



ADAPTATION FUND

AFB/PPRC.31/19/Add.1
13 March 2023

Adaptation Fund Board
Project and Programme Review Committee
Thirty-first Meeting
Bonn, Germany, 21-22 March 2022

**PROJECT FORMULATION GRANT FOR
INDONESIA (3)**

I. Background

1. The Board at its eleventh meeting discussed the document “Funding for Project Formulation Costs” (AFB/11/6) and agreed, in its Decision B.11/18, that:
 - i. project formulation grants (PFG) should be given once a project concept has been approved;
 - ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
 - iii. a flat rate should be given for project formulation costs;
 - iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
 - v. the fate of funds if the final project document was rejected should be determined.
2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.
3. Following the discussion, the Board decided:

“To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.”
4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:
 - (a) *Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*
 - (b) *If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*
 - (c) *A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

(Decision B.25/28)

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the secretariat, and subsequently reviewed by the PPRC.

Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.

7. The Board in its thirty-seventh meeting, having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board decided:

(a) To request the secretariat to analyse the issues related to the use of unidentified sub- projects and present the findings to the PPRC at its twenty-ninth meeting;

(b) To further request the secretariat to clarify regional implementation and execution costs, including where implementing entities provide part or all of the execution services, and present the findings to the PPRC at its twenty-ninth meeting;

(c) To limit, for all projects where the implementing entity provides a portion of the execution services, the execution costs of the implementing entity proportionally to the cost of the part of the project or programme executed by the implementing entity;

(d) To raise the maximum amount of individual project formulation grants available to national implementing entities to US\$ 50,000;

(e) To discontinue project formulation assistance grants for future review cycles.

(Decision B.37/1)

II. The Project Formulation Grant Request

8. This addendum to the document AFB/PPRC.31/19 “Proposal for Indonesia (3)” includes a request for a Project Formulation Grant, requesting a budget of US\$ 50,000, which was received by the secretariat along with the concept for the project AF00000304 “Strengthening the Adaptive Capacity of Coastal Village Communities in Supporting Food Security as a Response to Climate Change Through Stakeholder Elaboration Actions in West Sulawesi Province”. This proposal was submitted by Partnership for Governance Reform in Indonesia (Kemitraan), which is the National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its fortieth Board meeting.

9. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would involve baseline data collection, focus group discussions with stakeholders, and proposal development.

10. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



Project Formulation Grant (PFG)

Submission Date: **August 8, 2022**

Adaptation Fund Project ID:
Country/ies: **Indonesia**
Title of Project/Programme: **Strengthening the Adaptive Capacity of Coastal Village Communities in Supporting Food Security as a Response to Climate Change through Stakeholder Elaboration Actions in West Sulawesi Province**
Type of IE (NIE/MIE): **NIE**
Implementing Entity: **Kemitraan – The Partnership for Governance Reform**
Executing Entity/ies: **KONSORSIUM GARIS BIRU (BLUE LINE)**

A. Project Preparation Timeframe

Start date of PFG	1 September 2022
Completion date of PFG	30 November 2022

B. Proposed Project Preparation Activities (\$)

Describe the PFG activities and justifications:

List of Proposed Project Preparation Activities	Output of the PFG Activities	USD Amount
Data collection for baseline and analysis for each component	Collected data required to set up the basis for argument formulation and programme justification in the proposal	\$ 13.783
Travel meetings required for data collection and consultation	Confirmation of assumptions and situation on the ground before programme document finalized	\$ 12.931
Expert hiring for proposal writing	Assist Kemitraan in writing and use of collected baseline data to justify programme and enhance the proposal	\$ 19.656
Focus Group Discussion with Multistakeholders	To receive feedback and input on the Goal, Objective, Outcome and Output of the proposal which to be submitted to AF, so as to ensure it is in line with the national programmes and strategies of climate change adaptation	\$ 3.621
Total Project Formulation Grant		\$ 50.000

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Laode M. Syarif, KEMITRAAN		08 August 2022	Dewi Rizki	+6221-22780580	dewi.rizki@kemitraan.or.id

PART IV: ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY

A. Record of endorsement on behalf of the government² *Provide the name and position of the government official and indicate date of endorsement. If this is a regional project/programme, list the endorsing officials all the participating countries. The endorsement letter(s) should be attached as an annex to the project/programme proposal. Please attach the endorsement letter(s) with this template; add as many participating governments if a regional project/programme:*

<i>A. Aco Takdir, S.Sos., M.Pd. Kepala Dinas Lingkungan Hidup Provinsi Sulawesi Barat</i>	July 14 2022
<i>H.A. Achmad Syukri, SE., MM Bupati Majene</i>	July 12 2022
<i>Ir. Hj. Ichwanti, M.AP. Kepala Dinas Kelautan dan Perikanan Kabupaten Majene</i>	July 12 2022

