



## ADAPTATION FUND

AFB/PPRC.31/57  
27 February 2023

---

Adaptation Fund Board  
Project and Programme Review Committee  
Fortieth Meeting  
Bonn, Germany, 21-22 March 2023

Agenda item 13

### **PROPOSAL FOR LEARNING GRANT FOR PERU**

## Background

1. At its twenty-second meeting, the Adaptation Fund Board (the Board) considered document AFB/B.22/6 prepared by the Adaptation Fund Board Secretariat (the secretariat), which outlined the possible elements and options for a phased programme to support readiness for direct access to climate finance for National and Regional Implementing Entities and presented a framework and budget for a first phase of the Programme. Following a discussion of the document, the Adaptation Fund Board (the Board) decided to:

(a) *Approve Phase I of the Readiness Programme as detailed in document AFB/B.22/6, on the basis that it would follow performance-based funding principles;*

(b) *Take note of the options provided by the secretariat on a programme to support readiness for direct access to climate finance for national and regional implementing entities;*

(c) *Request the secretariat to submit to the Board intersessionally between the twenty-second and twenty-third meetings, execution arrangements, criteria/eligibility criteria to allocate the funds to the accredited implementing entities for specific activities, as well as a timeline of activities, with a view to start implementing the programme before the twenty-third Board meeting; and*

(d) *Approve an increase in the Administrative Budget of the Board, secretariat and trustee for FY2014 of US\$ 467,000 for the programme described in AFB/B.22/6, and authorize the trustee to transfer such amount to the secretariat and request the trustee to set aside the balance amount of US\$ 503,000 from the Adaptation Fund Trust Fund resources for subsequent commitment and transfer at the instruction of the Board.*

*(Decision B.22/24)*

2. At the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP 10), the Parties recognized the Readiness Programme of the Adaptation Fund and decided to:

*Invite further support for the readiness programme of the Adaptation Fund Board for direct access to climate finance in accordance with decision 2/CMP.10, paragraph 5;*

*Decision 1/CMP.10*

and also decided to:

*Request the Adaptation Fund Board to consider, under its readiness programme, the following options for enhancing the access modalities of the Adaptation Fund:*

(a) *Targeted institutional strengthening strategies to assist developing countries, in particular the least developed countries, to accredit more national or regional implementing entities to the Adaptation Fund;*

*(b) Ensuring that accredited national implementing entities have increased and facilitated access to the Adaptation Fund, including for small-sized projects and programmes;*

*Decision 2/CMP.10*

3. At its twenty-seventh meeting, the Board decided through decision B.27/38 to make the Readiness Programme a more permanent feature of the Adaptation Fund (the Fund) by integrating it into the Fund's work plan and budget and setting aside funding for small grants to be directly transferred from the resources of the Adaptation Fund Trust Fund.

4. At its thirtieth meeting, the Board adopted the medium-term strategy (MTS) for the Fund through decision B.30/42, and subsequently approved the implementation plan for the strategy at its thirty-first meeting. At this meeting, the Board decided:

*(a) To approve the implementation plan for the medium-term strategy for the Fund for 2018–2022 contained in the Annex I to document AFB/B.31/5/Rev.1 (the plan);*

*(b) To request the secretariat:*

*(i) To facilitate the implementation of the plan during the period 2018–2022;*

*[...]*

*(iii) To prepare, for each proposed new type of grant and funding window, a specific document containing objectives, review criteria, expected grant sizes, implementation modalities, review process and other relevant features and submit it to the Board for its consideration in accordance with the tentative timeline contained in Annex I to document AFB/B.31/5/Rev.1, with input from the Board's committees;*

*[...]*

*(Decision B.31/32)*

5. At the thirtieth-second meeting of the Board, the secretariat had presented document AFB/B.32/10 which outlines the objectives, review criteria, expected grant sizes, implementation modalities, review process and eligibility criteria for learning grants. Having considered the proposed approach, application process, review criteria and features of the learning grants as set out in document AFB/B.32/9, the Board decided:

*(a) To make learning grants available for national implementing entities between fiscal year 2019 and 2023 up to a maximum of US\$ 400,000 per year as direct transfers from the resources of the Adaptation Fund Trust Fund;*

*(b) That the learning grants would not count against the country cap approved by the Board in decision B.13/23;*

- (c) *To approve:*
- (i) *The features and implementation arrangements of the learning grants as set out in document AFB/B.32/9; and*
  - (ii) *The application form, review criteria and review template for the learning grants as set out in annexes II, III and IV of document AFB/B.32/9;*
- (d) *To request the secretariat to issue a call for proposals in accordance with the tentative timeline set out in Annex I to document AFB/B.31/5/Rev.1 and the budget pursuant to (a) above;*
- (e) *To request the secretariat to develop and present to the Board at its thirty-third meeting:*
- (i) *A standard legal agreement for learning grants;*
  - (ii) *Notification templates for project start and project completion for learning grants;*
  - (iii) *Monitoring and evaluation templates for learning grants; and*
  - (iv) *A results framework for learning grants;*
- (f) *To request the Project and Programme Review Committee (PPRC) of the Board to review learning grant proposals and make recommendations to the Board in line with other grant approval procedures approved by the Board; and*
- (g) *To request the secretariat to report to the Board annually on the implementation progress for learning grants through the annual performance report; and*
- (h) *To request the secretariat to present to the PPRC at its twenty-fifth meeting an analysis of the project review cycle for learning grants, with potential options, for its consideration.*

*(Decision B.32/38)*

6. Based on the Board Decision B.32/38, the call for learning grant proposals was issued in November 2018 and eligible national implementing entities (NIEs) were given the opportunity to submit proposals. In addition, the learning grants were launched at a side-event at the margins of the twenty-fourth conference of the Parties (COP24) to the United Nations Framework Convention on Climate Change (UNFCCC).

