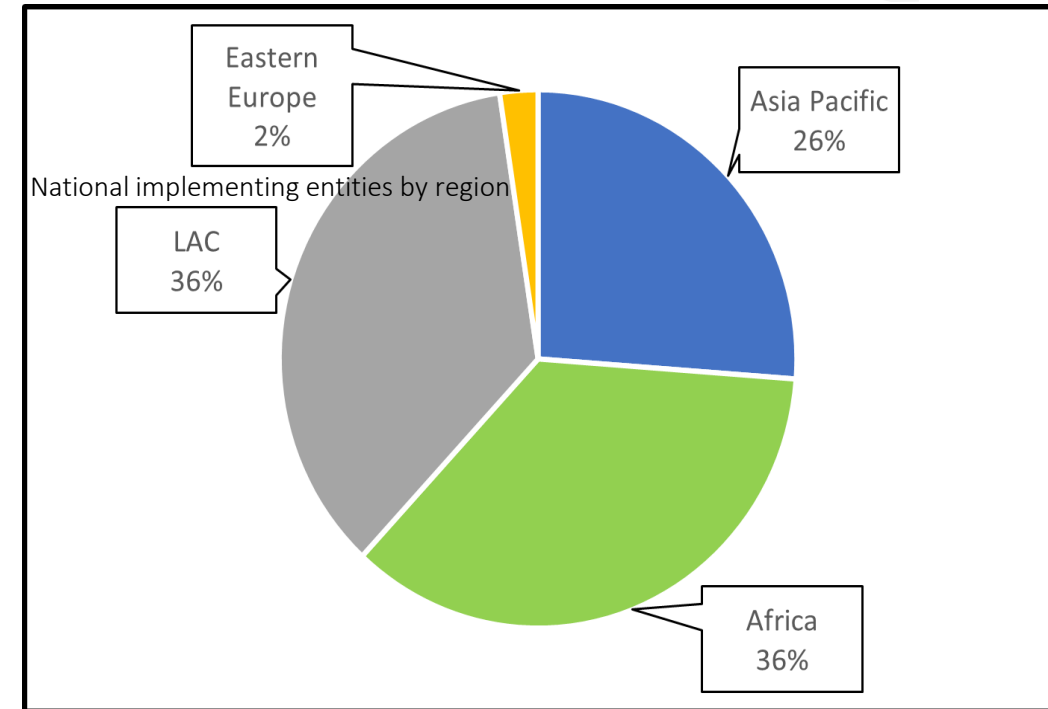
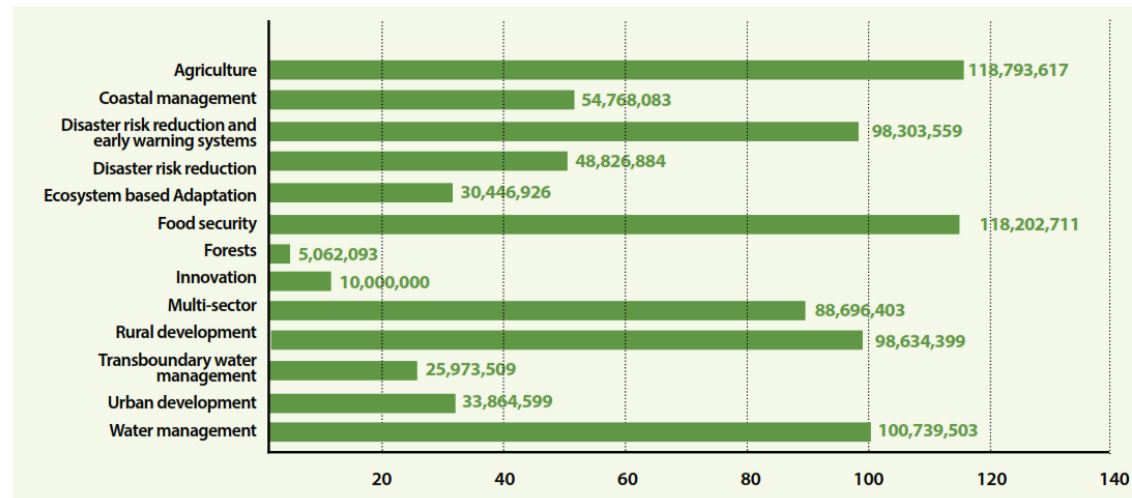
M OF COASTLINE PROTECTED

The Adaptation Fund portfolio

Infographic 1: Total Projects and Grant Amount Approved by Region



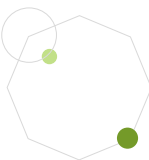
Graph 1: Adaptation Fund Investments by Sector (US\$ Million)



