



ADAPTATION FUND

AFB/PPRC.31/3
13 March 2023

Project and Programme Review Committee
Thirty-first Meeting
Bonn, Germany, 21-22 March 2023

Agenda item 4)

**REPORT OF THE SECRETARIAT ON INITIAL
SCREENING/TECHNICAL REVIEW
OF PROJECT AND PROGRAMME PROPOSALS**

Background

1. This document presents to the Project and Programme Review Committee (PPRC) of the Adaptation Fund Board (the Board) an overview of the project/programme proposals submitted by Implementing Entities (IEs) to the current meeting, and the process of screening and technical review undertaken by the secretariat.

2. The analysis of the proposals mentioned above is contained in a separate addendum to this document.

Current single country cap

3. At the thirty-sixth meeting, having considered the analysis contained in document AFB/B.36/5, the Adaptation Fund Board (the Board) decided:

(a) To revise the cap per country established by decision B.13/23 from US\$ 10 million to US\$ 20 million for all eligible developing country Parties, so that any Party could access a total of up to US\$ 20 million from the Adaptation Fund once it had accessed funding amounting to at least US\$ 8 million for concrete single-country adaptation projects or programmes or once four years had passed since approval of the first concrete single-country adaptation project(s)/(programme(s) by the Board, whichever occurred earlier;

(b) To set a maximum level of US\$ 10 million for an individual funding request for single country concrete adaptation projects, provided that lower maximum levels could be set by the Board in specific circumstances, such as in the case of national implementing entities accredited through the streamlined process;

[...]

(Decision B.36/41)

4. At this time, one country (Costa Rica) has exhausted the funding available under the country cap as per Decision B.36/41. The specific amount currently available to each country depends on the conditions set in sub-paragraph (a).

Funding status under the MIE cap

5. At the twelfth meeting, the Board instituted a cap of 50 per cent for project funds directed through Multilateral Implementing Entities (MIEs), having decided:

(a) That the cumulative budget allocation for funding projects submitted by MIEs, should not exceed 50 per cent of the total funds available for funding decisions in the Adaptation Fund Trust Fund at the start of each session. That cumulative allocation would be subject to review by the Board on the recommendation of the Project and Programme Review Committee at subsequent sessions;

(b) To request the Trustee to provide an update on the amount of funds that have been approved for projects implemented by NIEs and MIEs at each meeting of the Adaptation Fund Board; and

- (c) *To review the implementation of this decision at the fourteenth meeting of the Adaptation Fund Board.*

(Decision B.12/9)

6. In its seventeenth meeting, having considered the recommendation of the Ethics and Finance Committee (EFC), the Board decided to:

- (a) *Maintain the 50 per cent cap on the funding of projects/programmes implemented by MIEs established by decision B.12/9, and exclude project/programme concepts from the 50 per cent calculation; [...]*

(Decision B.17/19)

7. According to the latest Financial Report prepared by the Trustee as of 31 December 2022, the cumulative funding decisions for all projects/programmes amounted to US\$ 994.86 million and funds available to support AF Board funding decisions amounted to US\$ 290.58 million. The cumulative funding decisions for projects/programmes submitted by MIEs amounted to US\$ 618.73 million. The Board had instituted, through decision B.12/9, a cap of 50 per cent for projects/programmes submitted by MIEs. Some categories of proposals submitted by MIEs had been excluded from counting towards the 50 per cent, and the cumulative funding decisions for MIE projects/programmes that counted towards it amounted to US\$ 606.25 million. Therefore, the funds available below the 50 per cent cap currently amount to US\$ 36.47 million.

Funding Window for Regional Projects and Programmes

8. Since its inception and until March 2017, the Adaptation Fund Board had only approved projects and programmes implemented in individual countries. At its twenty-fifth meeting, the Board considered a proposal for a pilot programme on regional projects and programmes, and decided to:

- (a) *Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) *Set a cap of US\$ 30 million for the programme;*
- (c) *Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; [...]*

(Decision B.25/28)

9. In accordance with the decision B.25/28 and the document AFB/B.25/6/Rev.2, the secretariat had issued, on 5 May 2015, an invitation to submit project and programme proposals for funding under the pilot programme. The invitation was sent to Designated Authorities (DAs) of the Adaptation Fund, and to Multilateral and Regional Implementing Entities (RIEs) accredited by the Board.

