



DECODING THE EDA TEMPLATE AND ACCESS CRITERIA FOR EDA GRANTS



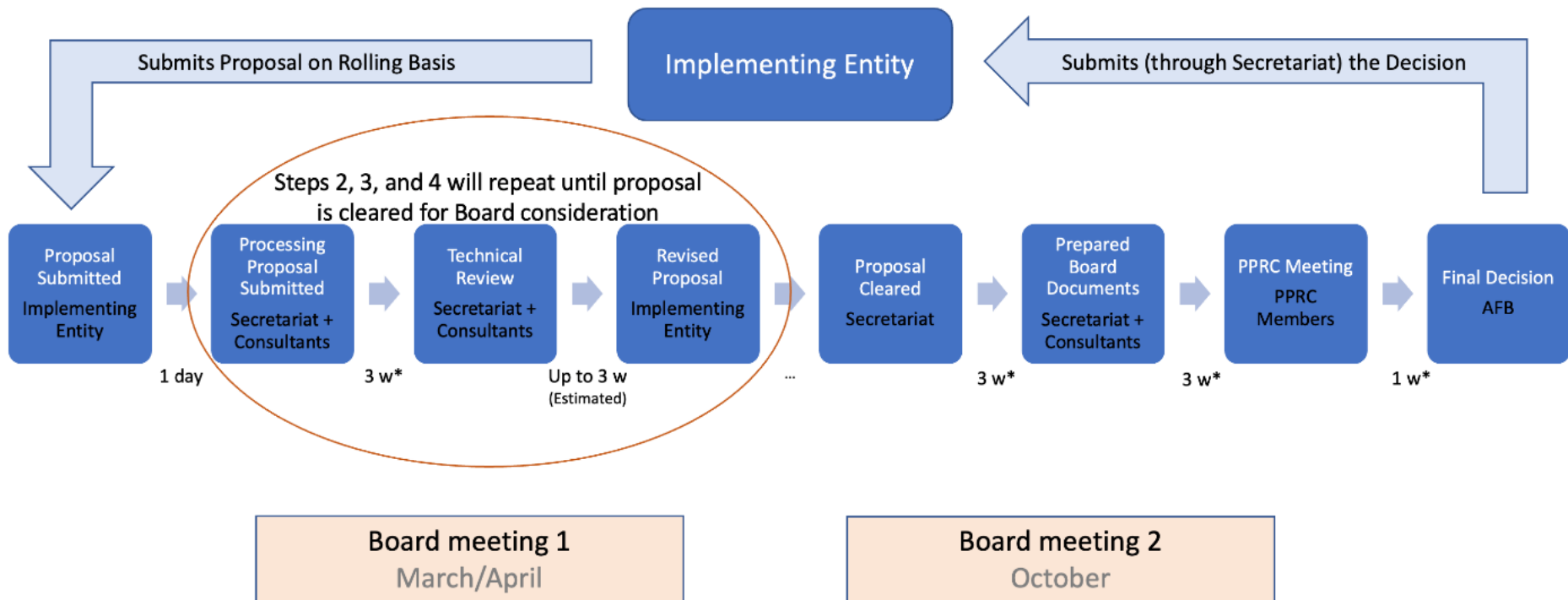
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Helping developing countries build resilience and adapt to climate change

Alyssa Gomes, Climate Change Specialist

Enhanced Direct Access Workshop, 9-11 May, 2023

ROLLING SUBMISSION REVIEW PROCESS FOR ALL WINDOWS



* Business standards (i.e., no. of weeks indicated above)



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KEY ELEMENTS OF ROLLING SUBMISSION PROCESS

- Proposals will undergo a **technical review within 3 weeks' time**.
- **IE will be encouraged to resubmit it within 3 weeks' time**.
- Once cleared by the technical review, proposals will be prepared and forwarded for the consideration of the Board.
- **Early submissions are strongly encouraged** and will allow the IE to receive a review sooner, with more time and/or opportunities to make changes to the proposal.
- **Also affects how a project will be prioritized for funding**, should there be a need for waitlisting some of the projects.
- The **secretariat will suspend the 3-week review standard close to the Board meeting**, as it finalizes the preparation of the reports and project documents for the Board.
- The onset of the **review intermission means that any proposals received thereafter will be reviewed only after the Board meeting**
- The **next review intermission will be from August 21 until October 23, 2023**.
- When submitting a proposal, **please provide the following:**