7. According to the criteria outlined in document AFB/B.32/9 eligible NIEs to receive learning grants were those that have at a minimum an Adaptation Fund funded project that has reached

the mid-point in implementation or where a mid-term review or evaluation (MTR/MTE) has been submitted. All the criteria had to be met, which stipulates that the NIE:

- (i) Must be an accredited national implementing entity of the Adaptation Fund and have an accreditation status of “Accredited”.
- (ii) Must have, at minimum, an Adaptation Fund funded project that has reached the mid-point in implementation or where a Mid-Term Review or Evaluation (MTR/MTE) has been submitted. For projects that have a duration of less than 4 years, at least one Project Performance Report (PPR) should have been submitted.
- (iii) Must submit together with the application form, a letter of endorsement by the Designated Authority to the Adaptation fund in support of the learning grant application.

8. It is expected that learning grants help encourage a culture of learning across institutions and help build NIE capacities. They present an opportunity to capture, study and disseminate practical lessons from adaptation interventions that are additional to Knowledge Management activities already financed under Adaptation Fund approved projects/programmes. They may be utilized to complement collaborative knowledge and sharing efforts with respect to partnerships with diverse stakeholders on the ground

9. The present document introduces the learning grant proposal for Peru submitted by the Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE), which is the national implementing entity (NIE) of the Adaptation Fund in Peru. It includes a request for funding of US\$ 150,000 that proposes to disseminate good practices and lessons learned from the "Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)" by consolidating information, organizing workshops, field exchanges, and producing knowledge products.

10. This is the first submission of the proposal.

11. The proposal was received by the secretariat in time to be considered in the fortieth Board meeting. The secretariat carried out a technical review of the project proposal, assigned it the Project ID number AFRDG00070, and completed a review sheet.

11. The secretariat carried out a technical review of the project proposal and completed a review sheet. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with PROFONANPE, and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

12. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.





ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT PROPOSAL FOR LEARNING GRANTS

PROJECT CATEGORY: **LEARNING GRANTS**

---

Country: **Peru**  
 Implementing Entity: **Peruvian Trust Fund for National Parks and Protected Areas (Profonanpe)**  
 Requested Financing from Adaptation Fund (US Dollars): **150,000**  
 Reviewer and contact person: **Cristina Dengel** Co-reviewer(s): Alyssa Gomes  
 Implementing Entity Contact Person: Claudia Godfrey Ruiz

Secretariat's Overall Comment	<p>The proposed learning grant for Peru aims to disseminate good practices and share lessons learned generated by the “Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project – CMAP) on climate change adaptation measures by fishers’ associations, sustainable use of marine resources developed by women producers through the production of biofertilizers, livelihood diversification, etc. with other associations in coastal marine areas, public and private organizations, universities and other interested actors, as well as collaborative learning with other NIEs.</p> <p><b><u>Component 1:</u></b> Connecting the available information of the project about adaptation to climate change for decision-making actors (USD 14,000)  <b><u>Component 2:</u></b> Good practices and lessons learned identification and organization related to adaptation measures implemented by main stakeholders (USD 19,000)  <b><u>Component 3:</u></b> Implementation of platform for the exchange of good practices and lessons learned (USD 60,248.85)  <b><u>Component 4:</u></b> Exchanging of experiences and presentation of platform (USD 35,000)  <b><u>Component 5:</u></b> Support of collaborative learning and sharing with other NIEs (USD 10,000)</p> <p><b><u>Requested financing overview:</u></b>          Total Project/Programme Cost: USD 138,248.85          Implementing Fee: USD 11,751.15          Financing Requested: USD 150,000</p>
-------------------------------	---

	<p>The initial technical review raises some issues, such as clarifying the difference between component 1 and 2, details on decision makers under component 1, justification on the price differential used for consultants under component 1 vs component 2 and longer sustainability as is discussed in the number of Clarification Requests (CRs) raised in the review.</p> <p>The final technical review finds that the proposal addressed all requests.</p>
Date:	January 27, 2023

Review Criteria	Questions	Comments	Comments
Country Eligibility	1. Is the country that has an accredited entity Party to the Kyoto Protocol?	Yes.	-
Eligibility of IE	1. Is the project submitted through an Implementing Entity accredited by the Board?	Yes.	-
	2. Is the Implementing Entity already implementing a project/programme funded by the Adaptation Fund? If so, has this project/programme reached the mid-point in implementation and has a Mid-term Review or Evaluation (MTR/MTE) been submitted?	Yes.	-
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project?	Yes. Letter dated January 9, 2023	-
	2. Are the proposed activities to support learning adequate? <ul style="list-style-type: none"> <li>● Do they reflect knowledge gaps and learning needs identified by the users?</li> </ul>	<b>Not cleared.</b>  The aim to disseminate good practices and lessons learned generated by the CMAP project is well received.	