10. The Board decided, at its twenty-sixth meeting, [...] to request the secretariat to inform the Multilateral Implementing Entities and Regional Implementing Entities that the call for proposals under the Pilot programme for Regional Projects and Programmes is still open and to encourage them to submit proposals to the AFB at its 27th meeting, bearing in mind the cap established by decision B.25/28.

(Decision B.26/3)

11. The Board considered, at its twenty-seventh meeting, issues related to the pilot programme on regional projects and programmes and decided to:

(a) Continue consideration of regional project and programme proposals under the pilot programme, while reminding the implementing entities that the amount set aside for the pilot programme is US\$ 30 million;

(b) Request the secretariat to prepare for consideration by the Project and Programme Review Committee at its nineteenth meeting, a proposal for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline; and

(c) Consider the matter of the pilot programme for regional projects and programmes at its twenty-eighth meeting.

(Decision B.27/5)

12. The proposal requested in (b) above was presented to the nineteenth meeting of the PPRC as document AFB/PPRC.19/5. The Board subsequently decided:

(a) With regard to the pilot programme approved by decision B.25/28:

(i) To prioritize the four projects and 10 project formulation grants as follows:

1. If the proposals recommended to be funded in a given meeting of the PPRC do not exceed the available slots under the pilot programme, all those proposals would be submitted to the Board for funding;

2. If the proposals recommended to be funded in a given meeting of the PPRC do exceed the available slots under the pilot programme, the proposals to be funded under the pilot programme would be prioritized so that the total number of projects and project formulation grants (PFGs) under the programme maximizes the total diversity of projects/PFGs. This would be done using a three-tier prioritization system: so that the proposals in relatively less funded sectors would be prioritized as the first level of prioritization. If there are more than one proposal in the same sector: the proposals in relatively less funded regions are prioritized as the second level of prioritization. If there are more than one proposal in the same region, the proposals submitted by relatively less represented implementing entity would be prioritized as the third level of prioritization;

(ii) To request the secretariat to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting; and

- (b) *With regards to financing regional proposals beyond the pilot programme referred to above:*
- (i) *To continue considering regional proposals for funding, within the two categories originally described in document AFB/B.25/6/Rev.2: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million, subject to review of the regional programme;*
 - (ii) *To establish two pipelines for technically cleared regional proposals: one for proposals up to US\$ 14 million and the other for proposals up to US\$ 5 million, and place any technically cleared regional proposals, in those pipelines, in the order described in decision B.17/19 (their date of recommendation by the PPRC, their submission date, their lower “net” cost); and*
 - (iii) *To fund projects from the two pipelines, using funds available for the respective types of implementing entities, so that the maximum number of or maximum total funding for projects and project formulation grants to be approved each fiscal year will be outlined at the time of approving the annual work plan of the Board.*

(Decision B.28/1)

13. At the twenty-ninth meeting, having considered the comments and recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) *To include in its work plan for fiscal year 2018 a program of work amounting to US\$ 30 million for the funding of regional project and programme proposals, as follows:*
- (i) *Up to three proposals requesting up to US\$ 5 million for funding;*
 - (ii) *One proposal requesting up to US\$ 14 million of funding;*
 - (iii) *Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully-developed project documents requesting up to US\$ 5 million of funding;*
 - (iv) *Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully-developed project documents requesting up to US\$ 14 million of funding.*

(Decision B.29/4)

14. At its thirty-first meeting, the Board subsequently decided:

- (a) *To merge the two pipelines for technically cleared regional proposals established in decision B.28/1(b)(ii), so that starting in fiscal year 2019 the provisional amount of funding for regional proposals would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2, and that the funding of regional proposals would be established on a ‘first come, first served’ basis; and*

- (b) *To include in its work programme for fiscal year 2019 provision of an amount of US\$ 60 million for the funding of regional project and programme proposals, as follows:*
- (i) *Up to US\$ 59 million to be used for funding regional project and programme proposals in the two categories of regional projects and programmes: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million; and*
 - (ii) *Up to US\$ 1 million for funding project formulation grant requests for preparing regional project and programme concepts or fully-developed project and programme documents.*

(Decision B.31/3)

15. For the fiscal year 2020, the decision was taken by the Board to approve the same amounts as for the year 2019 (decision B.33/12).

