



Annual Performance Report 2022



ADAPTATION FUND

*Abdelrhman Saad and his
father-Wheat harvest day-
Aswan (Photo by WFP)*

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ACRONYMS

AF	Adaptation Fund
AFCIA	Adaptation Fund Climate Innovation Accelerator
APR	Annual Performance Report
COP	Conference of the Parties
CPDAE	Community of Practice for Direct Access Entities
DA	Designated Authority
DRR	Disaster Risk Reduction
EBA	Ecosystem-based Adaptation
ESP	Environmental and Social Policy
FY	Fiscal Year
GCF	Green Climate Fund
GP	Gender Policy
GAP	Gender Action Plan
IE	Implementing Entity
ISGAP	Innovation Small Grant Aggregator Platform
LAC	Latin America and the Caribbean
LDCs	Least Developed Countries
LLA	Locally Led Adaptation
MIE	Multilateral Implementing Entity
MTS	Medium-term Strategy
NIE	National Implementing Entity
PFA	Project Formulation Assistance Grant
PFG	Project Formulation Grant
PPR	Project Performance Report
RIE	Regional Implementing Entity
SDGs	Sustainable Development Goals
SIDS	Small Island Developing States
SSC	South-South Cooperation
TA-ESP	Technical Assistance for the Environmental and Social Policy
TA-ESGP	Technical Assistance for the Environmental and Social Policy and Gender Policy
TA-GP	Technical Assistance for the Gender Policy
UNFCCC	United Nations Framework Convention on Climate Change

I. Introduction

The Adaptation Fund Board (the Board) adopted at its thirtieth meeting in October 2017 the Medium-term Strategy 2018-2022 to enhance and strengthen the focus of the Fund to better serve parties to the UN Framework Convention on Climate Change (UNFCCC) and those most vulnerable to climate change. The Strategy is built strongly and refined around the Sustainable Development Goals (SDGs) and aimed at serving the Paris Agreement. It affirms what the Fund has already been excelling in: supporting vulnerable developing countries in adapting to climate change based on three strategic pillars: Action, Innovation, and Learning and Sharing.

To apply for funding, countries must submit proposals through accredited institutions assessed by independent experts of the Fund's Accreditation Panel. Implementing entities can be national (NIE), regional (RIE) or multilateral (MIE). Accredited implementing entities can apply for funding of up to US\$ 20 million per country for concrete, single country, adaptation projects or programmes for a maximum amount of US\$ 10 million per project. RIEs and MIEs can also apply for funding for regional projects/programmes or for a maximum of US\$ 14 million per project. In addition, NIEs can access funding outside of their country cap, for enhanced direct access projects up to US\$ 5 million per project, for large innovation grants of up to US\$ 5 million, for small innovation grants of up to US\$ 250,000 and for, learning grants and project scale-up grants for respectively US\$ 150,000 and US\$ 100,000. The Adaptation Fund Board has also made available several small grants to NIEs, such as Readiness Package Grants, that is available for the accreditation of NIEs up to a maximum of US\$ 150,000 per country, Project Formulation Grants (PFG) up to US\$ 50,000¹ and Technical Assistance (TA) grants for the Environmental and Social Policy (ESP) and the Gender Policy (GP), for up to a maximum of US\$ 25,000 and US\$ 10,000 respectively, and which fall also outside of the country cap.

To ensure that NIEs and RIEs could access at least the same total amount of financing as MIEs, the Board placed a 50 per cent cap on financing for MIE proposals in 2010². If the cumulative funding for MIE proposals would reach the 50 per cent cap, additional MIE proposals, once technically cleared by the Board, would be placed in a waitlist and funded when additional funds were received by the Fund³. In addition, it is key to highlight that with the current country cap of US\$ 20 million for regular single country projects and programmes, 90.4 per cent of countries which

accessed funding through single country projects and programmes, have met one or both conditions making them eligible to request additional funding, as per Board Decision B.36/41⁴ (a detailed list is presented in Annex 2).

By the end of the reporting period, the Board had accredited 14 MIEs, nine RIEs, and 34 NIEs. Among the 34 NIEs, ten were from Least Developed Countries (LDCs) and seven were from Small Island Developing States (SIDS). With respect to the geographic coverage of the 34 NIEs and nine RIEs, 16 entities were from Latin America and the Caribbean, 15 were from Africa, 11 were from Asia-Pacific, and one entity was from Eastern Europe.

As of 30 June 2022, a total of 132 projects were approved for funding for concrete projects and programmes, representing a total amount of US\$ 923.5 million (this excludes the approved innovation grants), and 90 Project Formulation Grants (PFG) and five Project Formulation Assistance grants (PFA), representing a total of US\$ 3.9 million⁵. A total of US\$ 562.3 million⁶ for concrete projects and programmes has been transferred to implementing entities, representing a disbursement rate of 61 per cent. This figure has slightly improved from last year APR which reported a disbursement rate of 55.4 per cent of approved amount. Of the 132 projects had been approved to date, 38 are being or have been implemented by NIEs, 15 by RIEs, and 79 by MIEs. Detailed description of project breakdown by IEs is illustrated in Annex 3.

The Annual Performance Report (APR) for the Fiscal Year 2022 (FY22) confirms the maturation of the overall portfolio of active projects since the Fund approved its first project in 2010, with 86 projects under implementation, for a total grant amount of US\$ 604.2 million, and 82 projects having submitted at least one project performance report (PPR) as of 30 June 2022. Forty-three projects have submitted a mid-term review, and 33 projects have been completed and have submitted terminal evaluation reports as of 30 June 2022.

The present report describes the progress made in each of the current MTS pillars during the reporting period, as well as in cross-cutting themes. It provides an analysis of project approvals through 30 June 2022, of expected results from approved projects, a summary of progress made for projects under implementation in FY22, and a presentation of the management effectiveness and efficiency indicators for the Fund.

1. Following Board decision B.37/1, the Project Formulation Assistance Grants (PFA) have been discontinued and the total amount for each PFG for single country projects, increased from US\$ 30,000 to US\$ 50,000.

2. The 50 per cent cap on the funding of project/programmes implemented by MIEs was established by [Decision B.12/9](#).

3. A waitlist of fully developed projects/programme that have been recommended by the PPRC for approval by the Board, but exceeding the 50 per cent cap, was established by [Decision B.17/19](#).

4. According to Decision B.36/41, eligible countries can access up to US\$ 20 million provided either (i) they accessed at least US\$ 8 million for a concrete single country project/programme; or (ii) once four years had passed since the approval of the first concrete single country project/programme by the Board.

5. All amounts are in US dollars. The figures above include implementing entity fees but not project formulation grants.

6. This amount does not include the cash transfers made for the small grants for innovation, learning and projects scale up, as well as excludes the transfer made for the readiness grants and the Project Formulation Grants.

Section I - Action

Under the action pillar, the Adaptation Fund supports eligible countries to undertake high quality projects and programmes consistent with their priority needs, goals and strategies.

This section includes an overview of the cumulative projects and programmes approvals as of 30 June 2022, the Fund's investments distribution among regions and sectors, provides information on the Fund's investments at outcome level and tracks the impact of the Fund in each region. Table 1 below provides a summary of key figures for the reporting period.

Project and Programme Approvals

Since the Board's first review of proposals in June 2010 through 30 June 2022, the Fund has approved a total of 132 projects⁸. Infographic 1 provides a detailed breakdown of projects approved by region as of 30 June 2022.

These approved projects span across four regions – Africa, Latin America and the Caribbean, Asia-Pacific and Eastern Europe. As indicated in the infographic 1, the largest amount of grant funding approved thus far has been to the Africa region (42 per cent of the total portfolio), followed by Asia-Pacific (28 per cent of total portfolio) and by Latin America and the Caribbean (26 per cent of total portfolio). So far there have been six projects approved in Eastern Europe or three per cent of total portfolio.

Table 1: Adaptation Fund at a Glance⁷ (As of 30 June 2022)

APPROVALS CUMULATIVE										
Number of projects approved	132									
	US\$ million									
Grant amount (excluding fees and execution costs)	790.2									
Execution costs	63.1									
Entity fees	70.2									
Grant amount approved	923.5									
Entity fees as percentage of total grant amount approved	7.60%									

APPROVALS BY FY										
	FY 13	FY 14	FY15	FY16	FY17	FY18	FY19	FY20	FY21	FY22
Number of projects approved	5	5	11	5	12	10	11	23	14	11
	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million
Grant amount (excluding fees and execution costs)	28	30.5	64.3	18.9	71.7	49	74.7	155.9	77	78.7
Execution costs	1.9	2.1	5.6	1.4	6.5	4.4	5.2	10.1	6.3	7
Entity fees	2.4	2.6	5.8	1.4	6.7	4.5	6.7	13.9	6.6	7.2
Grant amount approved	32.3	35.2	75.7	21.7	84.9	57.9	86.6	179.9	89.9	92.9
Entity Fees as percentage of total grant amount approved	7.2%	8.0%	8.3%	6.8%	7.0%	7.8%	7.6%	7.7%	7.3%	7.7%

7. Figures below refer to concrete regular adaptation projects only and do not include other forms of grants such as project formulation grants, readiness grants and grants under the MTS.

8. This amount excludes the project (one project) that was cancelled after approval.

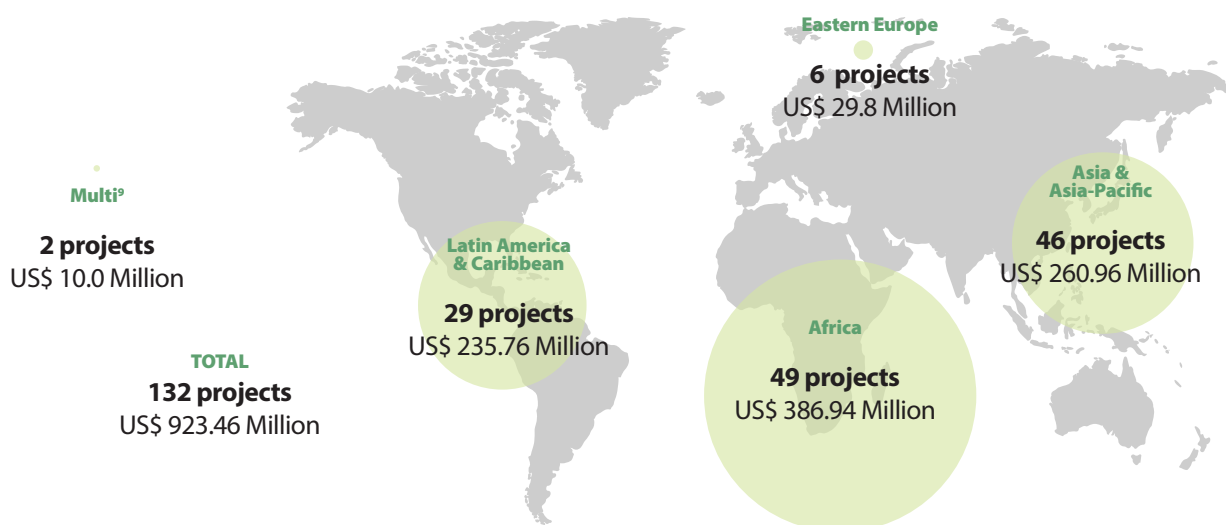
Section I - Action

Out of these, 41 projects are from least developed countries (LDCs) and 22 from Small Island Developing States (SIDS)¹⁰ corresponding to 48 per cent of the total portfolio – with Comoros, Guinea-Bissau, Haiti and Solomon Islands included in both groups.

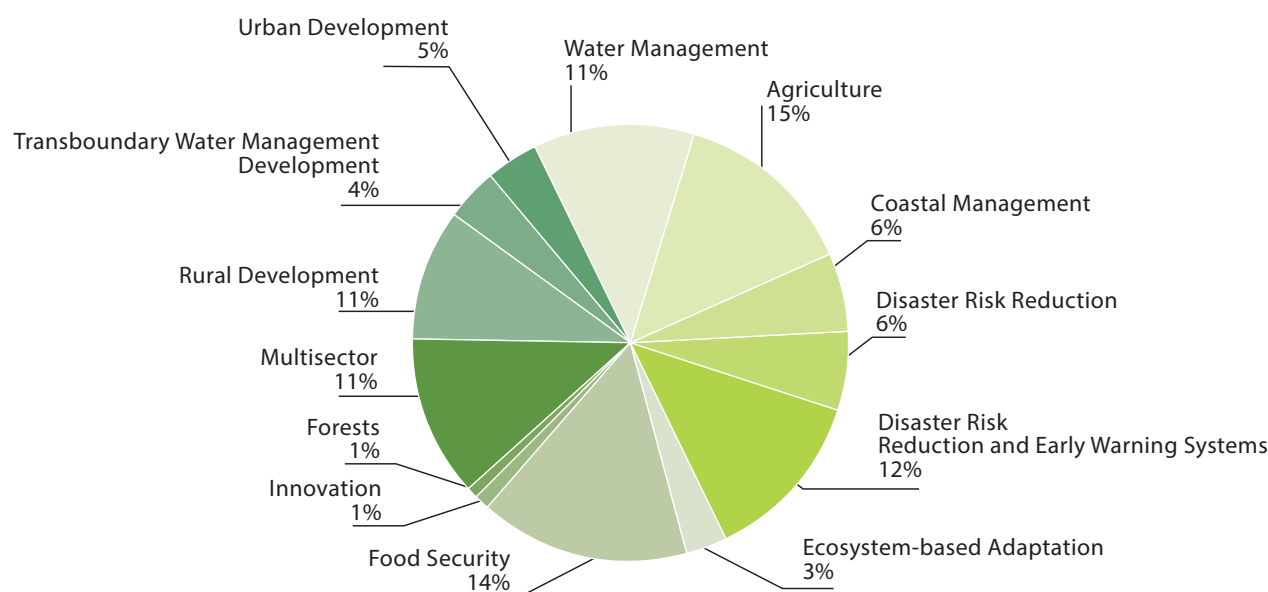
In terms of sector distribution for the approved projects, the largest grant amount is allocated to projects in the

agriculture sector, with US\$ 134.8 million approved (14.6 per cent), followed by food security with US\$ 132.2 million (14.3 per cent), and disaster risk reduction and early warning systems, with US\$ 112.3 (12.2 per cent). The graph 1 below provides a comprehensive breakdown of total grant amounts approved by sector (including the regional projects/programmes). A full list of approved projects as of 30 June 2022 is provided in Annex 3.