	<ul style="list-style-type: none"> <li>• Do they build on established “best practices” for project/programme learning? Are they based on shared resources and knowledge?</li> <li>• Do they address gender considerations and include concerns of the most vulnerable groups and communities?</li> <li>• Are they generated in an inclusive way?</li> <li>• Do they emphasize innovative, effective solutions and practices to adaptation that are viable on the ground?</li> <li>• Do they include expertise and knowledge of local stakeholders, whenever possible?</li> </ul>	<p><b>CR1:</b> Please provide more specificity on the difference between the information to be systematized under component 1 with those from component 2? Also what will be the methods used to systematize the information under component 1?</p> <p><b>CR2:</b> Kindly give more details about the decision makers of national and local Peruvian institutions under component 1, such as names? In addition, please specify what NIEs you intend to disseminate this information to and what modalities will be used for this dissemination?</p> <p><b>CR3:</b> Kindly justify the price differential used for consultants for similar tasks under component 1 and 2 (\$100/150/day under component 1 vs. \$250/day under component 2)</p> <p><b>CR4:</b> Kindly elaborate more on the “elaboration of dissemination documents and tools from good practices collected”? What does this consist of - a mere repackaging of the information collected under component 2? What will the format be? Does it include translation in indigenous languages of the project intervention area?</p>	<p><b>CR 1: Cleared</b> The revised proposal provides sufficient explanation with regards to difference between component 1 and 2, including methods that will be used to systematize information for each component, and the list of institutions from which the information will be collected. (pg.3).</p> <p><b>CR2: Cleared</b> The revised proposal provides details about national and local institutions under component 1. It also provides information on NIEs and on the modalities how information will be shared through platforms, regional forums, etc. (pg. 4-7)</p> <p><b>CR 3: Cleared</b> The revised proposal clarifies the price differential for consultants under component 1 and 2.</p> <p><b>CR4: Cleared</b> The revised proposal sufficiently provides clarification on how the information gathered will be disseminated and packaged through learning tools, audiovisual products, etc. All materials will be translated in</p>
--	--	--	---

		<p><b>CR5:</b> Please elaborate on the long-term sustainability of the proposed website platform?</p> <p><b>CR6:</b> Kindly elaborate on the need for 4 workshops on adaptation practices? How are they different from each? Do they serve different stakeholders?</p> <p><b>CR7:</b> Kindly specify the criteria used to select the NIEs for the exchange trips?</p>	<p>local languages for maximized understanding and outreach.</p> <p><b>CR5: Cleared</b> The revised proposal provides information on the sustainability of the website platform. The Profonanpe’s Research and Development Office will be responsible for maintaining the platform (pg. 7).</p> <p><b>CR6: Cleared</b> The revised proposal elaborates on topics and audiences for proposed workshops in two different regions. Topics include marine resources use developed by women producers, and livelihood diversification to reduce resilience to climate change (pg. 8).</p> <p><b>CR7: Cleared</b> The revised proposal lists the criteria used for NIE selection for the exchange trips (pg. 8 and 9).</p>
Resource Availability	1. Is the requested project funding within the cap for Learning Grants set by the Board?	<b>Yes.</b>	-
	2. If the implementing entity has requested, is the Implementing Entity Management Fee at or below 8.5 per cent of the total	<b>Yes</b>	-

	project/programme budget before the fee?		
Duplication with AF project(s)/programme(s)'s learning activities	1. Do the proposed activities duplicate with the project/programme's learning activities as approved by the Board or do they duplicate activities financed from other sources of funding?	<b>Not cleared.</b> <b>CR8:</b> Clarify regarding on-going similar knowledge management through other funding sources in the target areas.	<b>CR8: Cleared</b> The revised proposal clarifies the overall purpose of this activity with regards to existing knowledge and resources (pg.2).
Implementation Arrangements	2. Is the timeframe for the proposed activities adequate?	<b>Yes</b>	-
	3. Is a summary breakdown of the budget for the proposed activities included? Is the proposed budget adequate and reasonable?	<b>Yes</b> , but needs some clarification on daily rates for what appears to be similar activities. Please refer to CR3 above.	-



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT PROPOSAL FOR LEARNING GRANTS

PROJECT CATEGORY: **LEARNING GRANTS**

---

Country: **Peru**  
 Implementing Entity: **Profonanpe**  
 Requested Financing from Adaptation Fund (US Dollars): **150,000**  
 Reviewer and contact person: **Cristina Dengel** Co-reviewer(s): Alyssa Gomes  
 Implementing Entity Contact Person: Claudia Godfrey Ruiz

<b>Secretariat's Overall Comment</b>	<p>The proposed learning grant for Peru aims to disseminate good practices and share lessons learned generated by the “Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project – CMAP) on climate change adaptation measures by fishers’ associations, sustainable use of marine resources developed by women producers through the production of biofertilizers, livelihood diversification, etc. with other associations in coastal marine areas, public and private organizations, universities and other interested actors, as well as collaborative learning with other NIEs.</p> <p><b><u>Component 1:</u></b> Connecting the available information of the project about adaptation to climate change for decision-making actors (USD 14,000)  <b><u>Component 2:</u></b> Good practices and lessons learned identification and organization related to adaptation measures implemented by main stakeholders (USD 19,000)  <b><u>Component 3:</u></b> Implementation of platform for the exchange of good practices and lessons learned (USD 60,248.85)  <b><u>Component 4:</u></b> Exchanging of experiences and presentation of platform (USD 35,000)  <b><u>Component 5:</u></b> Support of collaborative learning and sharing with other NIEs (USD 10,000)</p> <p><b><u>Requested financing overview:</u></b>      Total Project/Programme Cost: USD 138,248.85      Implementing Fee: USD 11,751.15      Financing Requested: USD 150,000</p>
--------------------------------------	---