16. Subsequently, for the fiscal year 2021, given the extraordinary set of circumstances brought on by the pandemic, having considered the proposal by the secretariat as contained in document AFB/PPRC.26.a-26.b/22, and the recommendation by the Project and Programme Review Committee, the Adaptation Fund Board decided to:

- (a) *Include in its work plan for fiscal year 2021 the provision for an amount of US\$ 30 million to be provisionally set aside for the funding of regional project and programme proposals, including project formulation grant requests for preparing regional project and programme concept or fully-developed project documents;*
- (b) *Consider the need for additional funding for regional project and programme proposals in the second half of fiscal year 2021.*

(Decision B.35.a-35.b/75)

17. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided to include in its work programme for fiscal year 2022 a provision for an amount of US\$ 60 million, to be provisionally set aside as follows:

- (a) *Up to US\$ 59 million for the funding of regional project and programme proposals;*
- (b) *Up to US\$ 1 million for the funding of project formulation grant requests for preparing regional project and programme concept or fully-developed project documents.*

(Decision B.36/1)

18. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided to revise the country cap as per the analysis contained in document AFB/B.36/5, increasing the country cap as follows:

- (a) *To revise the cap per country established by decision B.13/23 from US\$ 10 million to US\$ 20 million for all eligible developing country Parties, so that any Party could access a total of up to US\$ 20 million from the Adaptation Fund once it had accessed funding amounting to at least US\$ 8 million for concrete single-country adaptation projects or*

programmes or once four years had passed since approval of the first concrete single-country adaptation project(s)/(programme(s) by the Board, whichever occurred earlier;

- (b) To set a maximum level of US\$ 10 million for an individual funding request for single country concrete adaptation projects, provided that lower maximum levels could be set by the Board in specific circumstances, such as in the case of national implementing entities accredited through the streamlined process;*
- (c) To maintain the processes already put in place for the allocation of funding for regional projects and programmes, i.e., the provision on an annual basis (fiscal year) of a specific amount for the funding of regional project and programme proposals and the pipeline established through decision B.31/3;*
- (d) To assess implications of decision B.36/41 three years after the thirty-sixth meeting of the Board, taking into consideration resource availability, equitable access to funds, accreditation progress and programmatic development of the Fund;*
- (e) To inform the designated authorities and accredited implementing entities of this decision.*

(Decision B.36/41)

19. Having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board decided to include in its work programme for the fiscal year 2023 a provision for the amount of US\$ 60 million, to be provisionally set aside as follows:

- (a) Up to US\$ 59 million for the funding of regional project and programme proposals;*
- (b) Up to US\$ 1 million for the funding of project formulation grant requests for preparing regional project and programme concept or fully developed project documents.*

(Decision B.38/5)

20. Details of the accumulated funding allocations under the Funding Window for regional projects and programmes per Board decision are displayed below:

Fiscal year	AF Board Decision	Amount in millions (USD)
2015	B.25/28	30.00
2018	B.29/4	30.00
2019	B.31/3	60.00
2020	B.33/12	60.00
2021	B.35a-35b/75	30.00
2022	B.36/1	60.00
2023	B.38/5	60.00
	TOTAL	330.00

Remaining Funds Available under the Funding Window for Regional Projects and Programmes

21. The total amount funded for regional projects and programmes in the fiscal year 2023 to date is US\$ 44,190,720. Accordingly, the amount of funding currently remaining from the amount approved for the 2023 provision for regional programming is US\$ 14,809,280 and there are no regional projects or programmes currently on the waitlist.

22. At the present meeting the secretariat again received proposals for regional projects and programmes as encouraged by decision B.26/3, and as observed in decisions B.27/5 and B.31/3, and reviewed them, as explained further below.

Project/programme proposals submitted by implementing entities: single-country proposals

23. Accredited IEs submitted 29 eligible single-country project proposals to the secretariat, with the total requested funding amounting to US\$ 170,743,936.00 (excluding project formulation grants). The proposals included US\$ 13,148,003.00 or 8.32%¹ in IE management fees and US\$ 13,963,245.00 or 8.88%² in execution costs.

24. Of the 29 projects, 13 are fully-developed project proposals. They were submitted by the following National, Regional and Multilateral Implementing Entities of the Fund: Protected Areas Conservation Trust (PACT), Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA), Fundación Natura, Ministry of Water and Environment (MoWE), Environmental Management Agency (EMA), The Pacific Community (SPC), International Fund for Agricultural Development (IFAD), and United Nations Human Settlement Programme (UN-Habitat).