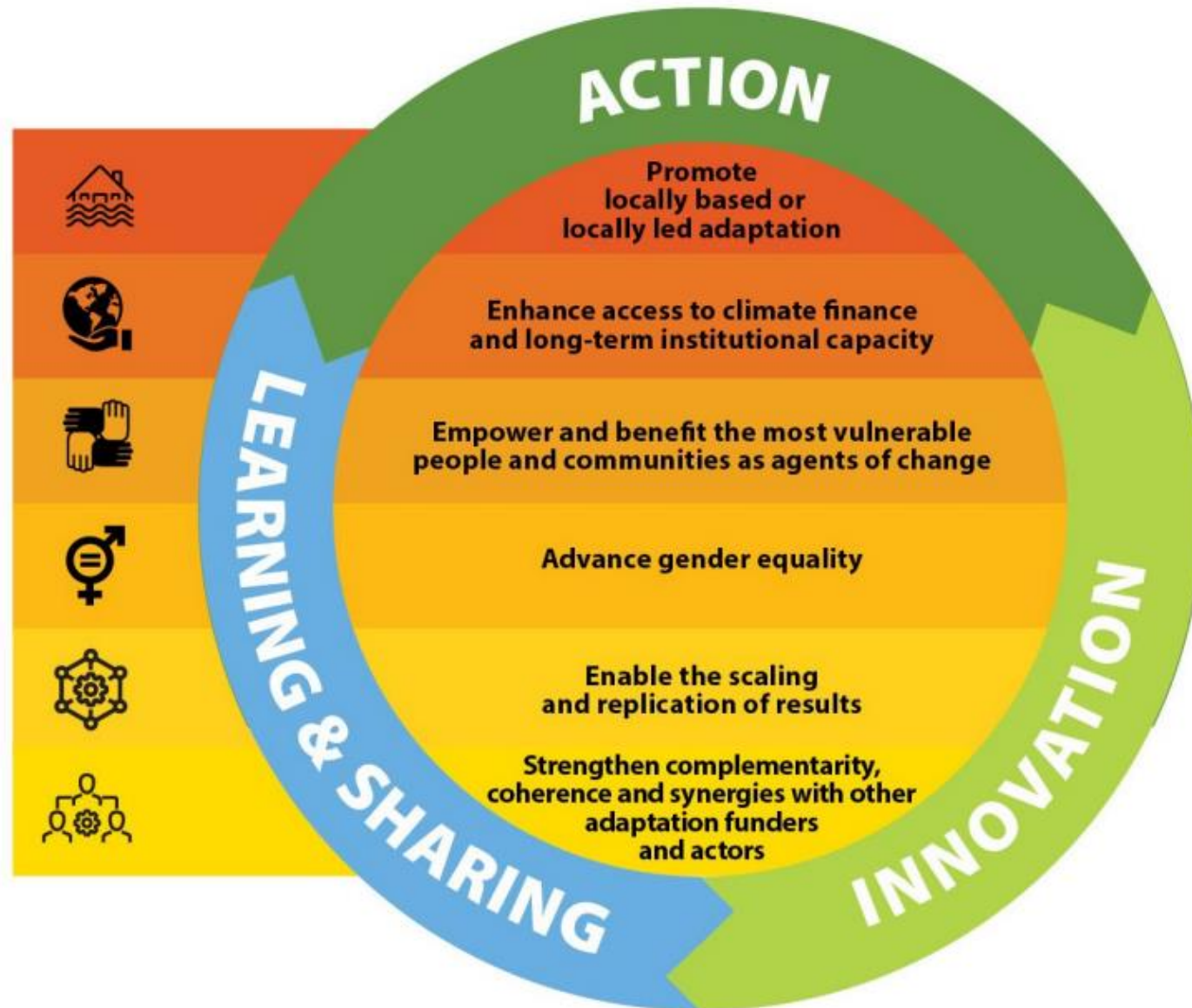
•57 accredited entities of which 34 national (NIE), 56% LDC (#12) and SIDS (#7)