Infographic 1: Total Projects and Grant Amount Approved by Region (as of 30 June 2022)



Graph 1: Adaptation Fund Investments by Sector as of 30 June 2022 (US\$ Million)



9. This refers to the global projects for the establishment of the MIE Innovation Aggregator by UNDP and UNEP.

10. Figures for projects implemented in LDCs and SIDS, include also the relevant regional projects/programmes, even though the totality of the countries in which they are implemented are not included in any of the two lists.

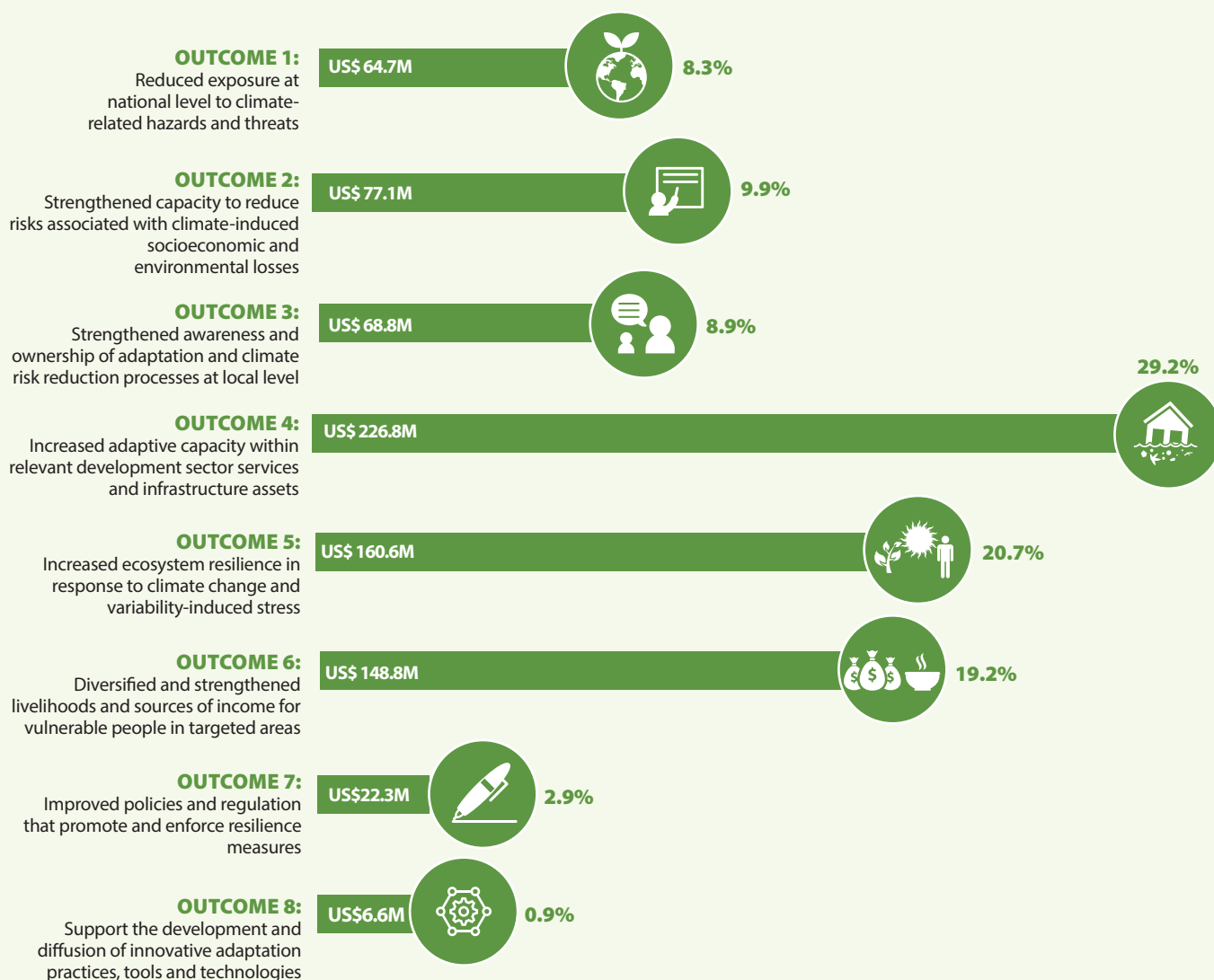
Section I - Action

Strategic Results Framework

In line with the Fund's mandate to finance concrete adaptation projects and since the starts of its operation in 2010, the Fund consistently channelled the largest amount of grant funding toward outcome 4 as defined in the strategic results framework (SRF), namely "Increased adaptive capacity within relevant development and natural resource sectors" for a total amount of US\$ 226.8 Million or 29.2 per cent of the portfolio, followed by outcome 5, "Increased ecosystem resilience in response to climate change" for a total US\$ 160.6 Million or 20.7 per cent of the portfolio and outcome 6, "Diversified and strengthened livelihoods and sources of income for vulnerable people in

targeted areas" for a total amount of US\$148.8 Million or 19.2 per cent of the portfolio. Many of the activities associated with concrete measures often fall within these two outcome areas – such as restoration of ecosystem services, investment in coastal protection infrastructure, or increased access to irrigation water and production schemes. A revised version of the Strategic Results Framework (SRF) was approved by the Board through decision B.33/46, in light of the ad hoc readiness results framework and the MTS new strategic areas, including a new strategic outcome "Support the development and diffusion of innovative adaptation practices, tools and technologies".

Infographic 2: Grant Amount Programmed by Adaptation Fund Results Framework Outcome Amongst Approved Projects/ Programmes (as of 30 June 2022)¹¹



11. The table does not include project execution costs, management fees or any project level outputs that have not been explicitly aligned with the Adaptation Fund results framework.

Section I - Action

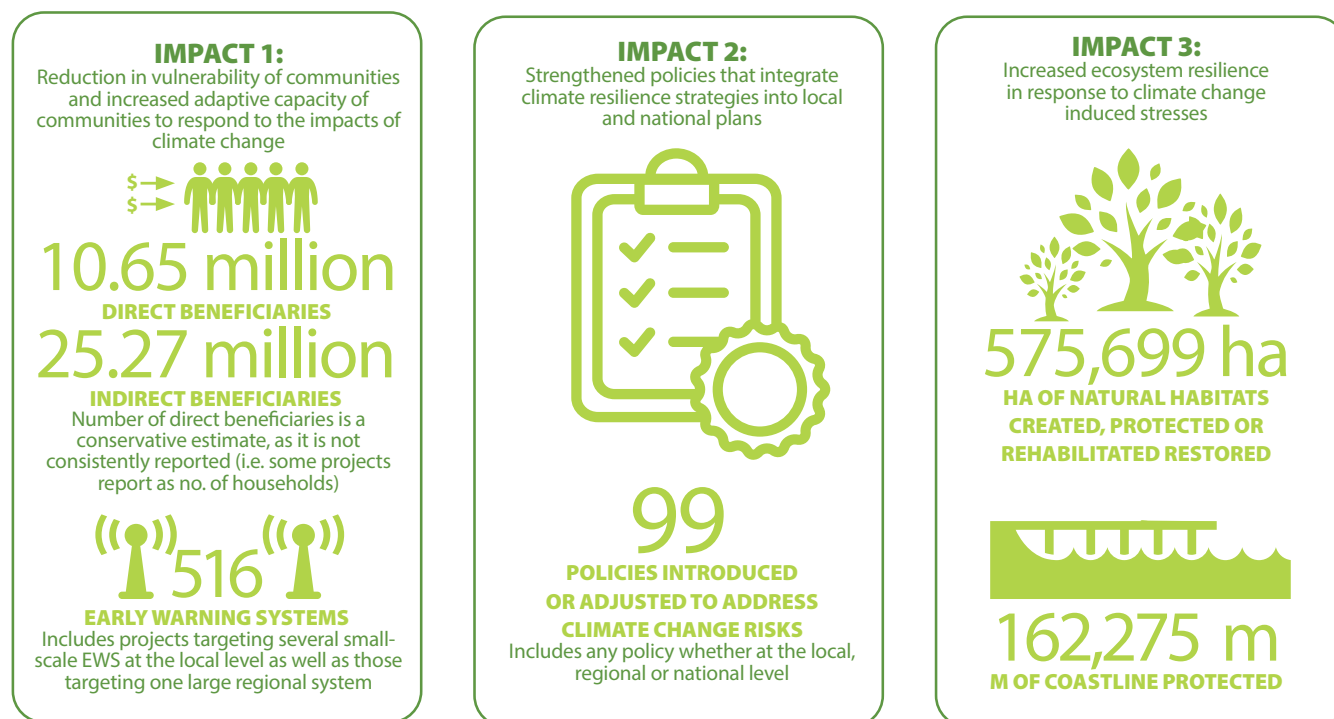
Core Impact Indicators

Given the flexible nature of the Fund's results framework whereby project proposals are only required to report at least on one Fund level outcome, the Board has approved two impact-level results and five associated core indicators to track under these impacts, that allow the Fund to aggregate quantitative indicators at portfolio level¹². For the current report, the secretariat extracted expected results from all 132¹³ approved project proposals and presented the targets by region. The progress on the core impact indicators is reported in infographic 3 below.



Water cistern transported in the outer islands (Photo by Climate Change Cook Islands)

Infographic 3: Preliminary Aggregation of Fund Indicators (as of 30 June 2022)¹⁴



12. The core indicators were approved at the Board's twenty-fourth meeting and are expected to help the secretariat report on the expected results from the Fund's approved portfolio. For those projects reporting on no of households, the secretariat has taken the average household figure of the country to multiply by no of households targeted.

13. Approved projects for the Multilateral Implementing Entities Innovation Aggregator are not included in this analysis.

14. The preliminary aggregation of Funds indicators is based on the planned results included in the approved project documents.

Section I - Action: Africa

Current Portfolio and Approvals by Region during FY 22



Grain banks with chicken gardens on top in the Volta Basin area (Photo by the Adaptation Fund)

Since June 2010, the Board has approved a total of 49 projects in the Africa region covering 43 countries. The largest grant amount has gone to projects in the food security sector with a grant allocation of US\$ 90.6 million, followed by disaster risk reduction and early warning systems for US\$ 60.5 million, projects in agriculture for US\$ 57.9 million, followed closely by investments in rural development amounting to US\$ 57.6 million. During the current reporting period, the Board approved two single country and three regional projects in Africa.

An example of an investment in transboundary water management is the project “Resilience building as climate change adaptation in drought-struck South-western African communities” in [Angola and Namibia](#) implemented by the Sahara and Sahel Observatory (OSS). The aim of the project is to enhance adaptation capacity and resilience of communities to climate change impacts and variability in the South African Development Community (SADC) transboundary region. It is estimated that overall, the project will directly benefit 6,500 small-

scale farmers (50 per cent women), and their families (more than 36,000 people) through concrete adaptation interventions, including through the establishment and strengthening of community-based and farmer-based organizations for production and water management, and through the provision of inputs to farmers to implement infrastructure for production, water capture and retention systems.

Another example is the project titled, “Increasing Rural Communities’ Adaptive Capacity and Resilience to Climate Change in Bandama Basin in [Côte d’Ivoire](#)” implemented by IFAD that aims to the implement of a set of concrete adaptation interventions targeted to three profitable agricultural production systems (rice, cassava and cocoa) in the Bandama basin. The project will support the provision of data, information and knowledge to support decisions taken by farmers in choosing appropriate adaptation measures combined with direct support through extension services to ensure rapid adoption and scaling-up to the largest number of recipients.

AS OF JUNE 30, 2022

49
TOTAL
NUMBER OF
PROJECTS

4.24 million
DIRECT
BENEFICIARIES

43
NUMBER OF
COUNTRIES
WITH PROJECTS

11.96 million
INDIRECT
BENEFICIARIES

27,035 mts
METERS OF COASTLINE
PROTECTED

130,935 ha
NATURAL
HABITATS
PROTECTED (HA)

332
EARLY WARNING
SYSTEMS

Section I - Action: Latin America and the Caribbean

Current Portfolio and Approvals by Region during FY22

In the Latin America and the Caribbean (LAC) region, the Board has approved 27 projects in 19 countries up to June 30, 2021 with the largest allocation to food security projects/programs for US\$ 52.2 million, followed by US\$ 43.8 million towards DRR projects/programs, and by US\$ 36 million towards water management. There were no new approved projects in the LAC region during the reporting period¹⁵.