25. All the proposals are in compliance with Board decision B.11/16 to cap management fees at 8.5%. In accordance with the same decision B.11/16, all proponents of fully- developed project documents provide a budget on fee use.

26. All the proposals are in compliance with Board Decision B.13/17 to cap execution costs at 9.5% of the project/programme budget.

27. All submissions for single countries request funding below the cap available to each country, as per decision B.13/23 and decision B.36/41 on the *Provision of financial resources between single-country and regional concrete adaptation project and programmes (country cap)* -see table below. Eight proposals were small size proposals (under USD 1 million) submitted by the same NIE. The twenty-nine proposals are from twenty-one different countries, and seventeen proposals from nine different countries are requesting part of the additional US\$10 million available to them as per decision B.36/41.

28. All of the fully-developed project/programme documents provide an explanation and a breakdown of their execution costs and other administrative costs, and are in compliance with the following Board Decision made in the twelfth meeting:

¹ The implementing entity management fee percentage is calculated compared to the project budget including the project activities and the execution costs, before the management fee.

² The execution costs percentage is calculated as a percentage of the project budget, including the project activities and the execution costs, before the implementing entity management fee.

(b) To request to the implementing entities that the project document include an explanation and a breakdown of all administrative costs associated with the project, including the execution costs.

(Decision B.12/7)

29. Details of the single-country proposals are contained in separate PPRC working documents, as follows:

1. Full Proposals: Single-country	Country	IE	PPRC Document number	Grant Size, USD
NIE				
	Belize	PACT	AFB/PPRC.31/4	4,000,000
	Côte d'Ivoire*	FIRCA	AFB/PPRC.31/5	4,000,000
	Panama	Fundación Natura	AFB/PPRC.31/6	10,000,000
	Uganda	MOWE	AFB/PPRC.31/7	2,249,000
	Zimbabwe*	EMA	AFB/PPRC.31/8	4,989,000
RIE				
	Nauru*	SPC	AFB/PPRC.31/9	7,999,493
	Papua New Guinea	SPC	AFB/PPRC.31/10	10,000,000
MIE				
	Central African Republic*	IFAD	AFB/PPRC.31/11	10,000,000
	Lao PDR	UN-Habitat	AFB/PPRC.31/12	7,323,750
	Libya*	IFAD	AFB/PPRC.31/13	9,997,156
	Mongolia	UN-Habitat	AFB/PPRC.31/14	7,965,882
	Montenegro*	IFAD	AFB/PPRC.31/15	10,000,000
	Zambia*	IFAD	AFB/PPRC.31/16	10,000,000
2. Concepts: Single-country	Country	IE	PPRC Document number	Grant Size, USD
NIE				
	Indonesia (1)	Kemitraan	AFB/PPRC.31/17	993,081
	Indonesia (2)	Kemitraan	AFB/PPRC.31/18	996,633
	Indonesia (3)	Kemitraan	AFB/PPRC.31/19	970,503
	Indonesia (4)	Kemitraan	AFB/PPRC.31/20	960,225
	Indonesia (5)	Kemitraan	AFB/PPRC.31/21	998,739
	Indonesia (6)	Kemitraan	AFB/PPRC.31/22	977,939
	Indonesia (7)	Kemitraan	AFB/PPRC.31/23	999,226
	Indonesia (8)	Kemitraan	AFB/PPRC.31/24	999,984
	Peru (1)	PROFONANPE	AFB/PPRC.31/25	5,465,145
RIE				
	Chad*	OSS	AFB/PPRC.31/26	10,000,000
	Egypt	OSS	AFB/PPRC.31/27	8,000,000
	Mauritania	OSS	AFB/PPRC.31/28	10,000,000
	Peru (2)	CAF	AFB/PPRC.31/29	5,298,180
MIE				
	Fiji	WMO	AFB/PPRC.31/30	5,560,000
	Grenada*	IFAD	AFB/PPRC.31/31	10,000,000
	Cambodia	UN-Habitat	AFB/PPRC.31/32	10,000,000

* Additional \$10 M will become available to the country for further programming once the conditions stipulated in Decision B.36/41 are fulfilled.

