



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: CONCEPT NOTE PROPOSAL

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**Country/Region:** Botswana

**Project Title:** Enhancing climate resilient water, food, and energy systems in Botswana through sustainable natural resources management

**Thematic Focal Area:** Multisector

**Implementing Entity:** International Fund for Agricultural Development

**Executing Entities:** Ministry of Agricultural Development and Food Security (Lead); Ministry of Land Management, Water and Sanitation Services; Ministry of Minerals and Energy (MME); Ministry of Environment, Natural Resources Conservation and Tourism; Ministry of Finance (MoF); Global Water Partnership Southern Africa; Food and Agriculture Organisation of the United Nations

**AF Project ID:**

**IE Project ID:**

**Reviewer and contact person:** Camila Florez

**IE Contact Person:**

**Requested Financing from Adaptation Fund (US Dollars):** 10,000,000

**Co-reviewer(s):**

<p>Technical Summary</p>	<p>The project “Enhancing climate resilient water, food, and energy systems in Botswana through sustainable natural resources management” aims to promote evidence-based gender-responsive concrete adaptive solutions to address the climate impacts in Botswana. This will be done through the four below:</p> <p><u>Component 1:</u> Strengthening the enabling environment to strengthen coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management (USD 1,800,000);</p> <p><u>Component 2:</u> Building gender-responsive climate resilient systems through targeted WEFE security interventions in vulnerable rural communities (USD 4,216,977).</p> <p><u>Component 3:</u> Facilitating Climate-Resilient Financial Mechanisms for Vulnerable Communities (USD 1,400,000).</p> <p><u>Component 4:</u> Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana (USD 1,666,046).</p> <p><u>Requested financing overview:</u>  Project/Programme Execution Cost: USD 799,613  Total Project/Programme Cost: USD 9,216,590  Implementing Fee: USD 783,410  Financing Requested: USD 10,000,000</p> <p>The proposal includes a request for a project formulation grant and/or project formulation assistance grant of USD 150,000.</p> <p>The initial technical review raised several issues, such as the project’s benefits, its compliance with the AF ESP, and the project's cost-effectiveness, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The second technical review raises several issues, such as the project’s compliance with the AF ESP, the lack of overlap with other projects, and the project's cost-effectiveness, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The third technical review raises several issues, such as the project’s full cost of adaptation reasoning, the lack of overlap with other projects, and the lack of detail on the target districts, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p>
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Date:	January 14, 2025
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Review Criteria	Questions	Initial Technical Review (February 4, 2024)	Second Technical Review (August 29, 2024)	Third Technical Review (January 14, 2025)
Country Eligibility	1. Is the country party to the Kyoto Protocol, or the Paris Agreement?	<b>Yes.</b>	-	-
	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b> Botswana is particularly vulnerable to climate change due to increased temperature and decreased annual rainfall with greater variability, all leading to more droughts and floods in an already water-stressed country where rural communities highly depend on rainwater for their food production.	-	-
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b> As per the Endorsement letter dated December 15 <sup>th</sup> , 2023.	-	-
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes?	<b>Yes.</b> The concept note is 40 pages plus annexes.	<b>CAR (new):</b> Kindly keep the section and subsection numbers as per the proposal template.	<b>CAR2: Cleared.</b>
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing	Not clear.  The project supports setting up a multisectoral stakeholder structure		

	<p>adaptive capacity to the adverse effects of climate change and build in climate resilience?</p>	<p>(MSS) that will lead and coordinate the adoption of climate adaptation actions focused on water, energy, food, and ecosystem (WEFE) by ensuring sustainable land and water management and climate-smart agriculture. Through several studies, gap assessments, and strategies, the MSS would direct the selection of priority areas where farmers could take action, all enhancing water and food systems. The concept note has provided a list of potential approaches to be implemented, such as sustainable land management regimes and solar-powered technologies for irrigation. The project would also support value chain improvement and livelihood diversification. However, many of these suggested approaches are very general. The project needs to outline concrete adaptation measures and outcomes that will produce tangible assets on the ground.</p> <p>Overall, the information provided is limited and does not provide specific information on concrete adaptation measures to be implemented or where the project will be implemented in Botswana. Thus, the project has Unidentified Sub-Projects (USPs), though not indicated in the proposal as such</p>	<p>CR1: Partially.</p> <p>The project will directly benefit 20,000 individuals, and targets 40% women, 30% youth. The project would indirectly benefit 100,000 households.</p> <ol style="list-style-type: none"> <li>1. Kindly clarify what is meant by supporting 5% of marginalized groups, as the understanding is that the project generally</li> </ol>	
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		<p>and this needs justification. A project with USPs has direct implications for the Environmental and Social Management System (EMSM) to ensure compliance with the AF ESP.</p> <p>CR1: Please clearly outline the concrete adaptation options and measures that will be implemented and the specific adaptation outputs and outcomes that the project will lead to. Also, indicate the estimated number of beneficiaries/hectares to be supported.</p> <p>CAR1: Please justify the use of USPs for this project.</p>	<p>benefits vulnerable and marginalized communities.</p> <p>2. Please include a theory of change to improve the project's logic explanation of how the adaptation measures will lead to the specific outputs and outcomes.</p> <p>CAR1: Partially. The proposal has now defined locations to implement the project interventions. However, the information on the selected villages is minimal.</p> <p>1. Kindly provide further climatic and socioeconomic information per each selected site. The information should be relevant to the project objectives and demonstrate the appropriateness of the selection.</p>	<p><b>CR1: Not cleared.</b> Kindly provide the theory of change figure in higher quality so the text is readable. <i>Response to CR1: The ToC diagram has been revised to ensure readability.</i></p> <p><b>CAR1: Not cleared.</b> The concept note now includes some details on the climate context of the three selected districts. The information on the socioeconomic context remains too general, and the selected districts are rather large (e.g., Central district comprises 147,730 km<sup>2</sup> and over 700,000 people). Kindly provide details about the population living in each district, the selected villages where the project will take place, including demographic information as well as</p>
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		<p>CR2: The project is organized around 4 components, with multiple outcomes and outputs. The document has indicated project activities. However, some of them are at a very high level, such as A.1.1. Please provide more details on the project activities, for example: who would be involved (only governmental actors or also additional stakeholders), which specific actions will be taken to ensure the enhanced coordination and support.</p> <p>CR3: Given that Component 2 would require a large part of the project budget (\$4,216,977), it is important that the concept note provides more details about this component, explaining how the proposed actions are responding to the identified climate change hazards. Additionally, the document</p>	<p>CR2: Partially. Further details have been provided for Activity 1.1.</p> <ol style="list-style-type: none"> <li>1. However, CR2 used A1.1 as an example, and further details are required for other high-level activities throughout the four components. Kindly revise.</li> <li>2. Also, please clarify how activities 1.2, 1.3, and 1.4 will directly benefit 3000 women and marginalized groups as indicated in Output 1.2</li> </ol> <p>CR3: Partially. Additional details have been provided about the pilot projects and the type of activities to be implemented. However, further details are needed.</p> <p>- Output 2.1, Activities 2.4 A and B provide the same training. Please revise and details distinct activities.</p> <p>- Output 2.2. is focused on implementing sustainable land management, climate-smart</p>	<p>other variables relevant to the project.</p> <p><i>Response to CAR1: As there is no intermediary administrative level between districts and villages, the project has pre-identified the area around three villages (former sub-district level). The specific intervention area (including maps) will be further delineated at project proposal stage. Additional background on the areas has been included and will be elaborated on at project proposal stage, including based on the specific identification of the project areas, and project proposal stage consultations.</i></p> <p><b>CR2: Not cleared.</b> The clarification on activities 1.2, 1.3, and 1.4 regarding the 3000 direct beneficiaries has not been addressed. Please revise.</p> <p><i>Response to CR2: The reference to 3,000 direct</i></p>
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		<p>refers to “demonstrations” to be implemented under this Component. Are these considered pilot activities? And how many demonstrations will be supported? It is necessary to fully explain the breadth and depth of the proposed activities under this component.</p> <p>CR4: The concept note indicates that criteria will be used to select project beneficiaries under Component 2. Please provide more details on the criteria to be used.</p>	<p>agriculture, and integrated water resource planning on 3000 hectares. However, activity 2.7 needs more information on the concrete adaptation actions. Please revise.</p> <p>CR4: Not cleared. The proponent’s response is unclear. On the one hand, it indicates that the methodology used to select the project beneficiaries will be developed by the multisectoral stakeholder structure (MSS). Though it is not evident why the MSS would need to develop the criteria rather than the project proponent. On the other hand, it also indicates that the criteria will be developed during the full proposal development stage. Please clarify.</p> <p>CR5: Partially. Component 3 is more limited in scope than other components; however, it seems to have a considerable budget. Kindly explain in more detail the activities to be</p>	<p><i>beneficiaries has been removed, as the scope of the output (updated policies and actionable strategies) calls for a wider outreach to indirect beneficiaries. Specific calculations will be proposed at project proposal stage.</i></p> <p><b>CR3: Not Cleared.</b> Please explain how the proposed actions are responding to the identified climate change hazards.</p> <p><i><b>Response to CR3:</b> Additional information explaining how proposed actions are responding to the identified climate change hazards has been included under component 2, by further explaining key climate challenges, and by outlining how specific actions under activity 2.4 and 2.7 directly address these challenges. As part of this, activity 2.7 has been further described.</i></p>
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		<p>CR5: Component 3 focuses on facilitating access to adaptation finance by identifying finance needs, barriers, and potential sources of finance, as well as developing a tool to track public expenditure on adaptation. How are these activities different from A.3.7, A.3.8, and A3.9? Could they be integrated into the former assessment and recommendation efforts? Also, please explain the funding sources of the CORB fund and whether the project would depend on this additional funding.</p>	<p>undertaken to justify the budget.</p> <p>CR6: Partially. Please clarify the number of scenarios under Output 4.2.</p> <p>Also, please clarify how activity 4.3 will be implemented, as it is phrased as an outcome. Will a study or report be undertaken? How many? What will they focus on? (The proponent provided some of this information in their response; however, the information is not in the concept note, specifically in activity 4.3; kindly revise.)</p> <p>Similarly, clarify the specific measures of activity 4.5.</p> <p>CR7: Cleared.</p> <p>As per the information provided by the proponent in the response sheet. The proponents will carry out a gender assessment carried out during the development of a funding proposal which will</p>	<p><b>CR4: Not cleared.</b> The proponent has not addressed this request, it seems there was an issue with the proponent's response in the review sheet as well. Kindly address this CR.</p> <p><i>Response to CR4: The targeting approach and criteria will be defined at project proposal stage. The specific targeting strategy (outlining detailed mechanisms) will be further developed at the start of project implementation, with the engagement of the MSS, to guarantee the ownership of proposed approaches at national level, and potential for replication. This has been clarified in the text.</i></p> <p><b>CR5: Not cleared.</b> Component 3 has been modified to facilitate the development of a weather-index insurance. It is important to explain in the concept note itself how will the AF funds will be used (as per the</p>
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		<p>CR6: The concept note should detail the number of subnational analyses and impact assessments to be carried out under Component 4.</p> <p>CR7: Across the project components, the document indicates that gender-sensitive measures will be implemented. However, there is no clear indication that the activities themselves are addressing gender dynamics. Please revise.</p>	<p>inform the proposal. It is expected that the analysis it will inform further the specification of the activities.</p> <p>CR8: Partially.</p> <p>Kindly address CR8 comment regarding Outcome 2.2, activities 2.7 and 2.4.</p>	<p>proponent's response in the review sheet). It is not clear why Component 3 needs a contingency reserve. All budget requirements should be included during project development. Regarding project management and coordination, the proponent will need to make sure that those funds are for expenses eligible for project costs (and not EE or IE costs) for the fully developed proposal.</p> <p>Also, it seems that Activity A3.8 would fall under Output 3.1. However, it is not clear whether Output 3.2 (A Tool to Track Public Expenditure on Climate Adaptation developed or adopted) would still be pursued (as no relevant activities are indicated).</p> <p><b>Response to CR5:</b>  <i>Further to the limitations in AF funds use, and in line with recent developments in the weather index insurance</i></p>
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		<p><i>CR8: Please clarify the following:</i></p> <p><i>Outcome 1.4: it is unclear whether the Monitoring and Evaluation Strategy and the Knowledge management and Awareness Strategy refer to the proposed project or it refers to Botswana's national land and water resources management and land use planning. Kindly clarify.</i></p> <p><i>Outcome 2.2: please explain the activities that will lead to increased ecosystem resilience and which ecosystems would be supported. The actions referred to in A.2.7 seem to be similar to the actions of A.2.4 (of Outcome 2.1); please clarify and explain how these are different.</i></p> <p><i>Activity A.3.6: How will the project de-risk private sector investment for adaptation?</i></p> <p><i>Activity A.4.3: Please explain the focus on value chains. It seems value chains are addressed in Component 2 as well, so it is unclear the different approach</i></p>	<p><i>sector in Botswana, activities have been downscaled to focus on technical assistance to tailor weather insurance products to the project's target group and moved under component 4 to streamline and simplify the project structure.</i></p> <p><i>Budget has been reallocated accordingly to project execution costs, former component 4 and to support innovations under component 2.</i></p> <p><b>CR6: Not cleared.</b> Please indicate the number of scenarios under Output 4.2. in the concept note (as per the information given in the response sheet),</p> <p><b>Response to CR6:</b> <i>Three scenarios are anticipated to be built upon under Output 3.2. (former Output 4.2) This has been reclarified directly in the text, at paragraph page 23 (activity 3.2).</i></p>
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		under Component 4. What kind of measure would this activity entail?		<b>CR8: Cleared.</b> As per information provided on pages 20-21.
	4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Unclear.</b></p> <p>The concept note outlines project economic, social, and environmental benefits, however, the explanation is too general and without concrete data. The document does not provide information on the expected beneficiaries of the project and the specific vulnerable groups targeted. There is no reference to the equitable distribution of benefits to vulnerable communities, households, and individuals.</p> <p>The concept note includes an initial gender analysis outlining women's</p>		

		<p>socioeconomic situation in Botswana.</p> <p><b>CR9:</b> Please outline the economic, social, and environmental benefits with concrete and quantifiable data where possible.</p> <p><b>CR10:</b> The concept note needs to provide specific information on the expected beneficiaries, disaggregated by gender and age, where possible. It should also indicate whether marginalized and vulnerable groups and indigenous communities have been identified in the project area and if so, to specify how the project benefits those groups.</p> <p><b>CR11:</b> Please explain how the project will ensure the equitable distribution of benefits.</p> <p><b>CR12:</b> Regarding the initial gender analysis, some information is provided on women's role in agriculture, but the information remains too general. Kindly provide any further information that can shed light on gender dynamics in relation to WEFE. This will allow a</p>	<p><b>CR9: Mostly.</b> Kindly include all the details provided in the response sheet in the concept note document in section II.B.</p> <p><b>CR10: Mostly.</b> Please include the information provided in the review sheet in section II.B.</p> <p><b>CR11: Mostly.</b> Please include the information provided in the review sheet in section II.B.</p> <p><b>CR13: Cleared.</b> As per the information provided in Annex 3.</p>	<p><b>CR9: Cleared.</b> As per the additional information provided on pages 24-25.</p> <p><b>CR10: Cleared.</b> As per the additional information provided on page 25.</p> <p><b>CR11: Cleared.</b> As per the additional information provided on page 25.</p>
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		better understanding of the proposed responses.		
	5. Is the project / programme cost effective?	<p><b>No.</b></p> <p>The concept note explains the cost-effectiveness of project components in relation to a scenario without the project. However, the explanations are too general. The information provided does not demonstrate the cost-effectiveness of the selected measures.</p> <p><b>CR13:</b> Please provide a sound justification of the cost-effectiveness of the project and selected measures. For this, the proposal should explain alternative options to the proposed measures and include some quantitative estimates where possible.</p>	<p><b>CR13: Partially.</b> The cost-effectiveness justification can be further increased by explaining other potential adaptation measures that would have higher cost, would not achieve the same results, thus a lower cost-effectiveness. This would help the argument that the proposed project is indeed cost-effective.</p>	<p><b>CR13: Not cleared.</b> The cost-effectiveness of Component 3 needs to be updated to account for the new focus.  <i>Response to CR13: As per response to CR5, component 3 has been removed with some technical assistance activities have been moved under former component 4. The reasoning around the cost effectiveness of these activities has been included under the relevant section.</i></p>
	6. Is the project / programme consistent with national or sub-	<b>Yes, but further information is needed.</b>		-

	national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?	<p>The project is aligned with Botswana's National Climate Change Strategy, the National Adaptation Plan Framework, as well as with the National Development Plan.</p> <p><b>CR14:</b> Please identify and explain how the project is consistent with relevant sectoral policies (e.g., water, agriculture, food, energy). Please also provide more information on how the project supports the implementation of the NDC.</p>	<p><b>CR14: Cleared.</b> As per additional information provided on page 29.</p>	
	7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	<p>Further information is needed. The concept note identifies several Acts relevant to the project. However, the specific standards that may need to be followed are not clearly indicated.</p> <p><b>CR15:</b> Please describe the technical standards (e.g., building codes, water quality regulations, EIAs) that are to be followed for the proposed outputs/activities and state the project's compliance.</p>	<p><b>CR15: Cleared.</b> As per information provided on pages 29-30.</p>	-
	8. Is there duplication of project / programme with other funding sources?	<p><b>Partially.</b></p> <p><b>CR16:</b> Kindly include a comprehensive list of projects that are or have been implemented in Botswana and are related to the</p>	<p><b>CR16: Cleared.</b> As per additional information provided on pages 30-32.</p>	