B. Implementing Entity certification *Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address*

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (.....list here.....) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy and the Gender Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

<i>Name & Signature</i>	
Implementing Entity Coordinator	
Date: <i>(Month, Day, Year)</i>	Tel. and email:
Project Contact Person:	
Tel. And Email:	



**MINISTRY OF ENVIRONMENT AND FORESTRY
DIRECTORATE GENERAL OF CLIMATE CHANGE**

Mangga Winabati Building Block VI 12th Floor, Jalan Gater Soboro – Senayan, Jakarta 10170
Phone +62 21 5730544 Fax: +62 21 5730534

Website: <http://dicerapan.mempri.go.id>

email: cc@mempri.go.id

Our Ref: *J. 283 / PP1 / PP1-0 / B / 2022* Jakarta, 5 August 2022
Attachments:
Subject: Letter of endorsement

To:
The Adaptation Fund Board
c/o Global Environment Facility
Mail stop: N 7-700
1818 H Street NW
Washington DC 20433, USA

Dear Board Member,

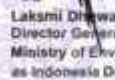
Directorate General of Climate Change Ministry of Environment and Forestry as the National Designated Authority of Adaptation Fund in Indonesia through *Kemitraan – Partnership for Governance Reform* as the National Implementing Entity, have received and appraised 37 incoming concept notes.

After a thorough assessment process of the incoming concept notes, we come to the decision that the following 10 (ten) concept notes from 10 (ten) different organizations have met and are in accordance with the national priorities in the implementation of adaptation programs and activities to increase adaptive capacity and to reduce the impact and risks of climate change in vulnerable regions in Indonesia:

1. Yapaka: *Ecosystem-based Adaptation to Support Climate Resilience in Coastal and Small Islands of Rote Ndao and Sabu Raijua Districts in the Savu Sea*
2. TLKM: *Sustainable Landscape Governance, Towards Climate Resilience of Community in Tempe Lake Ecosystem*
3. KAPASITAS: *Adaptation to climate change through integrated forest management and sericulture business to achieve ecosystem resilience to food security for the Lake Tempe Catchment Area Community*
4. Geris Biru: *Strengthening the Adaptive Capacity of Coastal Village Communities in Supporting Food Security as a Response to Climate Change Through Stakeholder Elaboration Actions in West Sulawesi Province*
5. Sajogyo Institute: *Collaboration for the Conservation of Cimaniki Watershed Landscapes through the Potential of Silvopasture and Community Agroforestry*
6. KOAKSI: *Building Climate Resilient District in Indonesia: Case of Sigi District*
7. KEMITRAAN: *Village Based Coastal Adaptation and Resilience in Lontok Province of West Nusa Tenggara*
8. HUMA: *Change Climate and Adaptation in the Buffer Area of the New National Capital*
9. Mitra Aksi: *Increasing the resilience of smallholders from climate impacts through Smart Agriculture based on Livelihood Diversification in Indonesia*
10. KUAT (KARSA): *Strengthening Community Adaptation toward Climate Change through ProKlim in Ecoregion Neck of Sulawesi Island*

With this consideration, and in my capacity as the National Designated Authority of Adaptation Fund in Indonesia, I recommend the above proposals be granted support from the Adaptation Fund Board. All these programs will be executed by each of the submitting entities under the supervision of *Kemitraan – Partnership for Governance Reform*.

Sincerely yours,


Laksmi Dhewanthi
Director General of Climate Change
Ministry of Environment and Forestry
as Indonesia Designated Authority of Adaptation Fund

Copy to:
Kemitraan (Partnership Governance Reform in Indonesia)



Endorsement Letter

Dinas Kelautan dan Perikanan Kabupaten Majene

PEMERINTAH KABUPATEN MAJENE
DINAS KELAUTAN DAN PERIKANAN
Jl. Jend. Ahmad Yani No. 28 Tlp. (0412) 21165 Fax. (0412) 21481 Majene-PTA

SURAT REKOMENDASI
Nomor: 500.2/512.1/2023

Surat yang bermaksud sebagai di bawah ini:

Nama : S. A. KURNIAWATI, ST
NIK : 19621102 199103 2 0112
Tempat/Date : Pontorenjo Utara, Mado, W/In
Jabatan : Kepala Dinas
Unit Kerja : Pemerintah Kabupaten Majene
Telepon : Dinas Kelautan dan Perikanan Kab. Majene