Project/programme proposals submitted by implementing entities: regional proposals

30. Accredited IEs submitted to the secretariat seven eligible proposals for regional projects and programmes. The total requested funding of those proposals amounts to US\$ 95,978,059 (excluding project formulation grants). Three of the seven proposals are fully-developed projects, two are concept notes, and two are pre-concepts. The total requested funding for the fully-developed regional proposal includes US\$ 3,289,630 or 8.50% in IEs' management fees and US\$ 3,184,594 or 8.23% in execution costs.

31. The proposals were submitted by four MIEs: International Fund for Agricultural Development (IFAD), United Nations Human Settlements Programme (UN-Habitat), and World Meteorological Organization (WMO) and United Nations Environment Programme (UNEP). Details of the regional proposals are contained in separate PPRC working documents, as follows:

4. Full Proposals: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD
MIE				
	Azerbaijan, Iran	UN-Habitat	AFB/PPRC.31/33	14,000,000
	Cabo Verde; Guinea Bissau; Sao Tome and Principe	IFAD	AFB/PPRC.31/34	14,000,000
	Côte d'Ivoire, Ghana	UN-Habitat	AFB/PPRC.31/35	13,991,159
5. Concepts: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD
MIE				
	Angola, Namibia	IFAD	AFB/PPRC.31/36	14,000,000
	Botswana, South Africa, Mozambique, Zimbabwe, Malawi, Zambia	IFAD	AFB/PPRC.31/37	13,896,900
7. Pre-concepts: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD
MIE				
	Bangladesh, Nepal	WMO	AFB/PPRC.31/38	12,090,000
	El Salvador, Honduras, Guatemala	UNEP	AFB/PPRC.31/39	14,000,000

The review process

32. The secretariat received 41 submissions for the AFB40 review cycle. Thirty-six (36) submissions were found to be eligible and, in accordance with the operational policies and guidelines, the secretariat screened and prepared technical reviews for them.

33. The eligible submissions were posted online for public review and the Adaptation Fund's NGO network as well as the Board were notified and invited to provide comments within a specified time period (no comments were received.)

34. In line with the Board request at its tenth meeting, the secretariat shared the initial technical review findings with the IEs that had submitted the proposals and solicited their responses to specific items requiring clarification. Responses were requested by e-mail, and the time allowed for

the IEs to respond was one week. The IEs were offered the opportunity to discuss the initial review findings with the secretariat by telephone as is the usual practice. The secretariat received three (3) requests of extension of the time available to resubmit a revised proposal to enable the IEs to address the requests received during the initial technical review.

35. The secretariat subsequently reviewed the IEs' responses to the clarification requests, and compiled comments and recommendations that are presented in the addendum to this document (AFB/PPRC.31/3/Add.1).

Issues Identified during the Review Process

Continuous increase in the number of submitted proposals

36. The current cycle confirms the trend of steady continuous increase in the number of new proposals submitted to the Board, with the expectation that the programming volume would still continue to increase. The secretariat has prepared a recommendation to further enhance the review process and better organize the work under PPRC in view of expected continuing increase. The recommendation is available in paper AFB/PPRC.31/60 *Options for further supporting the work of the PPRC*.

Issues identified with the page limits for regional projects

37. For the past few cycles, it appears to have been challenging for the IEs to remain within the page limits and effectively address all the clarification requests, which often require providing additional explanations and details. Currently, the IEs use the response sheets to provide the necessary responses and clarification and remain within the page limit. However, many of these details need to be within the main text of the proposal (which forms the basis of the eventual project agreement, a legal document). This issue has been observed again in this review cycle as well. It may be necessary to revisit the policy on page limits at an upcoming PPRC meeting.

Issues identified with copying the DA- pursuant to Board Decision

38. The Secretariat had communicated to the IEs the Board Decision B.39/3 which requested the IEs to consistently copy the Designated Authority when submitting proposal to the adaptation Fund Board Secretariat. The Secretariat notices that almost half of the proposals received included the DA in copy to the submission email. The Secretariat further reminded the IE of such a requirement when sharing the initial technical review. When resubmitting the proposal for review, 75% of the resubmission emails had the DA copied. The Secretariat will further highlight this requirement in all future courtesy notices to the IEs and again when sharing the initial technical reviews.