In the Latin America and the Caribbean (LAC) region, the Board has approved 29 projects in 22 countries up to June 30 2022, with the largest allocation to investments in the sector of agriculture for US\$ 35.4 million, followed by US\$ 35.3 million towards disaster risk reduction and early warning systems projects, and by US\$ 31 million towards water management. There were two approved projects in the LAC region during the reporting period .

In [Haiti](#) the project “Implementing Measures for Climate Change Adaptation and Disaster Risk Reduction Mitigation of School Facilities” implemented by UNESCO, aims to enhance the adaptive capacity and resilience of the Haitian education sector to disaster risk of natural hazards related to climate change, through the establishment of an appropriate risk assessment tool, schools retrofitting and implementing adaptation actions. The project expects to benefit about 1.5 million people living in the selected communities and about 150,000 students.

The project “Multisectoral Adaptation Measures to Climate Change in the South Oropouche River Basin for Flood Relief” in [Trinidad and Tobago](#) implemented by the Development Bank of Latin America (CAF), aims to increase the resilience of the South Oropouche River Basin’s population and ecosystems to flooding, sea level rise and expected increasing water deficit events. The



Water reservoir used for irrigation and livestock in Costa Rica
(Photo by the Adaptation Fund)

proposed activities will strengthen territorial planning and risk management, will promote investments for enhancing water infrastructure, EbA measures in vulnerable and coastal ecosystems, increase the adaptive capacity of vulnerable farmers and fisherman to diversify and strengthened their livelihoods, and build capacities.

29
TOTAL
NUMBER OF
PROJECTS

22
NUMBER OF
COUNTRIES
WITH PROJECTS

3.25 million
DIRECT
BENEFICIARIES

5.14 million
INDIRECT
BENEFICIARIES

83,990 mts
METERS OF COASTLINE
PROTECTED

170,041 ha
NATURAL
HABITATS
PROTECTED (HA)

AS OF JUNE 30, 2022

129
EARLY WARNING
SYSTEMS

15. During the reporting period three proposals at concept stage, which have been submitted for the Board's consideration, were endorsed.

Section I - Action: Asia-Pacific

Current Portfolio and Approvals by Region during FY 22



Women adopting climate smart agricultural strategies in the North Western Himalayan region
(Photo by Development Research Foundation)

Since 2010, the Board has approved 46 projects in the Asia-Pacific region in 29 countries with the largest allocation in the urban development sector for US\$ 42.4 million, followed by US\$ 40.3 million in disaster risk reduction, US\$ 36.8 for project in agriculture, and US\$ 27.9 million for multi sector projects. During the current reporting period, the Board approved four single country projects in the Asia-Pacific region.

As an example, the project “Enhancing the adaptation capability of coastal community in facing the impacts of climate change in Negeri (village), Asilulu, Ureng and Lima of Leihitu District Maluku Tengah Regency Maluku Province” implemented in [Indonesia](#) by Kemitraan, aims to improve the level of adaptability and resilience, as well as to eliminate climate change vulnerability experienced by coastal communities in three Negeri/Villages, utilizing sustainability

principles in managing and leveraging the coastal ecosystem region. The goal is to benefit around 2,980 people directly and 10,500 indirectly. In addition, the project aims to restore 500 meters of coastal embankment structure.

In [Malaysia](#), the project “Nature-based Climate Adaptation Programme for the Urban Areas of Penang Island” implemented by UN-Habitat, seeks to enhance urban resilience and reduce human and ecosystem health vulnerability to climate change impacts and extreme weather events by implementing nature-based solutions in order to improve stormwater management to reduce flooding, as well as improving microclimatic regulation, reducing the urban heat island effect and overall temperatures for the urban areas of Penang Island. This pilot project is expected to be scaled up in other cities in Malaysia and Southeast Asia.

46
TOTAL
NUMBER OF
PROJECTS

29
NUMBER OF
COUNTRIES
WITH PROJECTS



2.72million
DIRECT
BENEFICIARIES

6.35 million
INDIRECT
BENEFICIARIES



51,250 mts
METERS OF COASTLINE
PROTECTED



263,749 ha
NATURAL
HABITATS
PROTECTED (HA)

AS OF JUNE 30, 2022



54
EARLY WARNING
SYSTEMS

Section I - Action: Eastern Europe

Current Portfolio and Approvals by Region during FY21



Seedling distribution beneficiary in Armenia (Photo by EPIU)

In Eastern Europe, the Board has approved six projects including five single country and one regional project, in seven countries. The largest allocation is in the DRR sector for US\$ 9.9 million, followed by US\$ 6 million towards food security, US\$ 5.3 million toward water management

projects/programs, US\$ 4.7 million towards agriculture, US\$ 2.5 million towards forestry, and US\$ 1.4 million in urban resilience projects. During the current reporting period there were no new approved nor completed projects in this region.

AS OF JUNE 30, 2022

6
TOTAL
NUMBER OF
PROJECTS

7
NUMBER OF
COUNTRIES
WITH PROJECTS



440,000 thousand
DIRECT
BENEFICIARIES



1.83 million
INDIRECT
BENEFICIARIES



10,975 ha
NATURAL
HABITATS
PROTECTED (HA)



1
EARLY WARNING
SYSTEMS

Section II - Innovation

Under the innovation pillar the Adaptation Fund foresees targeted funding for activities related to innovation, such as support for calling up proven innovative adaptation practices and technologies across countries or regions. Practical knowledge and lessons will also be captured and communicated from effective Fund activities and impact themes. These objectives are supported through the establishment of an Innovation Facility, which includes small and large grants. The small grants are awarded to vulnerable developing countries through two routes: directly through national implementing entities (NIEs) particularly to those countries that have accredited NIEs, and through an MIE aggregator delivery mechanism to other entities (organizations, groups, associations, institutions, businesses, agencies, etc.) that are not accredited with the Fund.

This section summarizes the achievements of the fund under the innovation pillar, providing an overview of the innovation proposal submissions and brief overview of the Fund's work in this sector.

Innovation Grant Approvals

As part of the direct access route, the Fund launched the first call for innovation small grants in December 2018. This funding opportunity makes available grants of up to US\$ 250,000 for NIEs only, to support the development and diffusion of innovative adaptation practices, tools, and technologies.

During the reporting period, the Board approved two small grants for innovation through Direct Access. Approved funding decisions for innovation small grants, which amounted to US\$ 500,000.

In [Bhutan](#), the small innovation grant project “Building Adaptive Capacity through Innovative Management of Pests/Disease and Invasive Alien Species (IAS) in Bhutan to Enhance Sustainable Agro-Biodiversity and Livelihoods” for total amount of US\$ 250,000 implemented by the Bhutan Trust Fund for Environmental Conservation (BT FEC) aims to promote agro-biodiversity activities through efficient and effective management of pests/diseases and invasive alien species (IAS). Specifically, the project aims to test trapping systems to eradicate Giant African Land Snails, develop strategies and models for sustainable management of pest/diseases and IAS and generate awareness and build capacity of farmers and other stakeholders on the problems and management of pests/diseases and IAS. The project will apply innovative methods through application of an innovative GALS trapping technology successful in Florida that will be adapted to suit Bhutan's conditions for pest management.



Thanks to solar energy stations among farming communities, farmers are saving up to 60% on energy costs, using renewable energy in place of diesel fuel (Photo by WFP)

Secondly, these methods will be documented in the form of field reports, pest management protocols and guidelines and disseminated to wider stakeholders for up-scaling successful trapping systems for IAS. .

In [Uganda](#), the project “Enhancing Resilience to Climate-induced Flooding and Drought through the Deployment of a Water-filled Barrier” for total amount of US\$ 250,000 is implemented by the Ministry of Water and Environment (MOWE) of Uganda.

The project aims to increase the resilience of communities to the risk of floods and droughts at the Obongi district through the deployment of a scalable water-filled barrier technology known as SLAMDAM to prevent flooding and simultaneously store and harvest water. The project will increase the adaptation capacity of the pilot local population and the resilience of the ecosystems, while improving water availability for times when there is drought. This will be achieved through an assessment of flood and drought risk profile and anticipated benefits from flood resilient solutions using innovative intelligence software, development and implementation of the SLAMDAM-technology to manage the identified flood and drought risks effectively, developing and strengthening climate change adaptive capacities of institutions and communities and promoting the flood intelligence software and the SLAMDAM-technology as effective measures to enhance resilience to floods and drought.

Section II - Innovation

Large Innovation Projects

Large Innovation Projects, under the Innovation Facility of the Adaptation Fund, makes available grants of up to US\$ 5 million to NIEs, RIEs and MIEs starting with the first request for proposals under an indicative set-aside of US\$ 30 million, approved at second session of the thirty-fifth meeting of the Adaptation Fund Board in October 2020.

For the large innovation projects specifically, two expected results have been identified by the MTS implementation plan:

- i) Successful innovations rolled out. Innovative adaptation practices, tools and technologies that have demonstrated success in one country spread to new countries/regions;
- ii) Viable innovations scaled up. Innovative adaptation practices, tools and technologies that have demonstrated viability at a small scale piloted at larger scales.

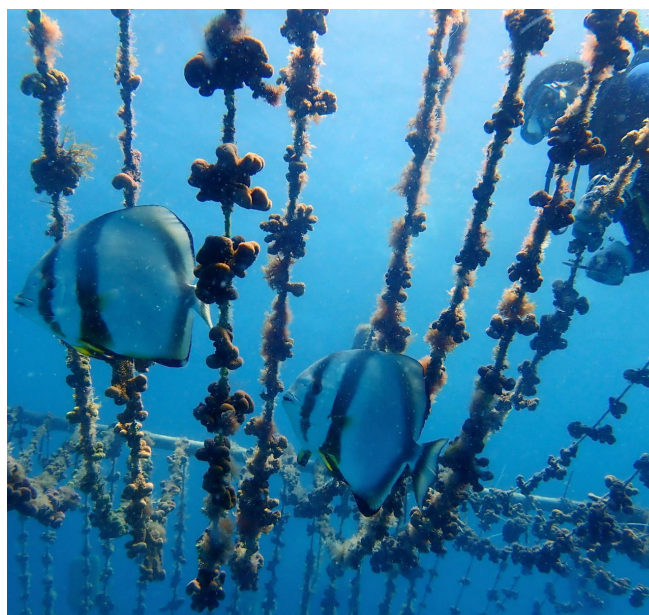
During the current fiscal year covering the thirty-seventh and thirty-eight Board Meetings, the secretariat reviewed a total of seven large innovation proposals, which included single country and regional large innovation proposals.

The two Adaptation Fund Climate Innovation Accelerator (AFCIA) programmes i.e., “Adaptation Fund - Special Financing Window in Support of Innovation for Adaptation” implemented by UNEP and the “Adaptation Fund-UNDP Innovation Small Grant Aggregator Platform (ISGAP)” implemented by UNDP that began implementation during Fiscal Year 2021, issued the grant awards under their respective funding windows for the first call for proposals. Details on small grants approved are presented below:

Adaptation Fund-UNDP Innovation Small Grant Aggregator Platform (ISGAP)

Implementation status

The [AF-EU-UNDP](#) Innovation Small Grant Aggregator Platform (ISGAP) aims to support the development, diffusion and evidence building of innovative adaptation practices, tools, and technologies in developing countries. ISGAP is designed to meet this objective through an effective and efficient backbone management architecture and network of global best practitioners to (i) competitively source and screen innovative adaptation project ideas; (ii) grant funding and administering to



Coral nurseries in the Seychelles (Photo by UNDP)

bring selected project ideas to fruition; (iii) provision of customized technical and business development capacity building, incubation, and acceleration support; and (iv) knowledge management and sharing and result-based monitoring and evaluation.

22 grantees were awarded under the first call for proposals issued by UNDP.

Special Financing Window in Support of Innovation for Adaptation implemented by UNEP

Implementation status

The primary objective of this five-year programme is to support countries to test, evaluate, roll out and scale up innovative adaptation practices, products and technologies. It does so by providing micro-grants to eligible beneficiary countries (developing countries without direct access to Adaptation Fund grants). Micro-grants are used to support the development and diffusion of innovative adaptation practices, tools, and technologies through the provision of technical assistance by relevant CTCN network members.

The Programme also facilitates information sharing and the exchange of best practices in order to support a learning mechanism for innovation in adaptation. A total of 10 grantees were awarded by [UNEP-CTCN](#) during the first call for proposals.

Section III - Learning & Sharing

The Learning and Sharing pillar of the Medium-Term Strategy enables the Fund to enhance its own processes and activities, as well as those of the Fund's partners. It also calls for practical knowledge to be captured and communicated from effective Fund's activities and impact themes, including gender responsiveness, vulnerable groups, communities and ecosystems, indigenous communities and local knowledge. The Fund also supports cross-project learning around different priority themes.

This is achieved through the development of various knowledge and learning products, which include learning grants, e-learning courses, knowledge publications and studies, case studies, partnerships and communities of practice and lessons learned reports.