		<p>proposed project. For example, USAID's Resilient Water Program (2019-2023) or IFAD's Agricultural Services Support Project (2010-2018). It would also be beneficial to explain the EU's project, Fostering Water, Energy and Food Security Nexus Dialogue and Multi-Sector Investment in the SADC Region, as this one is mentioned in the concept note.</p> <p><b>CR17:</b> For the projects identified, kindly provide more details including main project interventions, timeline, and location. Please also fully address the potential synergies and lack of overlap with the identified projects, including lessons from the earlier initiatives. The lack of overlap should be clearly justified (e.g. by indicating the distinct geographic locations and/or types of interventions). For example, with the GCF project in rangelands, it is not clear if there may be synergies or overlap - are there any GCF project components that also focus on the enabling environment, financial mechanisms, or raising awareness for climate adaptation in rural areas in relation to water, food, or energy?</p>	<p><b>CR17: Not cleared.</b></p> <ol style="list-style-type: none"> <li>1. The table does not include details on the projects' interventions and locations. Please revise.</li> <li>2. Kindly further demonstrate the lack of overlap with the World Bank and FAO projects. Although these two projects do not focus on WEFE, there might still be overlap in terms of project intervention measures in the same locations.</li> </ol>	<p><b>CR17: Not cleared.</b> The lack of geographic overlap needs to be specific. For example, regarding the GEF project in the Limpopo Basin and its large geographic area, is there an overlap with the proposed project in either of the three districts? If yes, then please clarify whether the specific towns and villages, and/or ecosystems that are to be selected differ from the GEF project. The concept note needs to demonstrate that there is no duplication of efforts for all projects in the table. Please further improve the information</p>
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				<p>in the table for all projects.</p> <p><i>Response to CR17: The lack of geographic overlap has been clarified for all listed project that there is no risk of geographic overlap and hence no risk of duplication Regarding the GEF project, the Mogobane pilot site in the Southeast district is the only focus for activities in Botswana and does not overlap with the present project's areas.</i></p>
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<p><b>Yes.</b></p> <p>The project has a learning and knowledge management component to capture and disseminate lessons.</p>		
	10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Yes, but further information is needed.</b></p> <p>Initial consultations have taken place as part of the Botswana WEFE nexus dialogue workshop. Two workshops and site visits were held in July and August 2023. The consultative process has included several government departments and stakeholders, including CSOs, academia, and the private sector.</p>	<p><b>CR19: Cleared.</b></p>	-



		<p><b>CR18:</b> Please indicate whether marginalized and vulnerable groups, including indigenous peoples, have been consulted and how their interests and concerns have been integrated into the project design.</p>	<p>As per the information provided in the review sheet. <u>The proponent has indicated that further consultations will take place during the development of the full proposal, which will ensure that Free, Prior and Informed Consent is given by all potential stakeholders.</u></p> <p>Please note that as per the AF ESP guidance, when indigenous peoples are present in the implementation area, then the IE needs to describe the involvement of indigenous peoples in the design and the implementation of the project, provide detailed outcomes of the consultation process of the indigenous peoples, and provide documented evidence of the mutually accepted process between the project and the affected communities. Please revise the information provided under Principle 7 in the ESP guidance document: <a href="https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance_Revised-in-June-2016_Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-">https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance_Revised-in-June-2016_Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-</a></p>	
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			<a href="#">Environmental-and-Social-Policy.pdf</a>	
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>Partially.</b> The concept note explains the funding requested on the basis of the full cost of adaptation reasoning. However, given that the project design does not clearly indicate investments in concrete adaptation options, it is unclear whether the funding is justified. <b>CR19:</b> Please revise the justification of the requested financing according to the changes made to <b>CR1</b> and <b>CR3</b>.</p> <p><b>CR20:</b> Kindly clarify whether the project requires co-financing (in regard to the CORB fund or any other sources).</p>	<p><b>CR19: Mostly.</b> Please see CR1 and CR3.</p> <p><b>CR20: Cleared.</b> As per the proponent's response in the review sheet. The proposed project does not require co-financing to achieve its results.</p>	<p><b>CR19: Not cleared.</b> Please address the changes required, explaining the full cost of adaptation reasoning based on the revised adaptation interventions, particularly regarding Components 2 and 3. <i><b>Response to CR19:</b> The full cost of adaptation reasoning has been revised to better capture all project activities and associated results (bearing in mind that former Component 3 has been removed and only key activities tailoring weather index insurance products kept, under former Component 4).</i></p>

	12. Is the project / program aligned with AF's results framework?	<p><b>Partially.</b></p> <p>The concept note indicates the project's alignment with AF outcomes 2, 3, 5, 6, and 7. However, it is unclear how the project contributes to outcomes 5 and 6 given the lack of specific adaptation actions (please see <b>CR 1</b> and <b>CR3</b>).</p>	<p><b>CR(NEW):</b> Kindly indicate the alignment with the corresponding AF outcomes under each component in section II.A.</p>	<p><b>CR23 (NEW): Not cleared.</b> The revised concept note does not mention the alignment with the AF outcomes in section II.A. (not section III.A). Please update.</p> <p><i>Response to CR23: Reference to AF Outcomes has been added in Section II.A at respective paragraphs 63, 72 and 75).</i></p>
	13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<p><b>Partially.</b></p> <p>The project seeks to sustain its benefits through mainstreaming climate adaptation in sectoral budgeting, creating funding mechanisms and partnerships, fostering community ownership, and strengthening the policy framework for the WEF approach to climate change.</p> <p>However, as the project has not defined concrete adaptation options, it is not possible to assess the sustainability of project activities at this stage. Kindly refer to <b>CR1</b> and <b>CR3</b>.</p>	<p><b>Partially.</b></p> <p>Please see CR1 and CR3.</p>	<p><b>CR24 (NEW):</b> The description of the project's sustainability (section II.J) must be revised based on the changes to Components 2 and 3. Kindly update it.</p> <p><i>Response to CR24: The description of the project's sustainability has been revised to reflect new activities under component 2 and activities associated to weather index insurance.</i></p>
	14. Does the project / programme provide an	<b>Unclear.</b>		

	<p>overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p>The concept note classifies the project as category B. The proposal has not identified potential risks but rather stated how the project would ensure compliance with the ESP principles. The document indicates that further assessment will be conducted for all principles in the fully developed proposal.</p> <p>However, for the concept note, the ESP overview should start by identifying potential risks, even if the project aims not to affect issues related to the principles.</p> <p><b>CR21:</b> Please revise Section J following the AF's ESP guidance: <a href="https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance_Revised-in-June-2016_Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf">https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance_Revised-in-June-2016_Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf</a></p> <p><b>CR22:</b> Given that the project has USPs, please explain how that would be addressed by the ESMP (also see <b>CR2</b>).</p>	<p><b>CR21: Not cleared.</b> The changes made are in line with what was requested; however, the risks have only been stated (in one sentence). Please provide a description of these risks, explaining why these exist in the project context. Please note that the proponent can also conclude that some of these risks do not exist for this specific project, but this would need to be substantiated.</p> <p><b>CR22: Cleared.</b></p>	<p><b>CR21: Cleared.</b> As per additional information provided on pages 37-40.</p>
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Resource Availability	1. Is the requested project / programme funding within the cap of the country?	Yes.	-	-
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	Yes.	-	<p><b>CAR3 (NEW):</b> In the PFG application form, please provide the break-down of costs at the activity level in the budget note column.</p> <p><i>Response to CAR3:</i> The PFG application form has been revised to provide the breakdown of costs at the activity level (budget note column).</p>
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	Yes.	-	<p><b>CAR4 (NEW):</b> The amount requested for the project components was modified in the Project Components and Financing Table on pages 12-13, however, the total project cost has not been adjusted. Please also note that with the modifications, the budget would go above the country cap. Kindly revise.</p>

				<b>Response to CAR4:</b> <i>Project costs have been revised and realigned throughout the document, ensuring that the total stays within country cap.</i>
Eligibility of IE	1. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<b>Yes.</b> IFAD is an accredited MIE.	-	-
Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	n/a at concept stage		
	2. Are there measures for financial and project/programme risk management?	n/a at concept stage		
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	n/a at concept stage		
	4. Is a budget on the Implementing Entity	n/a at concept stage		

	Management Fee use included?			
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage		
	6. Is a detailed budget including budget notes included?	n/a at concept stage		
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	n/a at concept stage		
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage		
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	n/a at concept stage		

	10. Is a disbursement schedule with time-bound milestones included?	n/a at concept stage		
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## CONCEPT NOTE PROPOSAL FOR SINGLE COUNTRY

### PART I: PROJECT INFORMATION

**Enhancing climate resilient water, food, and energy systems in Botswana through sustainable natural resources management**

Republic of Botswana

**Thematic Focal Area:** Multisector

**Type of Implementing Entity:** Multilateral Implementing Entity

**Implementing Entity:** International Fund for Agricultural Development

**Executing Entities:** Ministry of Agricultural Development and Food Security (Lead); Ministry of Land Management, Water and Sanitation Services; Ministry of Minerals and Energy (MME); Ministry of Environment, Natural Resources Conservation and Tourism; Ministry of Finance (MoF); Global Water Partnership Southern Africa; Food and Agriculture Organisation of the United Nations (FAO)

**Amount of Financing Requested:** 10,000,000 (in U.S Dollars Equivalent)

**Project Formulation Grant Request:** Yes

**Amount of Requested financing for PFG:** 150 000 (in U.S Dollars Equivalent)

**Letter of Endorsement (LOE) signed:** Yes.

**Stage of Submission:**

☒ This concept has been submitted before

☐ This is the first submission ever of the concept proposal

In case of a resubmission, please indicate the last submission date: 12/17/2024

**Please note that concept note documents should not exceed 50 pages, including annexes.**

## Project background and context

### Socio-economic context

1. Botswana, a landlocked, semi-arid country in Southern Africa, has shown remarkable economic growth since independence, averaging over 8% annually until recent years. As one of the world's fastest-growing economies, it reached an upper-middle-income status in 2011 and has made steady progress in terms of human development. Nevertheless, its Human Development Index (HDI) which peaked at a value of 0.722 in 2017, regressing to 0.693 in 2021, still falls short of the global average HDI of 0.732.<sup>1</sup>
2. Botswana's economic growth remains heavily reliant on its mineral wealth, particularly diamonds, which account for over 90% of total exports and serve as a crucial source of fiscal revenue.<sup>2</sup> These revenues are primarily channelled into maintaining a large public sector, with public administration and defence making up around 15% of the country's GDP.<sup>3</sup> Accordingly, all societal groups have not benefited equally from Botswana's more recent economic successes.
3. Botswana faces significant challenges related to income disparity and unemployment. The country has a Gini index of 53.3, as of the last measurement in 2015, placing it among the top 10 countries worldwide with the highest income inequality. Additionally, unemployment has been on an upward trajectory, rising from 20.1% in 2019 to 25.4% as of the latest figures in 2022.<sup>4</sup> Within this context, women and youth are particularly vulnerable, experiencing unemployment rates that exceed the national average. Specifically, 26.9% of women and 33.5% of youth are currently unemployed, as opposed to 23.9% of the male population.<sup>5</sup>
4. Of Botswana's population of just over 2 million, 63.9% live in cities, towns and urban villages, while the rest of the population live in rural settings (including rural villages, cattle posts and farms).<sup>6</sup> Rural areas in Botswana are typically characterised by sparse populations, with increased population densities witnessed predominantly around urban centres.<sup>7</sup> Some of these urban areas have experienced rapid growth over the past two decades, placing considerable pressure on local resources.<sup>8</sup> This strain is particularly evident in the areas encircling the capital, Gaborone. Rural regions, while less densely populated, rely heavily on small-scale agriculture that often employs traditional methods. This direct dependence on natural resources, combined with their undiversified economies, renders these rural communities especially susceptible to resource-related threats, such as those posed by climate change.

### Gender and youth

5. Botswana has made notable progress over recent years in achieving the Sustainable Development Goals (SDGs). Despite this progress, women and girls still face violence and disparities in areas such as political participation and representation, land tenure, financial inclusion, and employment.<sup>9</sup> In accordance with the 2021 Gender Inequality Index, Botswana ranks 117<sup>th</sup> out of 170 countries, underlining the need for targeted measures to address these inequalities.<sup>10</sup>
6. In rural areas, where livelihoods primarily depend on agriculture, these disparities are more pronounced. Men dominate the traditional agriculture sector, in terms of persons participating in farming and farm workers respectively standing at 63.1% and 95% male in 2019. Additionally, the participation of youth in farming is low with

<sup>1</sup> UNDP. 2023. Human Development Index. <https://hdr.undp.org/data-center/human-development-index#/indicies/HDI>. Date of access: 5 Jul 2023

<sup>2</sup> World Bank. 2023. The World Bank in Botswana: Overview. <https://www.worldbank.org/en/country/botswana/overview#1>. Date of access: 5 Jul 2023.

<sup>3</sup> Statistics Botswana. 2023. Gross Domestic Product: First Quarter of 2023. Gaborone, Botswana.

<sup>4</sup> World Bank. 2023. Gini index – Botswana. <https://data.worldbank.org/indicator/SI.POV.GINI?locations=BW>. Date of access: 29 Jun 2023.; UNDP. 2021. Inequality in Botswana: An analysis of the drivers and district-level mapping of select dimensions of inequality.; International Labour Organization. 2023. "Labour Force Statistics database (LFS)". Date of access: 29 Jun 2023. <https://data.worldbank.org/indicator/SL.UEM.TOTL.NE.ZS?locations=BW>.

<sup>5</sup> Statistics Botswana. 2022. Quarterly Multi-Topic Survey Quarter 4. Gaborone, Botswana

<sup>6</sup> Statistics Botswana. 2018. Botswana Demographic Survey Report 2017. Gaborone, Botswana.

<sup>7</sup> Statistics Botswana. 2022. Population and Housing Census 2022. Gaborone, Botswana.

<sup>8</sup> Gwebu, T.D., Baakile, T., Mphetlang, G. 2011. Population Distribution, Structure, Density and Policy Implications in Botswana. Population & Housing Census 2011 Dissemination Seminar.

<sup>9</sup> UNDP Gender Inequality Index (GII). Available at <https://hdr.undp.org/data-center/thematic-composite-indices/gender-inequality-index#/indicies/GII>. Accessed at: 8 October 2023

<sup>10</sup> Ibid.

only 5.3% of persons participating in the sector being between the age of 15 and 35.<sup>11</sup> Nevertheless, in 2010 women owned more arable land in Botswana than men at 58% and 42% respectively and it was found that women taking part in the governmental Integrated Support Programme for Arable Agriculture Development (ISPAAD) invest more time and resources to contribute to food production at the household level as compared to men.<sup>12</sup>

## Climate

7. As one of the world's most drought-prone countries, Botswana frequently experiences severe droughts, impacting food and water supply. Drought conditions exacerbate existing water scarcity in a country that already experiences low average annual rainfall (Figure 1) and relies on groundwater for around 49% of its freshwater supply.<sup>13</sup> The recent 2022/2023 drought, for example, resulted in significant crop failure and cattle mortality<sup>14</sup>.
8. Climatic trends over the last 30 years show that rainfall has been decreasing on both annual and monthly bases across Botswana.<sup>15</sup> Moreover, the number of rainy days has decreased across the country, especially in the country's drier western areas. These patterns are projected to intensify as climate change, including rising temperatures, heightened rainfall variability, and a greater frequency of extreme weather events such as droughts and floods is poised to have a profound impact on the Southern African region.<sup>16</sup>

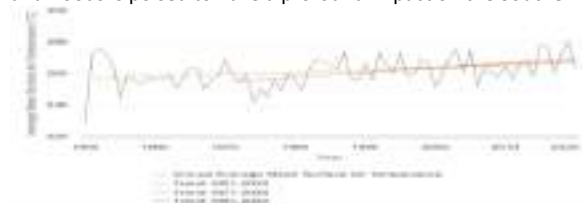
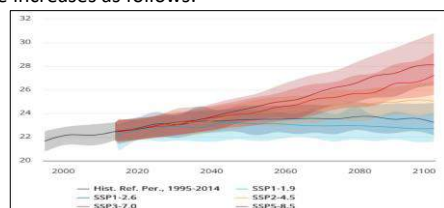


Figure 1: Average Mean Surface Air Temperature Annual Trends in Botswana, 1951-2020. Long-term and medium-term trends are significant, with >98.8% confidence<sup>17</sup>.

9. While Botswana has already been experiencing these impacts to some extent, climate models highlight the country as one of the African nations to experience the most severe temperature increases in the coming decades.<sup>18</sup> Accordingly, the Coupled Model Intercomparison Project (CMIP) Phase 6, Shared Socioeconomic Pathways (SSP) project Botswana's temperature increases as follows:<sup>19</sup>



10.

Figure 2: Multi-model ensemble mean temperature (°C) projections for Botswana.

<sup>11</sup> Statistics Botswana. 2019. Annual Agricultural Survey Report: Traditional Sector.

<sup>12</sup> National Climate Change Strategy for Botswana, 2018.

<sup>13</sup> Botswana's Third National Communication to the UNFCCC, 2019.

<sup>14</sup> Botswana: Drought - May 2024 | Relief Web

<sup>15</sup> Batisani, N. Yarnal, B. 2010. Rainfall variability and trends in semi-arid Botswana: Implications for climate change adaptation policy. *Applied Geography*. 30:483-489.

<sup>16</sup> Mpandeli S., Naidoo D., Mabhaudhi, T., Nhemachena, C., Nhamo, L., Liphadzi, S., Hlahla, S. Modi, A.T. 2018. Climate Change Adaptation through the Water-Energy-Food Nexus in Southern Africa. *International Journal of Environmental Research and Public Health* 15:2306.

<sup>17</sup> World Bank Group. 2021. World Bank Climate Knowledge Portal. Available at: <https://climateknowledgeportal.worldbank.org/country/botswana>

<sup>18</sup> Trisos, C.H., I.O. Adelekan, E. Totin, A. Ayanlade, J. Eftre, A. Gameda, K. Kalaba, C. Lennard, C. Masao, Y. Mgaya, G. Ngaruiya, D. Olago, N.P. Simpson, and S. Zakieldeen. 2022. *Africa*. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group 11 to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegria, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 1285-1455.

<sup>19</sup> World Bank. 2023. Climate Change Knowledge Portal. <https://climateknowledgeportal.worldbank.org/country/botswana/climate-data-projections>. Date of access: 29 Jun 2023.

11. Moderate emissions scenarios (SSP2-4.5) project warming of ~0.5-1.4°C in the near term (2020-2039), 1.0-2.5°C in the medium term (2040-2059) and 1.5-3.3°C in the long term (2060-2079). Warming is expected to be moderately more severe in the West and South of the country.<sup>20</sup>
12. Shorter term projections indicate that local warming and drying will be greater in Botswana than the global average and that the 1.5°C and 2°C temperature increase thresholds could be breached within the next 10 and 20 years respectively.<sup>21</sup> The expected climatic impact of these changes are summarised as follows:

Table 1: Expected climatic impacts of an average temperature increase of 1.5°C and 2°C.

Projected climatic changes <sup>22</sup>	1.5°C temperature increase	2°C temperature increase
Heat wave (days)	Increase by 43	Increase by 72
Annual rainfall	Decrease by 5%	Decrease by 9%
Dry days	Increase by 10	Increase by 17

13. Multiple future climate change scenarios, point to a decline in average annual rainfall, an increase in the number of dry days and longer heat wave periods as well as greater rainfall variability.<sup>23</sup> These anticipated climatic shifts are likely to exert significant stress on key sectors including biodiversity, agriculture, water, and energy.

