



ADAPTATION FUND

AFB/PPRC.31/17/Add.1  
13 March 2023

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty-first Meeting  
Bonn, Germany, 21-22 March 2022

**PROJECT FORMULATION GRANT FOR  
INDONESIA (1)**

## I. Background

1. The Board at its eleventh meeting discussed the document “Funding for Project Formulation Costs” (AFB/11/6) and agreed, in its Decision B.11/18, that:
  - i. project formulation grants (PFG) should be given once a project concept has been approved;
  - ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
  - iii. a flat rate should be given for project formulation costs;
  - iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
  - v. the fate of funds if the final project document was rejected should be determined.
2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.
3. Following the discussion, the Board decided:

*“To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.”*
4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:
  - (a) *Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*
  - (b) *If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*
  - (c) *A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

*(Decision B.25/28)*

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

*“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the secretariat, and subsequently reviewed by the PPRC.*

*Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.*

7. The Board in its thirty-seventh meeting, having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board decided:

*(a) To request the secretariat to analyse the issues related to the use of unidentified sub- projects and present the findings to the PPRC at its twenty-ninth meeting;*

*(b) To further request the secretariat to clarify regional implementation and execution costs, including where implementing entities provide part or all of the execution services, and present the findings to the PPRC at its twenty-ninth meeting;*

*(c) To limit, for all projects where the implementing entity provides a portion of the execution services, the execution costs of the implementing entity proportionally to the cost of the part of the project or programme executed by the implementing entity;*

*(d) To raise the maximum amount of individual project formulation grants available to national implementing entities to US\$ 50,000;*

*(e) To discontinue project formulation assistance grants for future review cycles.*

**(Decision B.37/1)**

## **II. The Project Formulation Grant Request**

8. This addendum to the document AFB/PPRC.31/17 “Proposal for Indonesia” includes a request for a Project Formulation Grant, requesting a budget of US\$ 50,000, which was received by the secretariat along with the concept for the project AF00000302 “Sustainable Landscape Governance; Towards Climate Resilience of Community in Tempe Lake Ecosystem”. This proposal was submitted by The Partnership for Governance Reform (Kemitraan), which is the National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its fortieth Board meeting.

In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would be used for data collection for baseline and analysis for each component; travel meetings required for data collection and consultation; expert hiring for proposal writing; and Focus Group Discussion with Multi-stakeholders.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



**Project Formulation Grant (PFG)**

Submission Date: **August 8, 2022**

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**Adaptation Fund Project ID:**

Country/ies: **Indonesia**  
 Title of Project/Programme: **Sustainable Landscape Governance; Towards Climate Resilience of Community in Tempe Lake Ecosystem.**  
 Type of IE (NIE/MIE): **NIE**  
 Implementing Entity: **Kemitraan – The Partnership for Governance Reform**  
 Executing Entity/ies: **TLKM (Tim Layanan Kehutanan Masyarakat – Community Forest Services Team) & Partners**

**A. Project Preparation Timeframe**

Start date of PFG	<b>1 September 2022</b>
Completion date of PFG	<b>30 November 2022</b>

**B. Proposed Project Preparation Activities (\$)**

Describe the PFG activities and justifications:

List of Proposed Project Preparation Activities	Output of the PFG Activities	USD Amount
Data collection for baseline and analysis for each component	Collected data required to setup the basis for argument formulation and programme justification in the proposal	\$ 13.793
Travel meetings required for data collection and consultation	Confirmation of assumptions and situation on the ground before programme document finalized	\$ 12.931
Expert hiring for proposal writing	Assist Kemitraan in writing and use of collected baseline data to justify programme and enhance the proposal	\$ 19.655
Focus Group Discussion with Multistakeholders	To receive feedback and input on the Goal, Objective, Outcome and Output of the proposal which to be submitted to AF, so as to ensure it is in line with the national programmes and strategies of climate change adaptation	\$ 3.621
<b>Total Project Formulation Grant</b>		<b>\$ 50.000</b>

**C. Implementing Entity**

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

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Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Laode M. Syarif, KEMITRAAN		08 August 2022	Dewi Rizki	+6221-22780580	dewi.rizki@kemitraan.or.id