The implementation plan of the Medium-Term Strategy introduced a new funding window in the form of learning grants of up to US\$ 150,000 each which was launched by the Fund in FY19. The purpose of these grants is to facilitate learning and sharing of information, good practices and lessons learned from failures as well as successes between National Implementing Entities (NIEs) or from NIEs to the wider climate adaptation community.

During the reporting period, the Board approved one learning grant through Direct Access. Approved funding decisions for learning grants amounted to US\$ 149,994.

Learning Grant Approvals

The learning grant for [Costa Rica](#) implemented by Fundecooperación para el Desarrollo Sostenible (Fundecooperación) aimed to share experiences, information and products generated by the previous Adapt2+ Program to promote good climate change adaptation practices and serve as a connection to ensure a more effective transition in scaling the learning from Phase I to Phase II of the Adapta2+ project. This will be done through linking available information on climate risks and other climate change adaptation information produced by the project with possible decision-making actions, through disseminating the project's actions and results and promoting the replication of good practices and through strengthening knowledge transfer platforms using adaptation results and actions generated by the project.

E-learning courses

During the reporting period the secretariat completed the learning materials for three e-learning courses ,

which provide an overview of the scalability concept and guidance on how to complete the application for project scale-up grants offered by the Adaptation Fund.

This [course](#) is self-paced and an important tool for capacity building at national level that is freely available to all implementing entities and relevant stakeholders interested in learning more about scalability, and how to develop a project scale-up grant proposal with the Fund. The Secretariat also produced the same e-learning in [French](#) and [Spanish](#) for regional audiences.

The e-learning was successfully presented during various side events, including those aimed at regional audiences in Spanish.

Communities of practice

The Fund continued its participation in and collaboration with the locally-led adaptation (LLA) community of practice where relevant information was shared amongst peer organizations on various topics, including on principals of locally-led action and the youth engagement in climate change adaptation. The fund also continued its support to the community of practice for Direct Access Entities (CPDAE), an initiative driven by accredited NIEs of the AF and direct access entities of the Green Climate Fund (GCF). Through joint support from the AF and the GCF, the proposal to implement the CPDAE Action Plan was approved by the GCF on 30 December 2021 and the German Agency for International Cooperation was approved as the delivery partner.

Knowledge products

During the reporting period, the Secretariat commissioned four knowledge products, aimed at enhancing stakeholders' knowledge about the Fund's processes and procedures and on information related to strengthening resilience to climate change through transboundary adaptation and lessons from the streamlined accreditation process. These knowledge products are: a study offering lessons learned from the streamlined accreditation process to the Adaptation Fund, the second one offering an overview of transboundary adaptation interventions in the AF portfolio aimed at strengthening resilience to climate change, the third examining youth engagement in climate change adaptation with examples from the AF portfolio and the last one offering an overview of lessons learned from scaling up AF-funded projects and programs. The first three studies have been completed and disseminated to relevant stakeholders while the last one is in the final stages of production.

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Advancing Gender Equality and the Empowerment of Women and Girls

The Fund has continued implementing its **Gender Policy and Action Plan**,¹⁶ originally approved by the Board in March 2016 and updated in March 2021 (Decision B.35-36/25), strengthening efforts to move the Fund and its partners beyond narrowly focusing on gender safeguards and prevention of gendered harm to proactively addressing how adaptation measures can promote gender equality, empowerment and agency of women and girls, and consider and address, to the extent possible, gender differentiated vulnerability to climate change in an intersectional manner in order to achieve more effective, sustainable and equitable adaptation outcomes. In this regard, the secretariat published a user-friendly version of the *study on intersectional approaches to gender mainstreaming in adaptation-relevant interventions*.¹⁷ The study examines the importance of intersectional approaches and the value they add to implementing gender mainstreaming strategies and practices to adaptation interven-

tions and details adaptation sector-specific examples. The study found while there is no single approach to integrating intersectionality in gender mainstreaming in adaptation-relevant interventions, the concept of intersectionality has been used effectively in different contexts, which helps to understand and address wider structural inequalities.

To help strengthen the IEs' capacity to integrate gender considerations into the Fund-supported projects and programmes, technical assistance grants for gender and for gender and ESP respectively, an e-learning course on addressing environmental and social and gender considerations in project/programme design and implementation have continued to be available. In addition, the Secretariat has updated the Fund's gender guidance document for IEs on compliance with the Fund Gender Policy considering the results of the tailored surveys of the IEs, DAs and AF civil society network and partner organizations. The updated gender guidance document provides supplemental information and practical guidance to IEs to comply with the Fund's Gender Policy and to enhance their gender responsive mainstreaming throughout the project life cycle in an intersectional manner, accompanied by gender mainstreaming toolkits such as respective checklists for a preliminary gender analysis required for concept note and a gender assessment required for fully developed project proposals, examples for sector-specific gender assessment considerations, and examples of gender-responsive indicators by sectors or theme/topic. The IEs have improved their annual reporting on gender at project start, during implementation, and at completion, as well as lessons learned and knowledge related to their gender-responsive measures using the updated Project Performance Report (PPR) template.

Some examples of gender-sensitive and -responsive interventions of the projects and programmes approved during the reporting period, extracted from their gender assessment and project-specific gender action plans include as follows:

a. Data collection of climate change adaptation impact on gender to guide evidence-based adaptation actions in projects and the generation and dissemination of information, data and metrics to raise awareness on the differentiated impacts of climate change on women



Rural women working in tomato sun-drying unit during the harvest season
(Photo by WFP)

16. <https://www.adaptation-fund.org/document/opg-annex4-gender-policy/>. The Fund's Gender Policy and Action Plan is available in English and Spanish, and the French version will be available soon.

17. Available at <https://www.adaptation-fund.org/wp-content/uploads/2022/05/AF-Gender-Mainstreaming-Study-final3.pdf>.

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Young farmer in Mangaia island (Photo by Climate Change Cook Islands)

(utilizing such as household surveys and focus groups, and documentation of the best practices and lessons learned;

b. To improve women's economic empowerment and autonomy, access to formal and non-formal education; capacity building and productive assets (targeting 60 per cent of female beneficiaries); literacy training, life skills irrigation technologies, improved soil and water conservation techniques, irrigation technologies (100 per cent of women beneficiaries); access to market opportunities through the promotion of small businesses and entrepreneurship; and the reduction of the digital divide for rural women in terms of access to information;

c. To increase women's participation and agency, projects target to raise women's decision-making role at the household and community levels. For example, a target percentage of women in communities was set for gender-balanced stakeholder consultation, and gender-awareness training for the civil society organizations and beneficiary communities have been organized. The need for an intersectional approach in the stakeholder

consultation process was recognized, for example, the stakeholder consultation entailed participation of indigenous women, afro-decedent women, female farmers, women's leaders from different regions of the country.

d. To strengthening sustainability and strategic partnerships for gender equality, projects include project staff gender-training, local and national capacity-building, nutritional education, school safety, and environmental adaptation planning. The targeted communities and stakeholders are broad and diverse and include indigenous and rural women, youth, internally displaced people, refugees, head of households, children, elderly people and people with disabilities. Key partners include civil society, gender experts, local women-led organizations. AF-funded projects also promote local solutions and innovations in order to address barriers and challenges faced with women and girls, while putting in place measures to ensure that the grievance mechanisms also respond to gender-based violence issues and facilitate women's access to these mechanisms.

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Strengthening Long-term Institutional and Technical Capacity for Effective Adaptation

The Fund's Readiness Programme for Climate Finance (the Readiness Programme) was established by the Board through Decision B.22/24 and has a goal to increase the capacity of developing country Parties to directly access climate adaptation finance and their overall capacity to develop and initiate implementation of concrete projects and programmes that increase the resilience of vulnerable communities to the impacts of climate change. Under the Fund's Medium-Term Strategy, the Readiness Programme is also providing capacity-building support for project implementation.

There were no readiness grants approved during the reporting period, and as such, the cumulative number of approved readiness grants remains the same. As of 30 June 2022, the Board has approved 46 readiness projects totalling US\$ 1.6 million in readiness grants which also includes two PFA grants. To also note is that the Board, through decision B.37/1 decided to merge PFA grants with PFGs, effectively raising the maximum amount of individual PFGs available to NIEs to US\$ 50,000 per project.

Table 2 below provides an overview and summary of key readiness grant figures to date. During the reporting period, two readiness proposal grants were received under the new window for readiness package grants¹⁸. However, following the initial technical review of the submitted proposals by the secretariat, the Board decided not to approve the submitted proposals and to

update the application form and technical review sheet for the grants in order to enable countries to submit more comprehensive information that ascertains precise capacity gaps and support needs for accreditation to the Fund¹⁹. The Board also encouraged the proponents of the submitted two proposals to resubmit using the updated forms. The Board also took note that the updates to the application and review forms would not create a bottle neck or additional burden for grant applicants.

Readiness Grants approval by Region and Funding Window

As of 30 June 2022, a total of 46²⁰ readiness projects have been approved by the Board with no new grants approved during the fiscal year. Infographic 4 below provides a breakdown of projects approved by region.

Among the approved readiness projects, the largest amount of grant funding approved to date has been to the Africa region with 30 projects totalling US\$ 1,178,567 in grants, followed by Latin America and the Caribbean with nine projects totalling US\$ 217,700 in grants and Asia-Pacific with seven projects totalling US\$ 199,500 in grants.

In terms of funding windows, the largest cumulative grant amount has gone towards South-South support through South-South Cooperation (SSC) grants with US\$ 936,747 approved for 18 projects. This includes the readiness support package pilot project approved by the Board in June 2018 for a total of US\$ 100,000. Following, 21 technical assistance projects for the environmental and social policy and gender policy (TA-ESGP) to the value of US\$ 480,020 and four technical assistance projects for the gender policy (TA-GP) to the value of US\$40,000 were approved.

Since the launch of the readiness programme in March 2014, the number of accredited NIEs has increased from 17 in September 2014, to 34 as of 30 June 2022. During the reporting period one NIE was accredited by the Board. As of 30 June 2022, the readiness programme has hosted 20 regional workshops covering Africa, Asia and the Pacific, and Latin America and the Caribbean. Sixteen webinars and eight annual climate finance

Table 2: Readiness Grants at a Glance
(As of 30 June 2022)

APPROVALS CUMULATIVE	
Number of projects approved	46
	US\$
Grant amount (excluding entity fees)	1,577,057
Entity fees	18,710
Grant amount approved (including fees)	1,595,767
Entity fees as percentage of total grant amount approved	1.17%

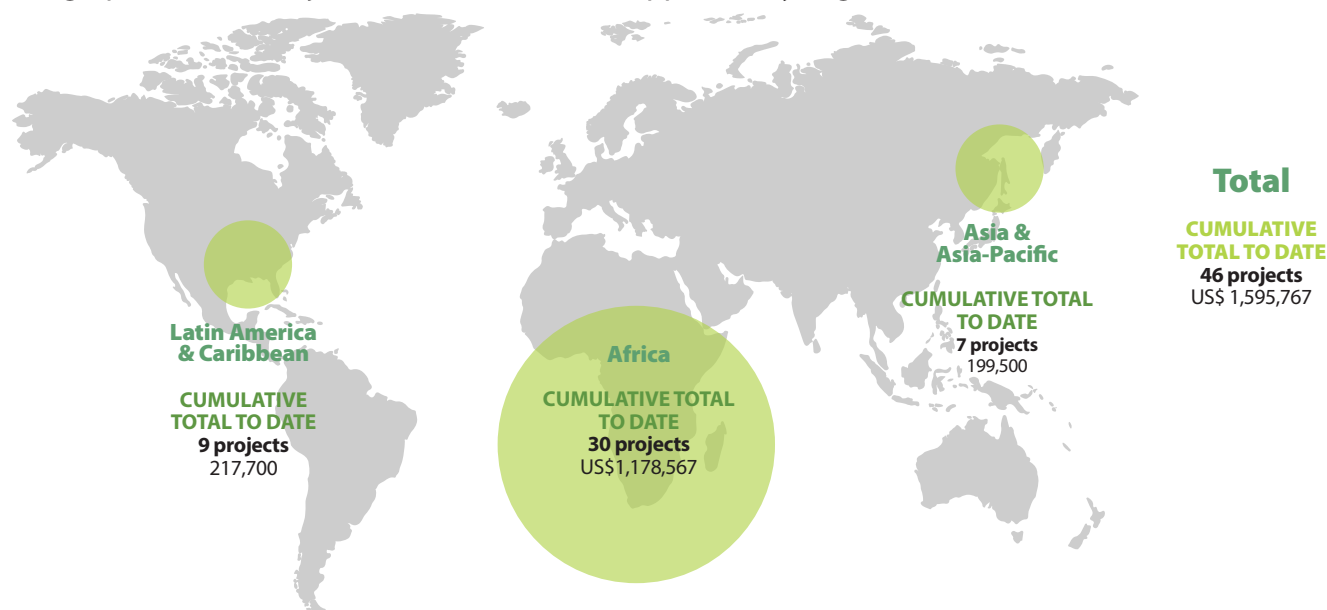
18. AFB decision B.36/25

19. AFB decision B.37-38/14

20. This figure includes 2 PFA grants for Belize and Namibia.

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Infographic 4: Total Projects and Grant Amount Approved by Region as of 30 June 2022 (US\$ thousands)



readiness seminars for accredited NIEs of the Fund have also been held, including three country exchanges. During the reporting period, one regional workshop was held in person in Costa Rica, which discussed the topic of: Enhanced Direct Access and one workshop was held virtually that discussed the topic of: Innovation in Adaptation. Four webinars on accreditation of a second NIE, re-accreditation and enhancing access to the Fund's resources under the new funding windows were held. The annual climate finance readiness seminar for accredited NIEs was held virtually for the second time due to the Covid-19 Pandemic.