•39 LDCs and 20 SIDs have accessed resources from AF so far





•33% portfolio in LDCs for total \$US310M



Strategic Focus for 2023 to 2027: Strategic Pillars & Cross-Cutting Themes



Adaptation Fund Funding Windows

	FUNDING TYPE	Accredited Entity Type	Maximum Funding Amount per Project/Program	How to Apply	Learning Resources
 ACTION Action grants support eligible countries to undertake high quality adaptation projects/programmes consistent with their priority needs, goals and strategies.	Single Country: For addressing climate change impacts in one country through tangible outcomes	NIE, RIE, MIE	USD 10 Million per Project/Programme*	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding & Community of Practice for Direct Access Entities.
	Regional: For addressing climate change impacts in 2+ countries in the same United Nations region, or adjacent regions, through tangible outcomes	RIE, MIE	USD 14 Million per Project/Programme (excluding the PFG)**	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding.
	Enhanced Direct Access: Supports bottom-up approaches through local knowledge and locally led action. Project selection occurs at national/sub-national levels.	NIE	USD 5 Million per Project/Programme (including the PFG)	Apply here for grant funding or technical assistance	EDA guidance document
	Project Scale Up: Supports planning, design and overall capacity to develop scale-up pathways for AF funded projects nearing completion or already completed	NIE	USD 100,000 per Project/Programme	Apply here	E-training: Scale-Up Grants
 INNOVATION Innovation grants support the development and diffusion of innovative adaptation practices, tools, and technologies.	Small (single country): To accelerate development of innovative practices, tools and technologies and demonstrate best practices for scale-up	NIE	USD 250,000 per Project/Programme	Apply here	E-training: Innovation Small Grants
	Large (single country or regional): To roll out or scale-up successful innovative practices, tools and technologies to a new country or at regional scale, involving 2+ countries/regions	NIE, MIE, RIE***	USD 5 Million per Project/Programme	Apply here	Innovation large grants guidance documents available here
	Adaptation Fund Climate Innovation Accelerator: Administered by UNDP & UNEP/CTCN to accelerate the development of innovative practices, tools and technologies and demonstrate best practices for scale-up	Non-accredited****	USD 250,000 per Project/Programme	Apply here for grant funding	UNDP UNEP/CTCN
 LEARNING & SHARING	Learning Grants: support the generation and dissemination of practical knowledge about effective adaptation activities and financing modalities to actors around the world	NIE	USD 150,000 per Project/Programme	Apply here for grant funding	E-training: Learning Grants
 READINESS Readiness grants enable NIEs to provide peer support to countries seeking accreditation with the Fund and build capacity for undertaking various climate finance readiness activities.	Readiness Support Package Grant: Facilitate the delivery of more enhanced, targeted and tailored readiness support for accreditation to developing countries	NIE	USD 150,000 per NIE	Apply here	Website: Readiness
	Technical Assistance Grant for the Environmental and Social Policy and Gender Policy: For NIEs to strengthen capacity to address environmental and social risks as well as gender related issues in their projects and programs	NIE	USD 25,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding
	Technical Assistance Grant for the Gender Policy: For NIEs with robust environmental and social policies to enhance measures to avoid, minimize and/or mitigate adverse gender impacts	NIE	USD 10,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding

Notes:

*NIEs applying for single country projects through 2-step submission process (concept note; fully-developed proposal) with concept note can request a [Project Formulation Grant](#) (PFG) up to USD 50,000 in addition to the USD 10 million grant.

**RIEs and MIEs applying for regional projects (2+ countries) through the 3-step submission process (pre-concept note; concept note; fully-developed proposal) can request a PFG up to USD 20,000 with a pre-concept note and with a concept note a PFG up to USD 80,000. Those using the 2-step submission process (concept note; fully-developed proposal) can ask for PFG up to USD 100,000 with the concept note.

***For NIEs submitting proposals for large innovation grants, there is an opportunity to request a PFG of up to USD 50,000 per project. For a project for which PFG funding is sought, the total amount of funding inclusive of PFG cannot exceed USD 5 million. RIEs and MIEs submitting concept notes for large innovation grants for regional projects can request a PFG of up to USD 30,000. All IEs can apply to single country innovation large grants and MIE and RIEs only can apply to regional innovation large grants

****Open to wide range of applicants, including local governments, NGOs, young entrepreneurs, the private sector and others.

What to keep in mind- General



Concrete activities: visible and tangible impacts



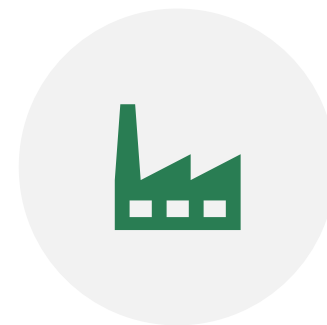
No prescribed sectors or approaches: country-driven process that accommodates countries' circumstances



No co-financing requirements



Total allocation for projects/ programmes submitted by MIEs cannot exceed 50% of cumulative resources available in the trust fund



Currently, there is a country cap of USD 20 million



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What to keep in mind – Designated Authority

Responsible for **endorsing** each project/ programme submitted for AF funding from the country



Understanding of the needs and priorities



Knowledge of adaptation-related and sector strategies and policies



Understanding of other adaptation activities taking place (avoidance of duplication)

OPG: *“The Designated Authority shall confirm that the endorsed project/programme proposal is in accordance with the government’s national or regional priorities in implementing adaptation activities to reduce adverse impacts of, and risks posed by, climate change in the country or region.”*

Country drivenness!

