

ADAPTATION FUND BOARD

NEW EVALUATION POLICY

**POLICY RELEVANCE FOR IE PROJECT PERFORMANCE REPORTING INCLUDING
MID-TERM AND TERMINAL EVALUATIONS**



ADAPTATION FUND

Helping developing countries build resilience and adapt to climate change

BACKGROUND

- New evaluation policy of the Adaptation Fund to replace previous evaluation framework
- New or revised reporting requirements and guidance
- No new reporting items except baseline report
- The new Evaluation Policy came into effect in October 2023 when it replaced the Evaluation Framework
- Guidance notes available incl. suggested templates

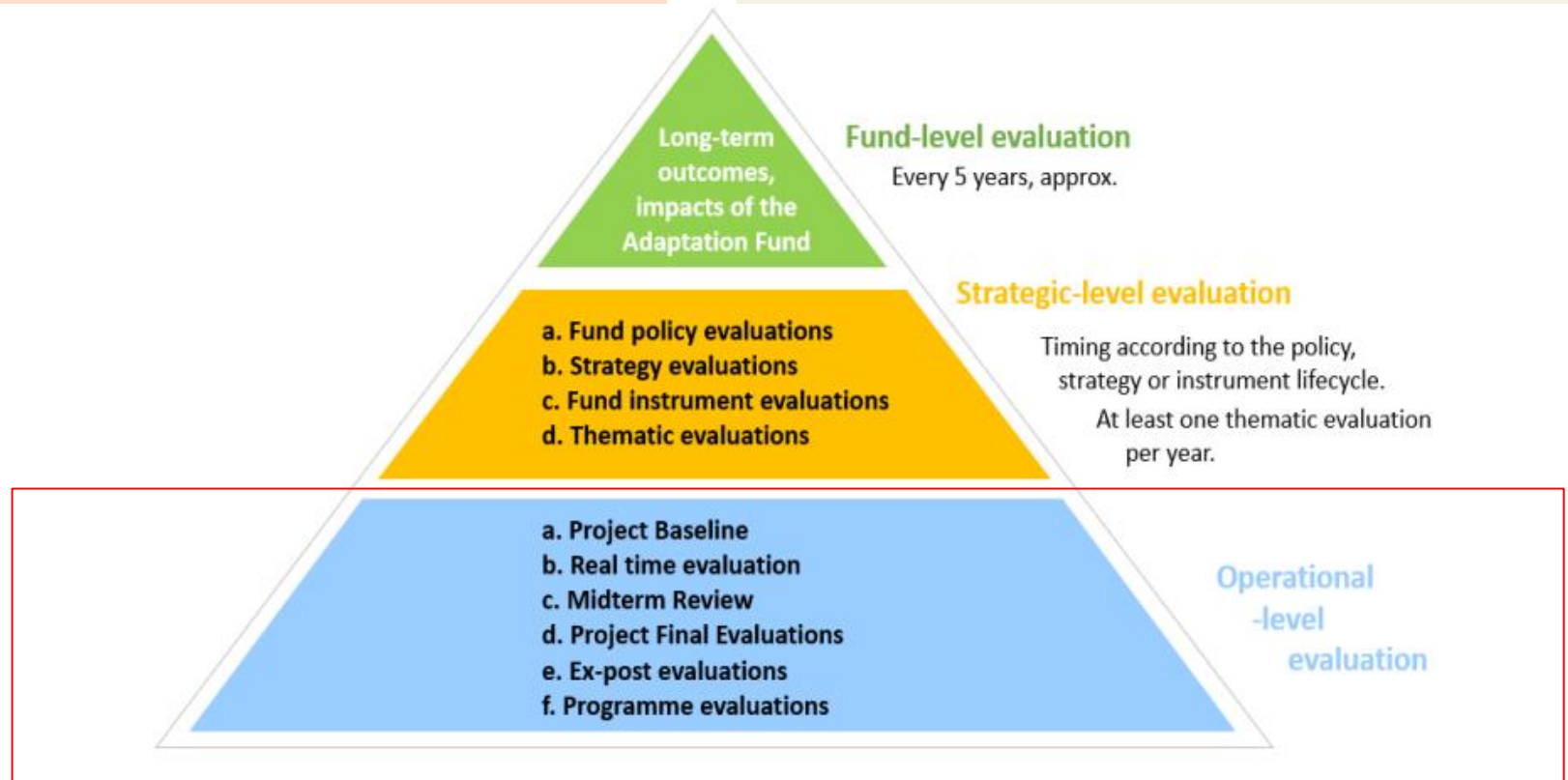
NEW EVALUATION POLICY AND FUND'S MONITORING FUNCTION

- The policy does not cover the monitoring functions that are covered under AF results-based management (RBM) system and Strategic Results Framework (SRF)
- However, the EP contribute more broadly to streamlined approaches to monitoring, evaluation, and learning (MEL) across the Fund. New evaluation requirement such Baseline report or improved quality of MTE and FE contributes to MEL functions.
- EP aligns with and reinforces the objectives of the Fund's Environmental and Social Policy (ESP) and Gender Policy (GP).

AF PROJECT'S REPORTING REQUIREMENTS - PROJECT EVALUATIONS

- **Mandatory evaluations**
 - Baseline data report
 - Mid-Term Review
 - Final Evaluation

- **Non-Mandatory evaluations**
 - Real-time evaluation
 - Ex-post evaluations



MANDATORY PROJECT EVALUATION – BASELINE REPORT

- New reporting requirement under new EP
- IEs to prepare and submit a project baseline report based on primary data collection and/or relevant and reliable secondary data, per the Fund's "Results Framework and baseline guidance."
- Baseline data shall be submitted to the secretariat by no later than the submission of the first PPR
- The baseline data report may be conducted independently or semi-independently, or self-conducted

MANDATORY PROJECT EVALUATION – MID-TERM REVIEW

- Revised reporting requirement under new EP
- IEs are required to conduct MTRs of any project with four or more years of implementation