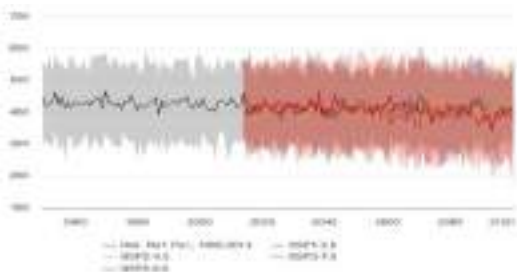


Figure 2: Projected Precipitation Botswana (Ref. Period 1995-2014), Multi-Model Ensemble<sup>24</sup>

### Sectoral context and vulnerabilities

#### The Water, Energy, Food and Ecosystem (WEFE) nexus

14. The WEFE nexus recognizes the inextricable links between human systems of water, energy, and food security and natural systems, including land, water, and energy resources. Actions taken in one area invariably impact the others:<sup>25</sup>

<sup>20</sup> World Bank Group. 2021. World Bank Climate Knowledge Portal. Available at: <https://climateknowledgeportal.worldbank.org/country/botswana>

<sup>21</sup> Nkemelang, T. et al. 2018. Determining what global warming of 1.5°C and higher means for the semi-arid regions of Botswana, Namibia, Ghana, Mali, Kenya, and Ethiopia: A description of ASSAR's methods of analysis. <https://bit.ly/2yHbWPF>. Date of access: 29 Jun 2023.

<sup>22</sup> New, M. & Bosworth, B., 2018. What global warming of 1.5 C and higher means for Botswana and Namibia. Climate and Knowledge Development Network. <https://africaportal.org/feature/what-global-warming-15c-and-higher-means-botswana-and-namibia/>. Date of access: 29 Jun 2023.

<sup>23</sup> Moseley, W.G. 2016. Agriculture on the Brink: Climate Change, Labour, and Smallholder Farming in Botswana. *Land*. 5:21.

<sup>24</sup> World Bank Group. 2021. World Bank Climate Knowledge Portal. Available at: <https://climateknowledgeportal.worldbank.org/country/botswana>

<sup>25</sup> GWPSA. 2019. Fostering Water, Energy and Food Security Nexus Dialogue and Multi-Sector Investment in the SADC Region: Phase II.



Figure 3: Interlinkages between water-food-energy security<sup>26</sup>

15. Consequently, adverse effects on Botswana's natural systems exacerbate existing challenges within the human systems responsible for ensuring water, energy, and food security. The knowledge, capacity, and efficiency of human systems, encompassing institutions, communities, and individuals in managing these natural systems are critical for the future social, economic, and environmental sustainability of Botswana. With climate change placing additional stress on these systems, the sustainable management of natural systems is becoming increasingly vital.

#### Biodiversity, land, and associated ecosystems management

16. The overutilisation of rangelands by livestock and wildlife and deforestation pose a threat to the integrity of river catchments with consequences such as increased overland runoff, increased rates of sedimentation of rivers or streams, loss of aquatic habitats and decreased recharge of groundwater basins. In the Chobe enclave where the highest concentrations of livestock are found, year to year availability of fodder dependent on rainfall and edible species of grass have been greatly reduced, creating significant challenges for farmers in the dry season as they are forced to range their beasts into the adjacent conservation areas, leading to human-wildlife conflicts and transmission of zoonotic diseases.
17. The climate change scenarios projected have the potential to affect ecosystems and species ability to adapt affecting species abundance and distribution, community assemblages and functioning, loss of genetic diversity and change in ecosystem structure and functioning. With respect to the free movement of wildlife in the Kavango-Zambezi Trans frontier Conservation Area, the most likely impact of climate change will be on the range and abundance shifts. The changing climate will stimulate species-level changes in range and abundance, life cycle and behaviour and, over time, genetic evolutionary responses. These changes will in turn be linked with changes in natural disturbance patterns and changes in ecosystem structure and function.

#### Food security and agriculture

18. Botswana is heavily reliant on food imports to supplement its local agricultural supply, with imports meeting as much as 90% of its food requirements in recent years.<sup>27</sup> However, this dependence has rendered the country particularly vulnerable to global food price fluctuations. This vulnerability has been amplified by the Russia-Ukraine war which has sparked trade disruptions and significant price increases in international energy, agricultural commodities, and fertilisers.<sup>28</sup>
19. Recent statistics show that the national prevalence of moderate and severe food insecurity is on the rise and has increased from 50.8% of the population in 2018 to 53.29 in 2021 and for severe insecurity from 22.2% of the population in 2018 to 26.16% in 2021.<sup>29</sup> The picture looks worse when focusing on the rural population where figures for moderate and severe food insecurity was as high as 65.68% in 2020, declining slightly to 64.35% in 2021.<sup>30</sup>

<sup>26</sup> Ibid.

<sup>27</sup> Ibid.

<sup>28</sup> IFPRI. 2023. Food Prices: Global Crisis Country Brief Series. <https://www.ifpri.org/spotlight/food-prices-war-ukraine>. Date of access: 29 Jun 2023.

<sup>29</sup> Statistics Botswana. 2023. Prevalence of Food Insecurity in Botswana 2021/22. Gaborone, Botswana.

<sup>30</sup> Ibid

20. Rural communities are particularly vulnerable, due to the challenges faced by small-scale traditional farming and their inability to offset these challenges with expensive imports.<sup>31</sup> Consequently, as climate change puts additional pressure on an already vulnerable agricultural sector, existing food insecurity could further escalate, causing substantial disruption to livelihoods and presenting a serious threat to future sustainability and resilience.
21. Even though the agricultural sector comprises less than 2% of GDP it is vital to the livelihood of a large proportion of the population. Approximately 70% of rural households derive part or all their livelihoods from primarily rainfed, arable agriculture, making them particularly vulnerable to climate-related impacts. These farmers predominantly apply traditional agricultural methods on small farms with an average size of five hectares.<sup>32</sup> The agricultural sector has poor outputs, which can be attributed to various environmental and socio-economic factors.
22. The National Development Plan 11 (NDP11) review of the agricultural sector lists several reasons for low productivity, including pests, disease outbreaks, inadequate infrastructure and underutilisation of land.<sup>33</sup> Furthermore, factors specifically affecting crop production include low and unreliable rainfall, recurrent droughts, very high summer temperatures and relatively poor soils.<sup>34</sup> In addition, other socio-economic factors such as, lack of access to credit, insufficient access to affordable energy and technology and poor land and water management practices also affect crop production and potential for value chain enhancement.<sup>35</sup> Poor market access and inadequate linkages with distribution networks further limit the growth potential of rural agricultural economies.<sup>36</sup>
23. Generally, the challenges faced by the agricultural sector disproportionately affect rural communities and small-scale traditional farmers, intensifying food insecurity and nutrition problems. However, productivity indicators in the commercial sector are significantly higher than in the traditional sector even though commercial farmers cultivate less than 10% of arable agricultural land.<sup>37</sup> This is an indication that with the correct interventions and assistance there is much potential for improving overall sectoral outputs.
24. During dry spells and droughts, the demand for water for livestock often makes it necessary for farmers to deepen boreholes and extend pumping hours, hiking up costs for livestock rearing. Across all of Botswana, at 1.5°C global warming the cost of pumping water is expected to increase by 15%, with further increases of 19% and 24% expected at 2°C and 3°C respectively. Rainfed crop agriculture in Botswana occurs in two main agroclimatic zones, the hard veldt located in the semi-arid south zone with more fertile soil and less harsh climate conditions, and the sand veldt in the rest of the country with deep sand and little surface water. In the sand belts, poor climate and soil conditions result in the region having low cereal yields, which are expected to decrease further as the global climate warms. Average yields across the country are expected to be impacted progressively at each level of global warming with yields projected to decrease by 23-58% for maize and 11-29% for sorghum.<sup>38</sup> Botswana is already heavily reliant on imports to meet its cereal and grain needs, and this dependency could increase further with climate change.
25. At 1.5°C of global warming, yields in the semi-arid sand belts are expected to drop by 22% for maize and 16% for sorghum. Yield losses will increase as the temperature continues to warm with decreases of 35% and 59% for maize, and 26% and 43% for sorghum, at 2°C and 3°C respectively.

#### Water security

26. Due to its semi-arid to arid climate, Botswana is naturally water-stressed. The country has high evapotranspiration rates with low and highly erratic rainfall patterns.<sup>39</sup> Annual average rainfall varies from as little as 250 mm in the southwest to around 600 mm in the far northern parts of the country. Surface water resources are therefore limited and account for 45% – 65% of Botswana’s total water supply. The scarcity of surface water resources become more pronounced during frequently recurring drought periods. Botswana only has a few perennial rivers in the north-

<sup>31</sup> Moseley, W.G. 2016. Agriculture on the Brink: Climate Change, Labor, and Smallholder Farming in Botswana. *Land*. 5:21.

<sup>32</sup> Statistics Botswana. 2015. Botswana Agricultural Census 2015: Analytical Papers. Gaborone, Botswana.

<sup>33</sup> Ministry of Finance and Economic Development. 2017. National Development Plan 11, Volume 1.

<sup>34</sup> Statistics Botswana. 2015. Botswana Agricultural Census 2015: Analytical Papers. Gaborone, Botswana.

<sup>35</sup> Ibid.

<sup>36</sup> National Development Plan 11, 2017.

<sup>37</sup> Statistics Botswana. 2015. Botswana Agricultural Census 2015: Analytical Papers. Gaborone, Botswana.

<sup>38</sup> Adaptation at Scale in Semi-Arid Regions. N.D. What Global Warming of 1.5°C and higher means for Botswana. Available at: [https://assar.uct.ac.za/sites/default/files/content\\_migration/assar\\_uct\\_ac\\_za/2465/files/ASSAR\\_Botswana\\_global\\_warming.pdf](https://assar.uct.ac.za/sites/default/files/content_migration/assar_uct_ac_za/2465/files/ASSAR_Botswana_global_warming.pdf)

<sup>39</sup> Botswana’s Third National Communication to the UNFCCC, 2019.

western part of the country (being the Okavango and Chobe rivers) which are supplied by major rivers from neighbouring countries and therefore subject to the limitations imposed by international legislation.<sup>40</sup> Existing dams serve a limited geographic extent and are located mainly within the Limpopo River Basin in the east which is shared between Botswana, Mozambique, Zimbabwe and South Africa and therefore also subject to limitations of international law.

27. Except for rivers, delta, lakes and pans, surface water stock mainly comprises water held in dams where the topography allows.<sup>41</sup> However, due to the country's flat topography, there is limited potential for expanding current capacity or constructing additional dams.<sup>42</sup> Surface water resources are, therefore, situated in the north and eastern parts of the country, whilst most of the population is concentrated in the south-east, mainly around cities and towns such as the capital city, Gaborone. Accordingly, the severity of water pressure for domestic use in the south of Botswana, has necessitated the construction of the North-South Carrier water scheme, which is a pipeline transporting water 360 km southwards from the Central District.<sup>43</sup>
28. Due to the scarcity of surface water, groundwater plays a very important role for Botswana's water supply.<sup>44</sup> Many rural villages are entirely dependent on groundwater and studies suggest that over 80% of Botswana receive their water from underground sources.<sup>45</sup> However, groundwater resources are also limited in terms of both quantity and quality. The country's low rainfall, high evapotranspiration rates and predominantly flat topography result in low surface runoff and minimal groundwater recharge rates. Some aquifers are fossil in nature and therefore receive no recharge, while recharge rates of other aquifers display significant regional variation.<sup>46</sup> Additionally, various studies have markedly different estimations of recharge rates from as high as 1600 million m<sup>3</sup>/annum to as low as 96 million m<sup>3</sup>/annum and a better understanding of groundwater dynamics is, therefore, needed for proper management.<sup>47</sup> Some of the most important aquifers are also transboundary and the exploitation of these will require the cooperation of neighbouring countries.
29. Groundwater quality issues further compound the problem, with some aquifers exhibiting high salinity.<sup>48</sup> Furthermore, studies suggest indirect correlations between drought, sanitation, and groundwater quality, implying that water scarcity and affordability may push communities towards using pit latrines instead of flush toilets, thereby risking groundwater contamination through leaching.<sup>49</sup> Overexploitation therefore presents a serious risk, while climate change-related contamination also looms as an imminent threat.
30. In terms of climate change impacts, the frequency, intensity, and unpredictability of climate change related disasters such as droughts and flash floods are expected to worsen. Climate change is expected to increase flash flooding in northeast Botswana, and drought in already arid northern- and western Botswana.<sup>50</sup> Generally, it is foreseen that groundwater resources will receive additional pressure due to the increasing scarcity of surface water resources. Accordingly, Botswana's Third National Communication to the UNFCCC, 2019, indicates that climate change will affect groundwater through increased abstraction and reduced recharge rates stemming from a combination of more frequent droughts, reduced inflow into dams, inflow is expected to decline by as much as 16% by 2050, and increases in evapotranspiration which will result in a higher reliance on groundwater resources.
31. Key to adaptation in the water sector will be data-driven demand-side management, characterised by judicious water use and a strategic emphasis on informed and efficient groundwater supply to offset the diminished surface water availability. Given their high dependence on rainfall for agricultural livelihoods, Botswana's rural

<sup>40</sup> Protocol on Shared Watercourse Systems in the Southern African Development Community, 2000.

<sup>41</sup> Akinyemi, F.O., Babatunde, J.A. 2019. Potential impacts of global warming levels 1.5 °C and above on climate extremes in Botswana. *Climatic Change*. 154:387-400.

<sup>42</sup> GWPSA. 2022. Fostering a Water, Food and Energy Security Nexus Dialogue and Multi-Sector Investment in the SADC Region: Botswana WEF Nexus National Dialogue Background Paper. Gaborone, Botswana.

<sup>43</sup> Akinyemi, F.O., Babatunde, J.A. 2019. Potential impacts of global warming levels 1.5 °C and above on climate extremes in Botswana. *Climatic Change*. 154:387-400.

<sup>44</sup> Davies, J., Spear, D., Omari, K., Morchain, D., Urquhart, P., Zaramba, J. 2017. Background Paper on Botswana's Draft Drought Management Strategy. *Adaptation at Scale in Semi-Arid Regions*. University of Cape Town. Cape Town, South Africa.

<sup>45</sup> National Climate Change Strategy for Botswana, 2018.

<sup>46</sup> Ibid.

<sup>47</sup> Botswana's Third National Communication to the UNFCCC, 2019.

<sup>48</sup> Ibid.

<sup>49</sup> McGill, B., Altchenko, Y., Hamilton, S.K., Kenabatho, P.K., Sylvester, S.R., Vilhloth, K.G. 2019. Complex interactions between climate change, sanitation, and groundwater quality: a case study from Ramotswa, Botswana. *Hydrogeology Journal*. 27:997-1015.

<sup>50</sup> National Climate Change Strategy for Botswana, 2018.

communities are particularly vulnerable, as they primarily depend on rainfed arable agriculture and on groundwater for livestock watering and domestic needs.<sup>51</sup>

### Energy

32. Botswana has made tremendous progress over the last decade in becoming self-sufficient in its electricity needs. In the past, the country imported almost 80% of its electricity from neighbouring countries. After the commissioning of two coal-fired power plants, Botswana's installed generation capacity stands at 732 MW against a peak demand of 678 MW with an additional capacity of 160MW from two diesel-generated peaking plants. However, due to various challenges these power stations haven't been able to operate at full capacity since 2018 and therefore local generation still does not meet the local demand. The country augments these shortfalls through imports which are costly and compromises the country's energy security.
33. Botswana is heavily reliant on fossil fuels for its energy needs. Coal is the main source of electricity generation, followed by diesel. However, access to electricity remains limited, with the grid-connected coverage of urban areas standing at 75% and rural areas at 57%.<sup>52</sup> In the case of farms, which are often in remote settings, only about 17% are grid connected. In addition, even if there is access to electricity, many households' resorts to burning cheaper biomass to avoid paying high electricity prices.<sup>53</sup> Accordingly, wood is predominantly the fuel of choice for cooking (72.6%) and heating (51%) in rural villages. Excessive harvesting of fuel wood has led to a continuing decline in forest growing wood stock, which poses a serious threat to the protection of biodiversity and ecosystem services.<sup>54</sup> In addition, biomass burning contributes to health problems associated with the inhalation of fine particulates. Improving access to electricity is, therefore, a key element for reducing poverty and disease, enhancing food and water security, and protecting biodiversity.
34. The energy sector is poised to face multiple challenges due to climate change. Rising temperatures could compromise the cooling capacities of power stations, potentially affecting both generation and transmission.<sup>55</sup> Moreover, prolonged periods of heightened temperatures will likely drive up the demand for cooling solutions, which could, in turn, increase electricity demand and subsequently raise prices for consumers. Additionally, the anticipated climate trends could elevate maintenance and repair costs for power and energy infrastructure and pose disruptions to the power supply.<sup>56</sup>
35. Effective energy generation, transmission and expanded use is critical to the country's overall development agenda and to build climate resilience. As proposed in Botswana's Vision 2036, this is to be achieved through transforming the energy sector by means of renewable energy and energy efficiency technologies that enhance energy management while minimising greenhouse gas emissions. In terms of renewable energy, Botswana has tremendous potential for solar energy utilisation. Roof Top Solar guidelines have been implemented since 2020 and residential, commercial, and industrial entities can generate power from solar photovoltaic (PV). Other renewable energy resources include biogas and wind.
36. A strong mechanism to transfer financial risks, such as adapted weather-index insurance, is critical to address these vulnerabilities<sup>57</sup>. Insurance not only provides immediate financial relief during adverse events but also incentivizes long-term investments in climate-smart practices, such as drought-tolerant crops and water-efficient irrigation systems<sup>58</sup>. However, access to insurance remains limited in Botswana, with low penetration rates due to high costs, lack of awareness, and the absence of tailored products for the rural poor<sup>59</sup>. By introducing enhanced weather-

<sup>51</sup> Akinyemi, F.O., Babatunde, J.A. 2019. Potential impacts of global warming levels 1.5 °C and above on climate extremes in Botswana. *Climatic Change*. 154:387-400.

<sup>52</sup> Botswana's Third National Communication to the UNFCCC, 2019.