Since its inception, the readiness programme has partnered with almost 40 organizations in holding joint events, to share expertise and knowledge on accessing the Fund's resources, readiness and provide capacity building support available to support developing countries. During the reporting period the readiness programme strengthened partnerships with the GCF to operationalize the CPDAE. Through joint support from the Fund's readiness programme, and direct financial support from GCF readiness and preparatory support programme and the *Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ)* GmbH, the CPDAE successfully raised € 1,235,950 as of 17 March 2022. The CPDAE will use the funds and other indirect financial support from the AF to implement its action plan which among other things

addresses issues related to capacity building, project pipeline development, and knowledge learning and sharing for the community. During the reporting period, the readiness programme also partnered and contributed to forums on capacity-building related to climate change adaptation with organizations and bodies that include, the Paris Committee on Capacity Building, the National Adaptation Planning Task Force, the United Nations Development Programme (UNDP) and the Climate Technology Centre and Network (CTCN). Existing partnerships have continued to be strengthened through continued collaboration and on-going dialogue and information sharing and exchange.

Developments within the Readiness Programme

At its thirty-eighth meeting, the Board approved through decision B.38/38, the updated readiness package grant application and review template, and increase in total funding amount for the readiness package grant from US\$ 100,000 to US\$ 150,000 per NIE, following the report on the readiness package pilot. The Board also decided to allow readiness grants (except the project scale-up and learning grants) to be reviewed intersessionally between the March and Oct board meetings. The Board also decided through decision B.37/1 to merge PFA grants with PFGs, effectively raising the maximum amount of individual PFGs available to NIEs to US\$

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Building Complementarity and Coherence with Other Climate Finance Delivery Channels

During the implementation of the MTS, the engagement with other climate finance delivery channels is done both at the level of inter-fund dialogue and at the level of the specific activities under the three strategic focal areas. One of the expected results under the action pillar of the MTS is to prepare countries to scale up effective projects/ programmes with support from other climate funds and finance channels (including private sector). To this end, the Fund has made available project scale-up grants for national implementing entities up to a maximum of US\$ 200,000 per year.

The objective of the project scale-up grants is to provide readiness funding to support planning, assessment, capacity enhancement (individual, organization and institutional) for designing and developing scaling up pathways for Adaptation Fund project/programmes under implementation and nearing completion or completed. It is expected that implementation of project/programme scale-up would be funded by various sources, such as other climate funds but also from other finance channels (including the private sector).

For instance, activities related to scaling up innovations and other adaptation action essentially take place in the context of various sources of funding, where the Fund may in some cases offer the opportunity of replicating or scaling up activities by others with relatively fewer resources, and in other cases offer its own experiences to other funds that may scale up activities piloted by the Fund.

In this reporting period, no project scale-up grants approvals or submissions were received for the Board's consideration.

As part of its continuous engagement with others climate finance delivery channels, the secretariat has participated in the fifth annual dialogue of climate delivery channels that took place on 3 November 2021 at the GCF-GEF Pavilion in Glasgow on the margins of the twenty-sixth meeting of the Conference of Parties (COP26). The meeting was organized in two sessions: 1) discussion on the Joint Funds Roadmap (Annex 1), and 2) an announcement of a Joint Funds statement on enhanced complementarity and collaboration (Annex 2). The joint statement reiterates the strong commitment of all funds to further advancing complementarity by exploring synergies in programming, knowledge sharing, collaborative communication, and outreach. The joint statement has been posted on the websites of the respective climate funds.

As reported in the APR FY21, both secretariats of GCF and AF are piloting a structured approach for collaboration between the two funds on projects and programmes scaling up. During the reporting period, both secretariats have started implementation of the structured approach with (i) the identification of an initial list of potential projects eligible for scaling up with GCF resources, (ii) an initial outreach and confirmation of interest by the countries designated authorities (DA) and implementing entities (IEs) and (iii) a further review of projects by the regional teams in the GCF secretariat. Currently, potential eligible projects fulfilling the criteria set forth in the scale-up framework were identified and shared by the AF secretariat with the GCF for further processing.



Adaptation Fund side event during COP26 (Photo by the Adaptation Fund)

Section IV - Cross-Cutting Themes

Engaging and Empowering the Most Vulnerable Communities and Social Groups

All of the Fund's activities are designed to engage, empower and benefit the most vulnerable communities and social groups; to advance gender equality and the empowerment of women and girls, youth and indigenous people, strengthen long-term institutional and technical capacity for effective adaptation; and to build complementarity and coherence between climate finance delivery channels.

Since its inception, the Fund has supported projects that have largely benefited youth. This support occurs both directly and indirectly as youth make up a significant proportion of the population in project locations. Projects have invested especially in building capacity of youth through training and worked with them to raise awareness in their communities. Examples from the Fund's portfolio include 8,212 youth benefiting from a project enhancing the resilience of communities to floods and droughts in Dominican Republic (implemented by IDDI), notably through trainings in climate change and environmental issues; and 1,500 direct youth beneficiaries through tree planting, climate change curriculum tailored to the national context in Seychelles (implemented by UNDP), among others.

Building upon its core strengths and comparative advantage as a highly functioning and innovative fund established to finance concrete adaptation projects in developing countries that are particularly vulnerable to climate change, the Fund established an Innovation Facility that offers small and large grants through three different windows.

Small grants submitted through National Implementing Entities include an initiative in [Armenia](#) that aims at influencing new generations in engaging them in climate change adaptation through participatory design of digital educational solutions. At the core of this initiative is the development of a gamified learning application for high school students as well as an online contest in a bid to raise awareness and nurture a future generation able to increase the country's capacities to adapt to climate change and contribute to climate resilient policies and initiatives. The overall target is to have 30,000 students achieving a high level of performance in this module within a year.

Youth who desire to implement adaptation projects can also do so through a Multilateral Implementing



Youth engaged in field school learning approaches and techniques for seed production and the use of climate resilient crops in Rwanda (Photo by the Adaptation Fund)

Entity (MIE) Aggregator Mechanism set up by the Fund, the Adaptation Fund Climate Innovation Accelerator ([AFCIA](#)). With funding provided by AF, the AFCIA is managed by UNDP and UNEP as MIE partners along with the Climate Technology Centre and Network (CTCN). While CTCN provides technical assistance to developing countries through tailor-made solutions implemented by a technology provider, UNDP launched a process in which entities not accredited to the Fund including youth, vulnerable groups, and others can implement their own innovation projects through a global competitive grant-awarding process. This pioneering funding window has already expanded the accessibility of youth to engage in climate change adaptation and provided them with technical advice in the development of their projects. So far, under the

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Enhancing Climate Resilience of Rural Communities Living in Protected Areas of Cambodia (Photo by UNEP)

UNDP stream, one of the 22 projects selected in the first round is youth-led in Fiji and seven of the projects selected have highlighted youth as their beneficiaries. They will be integrating new climate-smart agricultural practices on the ground with local farming knowledge and techniques. At the same time, the projects will foster youth entrepreneurship through establishment of social enterprises. These grantees and other applicants had been involved with UNDP's Youth Co:Lab, which works to empower and invest in youth. Youth Co: Lab is part of the AFCIA's advisory group, which underscores the importance of working with youth-supporting organizations from the international to the local level. Finally, several other AFCIA-funded projects also aim to support youth by, for example, diversifying their livelihoods and supporting their resilience by restoring community forests. Furthermore, in April 2022, the AF Board mandated the piloting of innovation indicators for setting targets and monitoring results. One of these indicators ("number of innovators supported") is disaggregated by gender and youth status (i.e., youth or not youth).

In 2022, the Fund has taken a further step towards engaging and empowering youth by inviting them

to share inputs to the next Mid Term Strategy (2022-2026) with youth organizations' representatives given the opportunity to voice their perspectives on how to integrate youth into the Fund's operations and to reflect on the main pillars of the next strategy.

Engagement and empowerment of Indigenous People can be illustrated by the regional project "Building adaptive capacity through food and nutrition security and peacebuilding actions in vulnerable Afro and indigenous communities in the Colombia-Ecuador border area ([Colombia, Ecuador](#))" implemented by the World Food Programme. This initiative aims at empowering Afro and Awá indigenous people communities by using traditional knowledge as a mean to support adaptation; investing in measures to strengthen climate services; minimizing the impacts of climate shocks within these communities; adapting to longer-term climate threats through community and institutional capacity strengthening; and restoring vital ecosystem services and diversify livelihoods in a bid to reverse the marginalization that these groups. To date, the project reached to 120 communities (2,332 individuals), developed 138 community-based adaptation plans and trained 79 community leaders.

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ANNEX 1:

Implementing Entities of the Adaptation Fund (alphabetical order)

National Implementing Entities (NIEs)

Agence pour le Développement Agricole (ADA), Morocco

Agencia Chilena de Cooperación Internacional para el Desarrollo (AGCID), Chile

Agencia Nacional de Investigación e Innovación (ANII), Uruguay

Banque Agricole du Niger (BAGRI), Niger

Bhutan Trust Fund for Environment Conservation (BTEC), Bhutan

Centre de Suivi Ecologique (CSE), Sénégal

Comisión de Acción Social Menonita (CASM)

Department of Environment (DoE), Antigua and Barbuda

Desert Research Foundation of Namibia (DRFN), Namibia

Dominican Institute of Integral Development (IDDI), Dominican Republic

Environmental Management Agency (EMA), Zimbabwe

Environmental Project Implementation Unit (EPIU), Armenia

Fundación Natura, Panama

Fundecooperación Para el Desarrollo Sostenible, Costa Rica

General Directorate of Sectoral and Special Programs and Projects (DIPROSE) of the Ministry of Agriculture, Livestock and Fisheries of Argentina (MAGyP) (formerly Entity of Unidad para el Cambio Rural (UCAR))

Interprofessional Fund for Agricultural Research and Advice (FIRCA), Côte d'Ivoire

Mexican Institute of Water Technology (IMTA), Mexico

Micronesia Conservation Trust (MCT), Federated States of Micronesia

Ministry of Environment (MoE) (formerly Ministry of Natural Resources of Rwanda (MINIRENA)), Rwanda

Ministry of Finance and Economic Cooperation (MOFEC), Ethiopia

Ministry of Finance and Economic Management (MFED), Tuvalu

Ministry of Finance and Economic Management (MFEM), Cook Islands

Ministry of Planning and International Cooperation (MOPIC), Jordan

Ministry of Water and Environment, Uganda

National Bank for Agriculture and Rural Development (NABARD), India
National Environment Management Authority (NEMA), Kenya

National Environment Management Council (NEMC), United Republic of Tanzania

National Fund for Environment and Climate (FNEC), Benin

Palli Karma-Sahayak Foundation (PKSF), Bangladesh

Partnership for Governance Reform in Indonesia (Kemitraan), Indonesia

Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE), Peru

Planning Institute of Jamaica (PIOJ), Jamaica

Protected Areas Conservation Trust (PACT), Belize

South African National Biodiversity Institute (SANBI), South Africa

Regional Implementing Entities (RIEs)

Caribbean Community Climate Change Centre (CCCC), Latin America and the Caribbean

Caribbean Development Bank (CDB), Latin American and the Caribbean

Central American Bank for Economic Integration (CABEI), Latin America and the Caribbean

Development Bank of Latin America (CAF), Latin American and the Caribbean

International Center for Integrated Mountain Development (ICIMOD), Asia-Pacific

Observatoire du Sahara et du Sahel / Sahara and Sahel Observatory (OSS), Africa

Secretariat of the Pacific Regional Environment Programme (SPREP), Asia-Pacific

The Pacific Community (SPC), Asia-Pacific

Multilateral Implementing Entities (MIEs)

African Development Bank (AfDB)

Asian Development Bank (ADB)

European Bank for Reconstruction and Development (EBRD)

Food and Agriculture Organization of the United Nations (FAO)

Inter-American Development Bank (IDB)

International Bank for Reconstruction and Development (IBRD)

International Fund for Agricultural Development (IFAD)

United Nations Development Programme (UNDP)

United Nations Educational, Scientific and Cultural Organization (UNESCO)

United Nations Environment Programme (UNEP)

United Nations Human Settlements Programme (UN-Habitat)

United Nations Industrial Development Organization (UNIDO)

World Food Programme (WFP)

World Meteorological Organization (WMO)

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ANNEX 2:

List of countries that have accessed resources for single-country concrete adaptation projects, and whether they meet the threshold for accessing resources beyond US\$ 10 million as of 30 June 2022

Note: Following Board decision B.36/41 any Party can access a total of up to US\$ 20 million from the Adaptation Fund once it had accessed funding amounting to at least US\$ 8 million for concrete single-country adaptation projects or programmes, or once four years had passed since the approval of the first concrete single-country adaptation project(s)/programme(s) by the Board, whichever occurred earlier.