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**MINISTRY OF ENVIRONMENT AND FORESTRY  
DIRECTORATE GENERAL OF CLIMATE CHANGE**

Mangala Wanabakti Building Block VII 12<sup>th</sup> Floor, Jalan Gatot Subroto – Serayan, Jakarta 10270  
Phone +62 21 5730144 Fax : +62 21 5720194

Website : <http://ditjempki.menthk.go.id>

email : [tuseerdiappi@gmail.com](mailto:tuseerdiappi@gmail.com)

Our Ref. : *J. 282/PP1/PP1.0/B/2022*  
Attachments :  
Subject : Letter of endorsement

Jakarta, 5 August 2022

To:

**The Adaptation Fund Board  
c/o Global Environment Facility  
Mail stop: N 7-700  
1818 H Street NW  
Washington DC 20433, USA**

Dear Board Member,

Directorate General of Climate Change Ministry of Environment and Forestry as the National Designated Authority of Adaptation Fund in Indonesia through *Kemitraan – Partnership for Governance Reform* as the National Implementing Entity, have received and appraised 37 incoming concept notes.

After a thorough assessment process of the incoming concept notes, we come to the decision that the following 10 (ten) concept notes from 10 (ten) different organizations have met and are in accordance with the national priorities in the implementation of adaptation programs and activities to increase adaptive capacity and to reduce the impact and risks of climate change in vulnerable regions in Indonesia:

1. Yapeka; *Ecosystem-based Adaptation to Support Climate Resilience in Coastal and Small Islands of Rote Ndao and Sabu Raijua Districts in the Savu Sea*
2. TLKM; *Sustainable Landscape Governance; Towards Climate Resilience of Community in Tempe Lake Ecosystem*
3. KAPASITAS; *Adaptation to climate change through integrated forest management and sericulture business to achieve ecosystem resilience to food security for the Lake Tempe Catchment Area Community*
4. Garis Biru; *Strengthening the Adaptive Capacity of Coastal Village Communities in Supporting Food Security as a Response to Climate Change Through Stakeholder Elaboration Actions in West Sulawesi Province*
5. Sajogyo Institute; *Collaboration for the Conservation of Cimandiri Watershed Landscapes through the Potential of Silvopasture and Community Agroforestry*
6. KOAKSI; *Building Climate Resilient District in Indonesia: Case of Sigi District*
7. KEMITRAAN; *Village Based Coastal Adaptation and Resilience in Lombok Province of West Nusa Tenggara*
8. HUMA; *Change Climate and Adaptation in the Buffer Area of the New National Capital*
9. Mitra Aksi; *Increasing the resilience of smallholders from climate impacts through Smart Agriculture based on Livelihood Diversification in Indonesia*
10. KUAT (KARSA); *Strengthening Community Adaptation toward Climate Change through ProKlim in Ecoregion Neck of Sulawesi Island*



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With this consideration, and in my capacity as the National Designated Authority of Adaptation Fund in Indonesia, I recommend the above proposals be granted support from the Adaptation Fund Board. All those programs will be executed by each of the submitting entities under the supervision of *Kemitraan* – Partnership for Governance Reform.

Sincerely Yours,



**Laksmi Dhawanthi**  
Director General of Climate Change  
Ministry of Environment and Forestry  
as Indonesia Designated Authority of Adaptation Fund

Copy to:  
*Kemitraan* (Partnership Governance Reform in Indonesia)



Certificate No. QSC 01469





**BUPATI WAJO**

**SURAT REKOMENDASI**

Nomor: 800/501/Setda

Yang bertanda tangan di bawah ini:

Nama : Dr. H. Amran Mahmud, S.Sos., M.Si  
Jabatan : Bupati Wajo  
Instansi : Pemerintah Kab. Wajo

Mewakili Pemerintah Kabupaten Wajo dengan ini memberikan rekomendasi dan dukungan penuh kepada Yayasan Tim Layanan Kehutanan Masyarakat (TLKM) sebagai *Non-Government Organization (NGO)* yang aktif dalam pemberdayaan masyarakat desa, untuk mengajukan daerah di Kabupaten Wajo, Sulawesi Selatan sebagai lokasi pengusulan program/project Adaptasi Perubahan iklim dengan tema "Penguatan Ketahanan Iklim Masyarakat Ekosistem Danau Tempe Melalui Tata Kelola Berkelanjutan". Dalam hal ini Yayasan TLKM akan mengajukan usulan program/proyek kepada lembaga pemberi dana hibah internasional "Adaptation Fund" melalui Kemitraan (*The Partnership for Governance Reform*).