	The initial technical review raises some issues, such as clarifying the difference between component 1 and 2, details on decision makers under component 1, justification on the price differential used for consultants under component 1 vs component 2 and longer sustainability as is discussed in the number of Clarification Requests (CRs) and Corrective Action Request (CAR) raised in the review.
--	---

Review Criteria	Questions	Comments	NIE Response
Country Eligibility	2. Is the country that has an accredited entity Party to the Kyoto Protocol?	Yes.	-
Eligibility of IE	3. Is the project submitted through an Implementing Entity accredited by the Board?	Yes.	-
	4. Is the Implementing Entity already implementing a project/programme funded by the Adaptation Fund? If so, has this project/programme reached the mid-point in implementation and has a Mid-term Review or Evaluation (MTR/MTE) been submitted?	Yes.	-
Project Eligibility	3. Has the designated government authority for the Adaptation Fund endorsed the project?	Yes. Letter dated January 9, 2023	-
	4. Are the proposed activities to support learning adequate? <ul style="list-style-type: none"> <li>● Do they reflect knowledge gaps and learning needs identified by the users?</li> <li>● Do they build on established “best practices” for</li> </ul>	<b>Not cleared.</b>  The aim to disseminate good practices and lessons learned generated by the CMAP project is well received.	

	<p>project/programme learning? Are they based on shared resources and knowledge?</p> <ul style="list-style-type: none"> <li>• Do they address gender considerations and include concerns of the most vulnerable groups and communities?</li> <li>• Are they generated in an inclusive way?</li> <li>• Do they emphasize innovative, effective solutions and practices to adaptation that are viable on the ground?</li> <li>• Do they include expertise and knowledge of local stakeholders, whenever possible?</li> </ul>	<p><b>CR1:</b> Please provide more specificity on the difference between the information to be systematized under component 1 with those from component 2? Also what will be the methods used to systematize the information under component 1?</p> <p><b>CR2:</b> Kindly give more details about the decision makers of national and local Peruvian institutions under component 1, such as names? In addition, please specify what NIEs you intend to disseminate this information to and what modalities will be used for this dissemination?</p> <p><b>CR3:</b> Kindly justify the price differential used for consultants for similar tasks under component 1 and 2 (\$100/150/day under component 1 vs. \$250/day under component 2)</p> <p><b>CR4:</b> Kindly elaborate more on the “elaboration of dissemination documents and tools from good practices collected”? What does this consist of - a mere repackaging of the information collected under component 2? What will the format be? Does it include translation in indigenous languages of the project intervention area?</p>	<p><b>CR 1</b></p> <p>The differences in the information to be systematized under components 1 and 2 are the stakeholder from it will be collected and the objective public that will use it.</p> <p>The information to be systematized under component 1 will be collected from the Project team and organizations in charge of developing activities, this information is related to the main results and breakthroughs in adaptation practices that need to be supported, promoted or complemented under local and national policies to enhance and rise these actions for the sustainability of the project. The idea is to prepare information in a clear language mainly for officials and decision-makers from regional governments and ministries. It includes systematization in simple language regarding the technologies and science base tools for climate risk implemented by the project.</p> <p>Regarding component 2, the information will be collected from the team project and also from the beneficiaries such as fishing and women associations, women fertilizer producers, and implementing partners. The</p>
--	--	--	---

		<p><b>CR5:</b> Please elaborate on the long-term sustainability of the proposed website platform?</p> <p><b>CR6:</b> Kindly elaborate on the need for 4 workshops on adaptation practices? How are they different from each? Do they serve different stakeholders?</p> <p><b>CR7:</b> Kindly specify the criteria used to select the NIEs for the exchange trips?</p>	<p>information will include all the activities developed under the project that can be replicated in other areas</p> <p>The Systematization method under component 1 will be through primary data collection and interviews with the team project and project execution entities.</p> <p><b>CR2</b></p> <p>The information to be systematized under component 1 will be useful for the following decision makers since they have a specific task identified according to the project activities.</p> <ul style="list-style-type: none"> <li>-MIDAGRI - Ministry of Agrarian Development and Irrigation: Governing body in agricultural matters, the actor that can promote the use of biofertilizers.</li> <li>-Regional Governments: actors that can promote the use of biofertilizers.</li> <li>- Ministry of production and decentralized offices: This entity can promote improvements in the commercialization of biofertilizers and mechanisms for sustainability in relation to traceability aspects.</li> <li>- Ministry of Foreign Trade and Tourism: this actor can promote the sustainable tourism activities that the</li> </ul>
--	--	---	--