Table: Project proposals submitted to the fortieth Adaptation Fund Board meeting (AFB 40)

1. Full Proposals: Single-country	Country	IE	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
NIE								
	Belize	PACT	AFB/PPRC.31/4	4,000,000	313,364	8.50%	350,230	9.50%
	Cote d'Ivoire	FIRCA	AFB/PPRC.31/5	4,000,000	313,364	8.50%	319,845	8.68%
	Panama	Fundación Natura	AFB/PPRC.31/6	10,000,000	783,410	8.50%	799,613	8.68%
	Uganda	MOWE	AFB/PPRC.31/7	2,249,000	143,000	6.79%	181,000	8.59%
	Zimbabwe	EMA	AFB/PPRC.31/8	4,989,000	357,000	7.71%	432,000	9.33%
RIE								
	Nauru	SPC	AFB/PPRC.31/9	7,999,493	624,500	8.47%	575,500	7.80%
	Papua New Guinea	SPC	AFB/PPRC.31/10	10,000,000	782,500	8.49%	864,992	9.38%
MIE								
	Central African Republic	IFAD	AFB/PPRC.31/11	10,000,000	783,410	8.50%	602,954	6.54%
	Lao PDR	UN-Habitat	AFB/PPRC.31/12	7,323,750	573,750	8.50%	641,250	9.50%
	Libya	IFAD	AFB/PPRC.31/13	9,997,156	783,187	8.50%	875,327	9.50%
	Mongolia	UN-Habitat	AFB/PPRC.31/14	7,965,882	624,051	8.50%	697,470	9.50%
	Montenegro	IFAD	AFB/PPRC.31/15	10,000,000	783,410	8.50%	873,612	9.48%
	Zambia	IFAD	AFB/PPRC.31/16	10,000,000	783,410	8.50%	874,590	9.49%
Sub-total, USD				98,524,281	7,648,356		8,088,383	
2. Concepts: Single-country	Country	IE	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
NIE								
	Indonesia (1)	Kemitraan	AFB/PPRC.31/17	993,081	70,216	7.61%	79,735	8.64%
	Indonesia (2)	Kemitraan	AFB/PPRC.31/18	996,633	78,077	8.50%	71,989	7.84%
	Indonesia (3)	Kemitraan	AFB/PPRC.31/19	970,503	75,611	8.45%	83,758	9.36%
	Indonesia (4)	Kemitraan	AFB/PPRC.31/20	960,225	75,225	8.50%	84,075	9.50%
	Indonesia (5)	Kemitraan	AFB/PPRC.31/21	998,739	14,760	1.50%	93,478	9.50%
	Indonesia (6)	Kemitraan	AFB/PPRC.31/22	977,939	76,613	8.50%	85,626	9.50%
	Indonesia (7)	Kemitraan	AFB/PPRC.31/23	999,226	78,280	8.50%	87,490	9.50%
	Indonesia (8)	Kemitraan	AFB/PPRC.31/24	999,984	78,340	8.50%	79,482	8.62%
	Peru (1)	PROFONANPE	AFB/PPRC.31/25	5,465,145	428,145	8.50%	437,000	8.68%
RIE								
	Chad	OSS	AFB/PPRC.31/26	10,000,000	756,000	8.18%	794,000	8.59%
	Egypt	OSS	AFB/PPRC.31/27	8,000,000	625,000	8.47%	635,000	8.61%
	Mauritania	OSS	AFB/PPRC.31/28	10,000,000	756,000	8.18%	794,000	8.59%
	Peru (2)	CAF	AFB/PPRC.31/29	5,298,180	410,560	8.40%	424,040	8.68%
MIE								
	Fiji	WMO	AFB/PPRC.31/30	5,560,000	410,000	7.96%	450,000	8.74%
	Grenada	IFAD	AFB/PPRC.31/31	10,000,000	783,410	8.50%	875,576	9.50%
	Cambodia	UN-Habitat	AFB/PPRC.31/32	10,000,000	783,410	8.50%	799,613	8.68%
Sub-total, USD				72,219,655	5,499,647		5,874,862	