<sup>53</sup> Sustainable Energy for All. 2010. Botswana: Rapid Assessment and Gap Analysis. [https://www.se4all-africa.org/fileadmin/uploads/se4all/Documents/Country\\_RAGAs/Botswana-Rapid-assessment-Gap-Analysis-Final.pdf](https://www.se4all-africa.org/fileadmin/uploads/se4all/Documents/Country_RAGAs/Botswana-Rapid-assessment-Gap-Analysis-Final.pdf). Date of access: 29 Jun 2023.

<sup>54</sup> Forest Conservation Botswana. 2013. Forest management and use in Botswana: brief situation analysis and options for the Forest Conservation Strategy. Background paper Workshop on 'Options for Forest Conservation Strategy Botswana'.

<sup>55</sup> World Bank. 2021. Climate Risk Country Profile: Botswana. [https://climateknowledgeportal.worldbank.org/sites/default/files/2021-05/15721-WB\\_Botswana%20Country%20Profile-WE8%20%281%29.pdf](https://climateknowledgeportal.worldbank.org/sites/default/files/2021-05/15721-WB_Botswana%20Country%20Profile-WE8%20%281%29.pdf). Date of access: 29 Jun 2023.

<sup>56</sup> Ibid.

<sup>57</sup> World Food Programme (WFP) (2020): "R4 Rural Resilience Initiative: Weather-Index Insurance for Climate-Smart Agriculture"

<sup>58</sup> African Development Bank (AfDB) (2021): "Building Resilience: The Role of Insurance in Africa's Climate Adaptation"

<sup>59</sup> Government of Botswana (2020): "Botswana National Climate Change Policy and Strategy Framework"



index insurance through this project, we aim to bridge these gaps and enhance the adaptive capacity of farmers and pastoralists, particularly women and youth, who face compounded risks due to structural inequalities (IRI, 2019).

#### Problem statement

37. The livelihoods of small-scale, rural farmers and communities in Botswana are growing increasingly vulnerable to the multifaceted impacts of climate change on both human and natural systems. Adverse effects on Botswana's natural systems exacerbate existing challenges within the human systems responsible for ensuring water, energy, and food security.
38. Increased water scarcity, elevated temperatures, and evapotranspiration rates as well as more frequent and intense drought episodes are poised to exacerbate impacts on an already struggling traditional agricultural sector. These impacts compromise farmers' ability to grow crops, rear livestock and feed their communities, leading to increased water and food insecurity.
39. The increasing frequency of droughts, which result from decreasing average annual rainfall and greater rainfall variability leads to a growing need for groundwater for agricultural and domestic use. However, the increasing importance of groundwater exacerbates the issue of access to reliable and affordable electricity. Electricity is not only essential for powering water pumps and irrigation systems but also critical for establishing an efficient agricultural value chain. This includes the processing of agricultural products like solar crop drying, grain milling and cold storage, which helps to reduce wastage, increases the shelf life of perishable goods, and may improve market access and income potential.
40. However, in Botswana, access to electricity is limited, only about 17% of farms are connected to the national grid. In addition, electricity is relatively expensive which may lead to maladaptive practises. Therefore, even those with access to electricity, often resort to burning biomass to avoid paying high electricity prices. In rural areas, fuel wood is the predominant energy source for cooking and heating. This reliance on wood contributes to forest degradation and the associated biodiversity loss. Moreover, burning biomass releases fine particulates that pose serious health risks, often leading to respiratory problems. Lastly, the impacts of water scarcity and limited electricity access are aggravated by unsustainable land and water resource management practices such as poorly managed tilling, and livestock grazing practices which reduces the ability of production systems to recover and gradually decrease soil nutrient loads. Improving management practises and access to electricity is, therefore, crucial for reducing poverty and disease, enhancing food and water security, and protecting biodiversity.
41. Small-scale, rural farmers and communities in Botswana are particularly vulnerable as they lack the knowledge, technical and technological capacity, and financial resources to implement the necessary adaptation measures. Limited access to financial risk transfer mechanisms, such as weather-index insurance, poses a significant challenge for vulnerable populations in Botswana, particularly smallholder farmers and pastoralists. These groups face increasing climate-induced risks, including droughts and erratic rainfall, which threaten their livelihoods and food security. Despite its potential to provide immediate financial relief during adverse events and incentivize long-term investments in climate-smart practices, such as drought-tolerant crops and water-efficient irrigation systems, weather-index insurance remains largely inaccessible due to high costs, low awareness, and the lack of tailored products for rural communities. Addressing these barriers through this project offers an opportunity to enhance the adaptive capacity of farmers and pastoralists, particularly women and youth, who are disproportionately affected by climate change. Consequently, urgent intervention measures are required to address these shortcomings, focused on building climate resilient WEFE systems which can ensure that Botswana achieves social, economic, and environmental sustainability. More sustainable concrete adaptive solutions are needed, however there is a limited implementation of a coordination framework that supports financing and implementation of adaptive initiatives.

#### Alternative solution

42. To address climate change impacts on vulnerable rural livelihoods, strengthened and coordinated human systems that ensure WEFE security are essential, emphasising the importance of harnessing cross-sectoral synergies and interlinkages. Under the proposed project, activities will focus on ensuring that existing water and land resources are adequately managed to ensure food security and economic productivity for Botswana under the impacts of climate change. These activities will seek to enhance the efficiency in the way natural resource inputs in food production (namely water, energy, and land) are used. The project's vision is that smallholder farmers in Botswana can effectively contribute towards sustainable food security, using climate-smart technologies and practices and renewable energy solutions, while building adequate livelihoods. Importantly, ensuring a coordination national framework for concrete adaptation activities will be critical in achieving cross-sectoral synergies.

#### Barriers to adaptation

43. The project will address four barriers (climatic and non-climatic) to the implementation of the alternative solution.

**Barrier 1. Limited technical and technological capacity in rural communities for achieving social, economic, and environmental sustainability through climate resilient land and water resource management and diversification of livelihoods.**

44. Approximately 70% of rural households rely on dry land arable agriculture for their livelihoods. Small-scale subsistence farming, using conventional farming methods, is the main economic activity of these rural households. However, the agricultural sector faces several challenges that contribute to poor outputs, including disease outbreaks, insufficient infrastructure, and inefficient land use, as highlighted by the National Development Plan 11 (NDP11). These factors have led to a rising prevalence of moderate and severe food insecurity nationwide. Women have been found to invest more time and resources than men to contribute to food production at the household level and are disproportionately affected within this context.
45. In addition to these challenges, insufficient access to electricity limits many rural farmers' capacity to adapt to climate change by using technological solutions like climate-smart irrigation systems. This insufficient access also limits opportunities to improve agricultural production and processing systems and livelihood diversification. Another impact of electricity shortage is that it exacerbates ecological strain, as households' resort to harvesting wood for energy needs.
46. Furthermore, agricultural extension services have insufficient technical capacity, resulting in ineffective training and awareness programmes for climate-resilient agricultural practices in rural communities. Despite various interventions, there is a prevailing challenge with sustained intervention follow-up and fostering a culture of community ownership. Consequently, government assistance has not yet catalysed the transformative change necessary for building resilient rural communities.

**Barrier 2. Limited institutional coordination in the human system driving WEFE security.**

47. Climate change exerts wide-ranging, cross-sectoral impacts on water, energy, agriculture, and ecosystems in Botswana. However, the country lacks a harmonised policy and legislative framework, hindering intersectoral coordination, integrated planning, and inter- and intra-ministerial collaboration. Consequently, it prevents the identification of potential synergies and trade-offs and poses a risk of duplicating efforts. Uncoordinated strategies undermine the alignment of government priorities, resulting in inefficient resource allocation, which leads to missed opportunities for maximising resource synergy, in development.
48. At the community level, local adaptation and application of national policy and legislation is inadequate. Under-resourced agricultural extension services exacerbate local adaptation efforts, as organisations often have limited expertise to provide adequate adaptive support to rural farmers.

Barrier 3. Limited knowledge and applied research for evidence-based resource management and decision-making.

49. In Botswana, there is no national platform to articulate and align research priorities with on-the-ground challenges. This results in an insufficient knowledge base for adaptive, WEFE-integrated natural resource management and decision-making. This knowledge gap hinders effective management and deters the public and private funding and investment needed for sustainable initiatives. Therefore, there is an urgent need for applied research and monitoring of initiatives, facilitating learning, creating awareness, and demonstrating the benefits of successful WEFE-integrated intervention measures, which could then be upscaled.

Barrier 4. Inadequate financial sustainability for building climate resilience

50. Technological and infrastructural development is typically characterised by high initial costs, which are offset by lower annual expenses. However, many small-scale farmers in Botswana are unable to meet the upfront capital requirements. This challenge is compounded by underdeveloped financial mechanisms, including insurance.
51. Inadequate governmental budgetary prioritisation and a lack of emphasis on allocating public resources for climate change adaptation initiatives amplify these financial constraints. Deficits in financial and resource allocation largely result from the fragmented approaches and knowledge deficits described in barriers 2 and 3. Notably, there is no established system for monitoring government spending directed towards fostering climate resilience. Available funding is frequently allocated to sporadic support initiatives rather than catalysing transformative change by building community resilience to adapt to the effects of climate change.

#### Project Objectives:

52. **The main aim** of the proposal is to promote evidence-based gender-responsive concrete adaptive solutions that deliver measurable climate resilience outcomes during the project cycle. These include the implementation of solar-powered irrigation systems, sustainable land management practices on 3,000 hectares, and the establishment of financing mechanisms for smallholder farmers. A multi-sectoral national climate adaptation coordination framework will be strengthened to enhance the enabling environment and ensure sustainable implementation of these concrete initiatives in line with the Adaptation Fund Environment and Social and Gender Policies.

#### This will be achieved through the following objectives:

- a) Strong knowledge base built, through a multi-stakeholder process, to provide evidence and support decision-making for concrete actions that promote climate-change adaptation for WEFE security, gender equality and social inclusion in vulnerable rural communities.
- b) Enhanced ability to coordinate an integrated systems-based approach strengthening the resilience of WEFE natural resource assets in response to climate change impacts.
- ~~c) Facilitate access to innovative and sustainable financial mechanisms, such as weather index insurance and adaptation finance tools, to empower vulnerable populations, including smallholder farmers, women, and youth, to recover from climate shocks, adopt climate-resilient practices, and build long-term adaptive capacity while ensuring alignment with national policies and fostering strategic partnerships for scalability and sustainability.~~
- ~~d) Increased awareness and capacity of the human system built to address the current and future impacts of climate change on WEFE systems through the promotion and development of climate-smart products and services targeting rural communities.~~

53. **The objectives will be implemented through four components:**

Component 1: Strengthening the enabling environment to ~~enable~~facilitate coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management.

Component 2: Building gender-responsive climate resilient systems through targeted WEFE security interventions in vulnerable rural communities.

### Component 3: Facilitating Climate Resilient Financial Mechanisms for Vulnerable Communities

~~Component 4~~ **Component 3:** Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana.

54. **Target group:** The target group of the project includes smallholder farmers, women, youth, marginalised communities, and vulnerable households. These groups, totalling around 20,000 direct individuals, the project will target 40% women, 30% youth and as beneficiaries, approximately 6 061<sup>60</sup> households, will benefit from tailored interventions designed to enhance climate resilience, improve livelihoods, and promote sustainable development. Indirect beneficiaries will be elaborated during the proposal development stage.
55. **Target areas.** Field-level interventions will take place in three districts and focus on the areas around one village per district (~~former sub-districts~~): i) Southern district (~~including~~, focussing on the Sese village, area – former Ngwaketse sub district), ii) Kgalagadi district, (~~focussing on Omawenen~~ the Omaweneno village ~~area – former Kgalagadi South sub-district~~); and iii) Central district, (~~focussing on Mahalpye village, area – former Central Mahalpye sub-district~~). These ~~village~~ areas represent the broad spectrum of Botswana's climatic and ecological diversity, ensuring that the project addresses a wide range of climate impacts and adaptation needs. These areas have been identified as highly vulnerable to climate variability and extremes, such as droughts, floods, and water scarcity, making them priority targets for adaptation interventions. The selected ~~village~~ areas include key agricultural and pastoral areas vital to Botswana's economy and food security. They are home to marginalized and vulnerable communities, including vulnerable populations, women-headed households, and smallholder farmers, who will benefit significantly from targeted adaptation measures. Successful adaptation strategies implemented in these districts can serve as models for other parts of Botswana, facilitating the scaling up of best practices and lessons learned.

### Climatic and socio-economic information of targeted districts

District	Climate Context	Socioeconomic Context
Southern District ( <del>including area of</del> Sese Village, <del>former Ngwaketse sub district</del> )	Semi-arid region with annual rainfall between 250 mm and 600 mm. High temperatures and increased evapotranspiration contribute to water scarcity. Vulnerable to droughts and erratic rainfall patterns. Climate change projections show a decrease in rainfall by up to 9% by 2°C global warming.	<u>As of 2022, Sese village included a population of 6,798 (51% male), as a general indication, the former sub-district of Ngwaketse included 57,550 inhabitants in 2001. The vegetation type is Savannah (tall grasses, bushes and trees) and rivers are seasonal. The area is marked by large beef production with privately owned ranges. At the same time, the area is characterized by dependence on small-scale subsistence farming, (mostly maize and sorghum), with high food insecurity due to low agricultural productivity. Vulnerable groups include women-headed households and smallholder farmers. Vulnerability to water scarcity and drought impacts livelihoods.</u>
Kgalagadi District ( <del>including area of Omawenen</del> o <del>area of Omawenen</del> o Village <del>former Kgalagadi South sub-district</del> )	Extremely low rainfall (<250 mm annually), frequent droughts, and reliance on groundwater. Vulnerable to increased drought frequency and extreme heat. Climate change projections show temperature increases of up to 2°C by 2°C global warming.	<u>Primarily As of 2022, Omawenen included a population of 1,256 (48% male), as a general indication, the former sub-district of Kgalagadi South included 21 villages and 20,589 inhabitants in 2001. The area is part of the overall Kalahari desert. The area is dominated by pastoralism and small-scale farming, with the main productions being sweet reed (sweet sorghum with uses comparable to sugar cane), followed by maize, and food insecurity is exacerbated by water scarcity. Vulnerable groups include women and youth. The livestock sector is highly affected by drought and limited pasture availability.</u>
Central District (Including Mahalpye Village, <del>former Mahalpye sub-district</del> )	Receives 400 mm to 600 mm of rainfall annually, but highly variable. Predicted increase in dry days and reduced rainfall. Vulnerable to extreme weather events like droughts, with increasing temperatures affecting agricultural productivity.	<u>As of 2022, Mahalapye included a population of 48,431 (47% male), as a general indication, the former sub-district of Mahalapye included 36 villages and included 92,538 inhabitants in 2001. As part of the Limpopo river basin, the area contains tributaries of the Limpopo River, which are prone to flash floods when sudden rainstorms</u>

<sup>60</sup> the average household size is approximately 3.3 persons per household

		drain eastward into the Limpopo. Agriculture is the primary livelihood, (with a strong focus on horticulture), but poor soil fertility and limited water availability reduce productivity. Limited access to modern technologies and infrastructure in rural areas. Vulnerable groups include women-headed households and youth.
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## Project Components and Financing:

Project Components	Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
Component 1: Strengthening the enabling environment to <del>strengthen</del> facilitate coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management.	Output 1.1: A national multisectoral stakeholder structure (MSS including 200 stakeholders from government agencies, NGOs <del>women and youth</del> and local communities) <del>established</del> to <del>directly</del> -coordinate and implement climate-resilient land and water management systems, (such as solar irrigation and CSA practices).	Outcome 1.1: Enhanced gender responsive intersectoral coordination and integration of inclusive climate resilient practices.	1,800,000
	Output 1.2: A gender responsive policy and legislation review resulting in updated policies and actionable strategies for climate resilient WEFE system conducted <del>benefiting 3000 women and marginalised groups</del> .	Outcome 1.2: Improved policy framework supporting climate adaptation and gender equality.	
	Output 1.3: National and sub-national centres and networks trained and equipped with specific skills and resources to respond to climate change impacts.	Outcome 1.3: Enhanced capacity of national and sub-national centres and networks to effectively address and mitigate <del>the impacts of</del> climate change <del>impacts</del>	
	Output 1.4: A gender sensitive monitoring and evaluation strategy (MES) and monitoring plan with specific indicators for resource availability, resource use efficiency and climate impacts on land-use <del>and</del> water resources developed <del>and</del> implemented and monitored annually.	Outcome 1.4: Effective tracking of adaptation efforts, improved understanding of baseline situation and progress made towards climate-resilient land and water resources management and land-use planning.	
	Output 1.5: A KMAS to <del>promote applied research, collect and disseminate information on climate impacts on land-use and water resources and support immediate adaptive the adoption of climate resilient management interventions by documenting and disseminating real time lessons learned from pilot projects such as solar irrigation systems and CSA practices developed and implemented.</del> Output 1.6: A knowledge management platform under which to gather and disseminate information on the implementation of climate-resilient land and water management initiatives developed.	Outcome 1.5: Enhanced capacity for knowledge sharing and awareness-building regarding climate impacts on land-use and water resources, as well as the effective implementation of climate-resilient management initiatives.	
Component 2: Building gender-responsive climate resilient systems through targeted WEFE security interventions in vulnerable rural communities	<del>Output 2.1:3 At least 200 women and youth trained and equipped to implement climate-resilient practices, such as using solar-powered irrigation systems and adopting CSA techniques. Infrastructure outputs include the installation of 200 solar irrigation systems and the implementation of SLM practices on 3,000 hectares, ensuring immediate resilience benefits during the project lifecycle.</del> Output 2.1: Vulnerable rural stakeholders supported in adopting relevant climate-resilient techniques and technologies	Outcome 2.1: Enhanced resilience of key population groups, especially women and youth, through climate-resilient technologies and strengthened natural resource management, fostering sustainable adaptation to climate change.	4,216,977,754
	<del>Output 2.2: Natural WEFE resources/assets improved to withstand conditions resulting from climate change through, sustainable land management (SLM), climate smart agriculture (CSA) and integrated water resource planning implemented on 3000 hectares at various governance levels.</del>		
Component 3: Facilitating Climate-Resilient Financial Mechanisms for	Output 3.1: A pilot weather index insurance mechanism implemented, with capacity built for stakeholders Output 3.2: Natural WEFE resources/assets improved to design,	Outcome 3.1: Farmers and pastoralists in the target districts benefit from weather index insurance as a risk transfer	1,400,000

Split Cells

Merged Cells

Merged Cells

Vulnerable Communities	manage, and access withstand conditions resulting from climate change through climate-resilient financial instruments Output 3.2: A monitoring tool developed to track the performance and impacts of adaptation initiatives, including weather index insurance, enabling data-driven decision making and scalability. Output 3.3: Weather index insurance incorporated into national climate adaptation and agricultural frameworks through policy integration, stakeholder engagement, and operational guidelines. Output 3.4: Strategic partnerships established with public and private stakeholders to provide technical expertise, strengthen implementation capacity, and enhance the scalability of weather index insurance, land and water management systems	mechanism, enabling them to recover from climate shocks, sustain their livelihoods, and make investments in climate-resilient practices Outcome 2.2: Increased ecosystem resilience in response to climate change and variability-induced stress	
Component 4.3: Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana	Output 4.3.1: A six subnational impact analysis and 6 climate impact assessments assessing future surface and groundwater resource availability, crop yields and future water demand conducted. Output 4.3.2: Climate-resilient WEFE nexus scenarios that outline food, water, and energy security under climate change impacts developed. <i>[Three scenarios will be created to inform strategic planning and guide responses to various climate impacts on the WEFE nexus in Botswana]</i> Output 4.3.3: Awareness-raising to enhance awareness of the future impacts of climate change and the need for climate-smart practices and technologies conducted. Output 3.4: Weather index insurance products adapted to the local context are developed	Outcome 4.3.1: Improved Awareness of the future impacts of climate change and the need for climate-smart products and services enhanced.	1,666,046,786.0
Project Execution cost			799,613,875.57
Total Project Cost			9,216,590
Project Cycle Management Fee charged by the Implementing Entity (if applicable)			783,410
Amount of Financing Requested			10,000,000

#### Projected Calendar:

Milestones	Expected Dates
Start of Project Implementation	2026
Mid-term Review (if planned)	2028
Project Closing	2031
Terminal Evaluation	2031

## PART II: PROJECT JUSTIFICATION

**A.** Describe the project/programme components, particularly focusing on the concrete adaptation activities of the project, and how these activities contribute to climate resilience. For the case of a programme, show how the combination of individual projects will contribute to the overall increase in resilience.