			<p>project has been developing (marine-coastal ventures).</p> <p>- Ministry of Environment: The information systematized is useful for the NDC adaptation goals achievements.</p> <p>We expect to disseminate this information to NIEs that have similar projects in the Region, as the information will be publicly available in a website platform, also we expect to use the regional spaces of interaction such as RedLac, Forums, CPDAE's meetings and Adaptation Fund meetings.</p> <p><b>CR 3:</b> The consultant under component one will collect the information from primary data provided by the project team and will have interviews with the team based in Lima and online with other members in regions. The cost of the consultant under component 2 includes all the fees to collect the information in situ in the regions of the project from the beneficiaries.</p> <p><b>CR4:</b> Using the information gathered in the field as part of component 2, it will be analyzed and systematized to develop learning tools to replicate and improve the adaptation</p>
--	--	--	---

			<p>activities on interested stakeholders and new areas. The format will be guides, manuals, templates, videos, and other documents and communication products adapted to the beneficiaries' characteristics and interests previously mapped. In that sense, it will include a cultural adaptation to the populations involved. We will design and prepare audiovisual content to achieve a better understanding. According to this, the documents and tools will be translated into local languages, that are spoken by beneficiaries and other stakeholders.</p> <p><b>CR5:</b></p> <p>The Profonanpe's Research and Development Office, in charge of planning and ensuring continuous improvement, institutional knowledge management, and ensuring compliance with environmental and social policies, is the unit in charge of the long-term sustainability of the website platform and will maintain its dissemination in coordination with Profonanpe's Communications Unit.</p>
--	--	--	---

			<p><b>CR6:</b> It is expected to develop 4 workshops in two regions, two in Huacho and two in Mancora. One workshop will be on the experience in the marine resources use developed by women producers through the production of biofertilizers based on fish residues and the other one will be on livelihood diversification to reduce resilience to climate change. These workshops will be similar in both regions but with a different objective public that includes communities and associations of coastal marine areas and other local stakeholders such as regional government authorities and institutions.</p> <p><b>CR7:</b></p> <p>The NIE was selected after a review process of all the adaptation projects in the Region approved by the Fund considering the following criteria:</p> <ul style="list-style-type: none"><li>• The components of the project: are related to the conservation and adaptation of marine-coastal ecosystems.</li><li>• Inclusion of diverse populations: the work with women and fishermen's associations, among</li></ul>
--	--	--	--

			<p>others, will be essential regarding the CMAP that has shown good results and could be replicated.</p> <ul style="list-style-type: none"> <li>Scalability and sustainability: good opportunities to stay on the time and be useful for others concerning valuable experiences.</li> </ul>
Resource Availability	3. Is the requested project funding within the cap for Learning Grants set by the Board?	<b>Yes.</b>	-
	4. If the implementing entity has requested, is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<b>Yes</b>	-
Duplication with AF project(s)/programme(s)'s learning activities	4. Do the proposed activities duplicate with the project/programme's learning activities as approved by the Board or do they duplicate activities financed from other sources of funding?	<p><b>Not cleared.</b></p> <p><b>CR8:</b> Clarify regarding on-going similar knowledge management through other funding sources in the target areas.</p>	<p><b>CR8:</b></p> <p>The CMPA's learning activities include information on reports, general guidelines, consultancy products, and scientific articles that are not systematized as a learning tool to be replicated by other stakeholders. So, this proposal intends to complement and improve these efforts.</p> <p>In the target areas, institutions like SPDA, USAID, WWF, Environmental Defense Fund (EDF), and Pro Delphinus work with artisanal fisheries, however, they</p>

			focus their work on the management of plastic waste, formalization of artisanal fisheries, and biodiversity management.
Implementation Arrangements	5. Is the timeframe for the proposed activities adequate?	<b>Yes</b>	-
	6. Is a summary breakdown of the budget for the proposed activities included? Is the proposed budget adequate and reasonable?	<b>Yes</b> , but needs some clarification on daily rates for what appears to be similar activities. Please refer to CR3 above.	-

Date:	January 27, 2023



**ADAPTATION FUND**

**APPLICATION FOR A GRANT TO FACILITATE LEARNING AND KNOWLEDGE SHARING**

The application template should be completed and transmitted to the Adaptation Fund Board Secretariat by email or fax.

The overall goal of learning grants is to help encourage a culture of learning across institutions and help build the capacities of national implementing entities (NIEs).

Please type in the responses using the template provided. The instructions in the annex to the template provide guidance to filling out the template.

Complete documentation should be sent to:

The Adaptation Fund Board Secretariat  
1818 H Street NW  
MSN N7-700  
Washington, D.C., 20433  
U.S.A  
Fax: +1 (202) 522-3240/5  
Email: [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org)

**A. PROJECT INFORMATION**Date of receipt: [Nov-22](#)Adaptation Fund Grant ID: **AFRDG00070**Country/ies: [Perú](#)National Implementing Entity: [Profonanpe](#)Amount of Financing Requested (in U.S Dollars Equivalent): [US\\$ 150,000.00](#)**B. Timeframe of Activity**

Expected start date	<a href="#">May-23</a>
Completion date	<a href="#">Jul-24</a>

**C. Proposed learning activities****C1. Describe the purpose of the learning grant (Maximum 100 words)**

Disseminating good practices and lessons learned from the "Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)" to exchange the learning generated by the fisher associations on climate change adaptation measures, sustainable use of marine resources developed by women producers through the production of biofertilizers based on fish residues, and livelihood diversification, to share it to others associations in coastal marine areas, public and private organizations developing marine projects, project's developers, universities and other interested actors. Likewise, the project will seek to bring the information generated on adaptation to climate change closer to decision-makers.

