

AFB/EFC.31/Inf.2 11 March 2023

Adaptation Fund Board Ethics and Finance Committee Thirty-first Meeting Bonn, Germany, 21-22 March 2023

Agenda Item: 5 a)

WORK UPDATE REPORT OF THE TECHNICAL EVALUATION REFERENCE GROUP OF THE ADAPTATION FUND (AF-TERG)

PROGRESS UPDATE FOR FISCAL YEAR 2023 (FY23) AND FORWARD PRIORITIES FOR FISCAL YEAR 2024 (FY24)

Introduction

- 1. The purpose of this document is to present an annual progress update for FY23 on the multi-year work programme of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG). The five accompanying Annexes serve as a general background and include the following: excerpt of the Board approved AF-TERG strategy and work programme (Annex 1); AF-TERG work principles that inform its approach (Annex 2); complete list of reports delivered by the AF-TERG to the Board since FY20 (Annex 3); changes in personnel and consultants in FY23 (Annex 4); a summary of AF-TERG external outreach activities (Annex 5); and an overview of potential documents to be included in the rapid evaluation (Annex 6).
- 2. The AF-TERG is separately submitting to the EFC's thirty-first meeting in March 2023, a set of Evaluation Policy Guidance Notes, and its Budget update for FY23 and FY24.

Background

- 3. The Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) is an independent evaluation advisory group, accountable to the Fund's Board (hereafter, 'the Board'), and functionally independent of the Adaptation Fund Board secretariat (hereafter, 'the secretariat'). It was established to ensure the independent implementation of the Adaptation Fund's (hereafter, 'the Fund') Evaluation Framework. The AF-TERG has been operational since 1st July 2019 and is comprised of an independent group of four experts, with strategic leadership provided by a Chair.
- 4. The final terms of reference of the AF-TERG were presented at the twenty-second Ethics and Finance Committee (EFC) meeting, under the title "Implications of the Establishment of the Fund's Evaluation Function" document AFB/EFC.22/.3.² Having considered the comments and recommendation of the EFC, the Board decided at its thirty-first meeting in March 2018:
 - (a) To approve the terms of reference of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) as contained in Annex III to the report of the Board (AFB/B.31/8):³
 - (b) To approve the amendment to the terms of reference of the Ethics and Finance Committee (EFC) as contained in Annex IV to the report of the Board (AFB/B.31/8); [...]

(Decision B.31/25)

5. On June 4, 2020, the Board approved, through inter-sessional decision B.35.a-35.b/29, the strategy and three-year work programme of the AF-TERG for 2021-2023

¹ Short profiles of all AF-TERG personnel are available on the Adaptation Fund website https://www.adaptation-fund.org/about/evaluation/af-terg-who-we-are/.

² Available at: https://www.adaptation-fund.org/document/implications-establishment-funds-evaluation-function/

³ Available at: https://www.adaptation-fund.org/document/report-thirty-first-meeting-afb-20-23-march-2018/

(AFB/EFC.26.a26.b/3).⁴ Through intersessional decision B.35.a-35.b/27 the Board approved the first proposed two-year budget to cover the costs of the operations of the AF-TERG and its secretariat for fiscal years 2021 (FY21) and 2022 (FY22).⁵ Adjustments for FY22 and a new requested budget for FY23 were approved by the Board as part of decision B.36/31.⁶ At the thirty-eight meeting of the Board, the Chair of the AF-TERG presented an update of the work programme for fiscal years 2023 and 2024 (AFB/EFC.29/7).⁷ Adjustments for FY23 and a new budget for FY24 were approved by the Board as part of decision B.38/47.⁸ A revised budget for FY23 was approved by the Board in October 2022 as part of decision B.39/58).⁹

- 6. In April 2022, the draft Evaluation Policy of the Adaptation Fund was approved by the Board (Decision B.38/48)¹⁰. The new policy will enable the Fund's evaluation function to contribute more effectively towards helping to foster the Fund's mission, goal, and vision of helping developing countries build resilience and adapt to climate change.
- 7. In supporting the Fund's evaluation function, the AF-TERG's approach to all its work elements is focused on balancing the three functions of the AF-TERG, namely Evaluation, Advisory and Oversight. It takes direction from the Board's steer; is informed by evaluative needs of the Fund's key stakeholders and relevant guidance from the various bodies of the UNFCCC; and adopts the Fund's Evaluation Policy.
- 8. The AF-TERG's approach continues to be responsive to and informed by other significant contextual shifts, including the focus on country-driven and locally-led action, the growth of the Fund's portfolio, and diversification of types of funding and funding windows. An approach of participatory engagement with Adaptation Fund stakeholders consistent with the AF-TERG's work principles continues to inform all aspects of the AF-TERG's work. This includes consultations with the Board, the EFC, Implementing Entities (IEs), the Fund's CSO network and the secretariat to get their perspectives and to ensure that the work of the AF-TERG remains grounded in key stakeholders' realities.

⁴ Available at: https://www.adaptation-fund.org/document/draft-strategy-and-work-programme-of-the-adaptation-fundtechnical-evaluation-reference-group-af-terg/

Administrative Budgets of the Board and Secretariat, and Trustee for Fiscal Year 2021 and the AF-TERG and its Secretariat for Fiscal Years 2021-2022. Document no. AFB/EFC.26.a-26.b/1/Rev.1. Available at: https://www.adaptation-fund.org/document/administrative-budgets-of-the-board-and-secretariat-and-trustee-for-fiscal-years-2021-and-the-af-terg-and-its-secretariat-for-fiscal-years-2021-2022/

⁶ Administrative Budgets of the Board and Secretariat, and Trustee for Fiscal Year 2022, and the AF-TERG and its Secretariat for Fiscal Years 2022-2023. Document no. AFB/EFC.27/.6. Available at: https://www.adaptationfund.org/document/administrative-budgets-of-the-board-and-secretariat-and-trustee-for-fiscal-years-2022-and-the-afterg-and-its-secretariat-for-fiscal-years-2022-2023/

Available at: : https://www.adaptation-fund.org/document/fiscal-years-2023-2024-update-to-the-work-programme-of-the-adaptation-fund-technical-evaluation-reference-group-af-terg/

⁸ Administrative Budgets of the Board and Secretariat, and Trustee for Fiscal Year 2023, and the AF-TERG and its Secretariat for Fiscal Years 2023-2024. Document no. AFB/EFC.29/5/Rev.1. Available at: https://www.adaptation-fund.org/document/administrative-budgets-of-the-board-and-secretariat-and-trustee-for-fiscal-year-2023-and-the-af-terg-and-its-secretariat-for-fiscal-years-2023-2024/

⁹ Revision of the administrative budget of the AF-TERG and its secretariat for fiscal year 2023. Document no. AFB/EFC.30/9.Available at: https://www.adaptation-fund.org/document/revision-of-the-administrative-budget-of-the-af-terg-and-its-secretariat-for-fiscal-year-2023/

¹⁰ Available at: https://www.adaptation-fund.org/document/evaluation-policy-of-the-adaptation-fund-graphically-edited/

Updates to EFC on key work elements of the work programme

9. The AF-TERG is pleased to present the following updates on key work elements of its multi-year work programme, as follows:

(a) Evaluation Policy Guidance Notes.