Membatkan Rekomendasi dan Dukungan penuh kepada "Sulawesi Community Foundation (SCF)" sebagai lembaga yang aktif bekerja di Pulau Sulawesi dengan fokus terhadap pemberdayaan Masyarakat dan Mandiri (M) Masyarakat Sulawesi Mandiri dan Sulawesi dalam Programnya diantaranya Aktif secara Berkelanjutan. Dimana saat ini tanggapan Daerah di Kabupaten Majene, sebagai salah satunya pada Programnya seperti Adaption Perubahan Iklim dengan Tema "Penguatan Kapasitas Masyarakat Pesisir dalam Adaption Perubahan Iklim pada Relyer: Mangrove dan Majene" yang akan dibantu melalui "Konsortium Garis Bina" sebagai Koordinator Konsortium yaitu Lembaga Sulawesi Community Foundation (SCF).

Ditandatangani dan Ditandatangani di: untuk dipublikasikan sebagaimana mestinya.

Majene, 13 Juli 2023


S. A. Kurniawati, ST
Kepala Dinas
Dinas Kelautan dan Perikanan
Kabupaten Majene
Telp. (0412) 21165 Fax. (0412) 21481

Pemerintah Kabupaten Majene a.n. Bupati Majene

REPUBLIK INDONESIA
BUPATI MAJENE

SURAT REKOMENDASI
Nomor: 500.1/542.1/2023

Surat yang bermaksud sebagai di bawah ini:

Nama : S. A. KURNIAWATI, ST, MS
Jabatan : Bupati Majene
Unit Kerja : Pemerintah Kabupaten Majene

Membatkan Rekomendasi dan Dukungan penuh kepada "Sulawesi Community Foundation (SCF)" sebagai lembaga yang aktif bekerja di Pulau Sulawesi dengan fokus terhadap pemberdayaan Masyarakat dan Mandiri (M) Masyarakat Sulawesi Mandiri dan Sulawesi dalam Programnya diantaranya Aktif secara Berkelanjutan. Dimana saat ini tanggapan Daerah di Kabupaten Majene, sebagai salah satunya pada Programnya seperti Adaption Perubahan Iklim dengan Tema "Penguatan Kapasitas Masyarakat Pesisir dalam Adaption Perubahan Iklim pada Relyer: Mangrove dan Majene" yang akan dibantu melalui "Konsortium Garis Bina" sebagai Koordinator Konsortium yaitu Lembaga Sulawesi Community Foundation (SCF).

Ditandatangani dan Ditandatangani di: untuk dipublikasikan sebagaimana mestinya.

Majene, 13 Juli 2023


S. A. Kurniawati, ST, MS

Dinas Lingkungan Hidup Provinsi Sulawesi Barat

PEMERINTAH PROVINSI SULAWESI BARAT
DINAS LINGKUNGAN HIDUP
Alamat : Kompleks Perkantoran Gubernur Sulawesi Barat Wing 6 L1.2
& H. Abd. Malik Pattara Gedung Mearjo, Telp/Fax (0430) 2320299 Kode Pos 91511
Website : http://dlh.sulbarprov.go.id; email : dlh.sulbar@yafnec.com

SURAT REKOMENDASI
Nomor: 500.00/S17/011/2023

Yang bertandatangan dibawah ini:

Nama : A. Aca Takdir, S.Sos, M.Pd
NIK : 19670702 199103 1 0111
Pangkat/Gol : Pembina Utama Muda / IV.c
Jabatan : Kepala Dinas
Instansi : Dinas Lingkungan Hidup Provinsi Sulawesi Barat

Membatkan Rekomendasi dan dukungan penuh kepada "Konsortium Garis Bina" untuk Adaption Perubahan Iklim sebagai konsortium yang aktif dalam pemberdayaan masyarakat di Pulau Sulawesi. Dimana saat ini mengukuhkan wilayah di Provinsi Sulawesi Barat yaitu Kabupaten Patani, Majene dan Mamuju sebagai lokasi kegiatan pada pengujian proyek Adaption Perubahan Iklim tahap II yang dikelola oleh KEMITRAAN dengan tema "Penguatan Kapasitas Masyarakat Pesisir dalam Adaption Perubahan Iklim pada Nelayan Sulawesi Barat". Sebagai koordinator konsortium yaitu Sulawesi Community Foundation (SCF).

Ditandatangani surat rekomendasi ini untuk dipublikasikan sebagaimana mestinya.

Majene, 13 Juli 2023


A. Aca Takdir, S.Sos, M.Pd
Kepala Dinas
Dinas Lingkungan Hidup Provinsi Sulawesi Barat
NIK: 19670702 199103 1 0111