#### Theory of Change

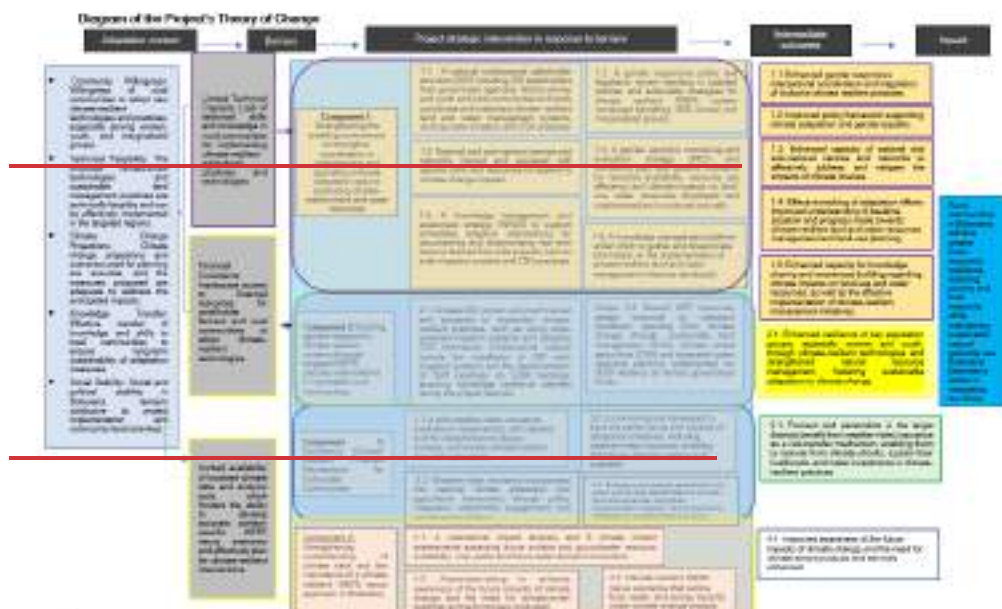
56. The Theory of Change for the project aims to address climate challenges impacting human and natural systems, particularly for vulnerable rural communities. The project promotes sustainable, climate-resilient water, food, and energy systems by tackling four key barriers: limited capacity in rural communities, inadequate institutional

coordination, lack of evidence-based decision-making, and insufficient access to finance.

57. The project will strengthen the enabling environment for integrated land and water management, implement targeted interventions in the WEFE nexus, facilitate access to adaptation finance, and improve understanding of climate risks. A multisectoral approach involving stakeholders from government, local communities, NGOs, and the private sector will ensure effective implementation. Gender equity and social inclusion are embedded to empower marginalized groups, particularly women and youth.
58. Component 1 will establish an MSS to coordinate sustainable land and water resource management, align national policies, support knowledge dissemination, and facilitate capacity building. Component 2 will implement climate-resilient WEFE interventions, such as solar-powered pumps, rainwater harvesting, and climate-smart agriculture, to enhance productivity, improve resource efficiency, and empower marginalized groups. ~~Component 3 will facilitate access to innovative and sustainable financial mechanisms, such as weather index insurance and adaptation finance tools, to empower vulnerable populations, including smallholder farmers, women, and youth, to recover from climate shocks, adopt climate-resilient practices, and build long-term adaptive by developing financial frameworks, building stakeholder capacity while ensuring alignment with national policies, and fostering strategic partnerships for scalability and sustainability. Financial literacy training and tracking public expenditure will help small-scale farmers overcome financial barriers. Component 4~~Component 3 will strengthen understanding of climate risks and promote climate-resilient practices through impact analyses, applied research, and awareness campaigns, fostering behavioural change and supporting climate-smart products and services. (including tailored weather-index insurance products).

#### 59. Adaptation Benefits

- Improved agricultural productivity, increased income for rural households, and job creation through renewable energy and agricultural production and processing systems.
- Community empowerment, gender equality, and enhanced capacity for climate adaptation among vulnerable groups.
- Sustainable management of natural resources, reduced reliance on biomass, improved soil health, and increased resilience of ecosystems to climate change.



## Assumptions

- Willingness of rural communities to adopt and integrate modern technologies and practices, especially among women, youth, and marginalized groups.
- Climate change projections and scenarios specific to the region and the resources proposed are adequate to address the anticipated impacts.
- Effective transfer of knowledge and skills to local communities to ensure long-term sustainability of adaptation measures.
- Social and political stability in Guatemala ensures conducive project implementation and community-level participation.



Barriers	Outputs	Outcomes	Components	AF Impact Level Results		
Limited Technical Capacity: Lack of technical skills and knowledge in rural communities for implementing climate-resilient agricultural practices and technologies	Output 1.1: A national institutional stakeholder structure established to coordinate and implement climate-resilient land and water management systems	Outcome 1.1: Enhanced gender-responsive intersectoral coordination and integration of inclusive climate-resilient practices	Component 1: Strengthening the enabling environment to facilitate coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management	Increased ecosystem resilience in response to climate change-induced stresses		
	Output 1.2: A gender-responsive policy and legislation review resulting in updated policies and adaptable strategies for climate-resilient WEFE system conducted	Outcome 1.2: Improved policy framework supporting climate adaptation and gender equality				
	Output 1.3: National and sub-national centres and networks formed and equipped with specific skills and resources to respond to climate change impacts	Outcome 1.3: Enhanced capacity of national and sub-national centres and networks to effectively address and mitigate climate change impacts				
	Output 1.4: A gender-sensitive MEF and monitoring plan with specific indicators for resource availability, resource use efficiency and climate impacts on land-use and water resources developed, implemented and monitored annually	Outcome 1.4: Effective tracking of adaptation efforts, improved understanding of baseline situation and progress made towards climate-resilient land and water resources management and land-use planning				
Financial Constraints: Inadequate access to financial resources for smallholder farmers and rural communities to adopt climate-resilient technologies	Output 1.5: A KIMS to promote applied research, collated and disseminate information on climate impacts on land-use and water resources and support the adoption of climate-resilient management interventions developed and implemented	Outcome 1.5: Enhanced capacity for knowledge sharing and awareness-building regarding climate impacts on land-use and water resources, as well as the effective implementation of climate-resilient management initiatives	Component 2: Building climate resilient systems through WEFE security interventions in vulnerable rural communities	Increased adaptive capacity of communities to respond to the impacts of climate change		
	Output 1.6: A knowledge management platform under which to gather and disseminate information on the implementation of climate-resilient land and water management initiatives developed					
	Output 2.1: Vulnerable rural stakeholders supported in adopting relevant climate-resilient technologies and technologies	Outcome 2.2: Increased ecosystem resilience in response to climate change and variability-induced stress				
Limited availability of localized climate data and analysis tools, hindering the ability to develop accurate, context-specific, WEFE nexus-informative and effectively plan for climate-resilient interventions	Output 2.2: Rural WEFE resources assets improved to withstand conditions resulting from climate change through climate-resilient land and water management systems	Outcome 2.2: Increased ecosystem resilience in response to climate change and variability-induced stress	Component 3: Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana			
	Output 3.1: Six subnational impact analysis assessing future surface and groundwater resource availability, crop yields and future water demand conducted	Outcome 3.1: Awareness of the future impacts of climate change and the need for climate-smart products and services enhanced				
	Output 3.2: Climate-resilient WEFE nexus scenarios that address food, water, and energy security under climate change impacts developed					
	Output 3.3: Awareness-raising to enhance awareness of the future impacts of climate change and the need for climate-smart practices and technologies conducted					
Output 3.4: Weather index insurance products adopted in the rural context are developed						
TOC Assumptions:						
o <b>COMMUNITY WILLINGNESS:</b> Willingness of rural communities to adopt new climate-resilient technologies and practices, especially among women, youth, and marginalized groups						
o <b>TECHNICAL FEASIBILITY:</b> the proposed climate-resilient technologies and sustainable land management practices are <b>technically feasible and can be effectively implemented</b> in the targeted areas						
o <b>CLIMATE CHANGE PROJECTIONS:</b> Climate change projections and scenarios used for planning are <b>accurate</b> , and the <b>measures proposed are adequate</b> to address the anticipated impacts						
o <b>KNOWLEDGE TRANSFER:</b> Effective transfer of knowledge and skills to local communities to ensure long-term sustainability of adaptive measures						
o <b>SOCIAL STABILITY:</b> Social and political stability in Botswana remains conducive to project implementation and community-level activities.						

The project aims to enhance climate resilience and sustainable development in Botswana, particularly for vulnerable communities reliant on traditional agriculture. By improving resource management, increasing access to finance, and promoting inclusive adaptation measures, the project will create resilient agricultural, water, and energy systems that can withstand climate impacts while delivering economic, social, and environmental benefits.

#### Project components

60. The identified barriers to climate adaptation will be addressed through of the identified components. Key in addressing this for the project, will be to ensure climate change impacts on the water, food, and energy systems, addressing both the human and natural systems simultaneously.
61. To achieve Component 1 the project will strengthen a Multisectoral Stakeholder Structure (MSS) that will set the national coordination framework to strengthen the enabling environment and guide implementation of activities for component 2 that will inter alia be based on the AF Environmental and Social Policy as well as the AF Gender Policy. The gender policy will address inequalities and ensure women and marginalised groups are beneficiaries. The national coordinating framework is driving recommendations and strategies for enhanced enforcement of policies and laws, setting technical standards, and ensuring monitoring of concrete adaptive projects. The national coordination framework strengthened through the MSS will among other things facilitate the development of the knowledge base that will provide the evidence to support decision-making. It will also increase the understanding of the financing needs and limitations through component 3 and enable the tracking of national public expenditure on climate change adaptation. ~~Component 3 will facilitate access to innovative and sustainable financial mechanisms, such as weather index insurance and adaptation finance tools, to empower vulnerable populations, including smallholder farmers, women, and youth, to recover from climate shocks, adopt climate resilient practices, and build long term adaptive capacity while ensuring alignment with national policies and fostering strategic partnerships for scalability and sustainability. To this end,~~ Under component 4 the project will promote climate change research that will help in identifying critical climatic adaptive needs and priorities that will require financing.
62. By partnering with local stakeholders, facilitating both human and institutional capacity building, knowledge generation and learning through dissemination and awareness raising amongst small-scale farmers including women and youth and other relevant stakeholders, the project will showcase technical success, strengthening the enabling environment and facilitating access to finance which paves the way for future sustainability and upscaling of WEFE integrated adaptation efforts in Botswana and other countries.

#### Component 1: ~~Strengthening the enabling environment to~~ **strengthenfacilitate** coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management.

63. Component 1 aims to strengthen an enabling environment for implementing and upscaling gender-responsive, climate-resilient management of land and water resources. This will be achieved through strengthening the gender-responsiveness intersectoral coordination, ensuring laws and regulations that enhance land and water resources management are enforced to support concrete adaptive activities. Institutional capacity will be built, and monitoring systems enhanced. The component thereby directly contributes to Adaptation Fund Outcome 2 “Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses”. The following outcomes, outputs and activities support the implementation of Component 1.

#### **Outcome 1:1: Enhanced gender responsive intersectoral coordination and integration of inclusive climate resilient practices**~~support.~~

64. Key to this is enhancing intersectoral coordination and support by strengthening an inclusive MSS from existing

government ministries, academic institutions, cooperatives, women and youth groups and other relevant public and private sector stakeholders. The MSS will be the basis of a national coordination framework that will enhance concrete climate change adaptation actions in Botswana. This intersectoral coordination will extend to include transboundary entities, to ensure that transboundary elements are considered. The following outputs and activities will contribute to achieving Outcome 1.1: Enhanced gender responsive intersectoral coordination and ~~support~~ integration of inclusive climate resilient practices.

*Output 1.1: A national multisectoral stakeholder structure ~~of~~ (MSS including 200 stakeholders from government agencies, NGOs and local communities) established to coordinate and implement climate--resilient ~~integrated~~ natural resource plans/land and water management systems*

**A 1.1:** Strengthen an MSS to coordinate and support the development and upscaling of climate resilient land and water management systems in Botswana.

To strengthen the MSS, a comprehensive approach involving diverse stakeholders will be undertaken. Key stakeholders will include government ministries such as the Ministry of Agricultural Development and Food Security, Ministry of Land Management Water and Sanitation Services, Ministry of Minerals and Energy, Ministry of Environment Natural Resources Conservation and Tourism, Ministry of Finance, along with academic institutions, cooperatives, women and youth groups private sector stakeholders, NGOs, and community representatives. Initial activities will focus on stakeholder mapping and analysis to identify key participants and roles. Initial stakeholder meetings will be organized to establish the MSS, during which terms of reference and operating procedures will be developed. To ensure ongoing coordination, regular MSS meetings will be held, facilitating the integration of climate-resilient land and water management activities across sectors.

~~**Outcome 1.2 Enhanced enforcement of laws and policies for integrated land and water resource management and WEFE integrated land-use planning.**~~

~~**Outcome 1.2: Improved policy framework supporting climate adaptation and gender equality**~~

65. The MSS will undertake a rapid review and provide recommendations and strategies for the enhanced enforcement of policies and laws with the potential for intersectoral coordination and alignment towards integrated land and water resource management and WEFE integrated planning. The following Output and activities will be implemented through the project to achieve Outcome 1.2:

~~*Output 1.2: Output 1.2: A gender responsive policy and legislation review resulting in updated policies and actionable strategies for climate resilient WEFE system conducted ~~benefiting 3000 women and marginalised groups.~~*~~

**A 1.2:** This activity will focus on analysing and identifying the non-climatic barriers to climate resilient land and water management systems, including regulatory and policy frameworks, land conflicts, incentive systems and investment and financing needs. A focus will also be on ~~a~~ identifying and removing barriers to access for women and marginalized groups, such as land conflicts, regulatory issues, and lack of financing. This will include enhancing the updates are gender responsive.

**A 1.3:** Develop recommendations and strategies for enhanced enforcement of policies and laws with the potential for intersectoral coordination and alignment towards the establishment and expansion of gender-responsive climate resilient land and water management systems benefiting marginalised groups. The emphasis will be on creating an enabling policy environment that ensures women and marginalized communities are prioritized in the adoption of climate-resilient practices. The recommendations will result in updating gender-responsive policies and legislation to support climate resilient WEFE management, resulting in at least 10 policy briefs.

**A 1.4:** Analyse the existing land-use planning measures at national, district and local governance levels, with a focus of identifying best practises and potential areas for incorporating a WEFE-integrated approach towards strategic, adaptive management of land and water resources. By incorporating best practices and a WEFE-integrated approach at national, district, and local levels, this activity ensures that land and water resource planning is inclusive. Specifically, it will make certain that women's and marginalized groups' needs are incorporated in planning processes, improving their resilience to climate impacts. This will be achieved by effectively implementing

the identified best practices at the local level of project areas, with a direct focus on enhanced access to and management of land and water resources for women and marginalized groups in particular, in close coordination with activities planned under Component 1. It is expected that a total 3,000 women and individuals from marginalized groups will benefit from this activity.

66. **Outcome 1.3:- Enhanced capacity of national and sub-national centres and networks to effectively address and mitigate climate change impacts.** A capacity needs assessment will be conducted, taking into consideration the gender gaps. The assessment will include investment and finance needs, required technical competencies as well as the technological needs of various stakeholders facilitated through the MSS. The identified capacity needs will be addressed through measures such as linking stakeholders to the knowledge-sharing platform, providing tailored training, and undertaking awareness campaigns and facilitating access to finance as needed. These measures will subsequently inform the Knowledge Management and Awareness system to be established by the MSS under Output 1.5. The following output and activities will be implemented to achieve Outcome 1.3:

*Output 1.3: National and sub-national centres and networks trained and equipped with specific skills and resources to respond to climate change impacts.*

**A 1.5:** Undertake a capacity needs assessment which takes into consideration gender gaps to identify the shortcomings and needs of women, youth, and marginalised groups. This will include investment and finance needs, required technical competencies, and technological needs.

**A 1.6:** Establish a gender-sensitive grievance redress mechanism for all project stages that provides people affected by the project with an accessible, transparent, fair, and effective process for receiving and addressing their complaints about environmental or social harms.

**A 1.7:** Address capacity requirements of stakeholders through relevant training targeting at least 50% women and marginalised group, in accordance with the needs identified also as part of the monitoring and learning undertaken under activities in Output 1.4. This includes developing courses and materials for training and awareness informed by the knowledge management strategy and knowledge management platform.