- MTR report submitted to the secretariat no later than six months after the project midpoint
- IE is to submit a management response to the MTR report to the secretariat within six months of receiving the MTR report, describing what, why, and how MTR learning will be utilized.
- MTRs are optional for projects less than three years in duration; these may be self-conducted.

MANDATORY PROJECT EVALUATION – FINAL EVALUATION

- Revised reporting requirement under new EP
- All Fund-supported projects and programmes that complete implementation should conduct an independent final evaluation
- For projects, an independent final evaluation to be submitted to the secretariat and the DA within nine months of project completion
- For programmes approved and implemented under a single IE, a final evaluation to be planned and budgeted as final project evaluation
- For Programme with projects involving multiple IEs, final evaluations to be planned, budgeted, and managed as thematic evaluations.
- For the programme evaluations, IEs will be notified within three months of approval of the Fund's evaluation budget whether their project has been selected for the programme evaluation.
- IEs are to submit to the secretariat and the DA or DAs a management response to the final evaluation report within six months of receiving the evaluation report

BUDGET IMPLICATION FOR PROJECT EVALUATIONS

30. Having considered the recommendation of the Ethics and Finance Committee, the Adaptation Fund Board (the Board) decided to:

(a) Take note of the report on the review of budget implications and options for evaluation policy implementation as contained in document AFB/EFC.32/10;

(b) Request the implementing entities to set the overall evaluation budget for proposed projects and programmes at 1 to 5 per cent of the project or programme budget, to be counted towards the implementing entity fee, starting from the forty-second meeting of the Board;

(c) Invite the implementing entities to consider “Proposed new structure of project evaluation cost” referred to in paragraph 30 of document AFB/EFC.32/10 as a guidance for allocating funding for evaluation activities;