- 10. In April 2022, the Board requested the AF-TERG to develop, in consultation with the secretariat, evaluation guidance documents for the implementation of the Fund's evaluation policy, including budget implications (Decision B.38/48).
- 11. The process is being undertaken in a strategic manner, whereby the Evaluation Policy Guidance Note (GN) development has been prioritized according to the most immediate requirements of the Evaluation Policy.
- 12. The first set of ten GNs are separately presented to the EFC for consideration and recommendation for Board approval (AFB/EFC.31/8).
- 13. The first set is targeted at Fund stakeholders and immediately relevant for those involved in commissioning, managing, undertaking and using evaluations in line with the new Evaluation Policy of the Adaptation Fund to become effective in October 2023. These include: three crosscutting general GNs; four evaluation phase-specific GNs; and three evaluation-type GNs.
- 14. The next set of GNs relates to project cycle processes that are not likely to happen for a few years. This second set of GNs is at an advanced stage of development and will be submitted to the EFC prior to the October 2023 launch of the Evaluation Policy. These include guidance notes on the following areas: real-time evaluation; evaluation follow-up and use; and an overarching document that summarizes the complete package of GNs.
- 15. The process of GN development has had (and continues to have) a strong consultative and participatory approach as requested by the Board. It has so far included several stakeholder consultations, including key informant interviews, consultations with the Advisory Group (AG) of EPG development which includes balanced representation from across Implementing Entities, the Adaptation Fund CSO network, the Board, and the secretariat, and consultations with the secretariat to be able to respond to the specific needs of the Fund, and interviews with experts and stakeholders in peer organizations.

(b) <u>Information Update on the Rapid Evaluation of the Fund</u>

- 16. At its 39th meeting, the Board requested the AF-TERG to prepare a rapid evaluation in line with Option 1, as presented in document AFB/EFC.30/11 Options for the overall evaluation of the Fund¹¹ (Decision B.39/57).
- 17. As indicated in Option 1, the rapid evaluation will primarily synthesize existing evaluative evidence, knowledge and emerging lessons from all AF-TERG evaluations and products to date

¹¹ Available at: https://www.adaptation-fund.org/document/options-for-the-overall-evaluation-of-the-fund-af-terg/

and from knowledge products of the Fund's Board Secretariat. The final report will be shared with the EFC, the Board and other key stakeholders. In addition, the synthesis will be an input for the design of the comprehensive evaluation of the Fund expected to be completed in in the next two or three years.

- 18. The aim of the rapid evaluation is to use existing Fund evaluative evidence to (i) develop a framework for assessing the Fund's delivery on its mandate, in line with relevant COP/CMP/CMA decisions, the Fund's MTS, and the Evaluation Policy, (ii) Identify areas where evidence is not yet available to assess the Fund's performance, and (iii) assess the Fund's performance where evidence is available. The rapid evaluation is therefore intended to provide timely assessment of the Fund's performance, results and comparative advantage, drawing on existing Fund evaluations and knowledge products (see Annex 6) for the Board's consideration.
- 19. The evaluation is also intended to support learning and reflection within the Fund, with a view of further improving its performance, and accountability. It will also feed into a more comprehensive evaluation in line with option 3 presented in document AFB/EFC.30/11.
- 20. In line with Decision B.39/57, the AF-TERG shared a Terms of Reference (ToR) intersessionally with the EFC in December 2022, and incorporated comments received in the final ToR. The ToR was used to recruit a consultant to lead the rapid evaluation. The consultant, under the guidance of an AF-TERG member and supported by a data analyst, is currently conducting the first two tasks presented in Table 1: identification of sources and development of the analytical framework.

Table 1: Rapid Evaluation Tasks and Timeframe

| Ta | sks | Timeframe | |
|----|--|---------------|--|
| A. | Identification and quality appraisal of Evidence Base | February 2023 | |
| | • Identification of the evaluations and learning studies to be included in the synthesis (an initial list is provided in Annex 6). | | |
| | • Development of a quality appraisal framework to determine the evidence that should be included in the synthesis. | | |
| В. | Development of analytical framework | February and | |
| | An analytical framework will be developed that contains evaluation questions and topics for which evidence and knowledge the Fund would like to seek. | March 2023 | |
| C. | Data extraction and analysis of evidence | March to May | |
| | Analysis of evaluations and knowledge products against the analytical framework using qualitative assessment software or through a manual approach to data extraction. | 2023 | |
| D. | Synthesis of evidence | May 2023 | |
| | • Synthesis of emerging findings and findings drawn from the data collected from evaluations and studies. | | |

| | • Develop an evidence gap map ¹² to present the availability and lack of evidence for a particular topic. | |
|----|---|-------------|
| E. | Testing and validation of findings | June 2023 |
| | Interviews with Fund staff and stakeholders will be conducted to test emerging findings and offers the opportunity to strengthen and clarify the messages emerging from the data. | |
| F. | Reporting | July 2023 |
| | A final synthesis report will be drafted with supporting annexes providing an evidence trail for the findings. | |
| | Rapid evaluation to be submitted for the consideration of the Board, no later than 60 days before the forty-first meeting of the Board. | August 2023 |

(c) <u>Information Update on Three Thematic Evaluations</u>

- 21. A suite of three thematic evaluations remains on schedule to be delivered within FY23. These thematic topics were identified following initial consultations with Board members, implementing entities, and secretariat staff as relevant to the evolving context of the Fund. The findings from all three thematic studies will inform work being undertaking through the rapid evaluation highlighted in the paras 16-20 above.
- 22. <u>Innovation</u>: The first study on the Fund's experience with innovation was presented to the EFC for consideration and recommendation for Board approval (AFB/EFC.30/10, October 2022). The evaluation highlighted seven areas of improvement and three potential options for the Fund to consider in enhancing the impact of its support to adaptation-related innovations. Board decision B.39/56 took note of the findings and particular areas of improvement and requested the secretariat to prepare a draft management response to the innovation thematic evaluation and to submit it for consideration by the Board intersessionally between the Board's thirty-ninth and fortieth meetings.
- 23. The remaining two thematic evaluations on accreditation and on scalability are at advanced stages of development. Although these were intended to be presented to the EFC in March 2023, both studies have faced slight delays due to external factors outside the control of the AF-TERG.
- 24. <u>Scalability</u>: The ultimate objective of the thematic evaluation on scalability is to derive lessons about the Fund's efforts and progress in the design and delivery of projects that are scalable and to look at the performance of the investments made by the Board for this purpose. It is also the goal of this evaluation to bring external experiences on the scalability of CCA projects to the Fund.

¹³ The document follows the work already presented to the Board on Phase 1 of the thematic evaluation of the Fund's work on Innovation (AFB/EFC.29/Inf.3).

¹² A typical evidence gap map is a matrix, for example, of types of interventions categories (rows) and outcome domain (column). EGMs show what evidence there is and not what evidence says.