Country and type of submission in the email subject, for example:

Subject: Enhanced Direct Access fully-developed project_Country; OR

Subject: Enhanced Direct Access concept-note project_Country;



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SUBMISSION OF PROJECT AND PROGRAMME PROPOSALS

ALL WINDOWS, AS AT 3 APRIL 2023

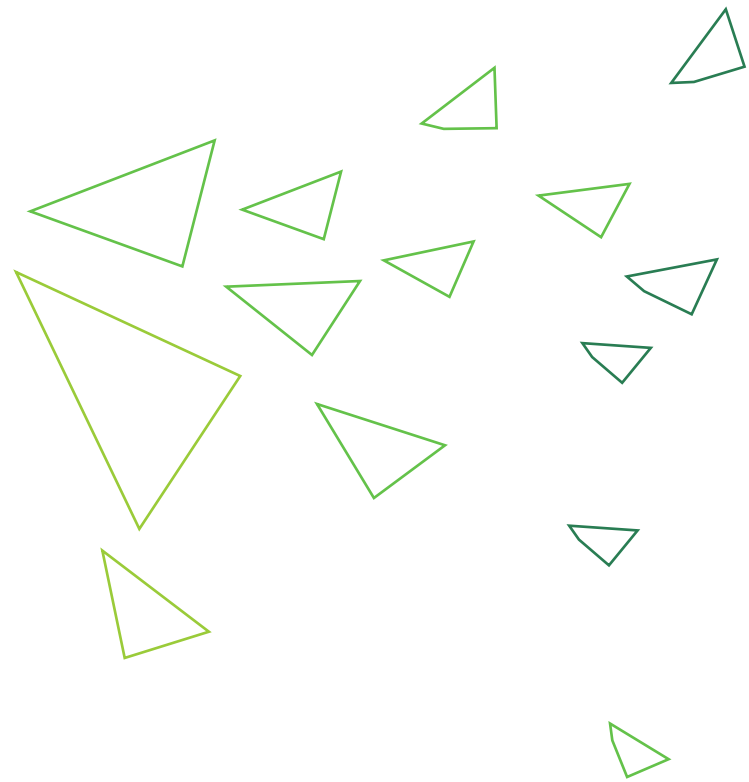
Open starting now	Submission of proposals under above mentioned windows Adaptation Fund Board Secretariat - afbsec@adaptation-fund.org
Review Intermission begins on 00:01 EST August 21, 2023	Proposals submitted thereafter will be reviewed only after the conclusion of AFB 41 in October 2023.
For questions on submissions: Single country and regional projects (main windows)	Ms. Saliha Dobardzic sdobardzic@adaptation-fund.org Ms. Imen Meliane- Imeliane@adaptation-fund.org ; Ms. Neranda Maurice George- nmauricegeorge@adaptation-fund.org ;
For questions on submission: Innovation (Large Projects and Small Grants), Enhanced Direct Access (EDA)	Ms. Saliha Dobardzic- Sdobardzic@adaptation-fund.org Ms. Alyssa Gomes agomes3@adaptation-fund.org Mr. Naoki Uozawa nuozawa@adaptation-fund.org
For questions on submission: Learning grants and project scale-up grants	Ms. Cristina Dengel cdengel@adaptation-fund.org Mr. Farayi Madziwa fmadziwa@adaptation-fund.org Ms. Saliha Dobardzic- Sdobardzic@adaptation-fund.org
Submission of LOEs and DA Nominations <small>Source: fundsforngos.org</small>	Adaptation Fund Board Secretariat - afbsec@adaptation-fund.org and Ms. Sophie Hans Moevi – shansmoevi@adaptation-fund.org





EDA proposal preparation

Documents and templates



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Developing an EDA proposal: Top tips

Not 'business as usual'

Clearly demonstrate that the proposed EDA approach facilitates the streamlining of decision-making across multiple levels

Adaptation at its heart

The EDA rationale must relate to the climate scenario(s) outlined in the background and context section.

