



ADAPTATION FUND

PPR/ESP compliance findings

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Background

- The AF considers two types of risks
 1. Financial and project / programme risk related – part III.B
 2. ESP related - Part II.K

- Must be reported in separate sections of the PPR
 1. Risk Assessment
 2. ESP compliance

- Other relevant sections of the PPR



ESP compliance

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- Overview
- Financial Data
- Procurement
- Risk Assessment
- ESP Compliance**
- GP Compliance
- Rating
- Project Indicators
- Lessons Learned
- Results Tracker
- Supporting Documents & Cash Transfer
- Secretariat Reviews & IE Comments



- Not Started
- In Progress
- Completed

Building adaptive capacity to climate change in vulnerable communities

Country: | Project ID: | Project Status: **Under Implementation** | Project Financing Total: \$ | Implementing Entity: | PPR Number: **PPR2**

ESP Compliance

Section 1: Identified ESP Risk Management ?

Section 2: Monitoring for unanticipated impacts / corrective actions required

Section 3: Categorisation

Section 4: Implementation arrangements

Section 5: Projects/programmes with unidentified sub-projects (USPs). This section needs to be completed only if the project/proramme includes USPs.

Section 6: Grievances

Section 7: Comments

AF Checklists and Comments

☒ No, the project/programme includes Unidentified Sub-Projects (USPs) (also complete Section 5)




Frequent challenges encountered - Section 1

and resource efficiency

13.Public health

14.Physical and cultural heritage

15.Lands and soil conservation

* Describe each safeguard measure that has been implemented during the reporting period 

* Describe the residual impact for each impact identified - if any - using the monitoring indicator(s) 

* Describe remedial action for residual impacts that will be taken 



Frequent challenges encountered – Other sections

Section 2: Monitoring for unanticipated impacts / corrective actions required

* Has monitoring for unanticipated ESP risks been carried out?

No

Section 4: Implementation arrangements

* What arrangements have been put in place by the Implementing Entity during the reporting period to implement the required ESP safeguard measures?

* Have the implementation arrangements been effective during the reporting period?

Yes

* What arrangements have been put in place by each Executing Entity during the reporting period to implement the required ESP safeguard measures?

Frequent challenges encountered – Others

- Overview:
 - Approval conditions related to ESP (e.g., prepare/update ESMP)?
- Procurement
 - Contracts awarded to support ESP risks identification/mitigation?
- Rating
 - Misalignment between IE and EE justification on ESP compliance
- Lessons Learned
 - Omitting to share ESP-related lessons learned



Relevant materials/guidance available

- ▶ Guidance document to complete Project Performance Report (PPR) for projects funded by the Adaptation Fund (<https://www.adaptation-fund.org/wp-content/uploads/2020/03/Guidance-Document-to-Complete-PPR-2.pdf>)
- ▶ Guidance document for Implementing Entities on compliance with the AF ESP (https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance_Revised-in-June-2016_Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf)



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Thank you !

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