- 25. The evaluative section of the scalability thematic includes an assessment of scaling in the Action Pillar of the Fund's Medium Term Strategy and cross-cutting observations on scaling at the Fund. This section of the report will also provide an overview of the stated plans and vision of scaling funding proposals ex ante and during project implementation. Specific options and recommendations will include an assessment of the strengths and potential of the Fund as a supporter of scaling, and option packages for the Fund to manage scaling support.
- 26. The work is currently in the final stages of drafting and will be completed by June 2023 and presented to the next EFC meeting in October 2023 for endorsement. Following the EFC meeting in October 2022, the evaluation team conducted a series of consultations with the secretariat and key actors involved in scaling climate change projects in other funds, including the Global Environment Facility (GEF), the Green Climate Fund (GCF), and the Climate Investment Funds (CIF). Other consultations included a multilateral implementing entity, the International Fund for Agricultural Development (IFAD), and the Bill and Melinda Gates Foundation.
- 27. Accreditation: The purpose of the accreditation thematic evaluation is to understand how the accreditation function can be strengthened and scaled up to better meet the anticipated increase in demand for Fund accreditation and support the Medium-Term Strategy. It also includes investigation into related readiness processes. In doing so, the evaluation proposes to cultivate lessons learned from the past and present evolution of the accreditation and readiness process to identify successful pathways to its future role for the Fund. The evaluation is addressing questions around the efficacy of the accreditation process and its criteria, including the appropriateness of the Designated Authority requirement and the IEs selected, the efficiency of interactions between the Accreditation Panel and the applicant, the effectiveness of the Readiness program, the significance of the Fast Track option, the usefulness of the reaccreditation process, and the capacity of the current accreditation and readiness process to handle the expected influx of applicants. The evaluation may draw upon accreditation and readiness lessons learned from similar funds.
- 22. The accreditation thematic evaluation is being conducted following consultative and participatory approaches, according to the AF-TERG work principles of co-learning and co-creation of evaluations. The Phase 1: Inception is complete and Phase 2: Consultation is well underway. Phase 3: Synthesis will be completed by June 2023 and presented to the next EFC meeting in October 2023 for endorsement. The Accreditation Panel has also expressed interest in discussing the preliminary findings of the accreditation thematic as these emerge.
 - (d) <u>Information Update on Phase 2 of the Ex-Post Project Sustainability Evaluation</u>
- 28. The information document on Phase 2 of ex post evaluations presented the findings and lessons from the first pilots in Samoa and Ecuador (AFB/EFC.30/Inf.3). These evaluation summaries have now been published, and the AF-TERG is preparing summaries for local participants that will share the results of the evaluations with them.
- 29. In preparation for the next two ex post evaluations, the AF-TERG has drawn on lessons from Phase 2 and refined the methodology for the ex post evaluations. This now includes

additional information on evaluating vulnerability and adaptive capacity. The AF-TERG has also updated the corresponding training materials and provided inputs to the Evaluation Policy guidance note on ex post evaluation. New guidance on data archiving for implementing entities is expected to result in more robust future ex post evaluations, as project data will allow for a more rigorous evaluation of sustained outcomes.

- 30. Phase 3 of the ex post work is now underway. The process of procurement of consultancy services is currently underway for the next two ex post evaluations, which will evaluate two completed projects in Argentina: "Increasing Climate Resilience and Enhancing Sustainable Land Management in the Southwest of the Buenos Aires Province" (IBRD, 2015-2019) and "Enhancing the Adaptive Capacity and Increasing Resilience of Small-Size Agriculture Producers of the Northeast of Argentina" (Unidad para Cambio Rural Argentina, 2013-2018).
- 31. The AF-TERG anticipates the completion of the ex-post field work for both projects in Argentina by June 2023 and proposes to submit the findings to the EFC for its 32nd meeting in October 2023.
- 32. In response to comments at the thirtieth meeting of the EFC in October 2022, the AF-TERG is also exploring options for broader ex post coverage of the portfolio, which could include a modified methodology that could include causal mapping and contribution analysis. The AF-TERG is also considering an approach that would cover all project outcomes for its full-scale ex post evaluations. These options and their budgetary implications will be submitted to the EFC for its 32nd meeting in October 2023.

Overall work programme summary update

33. A summary of progress on the AF-TERG's work programme is presented in Table 2.

Table 2: Current work elements and their status

| Work Element | Status | Comment |
|---------------------------|--------------|--|
| i. Annual | In progress, | Activities continue to be implemented in line with annual work |
| Implementation Plan | on-track | plan and budgets for FY23 and FY24, as approved by the Board |
| | | and guided by Fund priorities. |
| ii. Development of AF- | Completed, | An organizational development consultant supported the AF- |
| TERG Operational | no further | TERG between April and August 2021 to strengthen its |
| Guidance | action | operational modalities and ways of working for increased impact |
| | | and effectiveness. Further work in September 2022 focused on |
| | | strengthening operational efficiency. |
| iii. Review / revision of | In progress | (i) Inception phase (completed, February 2021): Review of the |
| the Evaluation | - advanced | Fund's current Evaluation Framework, which recommended the |
| Framework | | development of an Evaluation Policy instrument and was |
| (AFB/EFC.27/7) | | approved by the Board in March 2021. |
| | | (ii) Phase 1 (completed): Development of the draft Evaluation |
| | | Policy, approved by the Board in April 2022. |
| | | (iii) Phase 2 (current): Detailed operational-level Guidance Notes |
| | | developed and to be submitted for EFC / Board approval. |

| iv. Co-learning and Capacity Building | In progress | The AF-TERG has continued to engage with Fund stakeholders as follows: (i) consultative process in developing the Evaluation Policy and its operational guidelines; (ii) engagement with IEs at external events such NIE and readiness seminars to better understand their evaluation approaches and needs; (iii) piloting training of local evaluators and implementing entities on ex post methodologies in Samoa and Ecuador (concluded); (iv) Further engagements with Fund stakeholders are planned over the next few months, including through on-going thematic and ex post work, and in collaboration with the Secretariat, to support the operationalization of the Evaluation Policy. | | | |
|--|--------------------------------|---|--|--|--|
| v. Medium-Term Strategy (MTS) mid- term review (AFB/EFC.27Inf.2) | Completed (2021) | The Mid-Term Review (MTR) of the first medium-term strategy (MTS) is available on the Fund's website, following presentation of its findings and recommendations to the EFC and Board in October 2021. A management response and action plan were subsequently developed by the secretariat, in consultation with the AF-TERG. | | | |
| vi. Studies and thematic evaluations | In progress | A suite of three thematic evaluations have been initiated and are being taken forward in consultation with the secretariat: (i) Innovation in Climate Change Adaptation was presented at the Board's thirty-ninth meeting (October 2022) and is considered completed. (see Decision B.39/56) (ii) Accreditation and related readiness in the Fund - Final evaluation to be completed by June 2023. (iii) Scalability – Final evaluation to be completed by June 2023. Contracting for the rapid evaluation is underway. Work to be | | | |
| vii. Ex post evaluations | In progress | completed by July 2023. (i) Phase 1 (completed in August 2021) developed innovative guidance aimed at evaluating project sustainability and the ultimate aim of resilience. (ii) Phase 2: Two pilot studies in Samoa and Ecuador were completed. Findings were shared with country stakeholders and presented at the 30 th Board meeting. (iii) Phase 3: Procurement of consultancy services for the next two ex-posts in Argentina is underway. Fieldwork expected to be completed by June 2023. | | | |
| viii. Evaluation Synthesis (AFB/EFC.27/8) | Completed (January 2021) | This study looked at the quality of 17 final evaluations of the Fund. A management response was developed by the secretariat in consultation with the AF-TERG. A second synthesis of the quality of final evaluations is planned for FY24. | | | |
| ix. Sharing Outreach and Communication on evaluation results | On-going, continuous | The AF-TERG was represented at various internal and external events. Details are in Annex 5. | | | |