In addition, the learning grant will support collaborative learning with other NIEs.

The activities to be implemented under this grant will be:

- Connect the available information of the project about adaptation to climate change for decision-making actors.
- Good practices and lessons learned identification and organization related to adaptation measures implemented by main stakeholders
- Preparing dissemination documents and Implementing a platform for the exchange of good practices and lessons learned
- Workshops to exchange experiences and presentation of the platform
- Support collaborative learning and sharing with other NIEs

The CMAP's learning activities include information on reports, general guidelines, consultancy products, and scientific articles that are not systematized as a learning tool to be replicated by other stakeholders. So, this proposal intends to complement and improve these efforts.

**C2.** Using the table below, describe the activities to be undertaken to share knowledge with other NIEs or the wider climate adaptation community or to develop knowledge/guidelines through partnerships (Maximum 100 words for each activity)

Proposed Learning Activities	Description of activities (please provide short description)	Expected output of the activities	Country/Institution to share/transfer knowledge with/to or to develop guidelines for, including NIE(s)	Requested budget (USD)*	Tentative timeline (Completion date)
<p><i>Connect the available information of the project about adaptation to climate change for decision making actors.</i></p>	<p>Identification of key information from the CMAP Project related to <u>the main results and breakthroughs in adaptation practices that need to be supported, promoted or complemented under local and national policies to enhance and rise these actions for the sustainability of the project</u> The information will be prepare for officials and decision-makers from regional governments and ministries. It includes <u>systematization in simple language regarding the technologies and science base tools for climate risk implemented by the project, climate adaptation and ecosystem approach to fisheries; and for decision making actors.</u></p> <p><u>The Systematization method in this activity will be through primary data collection and interviews with the team project and project execution</u></p>	<p>-Matrix with the systematization of the information identified for decision making. - Communication products.</p>	<p>-Decision makers of national, regional and local Peruvian institutions: <u>-MIDAGRI - Ministry of Agrarian Development and Irrigation: Governing body in agricultural matters, actor that can promote the use of biofertilizers.</u> <u>-Regional Governments: actor that can promote the use of biofertilizers.</u> <u>- Ministry of production and decentralized offices: This entity can promote improvements in the commercialization of biofertilizers and mechanisms for sustainability in relation to traceability aspects.</u> <u>- Ministry of Foreign Trade and Tourism: this actor can promote the sustainable tourism activities that the project has been developing (marine-coastal ventures).</u> <u>- Ministry of Environment: The information systematized is useful for the NDC adaptation goals achievements.</u></p>	<p>14,000.00</p>	<p>August 23</p>

	<p>entities. A product of systematization is expected to develop of the information will be in tools and any other product of communication (policy briefs, templates, guidelines, and videos,) so the information can be available and connected to Decision-making actors of Perú and interested NIEs.</p>		-National implementing entities NIEs.		
<p><i>Good practices and lessons learned identification and organization related to adaptation measures implemented from main stakeholders.</i></p>	<p><u>Collection of information from the main stakeholders:</u> it consists in developing interviews in situ with at least the following stakeholders:</p> <ul style="list-style-type: none"> <li>a. Executing Entities (PRODUCE and IMARPE)</li> <li>b. Fishers' associations.</li> <li>c. Association of women fertilizer producers.</li> <li>d. Project Management Unit</li> </ul> <p>The interviews aim to identify success indicators, results, and main lessons learned from the CMAP project.</p> <p><u>Organization of the information collected:</u> It consists in reviewing and organizing the information about the main</p>	<p>-Database and interview instruments applied.</p> <p>-Matrix with the systematization of the information</p> <p>-Validation meeting report</p> <p>-Validated documents and database of good practices and lessons learned.</p>	<p>Good practices and lessons learned identification and systematization will be collected from:</p> <ul style="list-style-type: none"> <li>-Fishing and women associations of Mancora and Huacho</li> <li>-Women fertilizer producers of Huacho</li> <li>- Project Management Unit</li> <li>- Executing Entities (PRODUCE and IMARPE)</li> </ul>	19,000.00	Set-23

	<p>actions and results of the CMAP Project and the interviews developed.</p> <p><u>Validating the information with the stakeholders:</u> It is planned to hold at least one meeting to validate the preliminary results of information collection with the main stakeholders.</p>				
<p><i>Preparing dissemination documents and implementing a platform for the exchange of good practices and lessons learned</i></p>	<p><u>Elaboration of dissemination documents and tools from the good practices and lessons learned of the CMAP Project, using the information gathered in the field as part of component 2, will be analyzed and systematized to develop learning tools to replicate and improve the adaptation activities on interested stakeholders and new areas. The format will be</u> guides, manuals, templates, videos, and other documents and communication products adapted to the beneficiaries' characteristics and interests previously mapped.</p> <p><u>Content adaptation of the good practices and lessons learned for a platform, identifying and</u></p>	<p>-Audiovisual material and other communicational material -Online website available</p>	<p>-Fishing and women associations of coastal marine areas -Women fertilizer producers of coastal marine areas -National implementing entities that are developing similar projects -Project developers - Public and Private organizations interested in coastal marine projects. - Policy Makers -Universities and research institutions.</p>	<p>60,248.85</p>	<p>Feb-24</p>

