



ADAPTATION FUND

AFB/B.40/12
13 March 2023

Adaptation Fund Board
Fortieth meeting
Bonn, Germany 21–24 March 2023

Agenda item 7 (b)

**REPORT ON THE IMPLEMENTATION OF THE TOP-LEVEL MANAGEMENT STATEMENT
(TLMS)**

Background

1. This document provides updates on the implementation of the top-level management statement communicating applicant entities' commitment to abide by with the Adaptation Fund's Environmental and Social Policy (ESP) and Gender Policy (GP) (hereinafter, "TLMS") as requested by the Adaptation Fund Board (the Board) through Decision B.39/2. The TLMS is required in criterion 11 of the accreditation and re-accreditation applications.

2. At the thirty-ninth meeting of the Board held on 13-14 October 2022, the Board, having considered documents AFB/B.39/13 and AFB/B.39/13/Add.1, decided:

(a) To take note of the information contained in documents AFB/B.39/13 and AFB/B.39/13/Add.1;

(b) To welcome the application of the template for the top-level management statement (TLMS) to be submitted by implementing entities for accreditation and reaccreditation with the Adaptation Fund as endorsed by the task force established by decision B.38/3 and contained in annex I of document AFB/B.39/13;

(c) To request the secretariat to communicate the present decision and the TLMS template to the applicant implementing entities and to report on the status of the TLMS implementation to the Board at its fortieth meeting.

Decision B.39/2

3. At the same meeting, and considering the recommendation of the secretariat regarding the difficulties faced by some NIEs in their re-accreditation process because of delays caused by the COVID-19 pandemic, the Board decided:

(a) To take note of the report of the thirty-eighth meeting of the Accreditation Panel contained in document AFB/B.39/4, which included the report on the secretariat's receipt of requests from applicant implementing entities concerning the delays in the reaccreditation process due to the coronavirus disease pandemic;

(b) To temporarily approve a blanket extension of the deadline for achieving reaccreditation of up to six months, for all implementing entities with "in reaccreditation process" status between 1 March 2020 and 1 July 2022 whose reaccreditation process had been delayed by the pandemic;

(c) To request the secretariat to convey the present decision to all applicant implementing entities in the reaccreditation process.

Decision B.39/1

4. To date, there are four applicant implementing entities (IEs) whose re-accreditation processes have stalled due to the lack of compliance with the TLMS requirement. Decisions B.39/2 and B.39/1 described in paragraphs 2 and 3 above apply to these applicant IEs.

5. As per Decision B.39/2, the secretariat on 27 October 2022, informed all four applicant IEs about the Board's endorsement of the TLMS template and requested each applicant to submit the TLMS. The secretariat clarified that the submission of the TLMS would allow the Accreditation Panel (Panel) to resume review of the respective re-accreditation applications. For easy reference the TLMS template referenced in Decision B.39/2 is presented as Annex I of this document.

6. At the time of this report, four applicant entities have shared their responses with the secretariat, each proposing changes to the content of the TLMS template. All four responses from the applicants will be presented for the consideration of the Board at its fortieth meeting scheduled for 21–24 March 2023 in Bonn, Germany.

ANNEX I:

**TLMS TEMPLATE ENDORSED BY THE BOARD AT THE THIRTY-NINTH MEETING HELD
ON 13-14 OCTOBER 2022**

[Salutation to be addressed to the AF manager]

On behalf of the ***[Applicant entity]***, I write to confirm our interest in achieving accreditation/reaccreditation with the Adaptation Fund.

We have carefully reviewed the Environmental and Social Policy and the Gender Policy of the Adaptation Fund (AF ESP and GP). Based on that review, we are of the view that the ***[Applicant entity's]*** relevant policies and practices are aligned with the AF ESP and GP and enables ***[Applicant entity]*** to propose and implement projects/programmes that comply, as a minimum, with AF funding requirements.

The ***[Applicant entity]*** reaffirms its commitment to implement all projects and programmes supported by the Adaptation Fund in compliance with the AF Standard Legal Agreement and AF Operational Policies and Guidelines approved by the AF Board.

Yours sincerely
[Subscription]