4. Full Proposals: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE								
	Azerbaijan, Iran	UN-Habitat	AFB/PPRC.31/33	14,000,000	1,096,774	8.50%	1,119,458	8.68%
	Cabo Verde; Guinea Bissau; Sao Tome & Principe	IFAD	AFB/PPRC.31/34	14,000,000	1,096,774	8.50%	844,136	6.54%
	Cote d'Ivoire, Ghana	UN-Habitat	AFB/PPRC.31/35	13,991,159	1,096,082	8.50%	1,221,000	9.47%
Sub-total, USD				41,991,159	3,289,630		3,184,594	
5. Concepts: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE								
	Angola, Namibia	IFAD	AFB/PPRC.31/36	14,000,000	1,020,000	7.86%	1,100,000	8.47%
	Botswana, South Africa, Mozambique, Zimbabwe, Malawi and Zambia	IFAD	AFB/PPRC.31/37	13,896,900	1,029,400	8.00%	613,000	4.76%
Sub-total, USD				27,896,900	2,049,400		1,713,000	
7. Pre-concepts: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE								
	Bangladesh, Nepal	WMO	AFB/PPRC.31/38	12,090,000	940,000	8.43%	950,000	8.52%
	El Salvador, Honduras, Guatemala	UNEP	AFB/PPRC.31/39	14,000,000	1,270,000	9.98%	1,270,000	9.98%
Sub-total, USD				26,090,000	2,210,000		2,220,000	

3. Project Formulation Grants (PFG): Single-country	Country	IE	PPRC Document number	Grant Size, USD
NIE				
	Indonesia (1)	Kemitraan	AFB/PPRC.31/17/Add.1	50,000
	Indonesia (2)	Kemitraan	AFB/PPRC.31/18/Add.1	50,000
	Indonesia (3)	Kemitraan	AFB/PPRC.31/19/Add.1	50,000
	Indonesia (4)	Kemitraan	AFB/PPRC.31/20/Add.1	50,000
	Indonesia (5)	Kemitraan	AFB/PPRC.31/21/Add.1	50,000
	Indonesia (6)	Kemitraan	AFB/PPRC.31/22/Add.1	50,000
	Indonesia (7)	Kemitraan	AFB/PPRC.31/23/Add.1	50,000
	Indonesia (8)	Kemitraan	AFB/PPRC.31/24/Add.1	50,000
	Peru (1)	PROFONANPE	AFB/PPRC.31/25/Add.1	45,500
Sub-total, USD				445,500
6. Project Formulation Grants (PFG): Regional Concepts	Region/Countries	IE	PPRC Document number	Grant Size, USD
MIE				
	Angola and Namibia	IFAD	AFB/PPRC.31/36/Add.1	50,000
	Botswana, South Africa, Mozambique, Zimbabwe, Malawi and Zambia	IFAD	AFB/PPRC.31/37/Add.1	50,000
Sub-total, USD				100,000
8. Project Formulation Grants (PFG) Pre-concepts: Regional	Region/Countries	IE	PPRC Document number	Grant Size, USD
MIE				
	Bangladesh, Nepal	WMO	AFB/PPRC.31/38/Add.1	20,000
	El Salvador, Honduras, Guatemala	UNEP	AFB/PPRC.31/39/Add.1	20,000
Sub-total, USD				40,000