Demikian Surat Rekomendasi ini untuk digunakan sebagaimana mestinya.

Sengkang, 20 Juni 2022

  
BUPATI WAJO  
DR. H. AMRAN MAHMUD, S.Sos., M.Si

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**BUPATI SOPPENG**

**SURAT REKOMENDASI**  
Nomor : B/11/KDS/VII/2022

Yang bertanda tangan di bawah ini:

Nama : **H. A. KASWADI RAZAK, SE**  
Jabatan : Bupati Soppeng  
Instansi : Pemerintah Kabupaten Soppeng

Mewakili Pemerintah Kabupaten Soppeng dengan ini **memberikan Rekomendasi** dan dukungan penuh kepada Yayasan Tim Layanan Kebutuhan Masyarakat (TLKM) sebagai *Non-Government Organization (NGO)* untuk mengajukan daerah di Kabupaten Soppeng Sulawesi Selatan sebagai lokasi pengusulan program/project Adaptasi Perubahan Iklim dengan tema **"Penguatan Ketahanan Iklim Masyarakat Ekosistem Danau Tempe Melalui Tata Kelola Berkelanjutan"**. Dalam hal ini Yayasan TLKM akan mengajukan usulan program/proyek kepada lembaga pemberi dana hibah internasional *"Adaptation Fund"* melalui Kemitraan (*The Partnership for Governance Reform*) dengan ketentuan sebagai berikut :

1. Dalam melaksanakan kegiatannya tidak bertentangan dengan peraturan dan perundang – undangan yang berlaku.
2. Pemerintah Kabupaten Soppeng tidak bertanggungjawab terhadap penyalahgunaan Surat Rekomendasi ini.

Demikian Surat Rekomendasi ini untuk digunakan sebagaimana mestinya

Watansoppeng, 14 Juli 2022.



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**PEMERINTAH PROVINSI SULAWESI SELATAN  
DINAS KEHUTANAN**

Jl. Rajawidjaya No. 14 Telp (0411) 873181-854638 Fax (0411) 873182 E-mail: [ditahutan@selprov.go.id](mailto:ditahutan@selprov.go.id)  
**MAKASSAR 90126**

**SURAT REKOMENDASI**

Nomor: 300/2018/SURREK

Yang bertanda tangan di bawah ini:

Nama : Ir. H. ANDI PARENRENGI, MP.  
Jabatan : Kepala Dinas  
Instansi : Dinas Kehutanan Provinsi Sulawesi Selatan

Mewakili Dinas Kehutanan Provinsi Sulawesi Selatan dengan ini memberikan rekomendasi dan dukungan penuh kepada Yayasan Tim Layanan Kehutanan Masyarakat (TLKM) dan Yayasan Romang Celebes Indonesia (YRC) sebagai *Non-Government Organization (NGO)* yang aktif dalam pemberdayaan masyarakat desa, untuk mengajukan daerah Kabupaten Wajo dan Soppeng, Sulawesi Selatan sebagai lokasi pengusulan program/project Adaptasi Perubahan Iklim dengan tema "Penguatan Ketahanan Iklim Masyarakat Ekosistem Danau Tempe Melalui Tata Kelola Berkelanjutan". Dalam hal ini Yayasan TLKM dan YRC akan mengajukan usulan program/proyek kepada lembaga pemberi dana hibah internasional "Adaptation Fund" melalui Kemitraan (*The Partnership for Governance Reform*).

Demikian Surat Rekomendasi ini untuk digunakan sebagaimana mestinya.

Makassar, 07 Juli 2022

Kepala Dinas Kehutanan  
Provinsi Sulawesi Selatan



**Ir. H. ANDI PARENRENGI, MP.**  
Rangkap : Pembina Utama Muda  
NIP : 19631231 199703 1 020

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