The adaptation measures must be suited to, or adequate for, the identified climate threats.



EDA can require a higher level of technical assistance, monitoring and oversight.

A strong proposal will clearly describe:

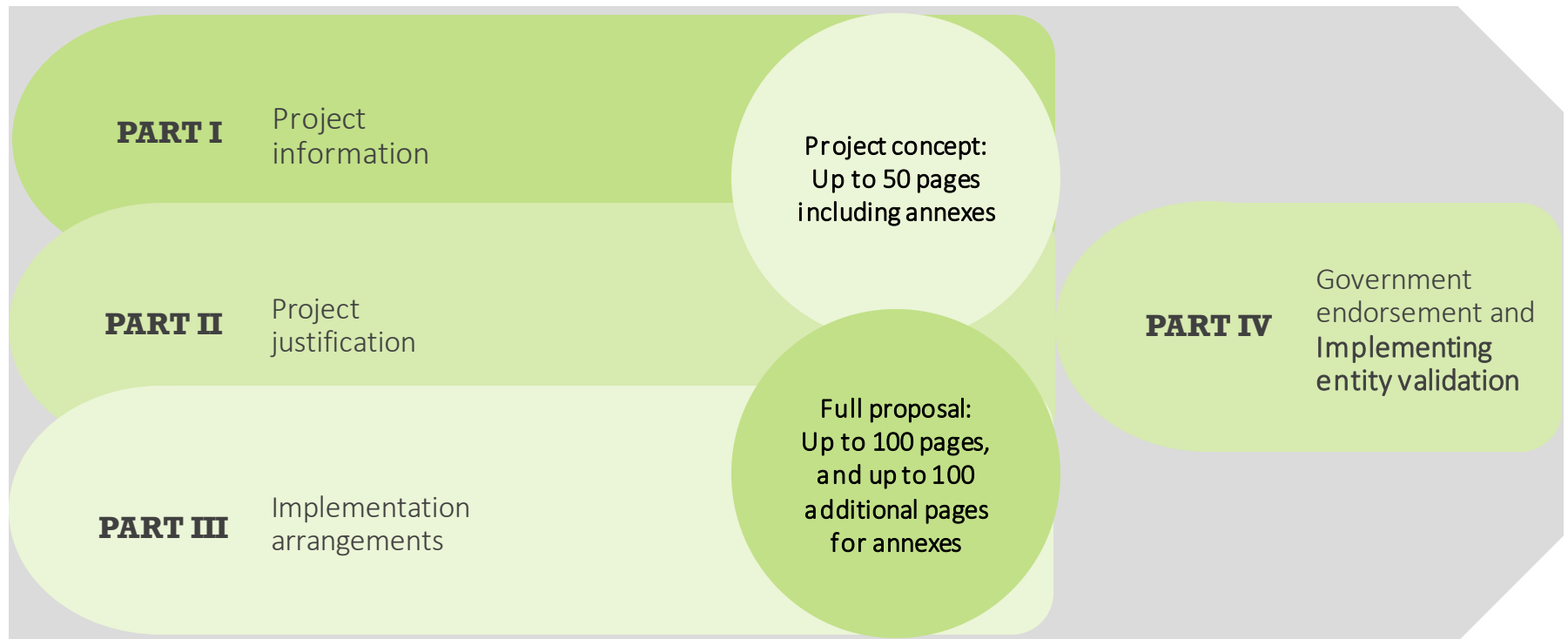
- The arrangements for technical assistance
- The process for specific funding requests and proposals, including the review and decision-making process.
- The capacity-building and support structures