**Outcome 1.4: Effective tracking of adaptation efforts, improved understanding of baseline situation and progress made towards climate-resilient land and water resources management and land-use planning.**

67. Under its mandate, the MSS will develop, implement, and maintain a Monitoring and Evaluation Strategy (MES) and Knowledge Management and Awareness Strategy (KMAS) specific to the proposed project. This will be designed to ensure effective monitoring, evaluation, and knowledge dissemination of the project's activities. The strategies will also contribute to the broader goal of improving land and water resources management and land-use planning in Botswana by generating valuable insights and best practices that can be applied at the national level. The scope of the MES and KMAS will include refining existing systems, or, where necessary, establishing new systems to support monitoring and knowledge management.
68. A gender sensitive MES will be developed, incorporating indicators for assessing resource availability (including financial resources), resource use efficiency and climate impacts on land-use and water resources. Monitoring will be undertaken in accordance with a monitoring plan to be established by the MSS. In accordance with the monitoring plan, the MSS will continually monitor and assess the capacity needs of key stakeholders. ~~Output 1.4 and~~ Output 1.4 will contribute to the achievement of Outcome 1.4:

*Output 1.4: A gender sensitive monitoring and evaluation strategy (MES) and monitoring plan with specific indicators for resource availability, resource use efficiency and climate impacts on land-use and water resources developed implemented and monitored annually.*

**A 1.8:** Develop and implement a monitoring and evaluation strategy that sets a baseline and incorporates indicators for assessing and tracking progress on resource availability, resource use efficiency and climate impacts on land-use and water resources at national, sub-national and project levels.

**A 1.9:** Establish a monitoring plan in accordance with which monitoring of key indicators will be undertaken continually. Key indicators will include 1) Number of solar irrigation systems installed (200 systems), 2) Area of land rehabilitated using CSA and SLM practices (3,000 hectares), 3) Number of stakeholders trained to implement adaptive technologies (200 individuals, 40% women).

**A 1.10:** As part of the monitoring plan, continually undertake a stakeholder capacity needs assessment involving agricultural, water, energy and environmental sector actors and public officials, to identify capacity needs to promote the development and upscaling of climate resilient land and water management systems.

69. **Outcome 1.5: Enhanced capacity for knowledge sharing and awareness-building regarding climate impacts on land-use and water resources, as well as the effective implementation of climate-resilient management initiatives.** The KMAS will facilitate the assembling and undertaking of applied, WEFE prioritised research as well as the dissemination of information pertaining to climate impacts on land-use and water resources and effective intervention measures. In doing so, it will guide stakeholders in adopting and upscaling adaptive management interventions and inform and update capacity building (training and awareness) efforts on the most effective methods and technologies. Outcome 1.5 will be achieved through Output 1.5 and Output 1.6:

~~Output 1.5:~~ *Output 1.5: A KMAS to promote applied research, collect and disseminate information on climate impacts on land-use and water resources and support the adoption of climate resilient management interventions developed and implemented.*

The outputs will directly inform ongoing adaptive activities during the project, ensuring timely course corrections and scaling where feasible

**A 1.11:** Develop and implement a KMAS to facilitate the gathering and dissemination of information on the implementation of climate-resilient land and water management initiatives and results from the monitoring and evaluation platform.

*Output 1.6: A knowledge management platform under which to gather and disseminate information on the implementation of climate-resilient land and water management initiatives developed.*

**A 1.12:** Develop a knowledge management platform (KMP) under which to gather and disseminate information on the implementation of climate-resilient land and water management initiatives.

**A 1.13:** Establish and maintain a legal register to monitor and safeguard human rights, ensure compliance with all applicable domestic and international laws, and adhere to technical, social, and environmental standards and safeguards throughout the project implementation.

## **Component 2: -Building gender-responsive climate resilient systems through targeted WEFE security interventions in priority-areas-vulnerable rural communities**

Component 2 encompasses targeted interventions aimed at demonstrating the potential of climate-resilient, integrated management of land and water resources management and development to enhance rural livelihoods and resilience.

70. 106. Climate change is expected to have a significant influence on ecosystems, due to rising temperatures, increased frequency and intensity of extreme events and shorter growing periods. Recurring droughts alternating with periods of floods also accelerate land degradation and contribute directly to desertification. In addition, reduced agricultural productivity and population growth might lead to an increased strain on scarce natural resources, in particular land and water. Vulnerable households are disproportionately affected by the effects of climate change, including because their lack of knowledge on climate resilient techniques (including soil and water conservation) leaves them unable to solve land degradation issues, and consequently faces them with decreases in production and productivity.
- ~~70.~~ 71. Under the present component, twelve demonstrations pilots will serve to showcase the

strengthening of climate resilient sustainable water and land management and facilitate the integration of renewable energy to support strengthening of the water and food systems. The concrete actions to support climate change adaptation in the water and food systems will be identified through a predefined criteria in compliance with AF Environmental and Social Policy as well as the Gender Policy with at least 40% of the direct beneficiaries in the pilot projects will be women and marginalised groups. The specific targeting approach and targeting criteria will be further defined at project proposal stage, while a framework and methodology for the multi-criteria selection analysis, and further detailed targeting strategy (outlining specific mechanisms) will be developed ~~by~~ at the ~~MSS that will consider~~ start of project implementation considering - amongst others - climate change vulnerability, potential for locally led adaptation, gender and social inclusion criteria, environmental and social criteria and risks and potential for contributing to future scaling. ~~This~~ The MSS will be developed closely involved in the ~~full proposal development stage.~~ implementation of this framework to ensure national ownership of the approach.

~~71-72.~~ The component directly contributes to Adaptation Fund Outcome 5 “Increased ecosystem resilience in response to climate change and variability-induced stress” and Outcome 7 “Outcome 7: Improved policies and regulations that promote and enforce resilience measures”. The following outcomes, outputs and activities support the delivery of actions under Component 2:

**Outcome 2.1: -Enhanced ~~awareness~~ resilience of key population groups, especially women and ownership of youth, through climate-resilient technologies and strengthened natural resource management, fostering sustainable adaptation and to climate risk reduction processes at local level change**

~~72-73.~~ The 12 demonstrations will seek to enhance climate-resilient natural resource-use efficiency through sustainable land and water management (SLWM), climate smart agriculture (CSA) techniques such as drip irrigation and the implementation of integrated land and water resource planning at the community level ensuring women and marginalised groups benefit. The approach extends to fostering access to affordable renewable energy, enabling agricultural value chain improvement, minimising losses, diversifying livelihoods, and boosting income. More detail on potential sites will be provided during the development of a Funding Proposal, and where sites are in transboundary settings, the proposed initiatives will be undertaken in line with the relevant river basin organisation to ensure coherence with transboundary agreements and develop the potential for future upscaling within the basin. The following activities under ~~Outputs 2.1 and~~ Output 2.2.1 will support the implementation of Outcome 2.1.

*Output 2.1: ~~Targeted population groups including women and youth participating~~ Vulnerable rural stakeholders supported in appropriate adaptation responses and risk reduction awareness activities through access to adopting relevant climate-resilient innovative technology and infrastructure established (solar pumps, wastewater reuse, aquifer recharge, solar desalination), techniques and technologies*

**A 2.1:** The MSS (strengthened under activity A 1.1), will ~~guide~~ support a comprehensive screening and identification of priority areas for the roll-out of demonstration initiatives. Intervention areas will be selected using a multi-criteria analysis that will include screening against environmental and social criteria, prioritising areas demonstrating substantial climate-change vulnerability, targeting rural women, vulnerable communities, and marginalised groups. The specific targeting approach and targeting criteria will be further defined at project proposal stage, while a framework and methodology for the multi-criteria selection analysis, and further detailed targeting strategy (outlining specific mechanisms) will be developed ~~by~~ at the ~~MSS that will consider~~ start of project implementation considering - amongst others - climate change vulnerability, potential for locally led adaptation, gender and social inclusion criteria, environmental and social criteria and risks and potential for contributing to future scaling. The MSS will be closely involved in the implementation of this framework to ensure national ownership of the approach.

**A 2.2:** Release a public call for interested farmers within selected priority areas that will be identified through studies undertaken in Component 4.3.

**A 2.3:** Select applicants using predefined selection criteria that prioritise the involvement of those demonstrating a potential for long-term engagement in farming, while ensuring fair and equal access to targeted individuals,



including marginalised or vulnerable groups, women, and youth. The selection of the candidates – will be based on a predefined criteria which will be compliance with the AF Environmental and Social Policy as well as the Gender Policy.

**A 2.4:** Focuses on demonstrating climate resilient sustainable land and water management approaches such as installing drip irrigation applying solar powered water pumps and introducing conservation agriculture across 12 priority sites with small-scale producers (at least 40% women, men, and youth) based on pre-demonstration study results to enhance the water and food systems in Botswana. This activity supports training in basic CSA techniques this may include:

- a) implementing improved, water efficient irrigation systems. This will include installation of 50 drip irrigation systems to optimize water use, in a context where climate change is straining the availability of water resources, thereby enhancing climate resilience.
- b) introducing sustainable land-management regimes, to address climate-driven land degradation issues. This will include training communities including women and youth on conservation agriculture techniques such as minimum tillage, crop rotation, and mulching on 30003,000 hectares for improved soil health, reduced soil erosion and increased agricultural productivity.
- a) Provision of necessary tools and equipment to support adoption of these climate resilient techniques.
- b) applying solar powered water pumps for irrigation. Installation of 200 solar-powered water pumps for reliable irrigation on 20002,000 hectares to increase water efficiency and extended irrigation coverage. This will include training of farmers including women and youth on the operation and maintenance of these systems. The guaranteed access to water in a context of climate-driven water stress coupled to reduced pluviometry will directly enhance the resilience of these production systems.
- c) Similarly, developing a climate resilient water source for irrigation as dictated by the landscape including through the developing water supply points, wastewater reuse, aquifer recharge and desalinisation- will enhance access to water resources and increase resilience. Construction of 100 rainwater harvesting systems, 20200 small-scale water storage facilities, wastewater reuse systems, aquifer recharge, and desalinization units in 10 villages. Local communities will be engaged in the planning and management of the systems, and the knowledge of experienced actors (such as the Articulação no Semiárido Brasileiro (ASA), Brazil), will be sought.
- d) installation of 20 solar-powered cold storage rooms for agricultural products to reduce climate change driven post-harvest losses (due to increasing temperatures, extreme weather events, and improved increase in pests and diseases) and improve value addition with a focus on women and youth, on value addition techniques and the use of these technologies.
- e) using 50 solar powered processing machines; for value addition- and enhanced conservation, thereby reducing climate driven post harvest loss; and
- f) implementing 100 solar dryers and ovens to process agricultural products to enhance product shelf life and facilitate value addition resulting in increased climate adaptation, and therefore economic resilience and food security.

#### **Outcome 2.2:- Increased ecosystem resilience in response to climate change and variability-induced stress**

73-74. The ecosystem is critical to the water, food, and energy system; however, it faces stress from climate change and variability. Concrete actions must be identified and implemented in vulnerable areas to ensure natural resource use efficiency and increase ecosystem resilience. Activities under Output 2.2 contributes to increasing ecosystem resilience. Activities include land-use management and water planning to improve soil health, retain water, and support biodiversity, specifically targeting degraded ecosystems critical for water, food, and energy security. Focus will be on ecosystems within areas identified as vulnerable to climate impacts, including rangelands, agricultural lands, and water-stressed regions. The activities aim to enhance water retention and soil conservation, contributing to resilience against drought and extreme weather.

*Output 2.2: Natural WEF resources assets improved to withstand conditions resulting from climate change through, sustainable land management (SLM), climate smart agriculture (CSA) and integrated water resource planning*

implemented on ~~3000~~3,000 hectares at various governance levels.

**A 2.5:** Identified vulnerable areas incorporate land-use and water resources management planning at the local level by means of Community Based Natural Resources Management (CBNRM) based on existing best practises.

**A 2.6:** As part of the continual capacity needs assessment conducted under Output 1.3, consult selected farmers, including women and youth groups farmer committees and communities in identified areas to gather perspectives on farmer and community needs and challenges to improve agricultural production and processing systems and diversify livelihoods that promote climate resilient land and water management systems.

**A 2.7:** Testing and Optimizing Climate-Resilient Land and Water Management Systems based on community needs. The activity ~~expandsexpands~~ on A.2.4 by testing and optimizing climate-resilient land and water management systems based on community needs gathered through ongoing assessments. ~~This activity emphasizes customized systems designed for specific local.~~ The activities will be implemented through participatory selection, training, piloting and customisation of systems to adapt them to local conditions, focusing on agricultural systems improvements and livelihood diversification to ~~further~~ enhance resilience ~~further~~. This ~~will~~may entail implementing climate resilient land and water management systems across 3000 hectares in high vulnerability areas. CSA techniques (e.g., agroforestry, crop rotation, conservation tillage) and installation of 200 solar powered irrigation systems will be implemented. A new rainwater harvesting and water storage systems, (such as underground dams), cultivation pilots for perennial grains such as perennial sorghum and pulses, in partnership with institutions that have invested in selecting cultivars suitable for semi-arid environments, especially CIMMYT and training on CSA practices. The Land Institute, and water management to local farmers will be provided. Ultimately, the introduction of ultra-efficient irrigation systems such as sub-surface drip irrigation. Enhanced access to water will directly address a key climate change driven challenge in targeted areas, while perennial grains offer integrated sustainable land management solutions, both providing climate resilient crops and ensuring continuous land cover thereby decreasing erosion risk, in a context where climate change accelerates land degradation. To document and share results, a monitoring and evaluation framework will be developed and implemented to track progress and optimize interventions based on data and community feedback ~~will be developed.~~

**A 2.8:** Updating and implementing site-specific Environmental and Social Management Plans (ESMPs) which guide all project activities. During the Funding Proposal stage, the prerequisite Environmental and Social Management Framework and Plans will be developed to guide all Environmental and Social Safeguards to be implemented during project implementation. The ESMPs will outline project implementation requirements in alignment with mitigation measures devised, specific requirements identified by stakeholders and the obligations outlined in the legal register under Output 1.5. The ESMPs will ensure adherence to human rights provisions, compliance with all pertinent domestic and international laws and upholding the requisite technical, social, and environmental standards and safeguards throughout the duration of the project in compliance with the AF Environmental and Social Policy as well as the Gender Policy.

**A 2.9:** Monitoring and Evaluating Ecosystem Resilience, Value Chain Improvement, and Livelihood Diversification in accordance with the monitoring and evaluation strategy and monitoring plan developed under activities from Output 1.4, continually identify capacity needs and monitor progress against key indicators including indicators. Monitoring results will feed into the KMP under activity from Output 1.5.

**A 2.10:** Addressing stakeholders capacity needs through training and awareness campaigns based on needs identified through ongoing monitoring to ensure stakeholders are equipped implement and sustain adaptation measures.

### **Component 3: Facilitating Climate-Resilient Financial Mechanisms for Vulnerable Communities**

**74- Component 3** focuses on improving access to innovative financial mechanisms that support concrete adaptation measures, particularly for vulnerable populations such as smallholder farmers and pastoralists. The integration of weather index insurance as a key financial instrument provides a risk transfer mechanism that directly supports adaptation efforts, enabling farmers to recover from climate shocks, maintain productivity, and invest through the



sharing in sustainable practices. Index-based weather insurance will be further developed during project design through detailed consultations with insurance providers. This component also ensures alignment with national policies and fosters partnerships to enhance scalability and sustainability.

**Outcome 3: Farmers and pastoralists in the target districts benefit from weather index insurance as a risk transfer mechanism, enabling them to recover from climate shocks, sustain their livelihoods, and make investments in climate-resilient practices.**

*Output 3.1: A pilot weather index insurance mechanism implemented, with capacity built for stakeholders to design, manage, and access climate-resilient financial instruments.*

#### **A3.1:**

~~Component 3 – a detailed analysis will be undertaken to identify the specific climate risks affecting smallholder farmers and pastoralists, including droughts, floods, pests, and temperature variability. This assessment will map engaging the vulnerabilities of different demographic groups, especially women-headed households, and youth-led enterprises, ensuring their needs are prioritized. The results will inform the design of insurance schemes tailored to address the local context.~~

~~A3.2: Partnerships will be established with national and regional insurance providers to design weather index insurance products. International reinsurers will also be involved to ensure financial viability using AF. Collaboration will include workshops to develop insurance products with features using AF funds like drought or flood triggers, affordability mechanisms, and community engagement to ensure buy-in.~~

~~A3.3: Historical weather data (e.g., rainfall, temperature trends) and agricultural production records will be analyzed to identify thresholds for triggering payouts. Advanced tools like satellite imagery and climate models will help define these thresholds. This activity ensures that the insurance product is scientifically strong and responsive to local climatic conditions.~~

~~A3.4: Interactive workshops will educate communities about the benefits, limitations, and mechanisms of weather index insurance. These sessions will be tailored to ensure inclusivity, using local languages and participatory methods to engage women and youth. Role-playing scenarios will illustrate how insurance payouts function during climate shocks.~~

~~A3.5: Comprehensive training will be provided to local stakeholders on managing insurance products, including enrolling clients, managing claims, and using digital tools for monitoring and reporting. These sessions will build the capacity of cooperatives and local agents to sustain insurance services post-project.~~

~~A3.6: Agricultural extension officers will be trained to promote insurance uptake as part of their outreach on climate-resilient practices. They will act as trusted intermediaries, building confidence in the insurance schemes while linking them to CSA techniques introduced under Component 2.~~

~~A3.7: A pilot will be implemented in three target districts to test the effectiveness and scalability of the insurance product. The pilot will cover 5,000 farmers initially, focusing on those adopting CSA practices. Success metrics such as enrolment rates, claims processed, and farmer satisfaction will be monitored.~~

*Output 3.2: A monitoring tool developed to track the performance and impacts of adaptation initiatives, including weather index insurance, enabling data-driven decision-making and scalability.*

~~A3.8: The tool will be used to assess the effectiveness of pilot insurance schemes, focusing on metrics such as payout frequency, coverage rates, and impacts on farmer resilience. Lessons learned will inform adjustments to the~~

financing mechanisms and provide a basis for scaling successful models.

*Output 3.3: Weather index insurance incorporated into national climate adaptation and agricultural frameworks through policy integration, stakeholder engagement, and operational guidelines*

**A3.9:** This activity integrates consultations with the Ministry of Finance, Ministry of Agriculture, and other relevant government bodies to align weather index insurance with national climate adaptation and agricultural strategies. It includes forming a multi-stakeholder working group with representatives from government agencies, private insurers, farmer associations, and technical experts to address policy gaps, guide implementation, and develop operational guidelines. Policy briefs will be produced to highlight the benefits of weather index insurance and provide actionable recommendations for institutional integration and scalability.