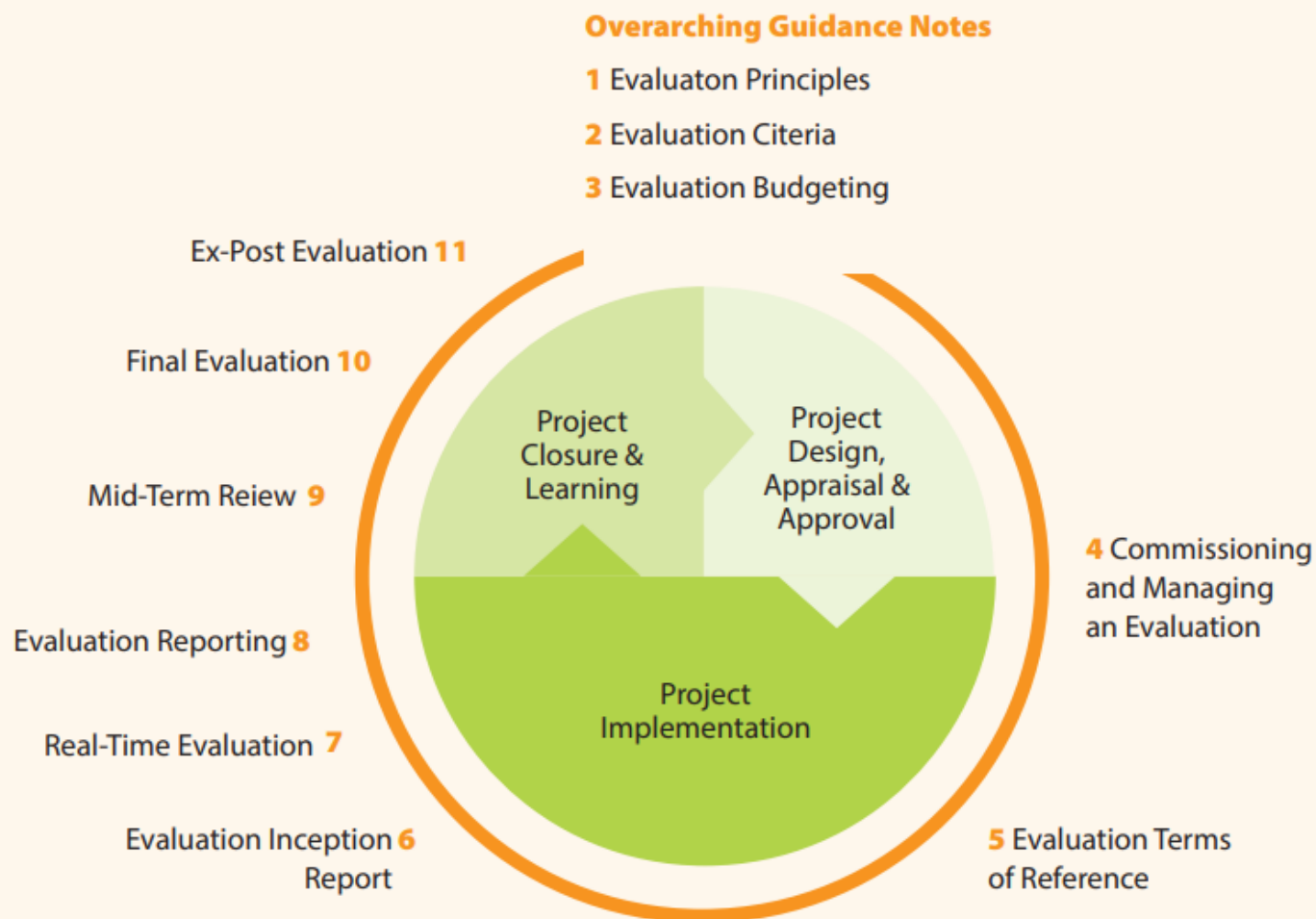
Evaluation budget range of 1 to 5 % to be implemented with suggested indicative cost structure – see next slide

PROPOSED NEW STRUCTURE OF PROJECT EVALUATION COST – GUIDANCE NOTE

Project size	Evaluation cost %	Comment
Up to US\$ 1 million	Min 5%	Evaluation cost as % of total project cost and deducted from IE fees
US\$1 – US\$5 million	Between 2-5%	Evaluation cost as % of total project cost and deducted from IE fees IE has the ability to assess adequate budget for evaluation based on project size and proposed range
US\$5 – US\$10million	Between 1-2%	Evaluation cost as % of total project cost and deducted from IE fees Max 2% of total project cost applied regardless of project size.

GUIDANCE DOCUMENTS AND SUPPORT

Guidance Notes throughout the project cycle



Thank you!



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