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EDA project proposal



Part I: Project information



PART I Project information

1

Project/Programme Category: **EDA**

Country/ies:

Title of Project/Programme:

Type of Implementing Entity:

Implementing Entity:

Executing Entity/ies:

Amount of Financing Requested:

2

BACKGROUND AND CONTEXT

The focus area for EDA and the problem the proposed local activities are aiming to solve

3

OBJECTIVES

List the main objectives of the EDA projects

4

COMPONENTS AND FINANCING

Fill out the table presenting the project components, activities, expected concrete outputs, and their corresponding budgets to accomplish them

5

CALENDAR

Indicate the dates for the key milestones:
Start of implementation, mid-term review, closing, and the terminal evaluation



4

COMPONENTS AND FINANCING

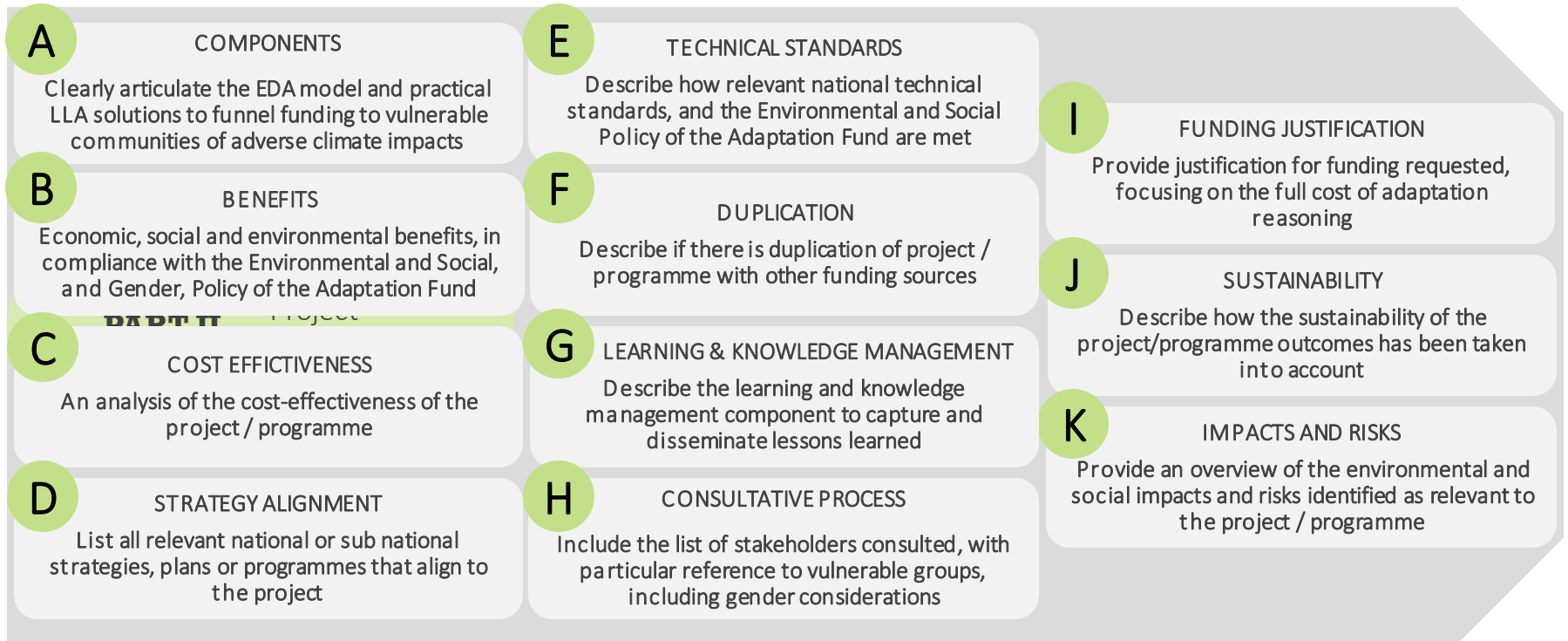
Fill out the table presenting the project components, activities, expected concrete outputs, and their corresponding budgets to accomplish them

Project/Programme Components	Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
1.			
2.			
x.			
Project/programme execution cost			≤ 12%
Total project/programme cost			
Project/programme cycle management fee charged by the implementing entity (if applicable)			≤ 10%
Amount of financing requested			

In case of Implementing Entity serving as the Executing Entity, the limit for execution is 1.5%. The justifications must be provided, as this arrangement can be approved only on an exceptional basis.