Country	Implementing Entity	Country total	Amount left under US\$10 M cap	Amount left under US\$ 20 M cap	Project approved 4 years ago or more	More than US\$ 8 million approved for funding
Antigua and Barbuda	ABED	9 970 000.00		10 030 000.00	More than 4	More than 8
Argentina	IBRD	9 936 817.00		10 063 183.00	More than 4	More than 8
Argentina	DIPROSE					
Armenia	EPIU	3 941 100.00	6 058 900.00	16 058 900.00	Less than 4	Less than 8
Armenia	EPIU					
Bangladesh	UNDP	9 995 369.00		10 004 631.00	Less than 4	More than 8
Belize	IBRD	6 000 000.00		14 000 000.00	More than 4	Less than 8
Cambodia	UNEP	9 954 273.00		10 045 727.00	More than 4	More than 8
Cambodia	UN-HABITAT				Less than 4	
Cameroon	IFAD	9 982 000.00		10 018 000.00	Less than 4	More than 8
Chile	AGCI	9 960 000.00		10 040 000.00	More than 4	More than 8
Colombia	UNDP	8 518 307.00		11 481 693.00	More than 4	More than 8
Congo Republic of	WFP	9 999 909.00		10 000 091.00	Less than 4	More than 8
Cook Islands	UNDP	8 380 725.00		11 619 275.00	More than 4	More than 8
Cook Islands	MFEM					
Costa Rica	FUNDECOOPERACIÓN	19 970		30 000.00	More than 4	More than 8
Costa Rica	FUNDECOOPERACIÓN	000.00			Less than 4	
Côte d'Ivoire	IFAD	6 000 000.00	4 000 000.00	14 000 000.00	Less than 4	Less than 8
Cuba	UNDP	6 067 320.00		13 932 680.00	More than 4	Less than 8
Djibouti	UNDP	9 997 841.00		10 002 159.00	More than 4	More than 8
Djibouti	IFAD					Less than 4
Dominican Republic	IDDI	9 953 692.00		10 046 308.00	Less than 4	More than 8
Ecuador	WFP	9 938 841.00		10 061 159.00	More than 4	More than 8
Ecuador	CAFVE					
Egypt Arab Republic of	WFP	9 999 280.00		10 000 720.00	More than 4	More than 8
Egypt Arab Republic of	WFP					
El Salvador	UNDP	8 484 503.00		11 515 497.00	Less than 4	More than 8
Eritrea	UNDP	6 520 850.00		13 479 150.00	More than 4	Less than 8
Ethiopia	MOFEC	9 987 910.00		10 012 090.00	More than 4	More than 8
Ghana	UNDP	8 293 972.19		11 706 027.81	More than 4	More than 8
Guatemala	UNDP	5 425 000.00		14 575 000.00	More than 4	Less than 8
Guinea-Bissau	BOAD	9 979 000.00		10 021 000.00	More than 4	More than 8
Haiti	UNESCO	9 916 344.00		10 083 656.00	Less than 4	More than 8

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Country	Implementing Entity	Country total	Amount left under US\$10 M cap	Amount left under US\$ 20 M cap	Project approved 4 years ago or more	More than US\$ 8 million approved for funding
Honduras	UNDP	10 000 000.00		10 000 000.00	More than 4	More than 8
Honduras	UNDP				More than 4	
India	NABARD	9 860 436.00		10 139 564.00	More than 4	More than 8
India	NABARD				More than 4	
India	NABARD				More than 4	
India	NABARD				More than 4	
India	NABARD				More than 4	
India	NABARD				More than 4	
Indonesia	KEMITRAAN	9 721 441.00		10 278 559.00	Less than 4	More than 8
Indonesia	KEMITRAAN					
Indonesia	KEMITRAAN					
Indonesia	KEMITRAAN					
Indonesia	KEMITRAAN					
Iraq	PIOJ	9 999 660.00		10 000 340.00	More than 4	More than 8
Jamaica	PIOJMM	9 965 000.00		10 035 000.00	More than 4	More than 8
Jordan	MOPIC	9 226 000.00		10 774 000.00	More than 4	More than 8
Kenya	NEMA	9 998 302.00		10 001 698.00	More than 4	More than 8
Kyrgyz Republic	IFAD	9 999 313.00		10 000 687.00	Less than 4	More than 8
Lao People's Democratic Republic	UN-HABITAT	10 000 000.00		10 000 000.00	More than 4	More than 8
Lao People's Democratic Republic	UN-HABITAT				Less than 4	
Lebanon	IFAD	7 860 825.00		12 139 175.00	More than 4	Less than 8
Lesotho	WFP	9 999 894.00		10 000 106.00	Less than 4	More than 8
Liberia	IFAD	9 592 082.00		10 407 918.00	Less than 4	More than 8
Madagascar	UNEP	5 104 925.00		14 895 075.00	More than 4	Less than 8
Malaysia	UN-HABITAT	10 000 000.00		10 000 000.00	Less than 4	More than 8
Malawi	WFP	9 989 335.00		10 010 665.00	Less than 4	More than 8
Maldives	UNDP	8 989 225.00		11 010 775.00	More than 4	More than 8
Mali	UNDP	8 533 348.00		11 466 652.00	More than 4	More than 8
Mauritania	WFP	7 803 605.00		12 196 395.00	More than 4	Less than 8
Mauritius	UNDP			10 880 760.00	More than 4	More than 8
Micronesia Federated States of	SPREP	9 970 000.00		10 030 000.00	More than 4	More than 8
Micronesia Federated States of	MCT					
Moldova	IFAD	6 008 095.00	3 991 905.00	13 991 905.00	Less than 4	Less than 8
Mongolia	UNDP	9 995 235.00		10 004 765.00	More than 4	More than 8
Mongolia	UN-HABITAT					
Morocco	ADA	9 970 000.00		10 030 000.00	More than 4	More than 8
Myanmar	UNDP	7 909 026.00		12 090 974.00	More than 4	Less than 8

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Country	Implementing Entity	Country total	Amount left under US\$10 M cap	Amount left under US\$ 20 M cap	Project approved 4 years ago or more	More than US\$ 8 million approved for funding
Namibia	DRFN	4 999 674.00		15 000 326.00	More than 4	Less than 8
Nepal	WFP	9 527 160.00		10 472 840.00	More than 4	More than 8
Nicaragua	UNDP	5 500 950.00		14 499 050.00	More than 4	Less than 8
Niger	BOAD	9 911 000.00		10 089 000.00	More than 4	More than 8
Pakistan	UNDP	10 000 000.00		10 000 000.00	More than 4	More than 8
Pakistan	UN-HABITAT				Less than 4	
Panama	FNCO	9 967 559.00		10 032 441.00	More than 4	More than 8
Papua New Guinea	UNDP	6 530 373.00		13 469 627.00	More than 4	Less than 8
Paraguay	UNEP	7 128 450.00		12 871 550.00	More than 4	Less than 8
Peru	PROFONANPE	9 891 685.00		10 108 315.00	More than 4	More than 8
Peru	CAFVE					
Rwanda	MOE	9 969 619.00		10 030 381.00	More than 4	More than 8
Samoa	UNDP	8 732 351.00		11 267 649.00	More than 4	More than 8
Senegal	CSE	9 970 000.00		10 030 000.00	More than 4	More than 8
Senegal	CSE					
Seychelles	UNDP	6 455 750.00		13 544 250.00	More than 4	Less than 8
Sierra Leone	IFAD	9 916 925.00		10 083 075.00	Less than 4	More than 8
Solomon Islands	UNDP	9 929 377.00		10 070 623.00	More than 4	More than 8
Solomon Islands	UN-HABITAT					
South Africa	SANBI	9 937 737.00		10 062 263.00	More than 4	More than 8
South Africa	SANBI					
Sri Lanka	WFP	7 989 727.00		12 010 273.00	More than 4	Less than 8
St. Lucia	CDB	9 858 570.00		10 141 430.00	Less than 4	More than 8
Syrian Arab Republic	UN-HABITAT	9 997 156.00		10 002 844.00	Less than 4	More than 8
Tajikistan	UNDP	9 996 441.00		10 003 559.00	Less than 4	More than 8
Tanzania	UNEP	9 888 564.00		10 111 436.00	More than 4	More than 8
Tanzania	NEMC				Less than 4	
Tanzania	NEMC				Less than 4	
Tanzania	NEMC				Less than 4	
Tanzania	NEMC				Less than 4	
Trinidad and Tobago	CAF	10 000 000.00		10 000 000.00	Less than 4	More than 8
Tunisia	IFAD	9 997 190.00		10 002 810.00	Less than 4	More than 8
Turkmenistan	UNDP	2 929 500.00		17 070 500.00	More than 4	Less than 8
Uganda	OSS	7 751 000.00		12 249 000.00	More than 4	Less than 8
Uruguay	ANII	9 967 678.00		10 032 322.00	More than 4	More than 8
Uzbekistan	UNDP	5 415 103.00		14 584 897.00	More than 4	Less than 8
Vietnam	UN-HABITAT	6 345 292.00	3 654 708.00	13 654 708.00	Less than 4	Less than 8
Zimbabwe	UNESCO	5 000 000.00	5 000 000.00	15 000 000.00	Less than 4	Less than 8

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ANNEX 3:

Status of the Adaptation Fund Portfolio (Approved Projects/Programmes) of the Fund as of 30 June 2021
(Order by Approval Date)

Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Senegal	Adaptation to coastal erosion in vulnerable areas	CSE	8 619 000	8 619 000	17/9/2010	Closed
Honduras	Addressing climate change risks on water resources in Honduras: increased systemic resilience and reduced vulnerability of the urban poor	UNDP	5 620 300	5 620 300	17/9/2010	Closed
Turkmenistan	Addressing climate change risks to farming systems in Turkmenistan at national and community level	UNDP	2 929 500	2 929 500	15/12/2010	Closed
Mauritius	Climate change adaptation programme in the coastal zone of Mauritius	UNDP	9 119 240	9 119 240	15/12/2010	Closed
Eritrea	Climate change adaptation programme in water and agriculture in the Anseba region of Eritrea	UNDP	6 520 850	6 520 850	15/12/2010	Closed
Solomon Islands	Enhancing resilience of communities in Solomon Islands to the adverse effects of climate change in agriculture and food security	UNDP	5 533 500	5 533 500	15/12/2010	Closed
United Republic of Tanzania	Implementation of concrete adaptation measures to reduce vulnerability of livelihood and economy of coastal communities in the United Republic of Tanzania	UNEP	5 008 564	5 008 564	15/12/2010	Closed
Pakistan	Reducing risks and vulnerabilities from glacier lake outburst floods in northern Pakistan	UNDP	3 906 000	3 906 000	15/12/2010	Closed
Nicaragua	Reduction of risks and vulnerability based on flooding and droughts in the Estero Real River watershed	UNDP	5 500 950	5 500 950	15/12/2010	Closed
Ecuador	Enhancing resilience of communities to the adverse effects of climate change on food security in Pichincha province and the Jubones River basin	WFP	7 449 468	7 449 468	18/3/2011	Closed
Guatemala	Climate change resilient production landscapes and socioeconomic networks advanced in Guatemala	UNDP	5 425 000	5 425 000	22/6/2011	Closed
Mongolia	Ecosystem-based adaptation approach to maintaining water security in critical water catchments in Mongolia	UNDP	5 500 000	5 500 000	22/6/2011	Closed
Maldives	Increasing climate resilience through an integrated water resource management programme in HA. Ihavandhoo, ADh. Mahibadhoo and GDh. Gadhdhoo Island	UNDP	8 989 225	8 989 225	22/6/2011	Closed
Madagascar	Promoting climate resilience in the rice sector through pilot investments in Alaotra-Mangoro region	UNEP	5 104 925	5 104 925	22/6/2011	Closed
Cook Islands	Strengthening the resilience of the islands and communities of Cook Islands to climate change	UNDP	5 381 600	5 381 600	15/9/2011	Closed
Georgia	Developing climate-resilient flood and flash flood management practices to protect vulnerable communities of Georgia	UNDP	5 316 500	5 316 500	16/9/2011	Closed

20. The project reached its operational completion; when the project audited financial statement will be received by the secretariat, the project status will be updated to 'project closed'.