Forward Priorities

- 34. Over the next six months, the AF-TERG will prioritise the delivery of the following milestones in its current work programme:
 - (a) Rapid Evaluation of the Fund: The AF-TERG's multi-year strategy and work programme provisioned for an overall evaluation of the Adaptation Fund. The Board reviewed the proposed approach presented by the AFTERG and decided to adopt a phased approach (Decision B39/57), proceeding urgently with a rapid evaluation and undertaking a comprehensive evaluation at a later stage, with a view to contributing to the development of the Adaptation Fund's medium-term strategy for 2028-2032. An update on the progress made for the rapid evaluation is presented in paragraphs 16 to 20 and Table 1 above.

The rapid evaluation will be submitted for the consideration of the Board, no later than 60 days before the forty-first meeting of the Board. The AF-TERG will also make a presentation to the EFC at its thirty-second meeting in October 2023.

(b) Continued development of operational guidelines to guide implementation of the Evaluation Policy. Subject to Board approval of the first set of GN documents, the period between April and October 2023 will be used to disseminate and socialize the guidance to facilitate prompt uptake of the policy in collaboration with the secretariat.

The second set of GNs will be submitted to the EFC at its thirty-second meeting in October 2023.

(c) Implement the approved Evaluation Policy of the Fund. The implementation of the new Evaluation Policy will be a major priority and will be undertaken in close consultation with the secretariat. This process will be supported by Evaluation Policy Guidance resources and may involve the development of on-line or other training resources to help guide Fund stakeholders.

A progress update will be presented to the EFC at its thirty-second meeting in October 2023.

(d) Thematic studies: The two on-going thematic evaluations will conclude by June 2023. Taken together, all three thematic evaluations will provide a rich ground for lesson learning for the Fund and for the field of climate change adaptation more widely. They will also feed into the rapid evaluation of the Fund. Through a process of consultation with the EFC, and as part of developing its forward work programming, the AF-TERG will identify the next set of thematic studies to be undertaken in FY24.

- (e) **Ex post evaluations:** Ex post evaluations will continue in FY23 and FY24, with the aim of completing two studies in each fiscal year (with a total of 6 studies proposed to be completed by the end of the current work programme).
- (f) **Synthesis of final evaluations:** In FY24, the AF-TERG proposes to undertake the second synthesis to look at the quality of final evaluations. Over time, this is intended to help in tracking improvements in the quality of the Fund's project-level final evaluations in line with the new Evaluation Policy, and with emerging lessons intended to inform future project design and management.
- 35. **Development of the next AF-TERG work programme**: As the current work programme ends in FY24, the AF-TERG proposes to initiate the process of developing its forward-looking multi-year work programme for FY25-FY27, for EFC and Board approval. This will also need to take into account any adjustments arising from the new evaluation policy (as and when adopted), and preliminary findings from the rapid evaluation. Over the next few months, the AF-TERG will initiate consultations with Fund stakeholders, including the EFC and the secretariat. The next multi-year work programme and budget will be submitted to the EFC at its thirty-third meeting in March 2024.
- 36. **External engagement:** The AF-TERG actively participated in a first virtual meeting with evaluation units from all four climate funds, namely the Adaptation Fund, Global Environment Facility (GEF), Green Climate Fund (GCF), and the Climate Investment Fund (CIF) in January 2023. As part of its strategic communications and external outreach which is oriented towards demonstrating the Fund's niche value, results, and facilitate learning, the AF-TERG will continue to engage with evaluation units in the GCF, the GEF, the CIF, UN organisations and multilateral development banks, and with other climate change adaptation experts to ensure coherence and to reflect relevant thinking on adaptation monitoring evaluation and learning (MEL) in its approach and advice to the Board.
- 37. The AF-TERG is also in discussion with the secretariat to explore collaborative opportunities to present evaluation findings in external forums, including in the upcoming COP-28 and other related UNFCCC processes and events.
- 38. The AF-TERG is also exploring the possibility and value of securing observer status (rather than full membership) to the United Nations Evaluation Group (UNEG) an interagency professional network that brings together the evaluation units of the UN system. This could enable the AF-TERG to gain access to wider evaluation networks and resources and contribute to evaluation practice more broadly. The GCF gained full membership last year following the approval of its evaluation policy which is a key condition for joining the UNEG; and the GEF is also a member.
- 39. **Budget update**. The Board approved a minor upward revision of the AF-TERG budget for FY23 (AFB/EFC.30/9). A budget update for FY23 and a minor upward revision for FY24 (AFB/EFC.31/5) is being submitted to the EFC for their consideration and for Board approval. This note reflects the evolving work programme and workload of the AF-TERG, including additional activities related to the operationalisation of the evaluation policy.

Annex 1: Excerpt of the AF-TERG Strategy and Work Programme (FY21 to FY23, i.e., July 1, 2020 to June 30, 2023)

This Annex is a partial recap of the approved AF-TERG Strategy and Work Programme, contained in Annex 1 of Document AFB/EFC.26.a-26.b/3, from which paragraphs 18 to 29 are added here to serve as a memory jogger for the reader:

- 18. The proposed AF-TERG work programme for FY21 to FY23, i.e., July 1, 2020 to June 30, 2023, is based on the strategic choices and priorities emerging from scoping and diagnostic work during the first year of the AF-TERG operations, as presented in the two previous sections and in Table 1. The following proposed work programme sets out the activities that will be conducted, and the outputs that will be generated to achieve the intermediate outcomes of the work of the AF-TERG (Table 2).
- 19. The work programme will be continually reviewed and updated by the AF-TERG and updates will be reported yearly, for presentation to the EFC [Ethics and Finance Committee] for its review in conjunction with the consideration of the proposed budget and budget adjustments. Reporting on completed and ongoing work elements will take place semi-annually.