Part II: Project justification



Part II: EDA considerations

EDA model



Clearly articulate the characteristics of the EDA model.

Describe the solutions to funnel funding to local, vulnerable communities impacted by adverse climate impacts.

Agency



The approach must enable organizations at the sub-national level, to identify their own adaptation needs and implement adequate measures.

Technical assistance



Clearly describe the arrangements for technical assistance including specific arrangements for monitoring and oversight, and fund flows.

Sub Projects



EDA projects may involve sub-projects which could be unidentified sub-projects (USPs).

Clearly articulate the expected nature and type of sub-projects with aligned objectives.



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Environmental and social risks, in compliance with the ESP and GP

- ✓ Identify **all** potential environmental and social impacts and risks.
- ✓ State the category in which the screening process has classified the project. (**Category A, B or C**). *Please also ensure that these categories reflect AF ESP and not your organization's.*
- ✓ Provide a checklist, indicating which environmental and social impacts and risks have been identified (**for each ESP principle**) and **provide justification of the risk identification findings.**
- ✓ For the identified risk, carry out an environmental and social impact assessment
- ✓ Include sufficient supporting documentation (annexes) including **a gender assessment and action plan report.**



Unidentified sub projects: Deep dive

USPs



Unidentified sub-projects (USPs) are subject to specific provisions for USP under the Fund's environmental and social policy (ESP).

Objectives



The objectives of the sub-projects should be aligned with those of the submitted project, the Adaptation Fund Results Framework.

Types



The type, sector, size, and geographic locations of potential sub-projects should be described, including the expected nature of sub-projects.

USP guidance document:

<https://www.adaptation-fund.org/document/guidance-document-for-project-programme-with-unidentified-sub-projects/>



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Gender Policy - Requirements

2. PROJECT PROPSAL REQUIREMENTS	CONCEPT STAGE	FULL PROPOSAL STAGE
Initial Gender Assessment	✓	
Full Gender Assessment		✓
Gender-Responsive Indicators		✓
Gender-Responsive Implementation & Monitoring Programs		✓
Preliminary gender equal consultation	✓	
Comprehensive gender equal consultation		✓
Implement a fair and transparent Grievance Mechanism		✓



Concept and Full Proposal ESP Requirements

Project Proposal (OPG Annex 5) IE will demonstrate and document the following:	Concept Proposal	Full Proposal
II. JUSTIFICATION		
II.B. Economic, social and environmental benefits; Avoidance/mitigation of adverse impacts.	✓	✓
II.E. Compliance with relevant national technical standards	✓	✓
II.H. Consultative process	✓	✓
II.K. Environmental and social impacts and risks	✓	✓
III. IMPLEMENTATION ARRANGEMENTS		
III.C. Environmental & Social risk management measures		✓
III. D. Monitoring and evaluation program, budget		✓
III.E. Results framework including milestones, targets, & indicators		✓



Part III: Implementation arrangements

A IMPLEMENTATION ARRANGEMENTS

Describe the arrangements for project / programme implementation

B FINANCE RISK MANAGEMENT

Describe the measures for financial and project / programme risk management

C ENVIRONMENTAL AND SOCIAL RISK MANAGEMENT

Describe the measures for environmental and social risk management, in line with the ESP and Gender Policy of the Adaptation Fund

D MONITORING AND EVALUATION

Describe the monitoring and evaluation arrangements and budget, in compliance with the ESP and the Gender Policy

E

PROJECT RESULTS FRAMEWORK

Describe milestones, targets and indicators, including core outcome indicators of the Adaptation Fund Results Framework

F

RESULTS FRAMEWORK ALIGNMENT

Demonstrate how the project / programme aligns with the Results Framework of the Adaptation Fund

G

BUDGET

Include a detailed budget with budget notes, a budget on the Implementing Entity management fee use, and an explanation and a breakdown of the execution costs

Project Objectives	Project Objective Indicators	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
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H

DISBURSEMENT SCHEDULE

Include a disbursement schedule with time-bound milestones



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Part III: EDA considerations

Funding model



Clearly describe the funding model and institutional levels involved.