21. Ibid.

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Papua New Guinea	Enhancing adaptive capacity of communities to climate change-related floods in the north coast and islands region of Papua New Guinea	UNDP	6 530 373	6 530 373	16/9/2011	Closed
Samoa	Enhancing resilience of Samoa's coastal communities to climate change	UNDP	8 732 351	8 732 351	16/9/2011	Closed
Uruguay	Building resilience to climate change and variability of vulnerable smallholders	ANII	9 967 678	9 967 678	14/12/2011	Closed
Myanmar	Addressing climate change risks for water resources and food security in the dry zone of Myanmar	UNDP	7 909 026	7 909 026	27/2/2012	Closed
Colombia	Reducing risk and vulnerability to climate change in the region of La Depresión Momposina in Colombia	UNDP	8 518 307	8 518 307	15/3/2012	Closed
Ghana	Increased resilience to climate change in northern Ghana through management of water resources and diversification of livelihoods	UNDP	8 293 972	8 293 972	16/3/2012	Closed
Egypt	Building resilient food security systems to benefit the southern Egypt region	WFP	6 904 318	6 904 318	28/6/2012	Closed
Lebanon	Climate-smart agriculture: enhancing adaptive capacity of the rural communities in Lebanon	IFAD	7 860 825	4 009 943	28/6/2012	Under implementation
Djibouti	Developing agropastoral shade gardens as an adaptation strategy for poor rural communities	UNDP	4 658 556	4 658 556	28/6/2012	Closed
Mauritania	Enhancing resilience of communities to the adverse effects of climate change on food security in Mauritania	WFP	7 803 605	7 803 605	28/6/2012	Closed
Jamaica	Enhancing the resilience of the agricultural sector and coastal areas to protect livelihoods and improve food security	PIOJ	9 965 000	8 748 735	28/6/2012	Under implementation
Sri Lanka	Addressing climate change impacts on marginalized agricultural communities living in the Mahaweli River basin of Sri Lanka	WFP	7 989 727	7 989 727	29/6/2012	Closed
Cambodia	Enhancing climate resilience of rural communities living in protected areas of Cambodia	UNEP	4 954 273	4 954 273	29/6/2012	Under implementation
Argentina	Increasing climate resilience and enhancing sustainable land management in the south-west of the Buenos Aires province	IBRD	4 296 817	4 296 817	29/6/2012	Closed
Cuba	Reduction of vulnerability to coastal flooding through ecosystem-based adaptation in the south of Artemisa and Mayabeque provinces	UNDP	6 067 320	6 067 320	14/12/2012	Closed
Seychelles	Ecosystem-based adaptation to climate change in Seychelles	UNDP	6 455 750	6 455 750	20/12/2012	Under implementation
Argentina	Enhancing adaptive capacity and increasing resilience of small-size agriculture producers in the north-east of Argentina	DIPROSE	5 640 000	5 640 000	4/4/2013	Closed
Belize	Belize Marine Conservation and Climate Adaptation Initiative	IBRD	6 000 000	6 000 000	5/4/2013	Closed

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Nepal	Adapting to climate-induced threats to food production and food security in the Karnali region of Nepal	WFP	9 527 160	9 527 160	4/7/2013	Under implementation
Kenya	Integrated programme to build resilience to climate change and adaptive capacity of vulnerable communities in Kenya	NEMA	9 998 302	9 998 302	1/11/2013	Under implementation
Rwanda	Reducing vulnerability to climate change in north-west Rwanda through community-based adaptation	MOE	9 969 619	9 969 619	1/11/2013	Closed
Uzbekistan	Developing climate resilience of farming communities in the drought-prone parts of Uzbekistan	UNDP	5 415 103	5 415 103	10/2/2014	Closed
India	Climate-smart actions and strategies in the north-western Himalayan region for sustainable livelihoods of agriculture-dependent hill communities	NABARD	969 570	969 570	20/3/2014	Under implementation
Morocco	Climate change adaptation project in oasis zones	ADA	9 970 000	9 970 000	7/10/2014	Under implementation
South Africa	Building resilience in the greater uMngeni catchment in South Africa	SANBI	7 495 055	7 495 055	10/10/2014	Under implementation
India	Conservation and management of coastal resources as a potential adaptation strategy for sea level rise	NABARD	689 264	689 264	10/10/2014	Under implementation
India	Enhancing adaptive capacity and increasing resilience of small and marginal farmers in the Purulia and Bankura districts of West Bengal	NABARD	2 510 854	2 510 854	10/10/2014	Under implementation
Costa Rica	Reducing vulnerability by focusing on critical sectors (agriculture, water resources and coastlines) in order to reduce the negative impacts of climate change and improve the resilience of these sectors	FUNDECOOPERACIÓN	9 970 000	9 970 000	10/10/2014	Under implementation
South Africa	Taking adaptation to the ground: a small grants facility for enabling local-level responses to climate change	SANBI	2 442 682	2 442 682	10/10/2014	Under implementation
Mali	Programme support for climate change adaptation in the vulnerable regions of Mopti and Timbuctou	UNDP	8 533 348	8 533 348	25/3/2015	Closed
India	Climate proofing of watershed development projects in the states of Tamil Nadu and Rajasthan	NABARD	1 344 155	1 344 155	9/4/2015	Under implementation
India	Building adaptive capacities of the small inland fishermen community for climate resilience and livelihood security in Madhya Pradesh	NABARD	1 790 500	895 240	10/4/2015	Under implementation
Jordan	Increasing the resilience of poor and vulnerable communities to climate change	MOPIC	9 226 000	9 226 000	10/4/2015	Under implementation
Peru	Adaptation to the impacts of climate change on Peru's coastal marine ecosystems and fisheries	PROFONANPE	6 950 239	6 024 646	9/10/2015	Under implementation

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Chile	Enhancing resilience to climate change of small agriculture in the Chilean region of O'Higgins	AGCID	9 960 000	9 960 000	9/10/2015	Under implementation
Niger	Enhancing resilience of agriculture to climate change to support food security in Niger through modern irrigation techniques	BOAD	9 911 000	1 376 000	5/7/2016	Under implementation
Uganda	Enhancing resilience of communities to climate change through catchment-based integrated management of water and related resources in Uganda	OSS	7 751 000	6 452 000	5/7/2016	Under implementation
Paraguay	Ecosystem-based approaches to reducing the vulnerability of food security to the impacts of climate change in the Chaco region of Paraguay	UNEP	7 128 450	2 479 357	4/10/2016	Under implementation
Panama	Adapting to climate change through integrated water management in Panama	NATURA	9 967 559	9 967 559	5/10/2016	Under implementation
Antigua and Barbuda	An integrated approach to physical adaptation and community resilience in Antigua and Barbuda's north-west McKinnon's watershed	DOE	9 970 000	8 399 000	5/10/2016	Under implementation
India	Building adaptive capacities of communities, livelihoods and ecological security in the Kanha-Pench Corridor of Madhya Pradesh	NABARD	2 556 093	2 556 093	7/10/2016	Under implementation
Lao People's Democratic Republic	Enhancing the climate and disaster resilience of the most vulnerable rural and emerging urban human settlements in the Lao People's Democratic Republic	UN-HABITAT	4 500 000	3 920 648	7/10/2016	Under implementation
Ethiopia	Climate-smart integrated rural development project	MOFEC	9 987 910	9 987 910	17/3/2017	Under implementation
Honduras	Ecosystem-based adaptation of communities in the central forest corridor in Tegucigalpa	UNDP	4 379 700	3 649 300	17/3/2017	Under implementation
Micronesia (Federated States of)	Enhancing the climate resilience of vulnerable island communities in the Federated States of Micronesia	SPREP	9 000 000	5 903 581	17/3/2017	Under implementation
Peru	Strengthening the livelihoods of vulnerable highland communities in the provinces of Arequipa, Caylloma, Condesuyos, Castilla and La Union in the region of Arequipa in Peru	CAF	2 941 446	2 941 446	17/3/2017	Under implementation
Regional	Agricultural Climate Resilience Enhancement Initiative	WMO	6 800 000	6 800 000	17/3/2017	Under implementation
Regional	Adapting to climate change in the Lake Victoria basin	UNEP	5 000 000	5 000 000	5/7/2017	Under implementation
Regional	Building adaptive capacity through food and nutrition security and peacebuilding actions in vulnerable African and indigenous communities in the Colombia-Ecuador border area	WFP	14 000 000	8 559 239	5/7/2017	Under implementation
Senegal	Reducing vulnerability and increasing resilience of coastal communities in the Saloum Islands (Dionewar and Fadial)	CSE	1 351 000	933 376	5/7/2017	Under implementation

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Solomon Islands	Enhancing urban resilience to climate change impacts and natural disasters: Honiara	UN-HABITAT	4 395 877	2 202 550	10/10/2017	Under implementation
Fiji	Increasing the resilience of informal urban settlements in Fiji that are highly vulnerable to climate change and disaster risks	UN-HABITAT	4 235 995	1 797 380	10/10/2017	Under implementation
Guinea-Bissau	Scaling up climate-smart agriculture in east Guinea-Bissau	BOAD	9 979 000	3 034 000	10/10/2017	Under implementation
Namibia	Pilot rural desalination plants using renewable power and membrane technology	DRFN	4 999 674	4 999 674	13/10/2017	Under implementation
Cook Islands	Pa Enua Action for Resilient Livelihoods	MFEM	2 999 125	2 999 125	22/3/2018	Under implementation
Iraq	Building resilience of the agriculture sector to climate change in Iraq	IFAD	9 999 660	1 300 800	22/3/2018	Under implementation
Micronesia (Federated States of)	Practical solutions for reducing community vulnerability to climate change in the Federated States of Micronesia	MCT	970 000	970 000	22/3/2018	Under implementation
Mongolia	Flood resilience in Ulaanbaatar ger areas – climate change adaptation through community-driven small-scale protective and basic services interventions	UN-HABITAT	4 495 235	4 181 369	16/7/2018	Under implementation
Regional	Promoting climate-smart agriculture in West Africa	BOAD	14 000 000	5 664 000	16/7/2018	Under implementation
Regional	Reducing climate vulnerability and flood risk in coastal urban and semi-urban areas in cities in Latin America	CAF	13 910 400	12 364 523	16/7/2018	Under implementation
Ecuador	Increasing adaptive capacity of local communities, ecosystems and hydroelectric systems in the Río Blanco upper watershed (Toachi-Pilatón watershed) with a focus on ecosystem- and community-based adaptation and integrated adaptive watershed management	CAF	2 489 373	646 368.08	16/7/2018	Proposal approved
Armenia	Artik city closed stonepit waste and flood management pilot project	EPIU	1 435 100	1 435 100	12/10/2018	Under implementation
Regional	Integrating flood and drought management and early warning for climate change adaptation in the Volta basin	WMO	7 920 000	7 122 000	12/10/2018	Under implementation
Regional	Restoring marine ecosystem services by rehabilitating coral reefs to meet a changing climate future	UNDP	10 000 000	5 080 435	12/10/2018	Under implementation
Armenia	Strengthening land-based adaptation capacity in communities adjacent to protected areas in Armenia	EPIU	2 506 000	2 246 877	15/3/2019	Under implementation
Dominican Republic	Enhancing climate resilience in the San Cristóbal province of the Dominican Republic – integrated water resources management programme	IDDI	9 953 692	7 962 953.55	15/3/2019	Under implementation
Regional	Integrated climate-resilient transboundary flood risk management in the Drin River basin in the Western Balkans	UNDP	9 927 750	7 022 698	15/3/2019	Under implementation

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Indonesia	Community adaptation for forest-food-based management in the Saddang watershed ecosystem	KEMITRAAN	835 465	835 465	8/7/2019	Under implementation
Regional	Enhancing adaptive capacity of Andean communities through climate services	WMO	7 432 250	2 229 600	8/7/2019	Under implementation
Lesotho	Improving adaptive capacity of vulnerable and food-insecure populations in Lesotho	WFP	9 999 894	6 763 951	8/7/2019	Under implementation
Lao People's Democratic Republic	Building climate and disaster resilience capacities of vulnerable small towns in the Lao People's Democratic Republic	UN-HABITAT	5 500 000	804 392	8/7/2019	Under implementation
Sierra Leone	Promoting climate resilience in the cocoa and rice sectors as an adaptation strategy in Sierra Leone	IFAD	9 916 925	2 940 567	8/7/2019	Under implementation
Tajikistan	An integrated landscape approach to enhancing the climate resilience of small-scale farmers and pastoralists in Tajikistan	UNDP	9 996 441	1 076 804	8/7/2019	Under implementation
Saint Lucia	Building resilience for adaptation to climate change and climate vulnerabilities in agriculture in Saint Lucia	CDB	9 858 570	4 271 552	8/7/2019	Under implementation
Regional	Climate change adaptation in vulnerable coastal cities and ecosystems of the Uruguay River	CAF	13 999 996	2 799 999	15/7/2019	Under implementation
Regional	Integration of climate change adaptation measures in the concerted management of the WAP transboundary complex	OSS	11 536 200	5 048 450	15/7/2019	Under implementation
Regional	Building urban climate resilience in south-eastern Africa	UN-HABITAT	13 997 423	6 943 072	15/7/2019	Under implementation
Regional	Strengthening drought resilience of small-holder farmers and pastoralists in the IGAD region	OSS	13 079 540	2 100 000	11/10/2019	Under implementation
Malawi	Adapting to climate change through integrated risk management strategies and enhanced market opportunities for resilient food security and livelihoods	WFP	9 989 335	5 372 503	11/10/2019	Under implementation
Democratic Republic of the Congo	Building adaptive capacity to climate change in vulnerable communities living in the Congo River basin	WFP	9 999 909	1 996 677	11/10/2019	Under implementation
Georgia	Dairy modernization and market access: adaptation component	IFAD	4 644 794	973 737	11/10/2019	Under implementation
El Salvador	Enhancing climate resilience of rural communities and ecosystems in Ahuachapán-Sur, El Salvador	UNDP	8 484 503	1 718 487	11/10/2019	Under implementation
Republic of Moldova	Talent retention for rural transformation – Adapt	IFAD	6 008 095	881 221	11/10/2019	Under implementation
Global	Special financing window in support of innovation for adaptation	UNEP	5 000 000	713 900	11/10/2019	Under implementation
Global	AF-UNDP Innovation Small Grant Aggregator Platform	UNDP	5 000 000	1 088 393	11/10/2019	Under implementation
United Republic of Tanzania	Enhancing climate change adaptation for agropastoral communities in Kongwa district	NEMC	1 200 000	360 102	1/6/2020	Under implementation