Table 2 AF-TERG Indicative Work Programme FY21 to FY23

| Intermediate Outcome areas | | Outputs Activities | | tivities |
|----------------------------|---|--|---|--|
| 1. | Solution-focused understanding of the Fund's evaluation needs and expectations on the AF-TERG | New knowledge on the Fund's evaluation approaches, processes and needs | • | Conduct country scoping studies (FY20 to FY21) Regular reviews and reflection e-based meetings AF-TERG in person meetings (half-yearly) Update AF-TERG theory of change and AF-TERG operations manual as needed. |
| 2. | Co-learning, productive and trustful relationships and processes | Clear, productive communication and interaction with key stakeholders | • | On-going collaborative MEL [monitoring, evaluation, and learning] engagements with the Adaptation Fund Board [AFB] secretariat, Board and IEs [Implementing Entities], CSOs [Civil Society Organizations] and others in the evaluation and climate change communities. |

| 3. | Fit-for-purpose evaluation tools and approaches are developed and used | Evaluation tools developed and/or revised | • | Review and revise the Evaluation Framework in collaboration with the AFB secretariat (FY20 to FY22) | |
|----|---|---|--------------------------------------|--|--|
| | | | • | Develop and share guide on ex post evaluations | |
| | | | • | Develop and share note on evaluability and evaluability assessment | |
| | | | • | Produce guidance on conducting project level midterm reviews [evaluations] and terminal [final] evaluations in consultation with the AFB secretariat, IEs [Implementing Entities], and CSOs. | |
| 4. | 4. Evaluation results and learning insights articulated and utilised within the Fund Performance of the MTS [medium-term strategy] and adjustments needed established MEL insights synthesised and shared | [medium-term strategy] and adjustments needed | Co | Commission / conduct: | |
| | | | • | Mid-term review of the MTS (FY21) | |
| | | • | Evaluation of the MTS (FY22 to FY23) | | |
| | | | • | 1 or 2 thematic/performance reviews/evaluations (yearly) | |
| | | | • | 1 or 2 ex post evaluations (yearly) | |
| | | | • | Evaluative gap mapping ¹⁴ for 1 or 2 strategic topics | |
| | | | • | Review of Adaptation Fund evaluation reports for MEL insights (yearly) | |
| | | | • | Overall evaluation of the Fund (FY23) | |

¹⁴ Evaluative gap mapping refers to a visual overview of existing and ongoing studies or reviews in a sector or subsector in terms of the types of programmes evaluated and the outcomes measured. In FY21, the AF-TERG will map out existing and ongoing evaluations to identify lessons and experiences within the AF portfolio and outside but relevant to it.

| | | | • | Review of the AF-TERG (FY23-24). |
|----|--|---|---|---|
| 5. | Enhanced planning, monitoring, evaluation and learning capacity across the Fund | Collaborative working relationship with AFB secretariat fostered MEL capacity in some IEs developed | • | Hold 1 MEL workshop with the AFB secretariat (yearly), Hold 1 capacity development and co-learning seminar with partners in collaboration with the AFB secretariat. |
| 6. | Utilisation of Adaptation Fund evaluation insights beyond the Fund | Climate change adaptation (CCA) evaluation trends and good practices identified and shared with the CCA community | • | Network with MEL functions of other climate change funding organizations and regional networks Produce MEL blogs, prepare and present conference papers, keep the current website updated and develop a dedicated interactive website for the AF-TERG. |

Implementing the AF-TERG Work Programme – Three Workstreams

- 20. The MEL work above will be managed and implemented in three workstreams that broadly correspond to the three functions of the TERG, Evaluation, Advisory and Oversight:
 - (a) Workstream 1: Conducting Strategy and Programme Evaluations;
 - (b) Workstream 2: Enhancing MEL Capacity and Tools;
 - (c) Workstream 3: Co-generating Evaluative Knowledge and Insights.
- 21. The Advisory and Oversight functions of the AF-TERG will span the three workstreams. The Evaluation function is embedded in Workstream 1.

Workstream 1: Conducting Strategy and Project/Programme Evaluations

- 22. Workstream 1 focuses on the review and evaluation of the Medium-Term Strategy (MTS), thematic evaluations and the overall model and performance of the Fund, centred around the core features and niche of the Fund.
- 23. The review of the five-year Medium-Term Strategy 2018-2022 will assess progress made in the implementation of targets and outputs expected (to be done in FY21) to inform the current

strategy as well as the designing of the next. The evaluation of the strategy will take place after the end of the strategy period to summarise achievements and lessons learned. Over the MTS period the AF-TERG will carry out a phased series of assessments of processes and policies implemented under the three pillars of the MTS: Action, Innovation and Learning and Sharing, the effectiveness and efficiency of the governance of the Fund and the actual and expected outcomes and impacts of the Fund's portfolio. Main processes and policies to be evaluated include Direct Access, Accreditation, Readiness Programme, the Environmental and Social Policy (ESP), and the Gender Policy and Action Plan.

- 24. Innovation is and will continue to be a key feature of the MTS and the mission of the Fund. The AF-TERG will propose to conduct an assessment of the experience on how the concept of innovation is applied by the Fund as well as of examples of innovative climate change actions. An initial conceptual study and overview will be initiated during the FY20. Both the review and the evaluation of the MTS will provide inputs to the Overall Evaluation of the performance of the Fund in 2023. A preparatory scoping study will be initiated in FY21.
- 25. Thematic evaluations of Fund performance will provide perspectives on core features of the Fund, such as the country driven and innovative character of Fund operations with a view to assessing the potential for scale up and longer-term impact. This includes exploring how projects and programmes conceptualise and address vulnerability and adaptation to climate change.

Workstream 2: Enhancing MEL Capacity across the Fund

- 26. Workstream 2 focuses on reviewing and updating the Fund's Evaluation Framework and associated tools and guidance. The Evaluation Framework is a key document supporting the implementation of the evaluation function in the Fund and guiding AF evaluation practice. During FY20 an initial gap analysis was carried out and Terms of Reference for the further review and revision of the Evaluation Framework was elaborated. The purpose of the revision will be to reflect the evolution of the Fund since the framework was amended in 2012 and the advances in the evaluation and climate change adaptation community. The review will include updating the evaluation tools used to assess projects and programmes at mid-term and at completion.
- 27. This workstream will include enabling capacity building initiatives to strengthen the Fund's in-house MEL capacity and that of Fund partners. Specific attention will be paid to the capacities needed to ensure improvements in the quality of projects and programmes as well as Readiness, Innovation and Learning grants. The AF-TERG will draw on and contribute to evaluation practices that enhance the capacity of vulnerable populations to adapt to the effects of climate change.

Workstream 3: Co-generating Evaluative Knowledge and Insights

28. Working with the AFB secretariat, IEs, CSOs and other partners, Workstream 3 will include collaborative co-generation of evaluative knowledge and insights of the work of the Fund, exchanging experience with peers involved in MEL related to climate change adaptation, learning from innovation results, and tracking of implementation of evaluation results and actions including

management responses to previous evaluations. For example, each year one or two topics may be selected to conduct an evaluative gap mapping exercise to identify lessons and experiences within the Fund portfolio and from outside. This knowledge will be synthesised and the AF-TERG would organize an event for project teams to discuss, validate and extrapolate this knowledge and develop plans for closing knowledge gaps. The topics could be discussed using country or sector context.

29. This workstream will also include country scoping studies, regular e-based meetings and two AF-TERG in-person meetings each year. Under this work stream are outreach activities, production of papers and contributions to conferences and seminars and the maintenance and development of an interactive AF-TERG website.