Include local contexts, needs and preferred decision-making

Roles and responsibilities



Provide a diagram or chart showing the decision-making structure and how the different stakeholders in the EDA model report to each other

Capacity building



Clearly describe how continued capacity-building and multi-stakeholder engagement will be carried out throughout the project.



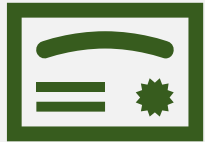
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COMPLIANCE WITH ESP/GP– IMPLEMENTATION ARRANGEMENTS (ESMP)

- ✓ Identify adequate and credible measures to manage the impacts for all environmental and social risks that have been identified in section II, and the corresponding impacts that have been assessed
- ✓ ESMP should contain/include:
 - ✓ clearly allocated roles and responsibilities for its implementation
 - ✓ opportunities for consultation and adaptive management
 - ✓ credible budget provisions, as needed, for the implementation of the ESMP
- ✓ Outline the arrangements for the IE to supervise executing entities for implementation of ESMP
- ✓ Include clear monitoring and evaluation arrangements for ESP compliance
- ✓ Include an accessible and meaningful grievance mechanism in place, mentioning all parts of the grievance process, including where grievances can be addressed



Part IV: Endorsement by government and certification by implementing entity



NIE
CERTIFICATION

Date
Coordinator name and signature
Contact's name, telephone and email



GOVERNMENT
ENDORSEMENT

Name, Position, Ministry, Date

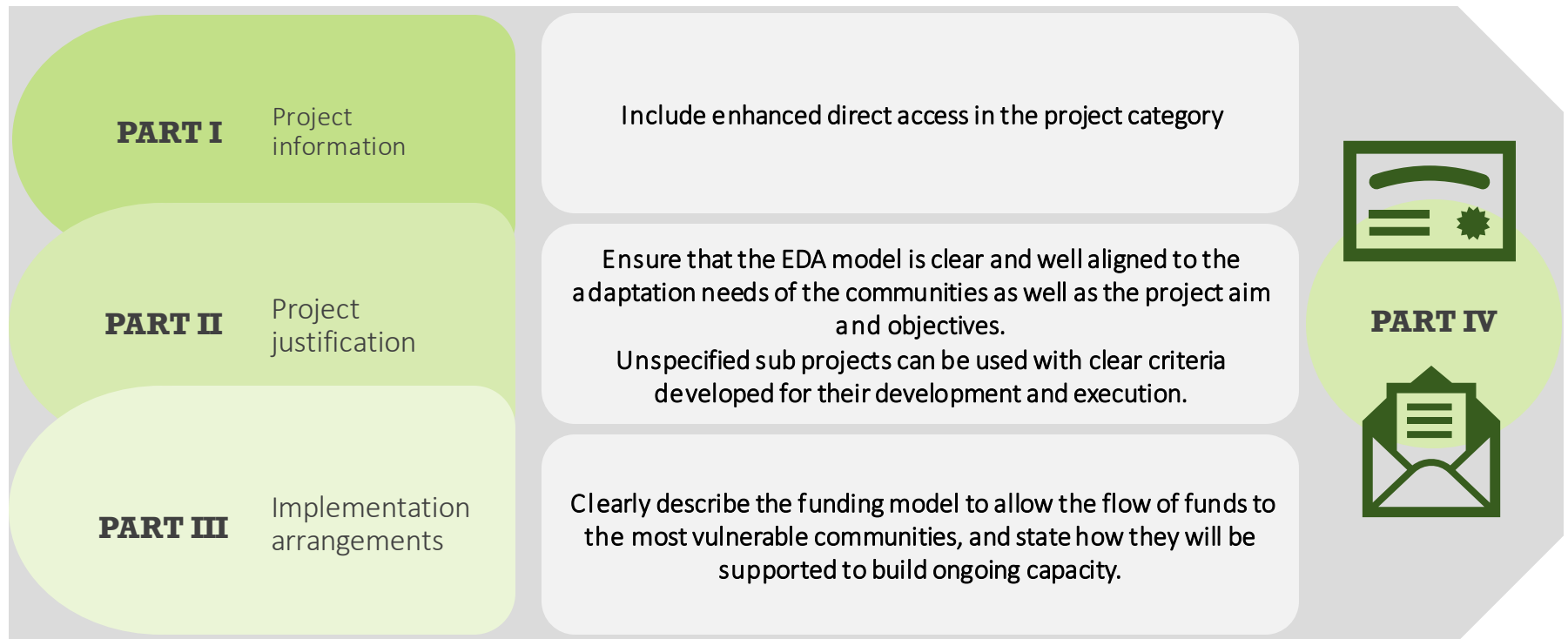
PART IV

Government
endorsement and
Implementing
entity validation



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EDA project proposal: Recap



KEY DOCUMENTS AND REFERENCES

- OPG-Annex 5 (review sheets/criteria , details of what needs to go in each section – the basis for how we undertake the review) <https://www.adaptation-fund.org/document/opg-annex-5/>
- Instructions for preparing a request for project funding for EDA (to complement OPG Annex 5) https://www.adaptation-fund.org/wp-content/uploads/2021/04/INSTRUCTIONS-FOR-PREPARING-A-REQUEST-FOR-EDA-PROJECT_Final.pdf
- Environmental and Social Policy <https://www.adaptation-fund.org/document/environmental-and-social-policy-approved-in-november-2013/>
- Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy <https://www.adaptation-fund.org/document/guidance-document-implementing-entities-compliance-adaptation-fund-environmental-social-policy/>
- Gender Policy and Action Plan of the Adaptation Fund (amended in March 2021) <https://www.adaptation-fund.org/document/opg-annex4-gender-policy/>
- Guidance document for Implementing Entities on compliance with the Adaptation Fund Gender Policy (Updated March 2022) https://www.adaptation-fund.org/projects-document-view/?URL=https://spxdocs/en/081330010182226877/14674_Final%20EDA%20proposal%20for%20Adaptation%20Fund_RWANDA%20revised%2016%20Sep%2022%20CLEAN.pdf
- Following the Board Decision B.39/52, *an updated guidance on the use of USPs is available to implementing entities*. This supersedes the guidance in Annex 2 to document AFB/B.32-33/7. The updated guidance can be found in this link here <https://www.adaptation-fund.org/document/guidance-document-for-project-programme-with-unidentified-sub-projects/>.



EDA Case Study : Rwanda Subnational EDA Fund

NDC Rwanda

The focus areas are mapped to Rwanda's NDC areas of water, agriculture, and land and forestry

Stakeholder consultations agreed the focus areas and provided recommendations



Key adaptation challenge:

The impacts on rural areas from climate variability and change from increasing extreme rainfall, increasing water availability and periodic drought, and the effects on agriculture and livelihoods.

Implementing, monitoring, and evaluating

Existing process and facility staff for screening, review and selection of projects



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CHALLENGES IDENTIFIED

- Coherent Climate Change Adaptation Rationale
- Clarification on the proposed EDA model, how decisions are taken and how funds will flow
- Clear justification for USPs, their scope and at what point they will be identified
- Capacity building activities for local level actors
- Equitable distribution of benefits for direct beneficiaries and sub projects that will be identified at a later stage
- Clear gender assessment and how gender responsiveness will be ensure during implementation
- Monitoring measures during implementation



Thank You!



Discussion

What Challenges are you facing?

