SESSION 5: CAPACITY BUILDING AND OTHER SMALL GRANTS UNDER AF

READINESS GRANTS: ACCESS CRITERIA AND APPLICATION GRANTS

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Overview

- Grants
- Visibility of the Fund
- Contributes to KM
- Accreditation, project preparation & design
Activities under the Readiness Programme

Implemented through 4 key components

- Support to countries seeking accreditation
- Support to accredited IEs
- Cooperation/Partnership with climate finance readiness providers
- Knowledge management
**Support for project development**

**The AFB secretariat:** Helps clarify review criteria and process: [afbsec@adaptation-fund.org](mailto:afbsec@adaptation-fund.org) (the secretariat cannot pre-screen proposals before submission)

**Technical assistance grants:** meant to improve IE’s E&S risk management systems

**Non-grant activities**
Technical Assistance (TA) Grants (TA-ESGP)

❖ TA Grant for the Environmental and Social Policy and Gender Policy – US $25,000

Aimed at strengthening NIE capacity to identify, screen, address and manage environmental and social risks as well as gender related issues in their projects and programs in line with the Fund’s Environmental and Social Policy and Gender Policy.

Eligibility Requirement:
➢ All accredited NIEs of the Fund that have not previously received a technical assistance grant are eligible to apply.

Support in one or more of the following:
➢ Developing procedures/manuals/guidelines for screening projects for ES risks as well as gender-related risks;
➢ Developing procedures/manuals/guidelines for undertaking ES risk assessments and formulating risk management plans that are gender responsive
➢ Developing Policies for public disclosure and consultation that are gender-responsive
➢ Developing transparent, accessible, fair and effective complaint handling mechanisms
➢ Training of staff
**Technical Assistance (TA) Grants (TA-GP)**

- **TA Grant for the Gender Policy – US $10,000**

  Meant for NIEs that already have robust environmental and social policies to put in place measures to avoid, minimize and/or mitigate adverse gender impacts in accordance with the Adaptation Fund's Gender Policy.

  **Eligibility Requirement:**
  - All accredited NIEs that have not previously received a technical assistance grant are eligible to apply.

  **Support in one or more of the following:**
  - Updating of existing procedures/manuals/guidelines
  - Development of procedures for undertaking gender assessments
  - Development of a policy/avenues for public disclosure and consultation that are gender responsive
  - Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints
  - Training of staff for gender mainstreaming
Grant supports accreditation through South-South Cooperation (SSC) and peer to peer support through a suite of tools (workshops, guidance docs, experts, assessments).

**Grant Size**
- Up to US$ 150,000 per NIE

**Eligibility Requirement For Countries:**
- All developing country Parties to the Kyoto Protocol and Paris Agreement under UNFCCC are eligible.

In addition, all NIE applicants should meet the following criteria:

- The NIE candidate must be located in a developing country Party to the Kyoto Protocol or Paris Agreement;
- The country of the NIE candidate must have a Designated Authority (DA) to the Adaptation Fund;
Eligibility Requirement for intermediary:

NIEs, RIEs, and MIEs can be intermediaries

All intermediaries have to meet the following eligibility criteria:

• must currently have an “Accredited” status with the Adaptation Fund

• must have experience advising or organizing support relevant to accreditation or capacity building to institutions, organizations or other entities in developing countries at the national, sub-national or local level to receive climate finance for adaptation projects and programmes

• must have experience implementing a concrete Adaptation Fund project or programme and should have submitted at least one project performance report (PPR), thereby demonstrating their commitment to adhere to the fund’s fiduciary standards, and the OPG

Support for Accreditation- The Readiness Package Grant
**Support for Accreditation - The Readiness Package Grant**

**Key considerations:**

- **Initial assessment and dialogue** to nominate a suitable NIE candidate to navigate the accreditation process of the Fund.

- **In-country support** to an NIE candidate, identification of gaps

- **Technical support** – Articulate main components/steps to address the NIE candidate gaps/challenges, including through experts
  - (activity → output → timeframe)

- **Justify chosen components/steps** - explain status core, current processes and procedures within the NIE candidate, plus implementation timeframe for new policies

- **Support** to address and respond to feedback provided by the Accreditation Panel
### Timeline for Submission of Request

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<th>Readiness Package Grant + Technical Assistance Grants</th>
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<td>1 February to 31 March and from 1 July to 31 August every year.</td>
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Non-grant support available to NIEs

- Annual NIE seminar for accredited NIEs
- Regional Workshops
- Readiness webinars

Study on Readiness and Capacity Building for Direct Access to Adaptation Finance
South-South Country Exchange Visits
Community of Practice for Direct Access Entities (CPDAE)
Thank You

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