Annex 2: AF-TERG Work Principles

These ten work principles were derived from the approved AF-TERG strategy and work programme where they were formulated as part of and throughout the guiding principles, strategic choices, and work culture.

- 1. **Be relevant and responsive to the Fund priorities and operating contexts**: Stay tuned and responsive to the Fund's operational strategic and governance priorities; Fund partners' priorities; and relevant developments in the broader field of CCA and operating contexts.
- 2. **Make contributions that benefit Fund's stakeholders people, livelihoods and ecosystems:** Observe equity, transparency and impartiality in our work designs, processes and products to serve the interests of Fund stakeholders.
- 3. **Produce MEL products that add value to the Fund:** Ensure the production of useful, credible, actionable, innovative, independent and timely monitoring, evaluation and learning (MEL) products that contribute to the performance and impact of the Fund at all levels.
- 4. Support the development of MEL capacity of the Fund's key stakeholders: develop the MEL capacity of the Fund's key stakeholders through engaging them in all our work, nurturing relationships of trust, co-learning and co-creation, and cultivating a sense of collective ownership of the MEL tools.
- 5. Contribute to the development of the CCA monitoring, learning and evaluation (MEL) field: Seek opportunities for sharing the Fund's MEL experience with the CCA and evaluation communities and to contribute to the discussion and development of the MEL in CCA and related fields.
- 6. **Draw on good and innovative MEL practice:** Identify, utilize and build on good, new, ethical MEL approaches and practice in the CCA and related fields.
- 7. **Respect and utilise different knowledges:** Seek, respect, value and work with traditional and local knowledge alongside other forms of knowledge and apply appropriate standards of quality to all types of knowledge.
- 8. **Work synergistically to produce optimal results:** Work collaboratively together, equitably share responsibilities, give our best, engage in constructive dialogue, exercise mutual respect, assume good intent and be open to surprise towards getting the most from the Fund's investment in MEL.
- 9. **Conduct collective, reflexive learning that improves practice:** Undertake purposive, collective, continuous and critical learning to improve our evaluative, oversight and advisory practice and the value it creates for the Fund over time.
- 10. **Ensure cost-effective utilization of the Fund's resources:** Utilize our time and budget in the most cost-effective ways while ensuring the production of fit-for-purpose MEL products.

Annex 3: AF-TERG Documents Delivered to the Ethics and Finance Committee

To date the AF-TERG has delivered the following documents to the EFC / Board:

FY20:

(a) Draft Strategy and Work Programme of the Adaptation Fund Technical Evaluation Reference Group (AF-TERG) (AFB/EFC.26.a-26.b/3) (May 2020); 15

FY21:

- (b) Work Update Report of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) (AFB/EFC.26.b/Inf.1) (October 2020);¹⁶
- (c) Evaluating Adaptation: Common Challenges Identified Across Three Studies Commissioned by the Adaptation Fund Technical Evaluation Reference Group (AF-TERG) (AFB/EFC.26.b/Inf.2) (October 2020);¹⁷
- (d) Progress Update on the Review and Revision of the Evaluation Framework (AFB/EFC.27/7) (March 2021);¹⁸
- (e) Synthesis of Adaptation Fund Final Evaluations Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) (AFB/EFC.27/.8) (March 2021);¹⁹
- (f) Board's Participation in Advisory Groups, Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) (AFB/EFC.27/9/Rev.2) (March 2021);²⁰
- (g) Work Update Report of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) (AFB/EFC.27/Inf.1) (March 2021);²¹
- (h) Mid-Term Review of the Medium-Term Strategy Emerging Findings and Areas for Discussion, Technical Evaluation Reference Group of The Adaptation Fund (AF-

¹⁵ Available at: https://www.adaptation-fund.org/document/draft-strategy-and-work-programme-of-the-adaptation-fund-technical-evaluation-reference-group-af-terg/

¹⁶ Available at: https://www.adaptation-fund.org/document/technical-evaluation-reference-group-of-the-adaptation-fund-af-terg-work-update-report/

¹⁷ Available at: https://www.adaptation-fund.org/document/evaluating-adaptation-common-challenges-identified-across-three-studies-commissioned-by-the-adaptation-fund-technical-evaluation-reference-group-af-terg/

¹⁸ Available at: https://www.adaptation-fund.org/document/progress-update-on-the-review-and-revision-of-the-evaluation-framework-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

¹⁹ Available at: https://www.adaptation-fund.org/document/synthesis-of-adaptation-fund-final-evaluations-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

²⁰ Available at: https://www.adaptation-fund.org/document/boards-participation-in-advisory-groups-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

²¹ Available at: https://www.adaptation-fund.org/document/work-update-report-of-the-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

TERG) (AFB/EFC.27/Inf.2) (March 2021);22

FY22:

- (i) Mid-term Review of the Medium-term Strategy of the Adaptation Fund (AFB/EFC.28/7) (October 2021);²³
- (j) Work Update Report of the Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) (AFB/EFC.28/Inf.2) (October 2021);²⁴
- (k) Progress on Development of the Adaptation Fund Evaluation Policy Information Update (AF-TERG) (AFB/EFC.28/Inf.3) (October 2021);²⁵
- (I) Progress Update on Ex Post Evaluations (AF-TERG) (AFB/EFC.28/Inf.4) (October 2021);²⁶
- (m) Draft Evaluation Policy of the Adaptation Fund (AFB/EFC.29/6/Rev.1) (April 2022);²⁷
- (n) Fiscal Years 2023 2024 Update to the Work Programme of the Adaptation Fund Technical Evaluation Reference Group (AF-TERG) (AFB/EFC.29/7) (April 2022);²⁸
- (o) Technical Evaluation Reference Group of the Adaptation Fund (AF-TERG) Work Update Report for Fiscal Year 2022 (FY22) and Forward Priorities (FY23) (AFB/EFC.29/Inf.2) (April 2022);²⁹
- (p) AF-TERG Information Update on Phase One of the Thematic Evaluation of Innovation (AFB/EFC.29/Inf.3) (April 2022);³⁰

²² Available at: https://www.adaptation-fund.org/document/mid-term-review-of-the-medium-term-strategy-emerging-findings-and-areas-for-discussion-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

Available at: https://www.adaptation-fund.org/document/mid-term-review-of-the-medium-term-strategy-of-the-adaptation-fund/

²⁴ Available at: https://www.adaptation-fund.org/document/44842/

²⁵ Available at: https://www.adaptation-fund.org/document/progress-on-development-of-the-adaptation-fund-evaluation-policy-information-update/

²⁶ Available at: https://www.adaptation-fund.org/document/progress-update-on-ex-post-evaluations-af-terg/

²⁷ Available at: https://www.adaptation-fund.org/document/draft-evaluation-policy-of-the-adaptation-fund/ and as graphically edited version: https://www.adaptation-fund.org/document/draft-evaluation-policy-of-the-adaptation-fund/ and as graphically-edited/

²⁸ Available at: https://www.adaptation-fund.org/document/fiscal-years-2023-2024-update-to-the-work-programme-of-the-adaptation-fund-technical-evaluation-reference-group-af-terg/