ANNEX

Table: Approved Regional Projects and Programmes

Project Title	Country	Implementing Entity	Type of IE	Project Status	Approved Amount in USD	Approval Date
Agricultural Climate Resilience Enhancement Initiative (ACREI)	Regional (Ethiopia, Kenya, Uganda)	WMO	MIE	Under Implementation	6,800,000	3/17/2017
Adapting to Climate Change in Lake Victoria Basin	Regional (Burundi, Kenya, Rwanda, Tanzania(United Republic of), Uganda)	UNEP	MIE	Under Implementation	5,000,000	7/5/2017
Building adaptive capacity through food and nutrition security and peacebuilding actions in vulnerable Afro and indigenous communities in the Colombia-Ecuador border area	Regional (Colombia, Ecuador)	WFP	MIE	Under Implementation	14,000,000	7/5/2017
Promoting Climate-Smart Agriculture in West Africa	Regional (Benin, Burkina Faso, Ghana, Niger, Togo)	BOAD	RIE	Under Implementation	14,000,000	7/16/2018
Reducing climate vulnerability and flood risk in coastal urban and semi urban areas in cities in Latin America	Regional (Chile, Ecuador)	CAF	RIE	Under Implementation	13,910,400	7/16/2018
Restoring marine ecosystem services by rehabilitating coral reefs to meet a changing climate future	Regional (Mauritius, Seychelles)	UNDP	MIE	Under Implementation	10,000,000	10/12/2018
Integrating Flood and Drought Management and Early Warning for Climate Change Adaptation in the Volta Basin	Regional (Benin, Burkina Faso, Côte d'Ivoire, Ghana, Mali, Togo)	WMO	MIE	Under Implementation	7,920,000	10/12/2018
Integrated climate-resilient transboundary flood risk management in the Drin River basin in the Western Balkans	Regional (Albania, North Macedonia, Montenegro)	UNDP	MIE	Under Implementation	9,927,750	3/15/2019
Enhancing adaptive capacity of Andean communities through climate services	Regional (Chile, Colombia, Peru)	WMO	MIE	Under Implementation	7,432,250	7/8/2019
Building Urban Climate Resilience in South-eastern Africa	Regional (Comoros, Madagascar, Malawi, Mozambique)	UN-HABITAT	MIE	Under Implementation	13,997,423	7/15/2019
Integration of climate change adaptation measures in the concerted management of the WAP transboundary complex: ADAPT-WAP	Regional (Benin, Burkina Faso, Niger)	OSS	RIE	Under Implementation	11,536,200	7/15/2019
Climate change adaptation in vulnerable coastal cities and ecosystems of the Uruguay River	Regional (Argentina, Uruguay)	CAF	RIE	Under Implementation	13,999,996	7/15/2019
Strengthening drought resilience of small holder farmers and pastoralists in the IGAD region	Regional (Djibouti, Kenya, Sudan, Uganda)	OSS	RIE	Under Implementation	13,079,540	10/11/2019
Special Financing Window in Support of Innovation for Adaptation	Regional (Global)	UNEP	MIE	Under Implementation	5,000,000	10/11/2019
Adaptation Fund - UNDP Innovation Small Grant Aggregator Platform (ISGAP)	Regional (Global)	UNDP	MIE	Under Implementation	5,000,000	10/11/2019
Reducing vulnerabilities of populations in the Central Asia region from glacier lake outburst floods in changing climate	Regional (Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan)	UNESCO	MIE	Under Implementation	6,500,000	10/15/2020
Increasing the resilience of both displaced persons and host communities to climate change-related water challenges in Jordan and Lebanon	Regional (Jordan, Lebanon)	UN-HABITAT	MIE	Under Implementation	13,973,509	3/11/2021
Mekong EbA South: Enhancing Climate Resilience in the Greater Mekong Subregion through Ecosystem based Adaptation in the Context of South-South Cooperation	Regional (Thailand, Vietnam)	UNEP	MIE	Proposal Approved	7,000,000	3/11/2021
Resilience building as climate change adaptation in drought-struck South-western African communities	Regional (Angola, Namibia)	OSS	RIE	Under Implementation	11,941,038	7/26/2021
Scaling-up Climate-resilient Rice Production in West Africa	Regional (Benin, Burkina Faso, Côte d'Ivoire, Gambia (Republic of The), Ghana, Guinea, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone, Togo)	OSS	RIE	Under Implementation	14,000,000	10/21/2021
Strengthening Resilience to Climate and Covid-19 Shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)	Regional (Chad, Sudan)	FAO	MIE	Proposal Approved	14,000,000	4/7/2022
Use of Nature-based Solutions to Increase Resilience to Extreme Climate Events in the Atlantic Region of Central America	Regional (Belize, Guatemala, Honduras)	CABEI	RIE	Proposal Approved	13,248,121	7/29/2022
Groundwater Resources in the Greater Mekong Subregion: Collaborative Management to Increase Climate Change Resilience	Regional (Cambodia, Lao People's Democratic Republic, Thailand, Vietnam)	UNESCO	MIE	Proposal Approved	4,898,775	7/28/2022
Strengthening the Adaptive Capacities of Climate-Vulnerable Communities in the Goascorán Watershed of El Salvador and Honduras through Integrated Community-Based Adaptation Practices and Services	Regional (El Salvador, Honduras)	WFP	MIE	Proposal Approved	12,048,300	10/14/2022
Strengthening Resilience of Vulnerable Communities in Sri Lanka and India to Increased Impacts of Climate Change	Regional (India, Sri Lanka)	WFP	MIE	Proposal Approved	13,995,524	3/10/2023
Number of Projects: 25					263,208,826	