	<p>adapting the technical information collected for the website platform, and presenting in a simple and agile language. <u>it will include a cultural adaptation to the populations involved. We will design and prepare audiovisual content to achieve a better understanding. According to this, the documents and tools will be translated into local languages, that are spoken by beneficiaries and other stakeholders.</u></p> <p><u>The main topics will be innovation, adaptation, climate risk, formalization, and associativity.</u></p> <p><u>Implementing the platform: Host the content developed regarding good practices of adaptation measures, including a self-guided virtual learning component for the interested population, such as associations, interested NIEs, project developers, and public and private organizations interested in coastal marine projects.</u></p>				
--	---	--	--	--	--

	<p><u>The Profonanpe's Research and Development Office, in charge of planning and ensuring continuous improvement, institutional knowledge management, and ensuring compliance with environmental and social policies, is the unit in charge of the long-term sustainability of the website platform and will maintain its dissemination in coordination with Profonanpe's Communications Unit. Also, Profonanpe will articulate the work on the platform with local governments to spread the lessons learned and the good practices.</u></p> <p><u>Validation and public availability: building capacities on the users (associations and other interested populations) for their efficient access to the information and testing correct operation of the online platform.</u></p>				
<p><i>Workshops to exchange experiences and</i></p>	<p><u>Workshops on adaptation practices learning:</u> Develop face-to-face workshops with interested</p>	<p>-Timelines and methodology tools</p>	<p>-Fishing and women associations of Mancora and Huacho</p>	<p>35,000.00</p>	<p>March-24</p>

<p><i>presentation of the platform</i></p>	<p>populations from coastal marine areas, CMAP Project beneficiaries, and other stakeholders to exchange the experiences of fishing associations and women associations in adaptation practices developed in the framework of the project (4 workshops in two regions, two in Huacho and two in Mancora. One workshop will be on the experience in the marine resources use developed by women producers through the production of biofertilizers based on fish residues and the other one will be on livelihood diversification to reduce resilience to climate change)</p> <p>This activity includes the designing of the methodology and materials for the development of the workshops considering translations if necessary.</p> <p><u>Webinars:</u> Virtual webinars to present the online platform and the information collected to the project-interested population and other stakeholders, such as NIEs that</p>	<ul style="list-style-type: none"> <li>- 4 face-to-face workshops reports</li> <li>- 4 action plans/roadmaps on how the knowledge will be used to enhance their resilience to climate change impacts.</li> <li>-2 virtual webinars</li> </ul>	<ul style="list-style-type: none"> <li>-Women fertilizer producers of Huacho</li> <li>-Communities and associations of coastal marine areas.</li> <li>-National implementing entities</li> <li>-Governmental institutions</li> </ul>		
--	--	---	--	--	--

	implement similar projects, and with the managers and teams of the projects that Profonanpe is in charge of.				
<i>Support collaborative learning and sharing with other NIEs</i>	<p><u>Study field trips to exchange experiences with other NIE:</u> Undertake two exchange trips of at least 3 people (including one representative from the beneficiaries associations of the project) to another NIE for a face-to-face exchange of experiences. The NIE was selected after a review process of all the projects in the Region considering the <u>following criteria:</u></p> <ul style="list-style-type: none"> <li>• <u>The components of the project: are related to the conservation and adaptation of marine-coastal ecosystems.</u></li> <li>• <u>Inclusion of diverse populations: the work with women and fishermen's associations, among others, will be essential regarding the CMAP that has shown good results and could be replicated.</u></li> </ul>	<p>- A report on lessons learned based on the field trip. - An action plan to implement the lessons learned in the CMAP Project or in a new intervention.</p>	<ul style="list-style-type: none"> <li>- Two beneficiary associations and a CMAP Project representative.</li> <li>- NIE</li> </ul>	10,000.00	June-24

	<ul style="list-style-type: none"> <li>Scalability and sustainability: good opportunities to stay on the time and be useful for others concerning valuable experiences.</li> </ul>				
Total project costs				138,248.85	
Implementing entity management fee requested**				11,751.15	
<b>Total Grant Requested (USD)</b>					<b>150,000.00</b>

\*Please also provide a detailed budget with budget notes, indicating the break-down of costs at the output level. Where an Implementing Entity (IE) management fee has been requested, the budget must include a budget with budget notes of the IE management fee

\*\*The Implementing Entity Management Fee requested should be at or below 8.5 per cent of the total project/programme budget before the fee.

**E. Implementing Entity**

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
Anton Willems Delanoy		01-09-2023	Claudia Godfrey	+51 989116549	cgodfrey@ profonanpe .org.pe

**F. Record of endorsement on behalf of the government**

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

<i>(Enter Name, Position, Ministry)</i> Milagros Sandoval Díaz Head of the General Directorate of Climate Change and Desertification Ministry of the Environment Designated Authority	<i>Date: (Month, day, year)</i> January 9, 2023
---	--