Available at: https://www.adaptation-fund.org/document/technical-evaluation-reference-group-of-the-adaptation-fund-af-terg-work-update-report-for-fiscal-year-2022-fy22-and-forward-priorities-fy23/

³⁰ Available at: https://www.adaptation-fund.org/document/af-terg-information-update-on-phase-one-of-the-thematic-evaluation-of-innovation/

(q) Progress Update on Ex Post Evaluations and Emerging Lessons from Phase 2 (On-Going) Technical Evaluation Reference Group of The Adaptation Fund (AF-TERG) (AFB/EFC.29/Inf.4) (April 2022);³¹

FY23:

- (r) Draft framework for the development of evaluation policy guidance documents (AF-TERG) (AFB/EFC.30/.8/Rev.1) (October 2022);³²
- (s) Revision of the administrative budget of the AF-TERG and its secretariat for fiscal year 2023 (AFB/EFC.30/.9) (October 2022);³³
- (t) Thematic Evaluation of the Adaptation Fund's experience with innovation (AF-TERG) (AFB/EFC.30/10) (October 2022);³⁴
- (u) Options for the overall evaluation of the Fund (AF-TERG) (AFB/EFC.30/11) (October 2022);³⁵
- (v) Information update on phase 2 of the ex post project sustainability evaluations (AF-TERG) (AFB/EFC.30/Inf.3) (October 2022);³⁶
- (w) Draft Terms of Reference Rapid Evaluation A Synthesis of Evaluations and Knowledge Products on Climate Change Adaptation (AFB/EFC.30-31/Inf.1) (November 2022).³⁷

³¹ Available at: https://www.adaptation-fund.org/document/progress-update-on-ex-post-evaluations-and-emerging-lessons-from-phase-2-on-going-technical-evaluation-reference-group-of-the-adaptation-fund-af-terg/

³² Available at: https://www.adaptation-fund.org/document/draft-framework-for-the-development-of-evaluation-policy-guidance-documents-af-terg/

³³ Available at: https://www.adaptation-fund.org/document/revision-of-the-administrative-budget-of-the-af-terg-and-its-secretariat-for-fiscal-year-2023/

³⁴ Available at: https://www.adaptation-fund.org/document/thematic-evaluation-of-the-adaptation-funds-experience-with-innovation-af-terg/

³⁵ Available at: https://www.adaptation-fund.org/document/options-for-the-overall-evaluation-of-the-fund-af-terg/

³⁶ Available at: https://www.adaptation-fund.org/document/information-update-on-phase-2-of-the-ex-post-project-sustainability-evaluations-af-terg/

³⁷ Available at: [Currently no link available]

Annex 4: Overview of changes in personnel for specific AF-TERG work elements in FY23

Changes in AF-TERG membership

1. Mr Mutizwa Mukute, AF-TERG member (STC), end of contract 15th of October 2022.

AF-TERG Secretariat

2. Ms. Beryl Onyango, fin-admin STC, end of contract 30th of June 2022.

AF-TERG Short-Term Consultants

- 3. Mr. Martin Rokitzki, thematic evaluation on scalability lead STC, start of contract 4th of July 2022.
- 4. Mr. Bruce Ravesloot, thematic evaluation on accreditation lead STC and evaluation policy guidance (EPG) development STC, start of contract 14th of September 2022.
- 5. Mr. Steven Masvaure, thematic evaluation on accreditation STC, start of contract 1st of August 2022.
- 6. Ms. Judith Friedman, overall evaluation advisor STC, end of contract 15th of September 2022.
- 7. Mr. Keith Child, EPG development STC, start of contract 3rd of October 2022.
- 8. Ms. Anna Augustyn, EPG development STC, start of contract 17th of October 2022.
- 9. Mr. Joseph Mutunga, EPG development STC, start of contract 17th of October 2022.
- 10. Ms. Caroline Holo, data analyst ETC, end of contract 28th of October 2022.
- 11. Ms. Marta Arranz, EPG development STC, start of contract 16th of January 2023.
- 12. Mr. Steve Mbollo, data analyst STC, start of contract 23rd of January 2023.
- 13. Mr. Aneesh Kotru, data analyst STC, start of contract 26th of January 2023.
- 14. Mr. Nils Schulz, rapid evaluation lead STC, start of contract 6th of February 2023.

Annex 5: Summary of external outreach activities by the AF-TERG

- 1. The AF-TERG held its in-person meeting for FY23 in February 2023 in Washington DC, aimed at finalizing the Guidance Notes for the Evaluation Policy, refining approaches to other evaluation products, and defining forward priorities. The meeting spanned over four days and provided the opportunity to interact with the Adaptation Fund Board secretariat, with the Accreditation Panel, and with other external evaluation peers i.e., the Global Environment Facility (GEF) and the Climate Investment Funds (CIF) evaluation functions.
- 2. The AF-TERG secretariat participated as observers in the following Fund-internal events which enabled interactions with NIEs, and for AF-TERG to present some of its work products:
 - (a) Ms. Caroline Holo participated in the Finance Workshop on Enhanced Direct Access (EDA) in Costa Rica in July 2022. The overall objective of the workshop was to present new funding windows, in particular the EDA funding window, to Implementing Entities.
 - (b) Mr. Dennis Bours and Ms. Caroline Holo participated in the Accreditation Panel meeting in September 2022, in Washington DC.
 - (c) Mr. Dennis Bours and Ms. Caroline Holo participated in the NIE seminar in September 2022, in Washington DC. They presented some of the AF-TERG's work elements to Implementing Entities i.e., the newly approved Evaluation Policy of the Adaptation Fund and the ongoing work on ex post evaluation.
- 3. AF-TERG Member Claudio Volonte virtually presented at the Green Climate Fund Independent Evaluation Unit's (GCF-IEU) side event at the Ramsar COP-14 in October 2022 on the experience and lessons from completed Fund supported projects working on wetlands.
- 4. The AF-TERG Chair, Ms. Debbie Menezes was invited by OECD-DAC and UNFCC to moderate a virtual Thematic Dialogue, Using Evidence and Learning to Achieve Climate Adaptation Results on 24 October 2022.
- 5. The AF-TERG Chair, Ms. Debbie Menezes and AF-TERG member, Ms. Susan Legro participated in the UNFCCC COP27 (November 2022) and interacted with Fund stakeholders. The AF-TERG continues to follow developments related to the Paris Agreement, and AF-TERG members contributed inputs to the Global Stocktake at COP27. This year, the AF-TERG is discussing a collaborative partnership with the secretariat for COP28.
- 6. The AF-TERG actively participated in a first virtual meeting with evaluation units from all four climate funds, namely the Adaptation Fund, GEF, GCF, and CIF in January 2023.
- 7. The AF-TERG secretariat keeps an active web presence on social media platforms, such as Instagram, LinkedIn, and Twitter, covering updates on on-going and completed work.

8. The AF-TERG also plans to present some of its work and participate in other upcoming events in 2023, including Adaptation Futures (November 2023), the GEF Independent Evaluation Office's annual climate and environment conference (October 2023), and other UNFCCC technical evaluation discussions as opportunities emerge.

Annex 6. List of potential documents to be included in the rapid evaluation.

