

#### PPR/ESP compliance findings

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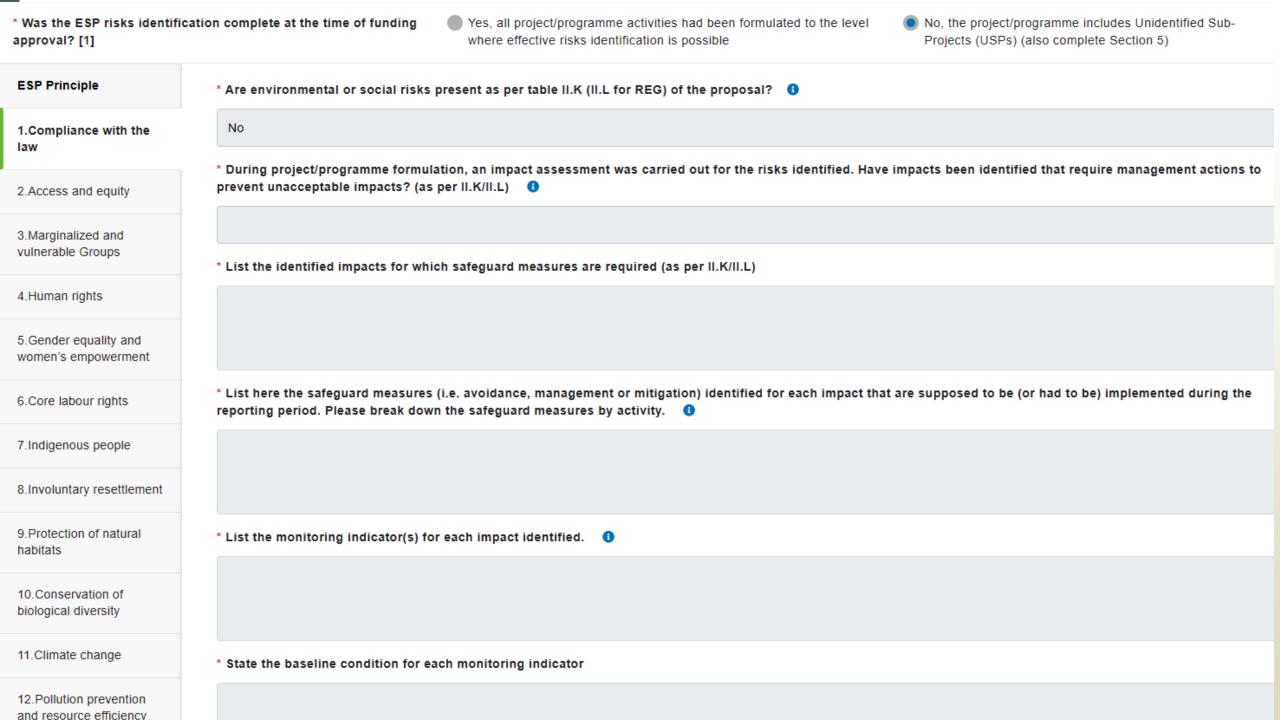
# Background

- The AF considers two types of risks
  - 1. Financial and project / programme risk related part III.B
  - 2. ESP related Part II.K
- Must be reported in separate sections of the PPR
  - 1. Risk Assessment
  - 2. ESP compliance
- Other relevant sections of the PPR



# ESP compliance

← Back to Portfolio			Draft	With IE	Under Sec. I	Review \tag Under Sec. C	Clearance Cleared			6	Not Started In Progress
<ul><li>Overvie</li></ul>	W										Completed
<ul><li>Financi</li></ul>	al Data		Building adaptive capacity to climate change in vulnerable communities								
<ul><li>Procure</li></ul>	ement										
Risk As	sessment		Country.   Project ID:   Project Status: Under Implementation   Project Financing Total: \$   Implementing Entity:   PPR Number: PPR2								
● ESP Co	mpliance		ESP Compliance								
GP Con											
<ul><li>Rating</li></ul>			Section 1: Identified ESP Risk Management ②								~
<ul><li>Project</li></ul>	Indicators		Section 2:	Monitoring for unan	ticipated impacts	s / corrective actions	required				~
<ul><li>Lesson</li></ul>	s Learned		Section 3:	Categorisation							~
<ul><li>Results</li></ul>			Section 4:	Implementation arra	angements						~
	ting Documents Transfer	Section 5: Projects/programmes with unidentified sub-projects (USPs). This section needs to be completed only if the project/proramme includes USPs.								~	
	ecretariat Reviews & E Comments		Section 6:	Grievances							~
			Section 7:	Comments							~
			AF Checki	lists and Comments							<b>v</b>