<p align="center"><b>Detailed Budget - Learning Grant Profonampe</b>  <b>Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)</b></p>							
Output	Activity	Notes	Unit	# of Unit	Unit Cost	Amount Year 1 (USD)	Total Amount (USD)
<b>Component 1</b>							
Outcome 1: Connect the available information of the project about adaptation to climate change for decision making actors.							
Output 1.1 Matrix with the systematization of the information identified for decision making	Identification of key information from the CMAP Project and Systematization of the information in tools and any other product of	Consultant	W/Day	75	150	11,250.00	11,250.00
Output 1.2 Communication products.	Communication product design	Consultant for communication strategy.	W/Day	27.5	100	2,750.00	2,750.00
<b>Total Component 1</b>						<b>14,000.00</b>	<b>14,000.00</b>
<b>Component 2</b>							
Outcome 2 Good practices and lessons learned identification and organization related to adaptation measures implemented from main stakeholders							
Output 2.1 Database and interview instruments applied.	Developing interviews in situ with stakeholders:	Consultants	W/Day	30	250	7,500.00	7,500.00
Output 2.2 Matrix with the systematization of the information	Organization of the information collected	Consultant	W/Day	18	153	2,750.00	2,750.00
Output 2.3 Validated documents and database of good practices and lessons learned	Validating the information with the stakeholders, validated documents and database of good practices.	Consultant	W/Day	35	250	8,750.00	8,750.00
<b>Total Component 2</b>						<b>19,000.00</b>	<b>19,000.00</b>
<b>Component 3</b>							
Preparing dissemination documents and implementing a platform for the exchange of good practices and lessons learned							
Output 3.1 Audiovisual material and other communicational material	Elaboration of dissemination documents and tools from the good practices and lessons learned of the CMAP Project	Consultant for communication strategy.	W/Day	90	240	21,600.00	21,600.00
	Content adaptation of the good practices and lessons learned for a platform	Consultant for communication strategy.	W/Day	55	150	8,250.00	8,250.00
Output 3.2 Online website available	Implementing the platform	Professional Services for implementing the platform	Lumpsum			25,399	25,398.85
	Validation and public availability	Consultant	W/Day	50	100	5,000.00	5,000.00
<b>Total Component 3</b>						<b>60,248.85</b>	<b>60,248.85</b>
<b>Component 4</b>							
Workshops to exchange experiences and presentation of the platform							
Output 4.1 Timelines and methodology tools	Designing of the methodology and materials for the development the workshops	Consultant	W/Day	30	200	6,000.00	6,000.00
Output 4.2 4 face-to-face workshops reports and 4 action plans/roadmaps on how the knowledge will be used to enhance their resilience to climate change impacts.	Workshops on adaptation practices learning and development of action plans/roadmaps	Workshops (includes venue, materials, coffee break, travels for beneficiaries, DSA, technical assistance for the action plan)	Workshop	4	6,500	26,000.00	26,000.00
Output 4.4 2 virtual webinars	Virtual webinars to present the online platform and the information collected	Webinars	Webinars	2	1500	3,000.00	3,000.00
<b>Total Component 4</b>						<b>35,000.00</b>	<b>35,000.00</b>
<b>Component 5</b>							
Support collaborative learning and sharing with other NIEs							
Output 5.1 A report on lessons learnt based on the field trip.	Study field trips to exchange experiences with other NIE	Visit of study field (3 people)	Lumpsum			8,000.00	8,000.00
Output 5.2 An action plan to implement the lessons learnt in the CMAP Project or in a new intervention	Development an action plan	Consultant	W/Day	20	100	2,000.00	2,000.00
<b>Total Component 5</b>						<b>10,000.00</b>	<b>10,000.00</b>
<b>Project Activities Cost (PAC)</b>						<b>138,248.85</b>	<b>138,248.85</b>
Implementing entity fee						11,751.15	11,751.15
<b>Total amount of funding requested</b>						<b>150,000.00</b>	<b>150,000.00</b>



Lima, 09 de enero de 2023

**LETTER N° 00003-2023-MINAM/VMDERN/DGCCD**

Messrs.

**The Adaptation Fund Board**  
 c/o Adaptation Fund Board Secretariat  
 Washington  
 United States  
 Email: Secretariat@adaptation-fund.org

**Subject :** Endorsement to the Learning Grant proposal for the project "Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)".

The Ministry of the Environment of Peru is the governing body of the National Climate Change Strategy of Peru and is the ministry in charge of informing the United Nations Framework Convention on Climate Change (UNFCCC) on the commitments of Nationally Determined Contributions (NDC). Within this framework, the proposal of Learning Grant for the project "Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)" has been evaluated to be presented to the Adaptation Fund. This proposal of Learning Grant rescues the project lessons learned and disseminate them, specifically the successful experiences that are more predictable to be replicated and scaled at the level of the entire Peruvian coast, including in continental waters.

In this vein, I am pleased to endorse the Learning Grant proposal mentioned above with support from the Adaptation Fund. If approved, we will ensure that the proposal is aligned with our climate change adaptation targets and that is duly coordinated between the Ministry of Environment and PROFONANPE.

We appreciate your attention very much and thank you for your kind consideration.

Sincerely yours,

**Milagros Sandoval Díaz**  
 Head of the General Directorate of Climate Change and Desertification  
 Ministry of the Environment  
 Designated Authority

File number: 2022074239

This is an authentic printable copy of a document filed in the Ministry of the Environment, applying the provisions of Art. 25 of S.D. 070-2013-PCM and the Third Final Complementary Provision of the S.D. 026-2016-PCM. Its authenticity and integrity can be verified at the website: <https://ecodoc.minam.gob.pe/verifica/view> with the following password: c7729e