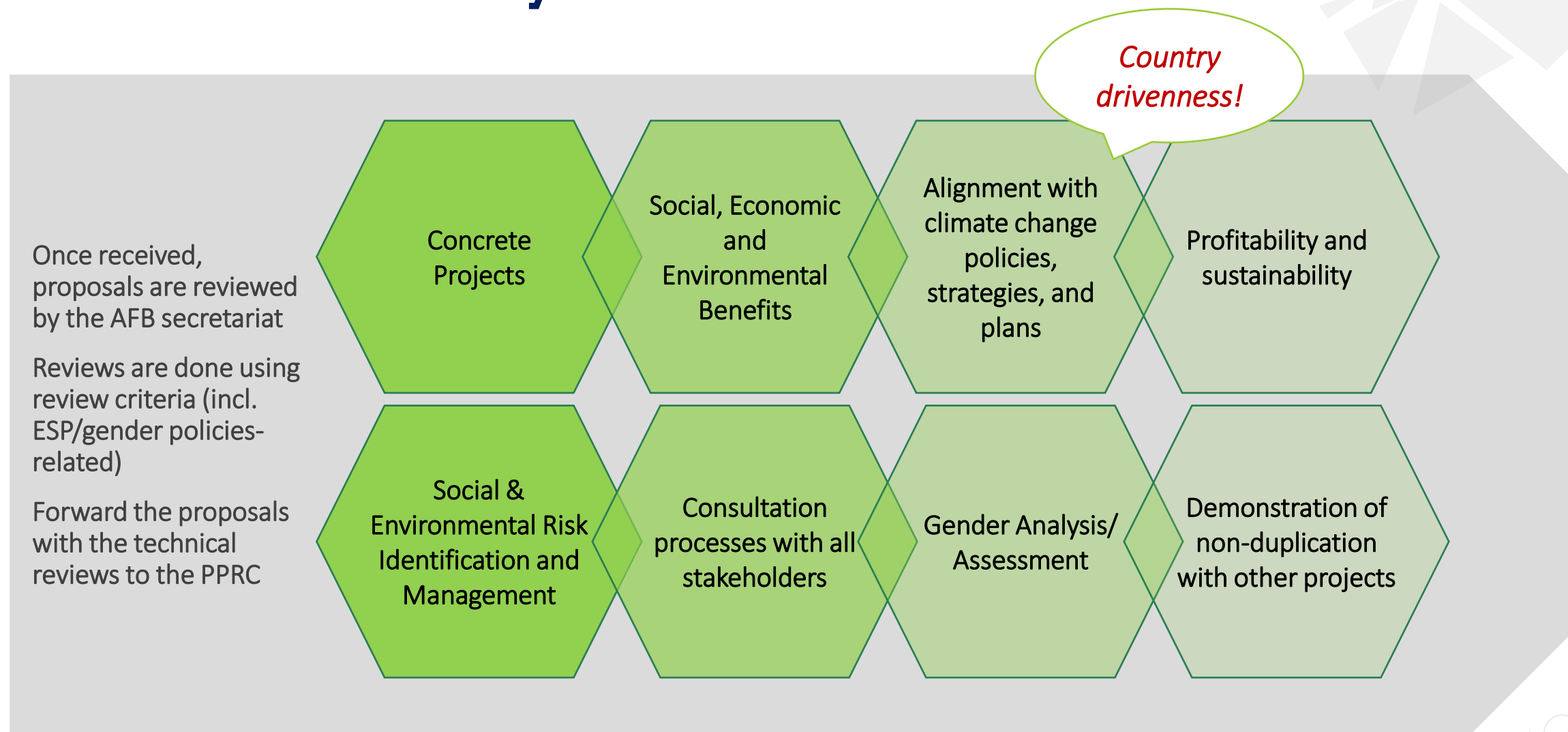


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Project Life-cycle



Technical review by the AFB secretariat




Thank You



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Saliha Dobardzic 

sdobardzic@adaptation-fund.org 

www.adaptation-fund.org 