#### Frequent challenges encountered - Section 1

and resource efficiency

- 13. Public health
- 14.Physical and cultural heritage
- 15.Lands and soil conservation

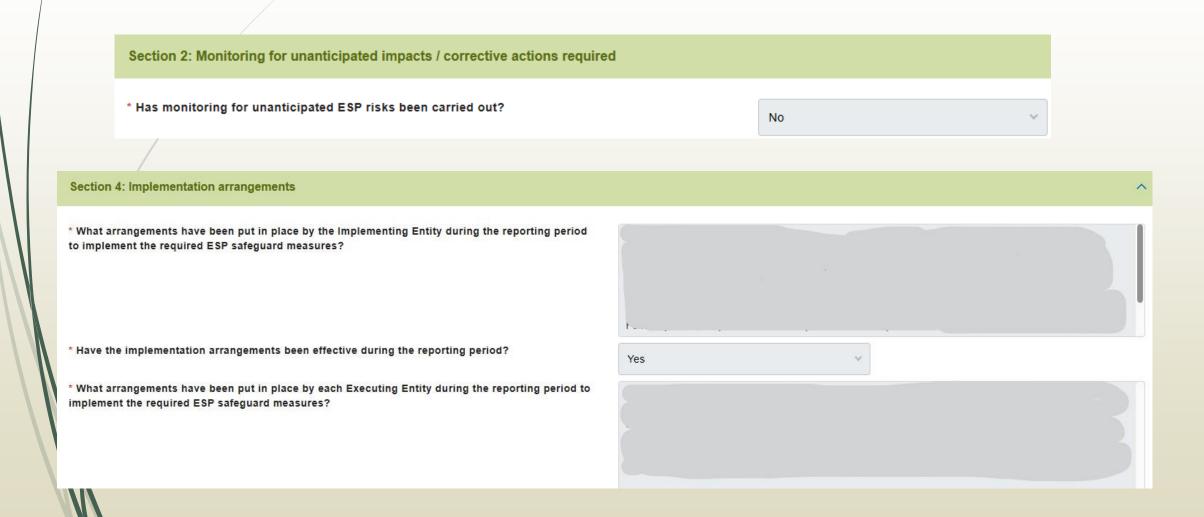
\* Describe each safeguard measure that has been implemented during the reporting period 1

\* Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)

\* Describe remedial action for residual impacts that will be taken 1



#### Frequent challenges encountered – Other sections



### Frequent challenges encountered - Others

- Overview:
  - Approval conditions related to ESP (e.g., prepare/update ESMP)?
- Procurement
  - Contracts awarded to support ESP risks identification/mitigation?
- Rating
  - Misalignment between IE and EE justification on ESP compliance
- Lessons Learned
  - Omitting to share ESP-related lessons learned

## Relevant materials/guidance available

- Guidance document to complete Project Performance Report (PPR) for projects funded by the Adaptation Fund (<a href="https://www.adaptation-fund.org/wp-content/uploads/2020/03/Guidance-Document-to-Complete-PPR-2.pdf">https://www.adaptation-fund.org/wp-content/uploads/2020/03/Guidance-Document-to-Complete-PPR-2.pdf</a>)
- Guidance document for Implementing Entities on compliance with the AF ESP (<a href="https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance-Revised-in-June-2016">https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance-Revised-in-June-2016</a> Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf)





# Thank you!

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– Adaptation Fund Board secretariat