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
United Republic of Tanzania	Enhancing climate change resilience of coastal communities of Zanzibar	NEMC	1 000 000	243 023	1/6/2020	Under implementation
Pakistan	Enhancing community, local and national level urban climate change resilience to water scarcity, caused by floods and droughts in Rawalpindi and Nowshera in Pakistan	UN-HABITAT	6 094 000	439 860	1/6/2020	Under implementation
Tunisia	Economic, social and solidarity insertion for resilience in the Governorate of Kairouan	IFAD	9 997 190	2 278 477	1/6/2020	Under implementation
Viet Nam	Enhancing the resilience inclusive and sustainable eco-human settlement development through small-scale infrastructure interventions in the coastal regions of the Mekong Delta in Viet Nam	UN-HABITAT	6 345 292	2 294 429	1/6/2020	Under implementation
Indonesia	Safekeeping-surviving-sustaining towards resilience: 3S approach to building coastal city resilience to climate change impacts and natural disasters in Pekalongan City, Central Java Province	KEMITRAAN	5 972 670	2 518 797	23/9/2020	Under implementation
Cameroon	Increasing local communities' resilience to climate change through youth entrepreneurship and integrated natural resources management	IFAD	9 982 000	1 260 000	23/9/2020	Proposal approved
United Republic of Tanzania	Strategic water harvesting technologies for enhancing resilience to climate change in rural communities in semi-arid areas of Tanzania	NEMC	1 280 000	349 227	23/9/2020	Under implementation
Indonesia	Adapting to climate change through sustainable integrated watershed governance in indigenous people of Ammatoa Kajang Customary Area in Bulukumba Regency, South Sulawesi Province, Indonesia	KEMITRAAN	1 125 015	398 029	23/9/2020	Under implementation
Egypt	Building resilient food security systems to benefit the southern Egypt region – Phase 2	WFP	3 094 962	760 654	23/9/2020	Under implementation
Liberia	Building climate resilience in Liberia's cocoa and rice sectors	IFAD	9 592 082	1 792 592	23/9/2020	Under implementation
Regional	Reducing vulnerabilities of populations in the Central Asia region from glacier lake outburst floods in a changing climate	UNESCO	6 500 000	830 033	15/10/2020	Under implementation
Cambodia	Climate change adaptation through protective small-scale infrastructure interventions in coastal settlements of Cambodia	UN-HABITAT	5 000 000	1 064 998	26/1/2021	Under implementation
Regional	Increasing the resilience of both displaced persons and host communities to climate change-related water challenges in Jordan and Lebanon	UN-HABITAT	1 397 350.9	2 560 482	11/3/2021	Under implementation
Regional	Mekong EbA South: Enhancing climate resilience in the Greater Mekong subregion through ecosystem-based adaptation in the context of South-South cooperation	UNEP	7 000 000	834 690	11/3/2021	Proposal approved
Gambia	Rural integrated climate adaptation and resilience-building project	WFP	10 000 000	959 736	11/3/2021	Under implementation

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Country	Project Title	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project/ Programme Status
Zimbabwe	Strengthening local communities' adaptive capacity and resilience to climate change through sustainable groundwater utilization in Zimbabwe	UNESCO	5 000 000	0	8/4/2021	Proposal approved
United Republic of Tanzania	Bunda climate resilience and adaptation project	NEMC	1 400 000	786 269	8/4/2021	Under implementation
Syrian Arab Republic	Increasing the climate change resilience of communities in Eastern Ghouta in Rural Damascus to water scarcity challenges through integrated natural resource management and immediate adaptation interventions	UN-HABITAT	9 997 156	0	8/4/2021	Under implementation
Regional	Resilience building as climate change adaptation in drought-struck South-western African communities in Angola and Namibia	OSS	11 941 038	4 868 000	26/7/2021	Under implementation
Indonesia	Enhancing the Adaptation Capability of Coastal Community in Facing the Impacts of Climate Change in Negeri (Village) Asilulu, Ureng and Lima of Leihiu District Maluku Tengah Regency Maluku Province	KEMITRAAN	963 456	320 794	21/10/2021	Proposal approved
Indonesia	EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas	KEMITRAAN	824 835	549 890	21/10/2021	Proposal approved
Regional	Scaling-up Climate-resilient Rice Production in West Africa	OSS	14 000 000	3 569 250	21/10/2021	Proposal approved
Cote d'Ivoire	Increasing Rural Communities' Adaptive Capacity and Resilience to Climate Change in Bandama Basin in Côte d'Ivoire	IFAD	6 000 000	2 060 470	23/2/2022	Proposal approved
Malaysia	Nature-Based Climate Adaptation Programme for the Urban Areas of Penang Island	UN-HABITAT	10 000 000	1 500 000	23/2/2022	Proposal approved
Haiti	Implementing Measures for Climate Change Adaptation and Disaster Risk Reduction Mitigation of School Facilities in Haiti	UNESCO	9 916 344	1 514 200	23/2/2022	Proposal approved
Kyrgyz Republic	Regional Resilient Pastoral Communities Project - ADAPT	IFAD	9 999 313	0	8/4/2022	Proposal approved
Regional	Strengthening Resilience to Climate and Covid-19 Shocks through Integrated Water Management on the Sudan - Chad Border area (SCCIWM)	FAO	14 000 000	0	8/4/2022	Proposal approved
Trinidad and Tobago	Multisectoral Adaptation Measures to Climate Change in the South Oropouche River Basin for Flood Relief	CAF	10 000 000	0	8/4/2022	Proposal approved
Total			923 508 266.19	562 265 972.82		

Note: This table does not include projects that have not yet received project approval, including (1) projects that have been submitted for approval, but not (yet) approved; (2) Board-endorsed concepts and pre-concepts; (3) other submissions that are at the concept or pre-concept stage; and (4) projects recommended for approval but awaiting that the implementing entity acquires the status of 'accredited' with the Fund.

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ANNEX 4: List of Approved Readiness Projects through 30 June 2022

Note: no readiness grants were approved in FY22.

AFB	Country (Candidate NIE)	NIE	Type (S-S/ TA/TA-ES- P+Gender/ TA-Gender)	Application Submission Date	Date Approved	Board Decision	Agree- ment signed date	Grant Amount	Implementing Entity Fee
AFB 24	Cabo Verde	CSE	S-S	12-Aug-14	9-Oct-14	B. 24/17	9-Oct-14	47,449	-
	Chad	CSE	S-S	12-Aug-14	9-Oct-2014	B. 24/18	9-Oct-14	49,592	-
	Niger	CSE	S-S	12-Aug-14	9-Oct-2014	B. 24/19	9-Oct-14	47,449	-
TOTAL FY15	3							144,490	
AFB26-27	Senegal	CSE	TA-ESP	15-Sep-15	16-Feb-16	B.26-27/10	8-Mar-16	18,000	-
	Benin	FNEC	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/11	8-Mar-16	20,000	-
	Panama	FUNDACIÓN NATURA	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/12	8-Mar-16	20,000	-
	Costa Rica	FUNDE CO- OPERACIÓN	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/13	8-Mar-16	20,000	-
	Micronesia, Federated States of	MCT	TA-ESP		16-Feb-16	B.26-27/14	8-Mar-16	20,000	-
	South Africa	SANBI	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/15	8-Mar-16	20,000	-
	Guinea	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/16	8-Mar-16	47,449	-
	Mali	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/17	8-Mar-16	47,449	-
	Sierra Leone	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/18	8-Mar-16	47,449	-
	Malawi	NEMA	S-S	22-Sep-15	16-Feb-16	B.26-27/19	3-Oct-16	50,000	-
	Zimbabwe	NEMA	S-S	22-Sep-15	16-Feb-16	B.26-27/20	3-Oct-16	50,000	-
TOTAL FY16	11							360,347	
AFB28-29	Antigua and Barbuda	DoE	TA ESP & GENDER	29-Aug-16	23-Dec-16	B.28-29/4	30-Jan-17	25,000	-
	India	NABARD	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/5	30-Jan-17	25,000	-
	Kenya	NEMA	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/6	30-Jan-17	25,000	-
	Namibia	DRFN	TA ESP & GENDER	11-Aug-16	23-Dec-16	B.28-29/7	30-Jan-17	20,000	-
	Peru	PROFON- ANPE	TA ESP & GENDER	31-Aug-16	23-Dec-16	B.28-29/8	30-Jan-17	25,000	-
	Rwanda	MOE	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/9	30-Jan-17	25,000	-
	Costa Rica	FUNDECO- OPERACIÓN	TA GENDER	30-Aug-16	23-Dec-16	B.28-29/10	30-Jan-17	10,000	-
	Micronesia, Federated States of	MCT	TA GENDER	31-Aug-16	23-Dec-16	B.28-29/11	30-Jan-17	10,000	-
	Senegal	CSE	TA GENDER	19-Aug-16	23-Dec-16	B.28-29/12	30-Jan-17	10,000	-
	Burundi	CSE	S-S	24-Aug-16	23-Dec-16	B.28-29/13	30-Jan-17	50,000	-
	Togo	CSE	S-S	26-Aug-16	23-Dec-16	B.28-29/14	30-Jan-17	50,000	-
TOTAL FY17	11							275,000	

V. ANNEXES

AFB	Country (Candidate NIE)	NIE	Type (S-S/ TA/TA-ES-P+Gender/ TA-Gender)	Application Submission Date	Date Approved	Board Decision	Agreement signed date	Grant Amount	Implementing Entity Fee
AFB 30-31	Côte d'Ivoire	CSE	S-S	25-Sep-17	29-Dec-17	B.30-31/10	30-Apr-18	50,000	-
	Dominica	DoE	S-S	5-Oct-17	29-Dec-17	B.30-31/11	24-Apr-18	50,000	2,000
	Maldives	DoE	S-S	5-Oct-17	29-Dec-17	B.30-31/12	24-Apr-18	50,000	2,000
	Morocco	ADA	TA ESP & GENDER	28-Sep-17	29-Dec-17	B.30-31/9	On-going	25,000	-
AFB 31-32	Burundi	CSE	S-S	9-May-18	29-Jun-18	B.31-32/6	14-Sep-18	50,000	-
	Mali	CSE	S-S	9-May-18	29-Jun-18	B.31-32/6	14-Sep-18	50,000	-
TOTAL FY18	6							275,000	4,000
AFB 32-33	Afghanistan	NABARD	S-S	1-Nov-18	26-Dec-18	B.32-33/9	3-Jun-19	50,000	0
	Armenia	EPIU	TA-ESGP	24-Oct-18	26-Dec-18	B.32-33/7	7-May-19	19,500	0
	Bhutan	BTFEC	TA-ESGP	17-Oct-18	26-Dec-18	B.32-33/5	7-May-19	25,000	0
	Dominican Republic	IDDI	TA-ESGP	24-Oct-18	26-Dec-18	B.32-33/6	9-May-19	22,700	1,700
	Mauritius	CSE	S-S	24-Oct-18	26-Dec-18	B.32-33/8	6-May-19	49,910	3,910
TOTAL FY19	5							275,000	5,610
AFB 34	Rwanda	MoE	Project scale-up	30-Jul-19	11-Oct-19	B.34/39	29-Apr-20	99,000	0
	Belize	PACT	PFA	10-Aug-19	11-Oct-19	B.34/15	5-May-20	20,000	1,500
	Namibia ²⁰	DRFN	PFA	10-Aug-19	11-Oct-19	B.34/19	pending	pending	0
AFB 34-35	Mozambique	NEMA	S-S	2-Sep-19	2-Dec-19	B.34-35/9	pending	50,000	3,800
	Botswana	NEMA	S-S	2-Sep-19	2-Dec-19	B.34-35/8	pending	50,000	3,800
	Tanzania	NEMC	TA-ESGP	4-Sep-19	2-Dec-19	B.34-35/7	5-May-20	25,000	0
	Uganda	MoWe	TA-ESGP	5-Sep-19	2-Dec-19	B.34-35/6	8-May-20	25,000	0
	Zimbabwe	EMA	TA-ESGP	5-Sep-19	2-Dec-19	B.34-35/5	11-May-20	25,000	0
TOTAL FY20	8							314,000	9,100
AFB 35-36	Benin	FNEC	TA-GP	6-Oct-20	9-Dec-20	B.35-36/6	17-Feb-21	10,000	
	Cote d'Ivoire	FIRCA	TA-ESGP	1-Sep-20	9-Dec-20	B.35-36/7	22-Feb-21	24,820	
	Mexico	IMTA	TA-ESGP	3-Oct-20	9-Dec-20	B.35-36/8	31-Mar-21	25,000	
TOTAL FY21	3							59,820	0
AFB 37-38	Cameroon	CSE (IE providing support)	Readiness Package Grant	8-Sep-21				149,405	11,705
	Zambia	CSE (IE providing support)	TA-ESGP	1-Sep-20	9-Dec-20	B.35-36/7	22-Feb-21	24,820	
TOTAL FY21	0							0	0

20. As per Board Decision B.34/19, the Board decided to approve, subject to the re-accreditation of DRFN by the Board, the project formulation assistance grant of US\$ 20,000. 20.



ADAPTATION FUND