*Output 3.4: Strategic partnerships established with public and private stakeholders to provide technical expertise, strengthen implementation capacity, and enhance the scalability of weather index insurance*

**A3.10:** Partnerships will be established with organizations like the Phoenix of Botswana Assurance Company Limited, PULA, World Food Programme (WFP), and local banks to leverage their expertise in designing and implementing weather index insurance schemes. These collaborators will contribute technical knowledge, logistical support, and access to networks to ensure the successful roll-out of the insurance mechanism. The partnerships will also align insurance initiatives with broader adaptation programs, such as those addressing food security and disaster risk reduction, enhancing scalability and impact.

#### **Component 4: Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana.**

75. Under this component, the knowledge and awareness of individuals and communities on future resource scarcity because of climate change will be enhanced. The aim of this component will be to facilitate behavioural change that will facilitate the sustainable use of natural resources and promote practices that are sustainable and climate resilient. The component directly contributes to Adaptation Fund Outcome 3: “Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level”.

**Outcome 43.1: Awareness of the future impacts of climate change and the need for climate-smart products and services enhanced.**

76. ~~6~~**Six** Sub-national analyses of climate change impacts on the WEFE Nexus will be conducted and they will contribute to knowledge products that will inform smart water and land use (climate-proofing supply, land-use planning, contributing to LDN targets, enhancing water use efficiency, and optimising allocative efficiency), climate-smart agriculture and the use of renewable energy.

77. Additionally, existing agricultural production and processing systems within the WEFE nexus will be analysed and strengthened for climate resilience. Similarly, and building on these analyses, an assessment of climate risks affecting farmers and pastoralists will be conducted to inform the enhancement of insurance schemes, allowing them to be tailored to the local context. During the development of a full funding proposal, existing agricultural production and processing systems will be identified and described to determine the specific needs within the country. Output 43.1, Output 43.2, Output 3.3 and Output 3.4-3 will contribute to Outcome 43.1.

*Output 43.1-6-A: Six subnational impact analysis assessing future surface and groundwater resource availability, crop yields and future water demand conducted.*

**A 43.1:** Undertake 6 subnational climate impact analyses (6 climate impact assessments) to assess inter alia future resource availability in surface and groundwater, crop yields and future water demand. This will involve collecting

and analyzing data on climatic trends and their impact on water resources, agriculture, and energy systems at a subnational level in collaboration with Ministry of Environment, Ministry of Water Resources, local government authorities and academic research institutions. Baseline data on climate risks and impacts on water, energy, food, and ecosystem (WEFE) systems at the subnational level will be collected and analyzed to identify hotspots and areas of high vulnerability. The findings will be presented in community workshops to gather feedback and incorporate local knowledge into climate risk planning. These assessments will be carried out in key regions representing diverse climatic and 6 ecological zones in Botswana.

*Output 43.2: Climate-resilient WEFE nexus scenarios that outline food, water, and energy security under climate change impacts developed.*

**A 43.2:** Based on the sub-national impact assessments, develop three climate resilient WEFE nexus scenarios for Botswana that outline food, water, and energy security under climate change impacts. These three scenarios will inform strategic planning and decision-making to ensure resilience across the WEFE nexus.

*Output 43.3: Awareness-raising to enhance awareness of the future impacts of climate change and the need for climate -smart practices and technologies conducted.*

**A 43.3:** Three detailed reports will be conducted to strengthen existing agricultural, water resources, and energy agricultural production and processing systems for climate resilience. These reports will include: 1) an assessment of value chain vulnerabilities, 2) recommendations for climate resilience strategies, and 3) guidance for implementation agricultural production and processing systems agricultural production and processing systems. Awareness campaigns will be carried out through local radio, community theatre, and school programs. Special efforts will be made to involve youth and marginalized groups, with a focus on understanding how climate-smart practices can help them adapt.

**A 43.4:** Develop and disseminate awareness-raising products based on the KMAS. These products will target various actors in the value chain, including producers, intermediaries, and end-users, to enhance their understanding of the future impacts of climate change and the importance of climate-smart practices and technologies.

**A 43.5:** Conduct workshops and develop a monitoring tool to identify and address trade-offs within the WEFE Nexus due to climate extremes or other shocks, engaging stakeholders in sustainable, climate-resilient strategies through integrated resource management.

*Output 3.4: Weather index insurance products adapted to the local context are developed*

**A3.6:** Building on other analyses conducted under the present outcome, a detailed analysis will be undertaken to identify the specific climate risks affecting smallholder farmers and pastoralists, including droughts, floods, pests, and temperature variability. This assessment will map engaging the vulnerabilities of different demographic groups, especially women-headed households, and youth-led enterprises, ensuring their needs are prioritized. The results will inform the design of insurance schemes tailored to address the local context.

**A3.7:** Partnerships will be established with national and regional insurance providers to enhance existing weather-index insurance products. Collaboration will include workshops to develop insurance products with features using AF funds like drought or flood triggers, affordability mechanisms, and community engagement to ensure buy-in.

**A3.8:** Historical weather data (e.g., rainfall, temperature trends) and agricultural production records will be analyzed to identify thresholds for triggering payouts. Advanced tools like satellite imagery and climate models will help define these thresholds. This activity ensures that the insurance product is scientifically strong and responsive to local climatic conditions.

**A3.9:** Interactive workshops will educate communities about the benefits, limitations, and mechanisms of weather-index insurance. These sessions will be tailored to ensure inclusivity, using local languages and participatory methods to engage women and youth. Role-playing scenarios will illustrate how insurance payouts function during

climate shocks.

A3.10: Comprehensive training will be provided to local stakeholders on managing insurance products, including enrolling clients, managing claims, and using digital tools for monitoring and reporting. These sessions will build the capacity of cooperatives and local agents to sustain insurance services post-project.

A3.11: Agricultural extension officers will be trained to promote insurance uptake as part of their outreach on climate-resilient practices. They will act as trusted intermediaries, building confidence in the insurance schemes while linking them to CSA techniques introduced under Component 2.

**B. Describe how the project/programme provides economic, social, and environmental benefits, with reference to the most vulnerable communities, and vulnerable groups within communities, including gender considerations. Describe how the project/programme will avoid or mitigate negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Adaptation Fund.**

78. The project is designed to embody a multifaceted approach to address the pressing challenges of climate change, fostering economic, social, and environmental benefits, specifically targeting vulnerable rural communities. Project outputs and outcomes conscientiously align with the Environmental and Social Policy and Gender Policy of the Adaptation Fund, ensuring a sustainable and inclusive approach to adaptation.

#### Economic benefits

79. **Increased Productivity and Income:** The project aims to enhance agricultural productivity by implementing climate-smart agricultural practices. This will lead to an estimated increase of 20% in yields, benefiting smallholder farmers. Improved water and energy efficiency will reduce production costs by approximately 15%, enhancing income for around 5,000 smallholder farmers.

80. **Sustainable livelihoods:** The project aims to enhance the resilience of local economies by promoting climate-smart, sustainable agriculture, water, and energy management practices. Integral to this strategy is the facilitation of improvements in the agricultural value chain, thereby optimizing income potential. Through structured training and support initiatives, community members will be empowered to enhance agricultural yields and minimize wastage. It is expected that household income in project areas will increase by 20% due to improved agricultural productivity and market access.

81. **Skills development and job creation:** By introducing new technologies and practices, the project will create job opportunities in various sectors, including the renewable energy and energy efficiency sector, and the improved agricultural value chain through enhancements in processing, packaging, and cold storage facilities. It is estimated that the project will create around 1,000 direct jobs benefiting 40% women and 30 % youth through the installation and maintenance of solar pumps, wastewater reuse systems, and other infrastructure. Capacity-building initiatives will be undertaken to equip community members, especially women and youth, to fully capitalize on these opportunities.

82. **Enhanced access to adaptation finance:** The project will facilitate greater access to financial resources for the vulnerable communities, aiding them to capitalise on climate smart, adaptive technologies. This could leverage an additional USD 5 million in private investment over the project period. By de-risking investment opportunities, collaborating with financial institutions and leveraging additional funding opportunities, the project will create pathways for communities to secure necessary funding. Additionally, capacity-building endeavours will be implemented focusing on financial literacy training and nurturing an entrepreneurial spirit. This is anticipated to facilitate the emergence of new business ventures and expansion of existing enterprises.

#### Social benefits

83. The social benefits of the project include improved food security for 1,500 households, with the rate of food insecurity expected to decrease from 60% to 40%, lifting 300 households out of food insecurity. Enhanced access to water will benefit 2,000 households, increasing daily water availability by 50 litres per household, totalling 100,000 additional litres of water available daily. The project will also provide significant educational and health benefits, particularly for 500 women and children, by saving them 2 hours daily from fetching water, thus freeing up 1,000 hours daily for education and productive activities.
84. **Community empowerment and gender equality:** The project is committed to fostering community empowerment by actively promoting community involvement through incorporating CBNRM principles and gathering and building on existing indigenous knowledge. A particular focus will be given to enhancing gender equality, by encouraging the participation of women in leadership roles and project implementation teams. Approximately 8 000 women and 6400 youth will participate in project activities, promoting gender equality and community ownership. Furthermore, since women constitute a significant proportion of Botswana's arable farmers, they stand to directly benefit from the project. Enhanced access to electricity will serve to streamline their tasks, thus freeing up time for other pursuits such as furthering education. The project will also provide significant educational and health benefits, particularly for women and children, by saving them 2 hours daily from fetching water, thus freeing up 1,000 hours daily for education and productive activities. The project ensures the equitable distribution of benefits through inclusive planning and targeted interventions. Community representatives, including women and youth, will be actively involved in project planning and decision-making processes. Participatory rural appraisals will be conducted to identify the specific needs and priorities of vulnerable groups. The project will prioritize the most vulnerable households for access to water and agricultural support and provide tailored training and resources to women-headed households and indigenous communities. A robust monitoring and evaluation framework will be developed to track the equitable distribution of benefits, collecting disaggregated data regularly to assess the reach and impact of project activities on different beneficiary groups. By implementing these measures, the project guarantees that benefits are distributed fairly and inclusively.
85. **Capacity building and awareness:** The programme intends to build capacity and raise awareness about climate change and its impacts as well as adaptation strategies. In this way the project aims to develop resilient communities of informed citizens who can actively participate in adaptation and mitigation strategies.

#### Environmental benefits

86. **Natural resource management:** The programme seeks to promote the sustainable management of natural resources through sustainable land on at least 5,000 hectares, water, and energy management practises, thereby alleviating pressure on the environment and aiding in the conservation of biodiversity. Through increased resource use efficiency and productivity of existing systems the project will reduce the strain on surrounding natural land and habitats. Furthermore, the reliance on natural woodlands for energy will be diminished through access to renewable energy sources. The installation of 100 solar-powered water pumps will reduce diesel usage by 150,000 litres annually, cutting carbon emissions by 405 tons of CO<sub>2</sub> annually. The project will improve soil health across 30003,000 hectares through sustainable land management practices, increasing soil organic matter by 1% annually and reducing soil erosion by 20%. reforestation will involve planting 50,000 native trees, restoring habitats for 10 key wildlife species and enhancing biodiversity conservation.
87. **Climate resilience:** By fostering the adoption of climate-resilient agricultural practices and water conservation strategies, the project seeks to build communities that are more resilient to the adverse effects of climate change.

#### **C. Describe or provide an analysis of the cost-effectiveness of the proposed project.**

Component	Benefits Generated	Alternative to Project
<b>Component 1:</b> Strengthening the enabling environment to <del>enable</del> <b>facilitate</b> coordination in implementing and upscaling concrete adaptation actions promoting climate-resilient land and water resources management.	The AF project will enhance multi-sectoral coordination to implement specific adaptive actions, such as installing solar-powered irrigation systems and rehabilitating degraded lands, ensuring measurable resilience benefits. Coordination efforts will avoid redundancies and streamline resource use, contributing to cost savings of approximately USD 500,000 annually. A national coordination framework strengthened through a Multi-stakeholder System will benefit the country towards climate resilient development. The knowledge platform will be critical in supporting holistic decision-making that will ensure risk-reduction to vulnerable communities in Botswana. By aligning with existing government structures and services, the project will seek to avoid duplicating efforts and will capitalise on established frameworks and connections. The project underscores the importance of collaborative efforts, forging partnerships with communities, local governmental bodies, and other stakeholders. By fostering partnerships, the project can leverage shared resources, knowledge, and expertise, contributing to a more cost-effective implementation.	The alternate option will be fragmented sector specific approaches without integrated coordination. The current fragmented approaches could lead to redundant projects costing an additional 20-30% due to lack of coordination. An alternative approach of using multiple uncoordinated adaptation projects could cost up to 50% more annually in management and operational expenses. Integrated approaches reduce costs by avoiding overlapping resources, which could save approximately USD 500,000 annually. Other adaptation frameworks that don't leverage government structures may also face delays and duplication.
<b>Component 2:</b> Building gender-responsive climate resilient systems through targeted WEFE security interventions in vulnerable rural communities	The project will develop concrete actions, aimed at improving livelihoods and contributing to improving the natural resource-use efficiency. The actions will allow IFAD and partners to work with national and local institutions to promote integrated water and land concrete actions addressing climate change adaptation and showcase proof of concepts that attract other potential investors upscaling climate resilient development. The project also has a strong focus on ensuring gender equality and social inclusion. The CBNRM approach that lies at the heart of the project is not just a gateway to inclusivity but also a vital cost-effectiveness strategy. Engaging communities directly not only fosters a deeper understanding and nuanced approach to local issues but can potentially reduce costs by employing community labour and utilising local materials and insights instead of resorting to external contractors and suppliers.	The traditional agricultural practices use high water and chemical inputs. Alternatives like large-scale centralized irrigation could increase water costs by 40-60% due to inefficient distribution and higher evaporation rates. The drip irrigation will reduce water use by 30-50% compared to traditional irrigation methods. This not only conserves water but also reduces energy costs associated with pumping water. The use of drought-resistant crops and agroforestry can increase crop yields by 20-40%, leading to higher income for farmers and improved food security. Traditional practices may lead to crop failures during droughts, costing farmers up to USD1,000 per hectare in lost income. Employing CSA practices can reduce these losses by up to 80% in drought conditions potentially, resulting in savings of \$800 per hectare in lost income.
<b>Component 3:</b> <del>Facilitating Climate-Resilient Financial Mechanisms for Vulnerable Communities</del>	<del>With Adaptation Fund support, Component 3 achieves cost-effectiveness by leveraging targeted investments to implement a scalable weather index insurance mechanism, directly benefitting 5,000 farmers in the pilot phase. The estimated cost per farmer for the insurance mechanism is \$100, which covers the design, implementation, and capacity-building activities, while potentially protecting up to \$5,000 worth of agricultural output per farmer annually from climate shocks. This 50:1 return on investment highlights the significant economic benefits of the intervention. Additionally, partnerships with insurers and government agencies reduce operational costs by an estimated 20%, as expertise and existing systems are utilized to design scientifically robust insurance products using advanced tools such as satellite imagery and climate models. These tools, supported by Adaptation Fund resources, ensure data-driven thresholds for payouts, minimizing inefficiencies and enhancing effectiveness. The inclusion of capacity-building workshops and training for 200 stakeholders ensures that local systems can sustain the mechanisms post-project, reducing long-term financial dependency and ensuring sustainability.</del>	<del>Without Adaptation Fund support, the financial and operational scope of Component 3 would likely be reduced, impacting its cost-effectiveness. The absence of significant external funding could increase the cost per farmer to \$150-\$200 due to the reliance on local partnerships and reduced access to advanced tools, potentially limiting the number of initial beneficiaries to 3,000 farmers in the pilot phase. Furthermore, scaling efforts may be constrained, leading to reduced geographic and demographic coverage. While alternative funding approaches, such as community-managed financial systems like Village Savings and Loan Associations (VSLAs), could provide support, these mechanisms are less effective in managing large-scale climate risks and have a slower return on investment. For instance, without advanced climate models, payouts may</del>

		<p>be less accurate, leading to inefficiencies and lower farmer confidence. Despite these challenges, government integration and localized partnerships might still yield a 20:1 return on investment by targeting affordable premiums and promoting simpler capacity-building efforts. However, the overall impact and scalability of the project would be diminished compared to the outcomes achievable with Adaptation Fund backing.</p>
<p><b>Component 43:</b> Strengthening understanding of climate risks and the importance of a climate resilient WEFE nexus approach in Botswana</p>	<p>This component is critical to support behavioural change amongst stakeholders and will invest in research to ensure that the people of Botswana have a clear understanding of the impact of a resource scarce country, with depleted water, food and energy resources and dysfunction water and food systems. Future adaptation measures will be facilitated by improving the existing knowledge base through knowledge gathering, learning and dissemination processes and showcasing of successful interventions, capacity building and creating awareness amongst stakeholders. The component will develop water, energy, food nexus scenarios which will allow key stakeholders working with government to identify trade-offs that will enhance responding to the impacts of climate change. This investment will also ensure that women and youth inequalities are considered in developing solutions and identifying solutions.</p> <p><u>At the same time and based on the information generated, the component will strive to promote and develop climate-smart products and services targeting rural communities, including tailored weather index insurance products.</u></p>	<p>Without the AF project, the country will continue to use generic national level data and scenarios without local specificity make limited impact in changing behaviours and ensuring that concrete actions for adaptation are integrated into strategies and plans, and implemented as a matter of urgency. Localized data and scenarios allow for more precise and effective interventions, reducing the risk of resource misallocation. Tailored approaches ensure that the specific needs of different regions are met. Understanding local climate risks helps in designing interventions that prevent or mitigate the impacts of extreme weather events, reducing economic losses.</p> <p>National-level data might lead to interventions that are only 50% effective due to lack of specificity. Alternative adaptation efforts using generalized data may increase costs by up to 30% due to misaligned resource allocation and could miss critical region-specific insights. Tailored approaches through this project improve effectiveness to 80-90%, potentially saving \$1 million annually in avoided damages and improved intervention outcomes. Alternative adaptation measures with generalized data may increase costs and reduce impact, underscoring the cost-effectiveness of a tailored approach.</p> <p><u>Without the project, weather-index insurance would remain largely inaccessible to vulnerable rural communities due to high costs, low awareness, and the lack of tailored products.</u></p>

**D. Describe how the project/programme is consistent with national or sub-national sustainable development strategies, including, where appropriate, national adaptation plan (NAP), national or sub-national development plans, poverty reduction strategies, national communications, or national adaptation programs of action, or other relevant instruments, where they exist.**