(a) AF-TERG resources

Evaluations

Strategic/ Fund-level evaluations

- 1. https://www.adaptation-fund.org/document/mid-term-review-of-the-medium-term-strategy-of-the-adaptation-fund-edited-version/
- 2. https://www.adaptation-fund.org/wp-content/uploads/2018/06/AF Phase Eval 4June.pdf
- 3. https://www.adaptation-fund.org/document/independent-evaluation-of-the-adaptation-fund-first-phase-evaluation-report/

Thematic evaluations

- 4. https://www.adaptation-fund.org/document/thematic-evaluation-of-the-adaptation-funds-experience-with-innovation-af-terg/
- 5. Scalability (forth coming)
- 6. Accreditation (forth coming)

Ex-post evaluations

- 7. https://www.adaptation-fund.org/document/ex-post-evaluation-summary-ecuador/
- 8. https://www.adaptation-fund.org/document/ex-post-evaluation-summary-samoa/

Studies

- 9. https://www.adaptation-fund.org/wp-content/uploads/2020/11/Evaluating-Adaptation-final-1.pdf
- 10. https://www.adaptation-fund.org/document/synthesis-of-adaptation-fund-final-evaluations-edited-version/

Other publications

- 11. <u>Enabling Systems Innovation in Climate Change Adaptation: Exploring the Role for MEL | SpringerLink</u>
- 12. <u>Assessing the Evaluability of Adaptation-Focused Interventions: Lessons from</u> the Adaptation Fund | SpringerLink

- 13. <u>Transformational Change for People and the Planet: Evaluating Environment and Development | SpringerLink</u>
- (b) Secretariat knowledge products as of February 6, 2023
- 14. https://www.adaptation-fund.org/document/food-security-report/
- 15. https://www.adaptation-fund.org/document/scaling-up-adaptation-finance-experiences-and-lessons-learned-from-the-adaptation-fund-portfolio-of-projects-and-programmes/
- 16. https://www.adaptation-fund.org/document/lessons-learned-adaptation-funds-streamlined-accreditation-process/
- 17. https://www.adaptation-fund.org/document/youth-engagement-in-climate-change-adaptation-lessons-from-the-adaptation-fund-portfolio-of-projects-and-programmes/
- 18. https://www.adaptation-fund.org/document/study-on-intersectional-approaches-to-gender-mainstreaming-in-adaptation-relevant-interventions-2/
- 19. https://www.adaptation-fund.org/document/transboundary-approaches-to-climate-adaptation-lessons-learned-from-the-adaptation-funds-regional-projects-and-programmes/
- 20. https://www.adaptation-fund.org/document/lessons-learned-from-the-continuous-process-of-capacity-strengthening-of-implementing-entities-through-re-accreditation-to-the-adaptation-fund/
- 21. https://www.adaptation-fund.org/document/lessons-learned-from-the-senegal-country-exchange/
- 22. https://www.adaptation-fund.org/document/study-on-readiness-and-capacity-building-for-direct-access-to-adaptation-finance/
- 23. https://www.adaptation-fund.org/document/local-leadership-in-adaptation-finance/
- 24. https://www.adaptation-fund.org/document/lessons-learned-and-applied-from-the-chile-country-exchange/
- 25. https://www.adaptation-fund.org/document/bridging-the-gaps-in-accreditation/
- 26. https://www.adaptation-fund.org/document/lessons-learned-successful-approaches-captured-portfolio-monitoring-missions/

27. https://www.adaptation-fund.org/document/analysis-of-climate-adaptation-reasoning-in-project-and-programme-proposals/

(c) Projects Terminal Evaluations

The links are to the project page of completed projects – TE available for most of them or might be forth-coming.

- 28. Programme Support for Climate Change Adaptation in the vulnerable regions of Mopti and Timbouctou
- 29. <u>Increased Resilience to Climate Change in Northern Ghana through the Management of Water Resources and Diversification of Livelihoods</u>
- 30. Addressing Climate Change Risks on Water Resources and Food Security in the Dry Zone of Myanmar
- 31. <u>Developing climate resilience of farming communities in the drought prone parts of Uzbekistan</u>
- 32. Reducing Vulnerability to Climate Change in North West Rwanda through Community Based Adaptation
- 33. <u>Enhancing the Adaptive Capacity and Increasing Resilience of Small-size</u>
 Agriculture Producers of the Northeast of Argentina
- 34. <u>Increasing Climate Resilience and Enhancing Sustainable Land Management in</u> the Southwest of the Buenos Aires Province
- 35. Enhancing adaptive capacity of communities to climate change-related floods in the North Coast and Islands Region of Papua New Guinea
- 36. Enhancing Resilience of Communities to the Adverse Effects of Climate Change on Food Security in Mauritania
- 37. <u>Developing Agro-Pastoral Shade Gardens as an Adaptation Strategy for Poor</u>
 Rural Communities
- 38. Reducing Risk and Vulnerability to Climate Change in the Region of La Depresion Momposina in Colombia
- 39. <u>Promoting climate resilience in the rice sector through pilot investments in</u>
 Alaotra-Mangoro region
- 40. Enhancing Resilience of Samoa's Coastal Communities to Climate Change

- 41. <u>Building resilience to climate change and variability in vulnerable smallholders</u>
- 42. Akamatutu'anga i te iti tangata no te tuatau manakokore ia e te taui'anga reva Strengthening the Resilience of our Islands and our Communities to Climate Change
- 43. <u>Implementation Of Concrete Adaptation Measures To Reduce Vulnerability Of</u>
 Livelihood and Economy Of Coastal Communities In Tanzania
- 44. <u>Developing Climate Resilient Flood and Flash Flood Management Practices to</u>
 Protect Vulnerable Communities of Georgia
- 45. <u>Climate Change Adaptation Programme in the Coastal Zone of Mauritius</u>
- 46. <u>Climate change resilient production landscapes and socioeconomic networks</u> advanced in Guatemala
- 47. Addressing climate change risks to farming systems in Turkmenistan at national and community level
- 48. <u>Increasing climate resilience through an Integrated Water Resource</u>

 <u>Management Programme in HA. Ihavandhoo, ADh. Mahibadhoo and GDh.</u>

 Gadhdhoo Island
- 49. <u>Ecosystem Based Adaptation Approach to Maintaining Water Security in Critical</u>
 Water Catchments in Mongolia
- 50. Enhancing resilience of communities in Solomon Islands to the adverse effects of climate change in agriculture and food security
- 51. Reducing Risks and Vulnerabilities from Glacier Lake Outburst Floods in Northern Pakistan
- 52. Reduction of Risks and Vulnerability Based on Flooding and Droughts in the Estero Real Watershed
- 53. Addressing Climate Change Risks on Water Resources in Honduras: Increased Systemic Resilience and Reduced Vulnerability of the Urban Poor
- 54. <u>Climate Change Adaptation Programme In Water and Agriculture In Anseba</u> Region, Eritrea
- 55. Enhancing resilience of communities to the adverse effects of climate change on food security, in Pichincha Province and the Jubones River basin
- 56. Adaptation to Coastal Erosion in Vulnerable Areas