Policy/ Strategy / Plan	Project alignment
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<b>National Development Plan 11, 2017-2023</b>	<ul style="list-style-type: none"> <li>• Resonates with the objectives and targets of the plan to foster sustainable economic diversification and job creation initiatives.</li> <li>• Aligned with the plan's support of transitioning towards a knowledge-based economy through the infusion of climate-smart technologies and innovative approaches.</li> <li>• Supports targets for reducing the number of rural households dependent on wood fuel for energy.</li> <li>• Supports targets for providing access to electricity as well as developing cost effective, environmentally sustainable sources of energy.</li> <li>• Supports targets for reducing undernourishment.</li> <li>• Aligned with the plan's support for wastewater re-use for irrigation.</li> <li>• Supports the improvement of agricultural production and processing systems and establishing partnerships between producers and distribution networks and identifying growth potential towards economic diversification.</li> <li>• Supports the improvement of agricultural infrastructure, low productivity in the agricultural sector and adapting to the effects of climate change.</li> <li>• Supports the implementation of the Integrated Water and Energy Resource Management (IWERM) programme which promotes the efficient and optimal utilisation of energy and water resources.</li> </ul>
<b>Botswana Draft Climate Change Response Policy, 2016</b>	<ul style="list-style-type: none"> <li>• Mainstreams sustainability and climate change into development planning, hence, enhancing Botswana's resilience and capacity to respond to existing and anticipated climate change impacts.</li> <li>• Promotes low carbon development pathways and approaches that significantly contribute to socio economic development, environmental protection, poverty eradication.</li> <li>• Prioritises research and the use of indigenous knowledge to increase forest cover.</li> <li>• Facilitates community empowerment and engagement, thereby fostering environmental protection and poverty eradication.</li> <li>• Promotes alternative livelihoods and climate smart technologies.</li> </ul>
<b>National Climate Change Strategy for Botswana, 2018</b>	<ul style="list-style-type: none"> <li>• Includes strategic adaptation interventions with targets for various sectors. Those that resonate with the project include: <ul style="list-style-type: none"> <li>• Agriculture: <ul style="list-style-type: none"> <li>• Expanding the reach of Botswana's existing Climate Smart Agriculture (CSA) programmes; and</li> <li>• Providing low-cost credit, rebates, and other financial incentives to farmers for solar-power water pumps and biogas digesters.</li> </ul> </li> <li>• Water: <ul style="list-style-type: none"> <li>• Tap into technical and financial support for integrated water resource management projects by taking project ideas to project preparation and financing entities.</li> </ul> </li> <li>• Circulate and seek input to guidelines pertaining to the preparation of annual sectoral budgets to include a climate resilience water conservation, water harvesting and water efficiency line item.</li> <li>• Provide low-cost credit for enterprises that invest in water harvesting, grey water recycling and re-use systems.</li> <li>• Forest and woodland: <ul style="list-style-type: none"> <li>• Strengthen the existing CBNRM Programme with resources to guide and implement sustainable ecosystem management using both traditional practices and forestry sector best practice.</li> </ul> </li> <li>• Human settlements: <ul style="list-style-type: none"> <li>• Introduce updated climate smart agriculture courses.</li> <li>• Create a support programme to fund or subsidise the adoption of rainwater harvesting in urban and rural settlements.</li> </ul> </li> <li>• Investigate feasibility and design of a model to develop an endowment fund (possibly with contributions from private sector profit-making industries), to provide low-cost finance to climate change adaptation projects in rural settlements, drawing on lessons from established endowment funds.</li> </ul> </li> </ul>
<b>Botswana's Third National Communication to the UNFCCC, 2019</b>	<ul style="list-style-type: none"> <li>• Calls to introduce subsidies on solar electricity such as: <ul style="list-style-type: none"> <li>• Tax exemption on solar investment</li> <li>• Zero interest loans on solar investment</li> <li>• Part payment by the government on solar electricity tariffs</li> </ul> </li> </ul>
<b>National Adaptation Plan Framework for Botswana, 2020</b>	<p>The project aligns with the following approaches that were established to inform and guide the development and implementation of the NAP process for the country:</p> <ul style="list-style-type: none"> <li>• Horizontal and vertical integration</li> <li>• Promoting an Ecosystem-Based Adaptation (EbA) Approach</li> <li>• Community-based adaptation (CbA)</li> <li>• Gender-Responsive and Human Rights Approach</li> <li>• Rural and Urban Areas Planning Interfacing Approach</li> </ul> <p><b>Institutional arrangements:</b> The NAP puts multisectoral institutional arrangements in place which may be harnessed by the project. This includes the National Climate Change Unit (NCCU) and the National Committee on Climate Change (NCCC). It is recommended that the NCCU be strategically placed as a directorate under the Office of the President to ensure coordination across sectoral government business. The NCCU will design an integrated strategy that will ensure horizontal integration across the various ministries and departments. The NCCC has been established as a multisectoral advisory body to the government. The committee comprises</p>



	<p>members from government departments and ministries, NGOs, academia, and the private sector. Fundamentally, the NCCC must enhance the guiding principles of robust decision-making and implementation and integrate Indigenous and Traditional Knowledge and science into the NAP process.</p> <p><b>The project is aligned with the flowing NAP principles:</b></p> <ul style="list-style-type: none"> <li>• Inclusive participation of all stakeholders in planning and implementation: here the plan stresses the importance of the formation of subnational multisectoral committees (DCCCs) and that existing village structures such as the VDCs play an active role.</li> <li>• Maximizing co-benefits from adaptation projects and programs</li> <li>• Pro-poor and vulnerable group focussed: target improving the climate change awareness and knowledge of resources-poor households and vulnerable groups. In addition, there is a need to improve markets and accessibility to markets for the poor to improve their adaptive capacity with an emphasis on agricultural products.</li> <li>• Improving Markets as an Imperative for Effective Adaptation: For the private sector and communities to adapt to climate change, there is a need to improve market access, especially regarding smallholder farmers and vulnerable groups. Improved accessibility to markets will reduce the vulnerabilities of many sectors and ensure that poor and vulnerable groups can sell commodities and invest in effective adaptation measures.</li> <li>• Infusion of Indigenous and Traditional Knowledge and science into the NAP process.</li> </ul> <p><b>Adaptation finance:</b> One of the main objectives of the project is facilitating access to adaptation finance. This aligns to the following aspects of the NAP:</p> <p><b>Public finance:</b> The plan stresses the importance of mainstreaming climate change adaptation into the National Development Plan and the District Development Plans, and that it is vital that all ministries and corresponding departments, as well as local authorities, include adaptation in their planning and budgets. The plan maintains that this strategy will internally raise sufficient funding for the NAP implementation.</p> <p><b>Private finance:</b> it is expected that the private sector will also play an active role in financing adaptation projects and programs through commercial banks and lending institutions. This should be done by creating an enabling environment through appropriate financial incentives.</p> <p><b>Access to markets:</b> Additionally, improving access to markets will ensure that the private sector can raise resources and implement individual adaptation measures.</p> <p><b>Donor finance:</b> International and South-South Funding: International funding from multilateral sources includes the Adaptation Fund, the Green Climate Fund (GCF), and the Global Environment Facility, which can be leveraged to finance the NAP process in Botswana.</p>
<b>National Food Security Policy (2016)</b>	<p>The project enhances food security by promoting sustainable land and water management practices that increase agricultural productivity and resilience to climate impacts. This will be achieved through.</p> <ul style="list-style-type: none"> <li>• Increasing crop yields through improved irrigation and soil management practices.</li> <li>• Diversifying crops to reduce dependency on single crops and improve dietary diversity.</li> <li>• Strengthening agricultural value chains to reduce post-harvest losses and improve market access.</li> </ul>
<b>Botswana Renewable Energy Strategy (2017)</b>	<p>The project promotes the use of renewable energy technologies such as solar pumps and solar-powered cold storage, aligning with the strategy's goal of increasing the adoption of renewable energy solutions mainly because of</p> <ul style="list-style-type: none"> <li>• Installing solar-powered water pumps for irrigation and livestock watering.</li> <li>• Implementing solar energy solutions for cold storage and processing facilities.</li> <li>• Training communities on the benefits and maintenance of renewable energy technologies.</li> </ul>
<b>National Agricultural Development Policy (1991)</b>	<p>Sustainable agricultural practices, including CSA to be promoted, which is a key focus of the policy aims to improve agricultural productivity and resilience to climate change. The activities to support CSA will include.</p> <ul style="list-style-type: none"> <li>• Introducing CSA techniques such as drought-resistant crop varieties and conservation tillage.</li> <li>• Providing training to farmers on sustainable farming practices and resource management.</li> <li>• Establishing demonstration plots to showcase effective agricultural practices.</li> </ul>
<b>National Water Policy (2012)</b>	<p>Integrated water resource management practices will be prompted including water conservation, efficient irrigation systems, and rainwater harvesting. These practices align with the policy's objectives of sustainable water use and management and achieved through the following activities:</p> <ul style="list-style-type: none"> <li>• Implementing water-efficient irrigation systems like drip irrigation to optimize water use.</li> <li>• Promoting rainwater harvesting and wastewater reuse to enhance water availability.</li> <li>• Conducting awareness campaigns on water conservation practices.</li> </ul>
<b>Nationally Determined Contributions (NDC)</b>	<p>The Botswana NDC has a commitment to mitigating climate change and increasing climate resilience. Mitigation will be supported through promotion of solar energy. This supports the NDC to increase renewable energy use. The adaptations activities of the project aligning with the NDC includes, increasing water resources management, promotion of CSA, and strengthening community resilience. The proposed activities support the NDC to improve water security, and resilience, reducing vulnerability of the agricultural sector and empowering communities to adapt to changing climatic conditions.</p>

**E. Describe how the project/programme meets relevant national technical standards, where applicable, such as standards for environmental assessment, building codes, etc., and complies with the Environmental and Social Policy of the Adaptation Fund.**

88. The project is aligned to the national laws and regulations of Botswana as summarised in the table below and will ensure that any permits or licences that are required to comply with the law are obtained. Screening of proposed activities will ensure that there is compliance with Adaptation Fund's requirements in accordance with the Fund's Environmental and Social Policy, Gender Policy as well as IFAD's Environmental and Social Standards. Systems are in place to ensure that the project aligns with the relevant domestic and international laws and national technical standards as well as the Environmental and Social Policy of the Adaptation Fund. The project will develop an overall project ESMP and site specific ESMPs, which will include all necessary measures to comply with the technical standards and regulations mentioned below. This plan will guide project activities, monitor compliance, and ensure that any potential negative impacts are mitigated.
89. An overall ESMP will be developed during project design while site specific ESMPs will be developed during implementation and implemented to continuously assess compliance with the technical standards. Indicators related to environmental impact, water quality, waste management, and public health will be monitored regularly. Capacity building and training on ESMP monitoring will be undertaken to ensure that all stakeholders, including local communities and project staff, are aware of and can comply with the relevant technical standards.

National Technical Standards	Description and Project Alignments	Compliance
Environmental Assessment Act (Act 10 of 2011); Environmental Assessment Regulations, 2012	The Act sets out the list of activities, locations, and thresholds for which an environmental statement is required. It stipulates the environmental sensitive areas, and the different projects ranging from transboundary projects, waste management, energy industry, infrastructure development, processing industry, tourism, agriculture etc. Has a direct impact on the project under Component 2.	Project activities, especially those under Component 2, will undergo environmental assessments to ensure compliance with these regulations. The project will work closely with the Department of Environmental Affairs to obtain the necessary approvals and adhere to mitigation measures.
Botswana Bureau of Standards	Establishes and promotes national standards to enhance trade, benefit business and protect consumers and the environment, these standards will be critical in determining technologies to be deployed.	All technologies and methodologies used in the implementation, such as solar pumps, wastewater reuse systems, and climate-smart agriculture practices, comply with the Botswana Bureau of Standards.
Waste Management Act, 1998	The Act regulates the establishment of the Department of Waste Management and Pollution Control; to make provisions for the planning, facilitation, and implementation to set up systems to manage waste from being harmful; and regulates disposal of harmful waste on land. This will be critical influencing water resources and land use planning decisions.	Waste management plans, will be developed particularly in activities involving land and water use under Component 2, ensuring proper waste disposal and recycling practices are in place.
Agriculture Resources Act, 1974	The Act provides for the conservation and improvement of the agricultural resources of Botswana. Agriculture resources refer to water, soils, plant life and vegetation, animal life and fauna. Linked to proposed activities under Component 2.	Training will be done for farmers on sustainable land management practices, demonstration plots to showcase best practices, and continuous monitoring to ensure adherence to these practices.
Forestry Act, 1968	The Act provides for the better regulation and protection of forests and forest produce – it lists prohibited acts in forest reserves like felling, cutting, burning, removing of any forest produce. Critical for managing the natural system critical for WEFE security.	sustainable land management practices promoted by the project will comply with the Forestry Act, ensuring no illegal deforestation and promoting reforestation.
Public Health Act, 1981	Act is designed to maintain a good environment for the protection of human health – the act provides for the prevention of introduction of disease into Botswana.	the project will follow public health guidelines, in areas related to water and sanitation, ensuring that activities do not compromise public health.
Aquatic Weed Act, 1962	Act provides for the control of aquatic weeds – it provides regulations for eradication. It aims to ensure sustainability of life especially fish in water bodies in Botswana.	
Water Act, 1968	Act defines water rights, including water servitude. It provides for governing the use of water and stipulates the provisions on every water right granted.	Necessary water rights for irrigation and other uses. The project will also implement water conservation and management practices in compliance with the Act.

Tribal Land Act, 1968 and the Tribal Land (Amendment) Act, 1983	Act, 1968 transferred all the powers previously vested in Chiefs to allocate land to Land Boards. The Amendment in 1983 allows Land Boards after consultation to determine land zones.	Community consultations will be done to seek input and approval for project activities that impact tribal land
National Policy on Natural Resources Conservation and Development	Primary goal of the policy is to increase the effectiveness with which natural resources are used and managed – so that benefits are optimised, and harmful environmental effects minimised.	The project will implement integrated water resource management practices, sustainable land management techniques, and conservation agriculture methods. Training programs and workshops will be conducted to build capacity among local communities and stakeholders on sustainable practices.

**F. Describe if there is duplication of project/programme with other funding sources, if any.**

90. While avoiding duplication of efforts, the project will leverage and build on the achievements of other past and ongoing projects and programmes implemented in Botswana. The identified projects include the following:

Projects	Summary of project	Interventions and locations	Synergies with proposed project
AfDB Programme for Integrated Development and Adaptation to Climate Change (PIDACC-Zambezi) (2023-2028)	The overall objective was to strengthen the resilience of local communities in the Zambezi Basin to climate and economic shocks – the project is currently under development in Botswana.	<b>Interventions:</b> Focus on sustainable land and water management, infrastructure development, and climate-resilient agriculture. <b>Location:</b> Zambezi Basin area, including rural regions of Botswana.	This project is still under development and will approach GCF and GEF, and other windows. Botswana being a middle-income country doesn't benefit from ADF funding. <u>Follow-up will be made during project design to see progress and ensure no duplication in same geographical areas by coordinating during the design phase. Geographic overlap is not expected as the Zambezi river basin only overlaps with the furthest north of Botswana, which is itself not part of the present project's area).</u>
GEF International Waters Integrated Transboundary River Basin Management in the Limpopo River Basin (2023-2027)	The objective of the project is to strengthen transboundary cooperation in the Limpopo River Basin, and is implementing in four countries (Botswana, Mozambique, South Africa, and Zimbabwe)	<b>Interventions:</b> Sustainable land management (SLM) practices focused on soil conservation and ecosystem management at a single site in the Limpopo Basin. <b>Location:</b> Limpopo Basin, primarily one selected site in Botswana.	<u>The GEF Project covers the Limpopo Basin – there is and has a focus on SLM interventions however, this is confined to a single site – risk. The Limpopo river basin overlaps with the area of duplication is minimum as Mahalapye (Central district) targeted under the proposed present project – has a broader. However, the GEF project specifically targets the Mogobane pilot site in the Southeast district, thereby guaranteeing that no geographic focus overlap will occur.</u>
Green Climate Fund (GCF) funded project: <i>Ecosystem-Based Adaptation and Mitigation in Botswana's Communal Rangelands</i> (2021-2030)	The project interventions are designed to increase the adaptive capacity of the people of Botswana to respond to the impacts of climate change in the country's communal lands.	<b>Interventions:</b> Restoration of rangelands, water management for livestock, and soil conservation to support communal pastoral livelihoods. <b>Location:</b> Communal rangelands across various regions in Botswana.	The project's focus on rangelands differs from the proposed project's focus on arable agriculture. No duplication risk since the proposed project emphasizes integrated WEFE interventions in different areas. <u>The GCF project targets Ngamiland (northwest), Kgalagadi (southwest), and Bobirwa (east central), leading to a minor risk of geographic overlap in Kgalagadi district (area of Omaweneno village). However, and considering the distinct scope of activities under the two projects, it is not expected that duplication could occur. In any case, close coordination during the selection of project sites will be conducted to avoid any risk of overlap.</u>
Global Environment Facility (GEF) funded project: <i>Promoting Production and Utilization of Biomethane from Agro-Waste in South-Eastern Botswana</i> . (2013-2016)	The project aimed to facilitate low-carbon investments and public-private partnerships in the production and utilisation of biogas from agro-waste in the districts of South-Eastern Botswana.	<b>Interventions:</b> Conversion of agro-waste to biomethane, public-private partnership development, and training for local stakeholders in renewable energy. <b>Location:</b> South-Eastern Botswana districts.	This project is focused specifically on renewable energy production and does not integrate WEFE or arable agriculture, which distinguishes it from the proposed project. <u>No duplication risk is expected as this project is completed.</u>

GEF funded project: <i>Mainstreaming SLM in Rangeland Areas of Ngamiland District Productive Landscapes for Improved Livelihoods (2011-2021)</i>	The project aimed to build institutions, policies & markets for mainstreaming SLM in managing rangelands in Ngamiland.	<b>Interventions:</b> Training in sustainable land management practices, policy support for rangeland use, and community engagement. <b>Location:</b> Ngamiland District, Botswana	Distinct focus on SLM in rangelands rather than the integrated WEFE approach, with a primary focus on arable agriculture; minimal risk of overlap. <u>Additionally, no duplication risk is expected as this project is completed.</u>
GCF funded project: <i>Sustainable Renewables Risk Mitigation Initiative (SRMI) Facility. (2020-2033)</i>	The objective is to support countries to shift to low-emission sustainable development pathways and increase access to affordable, reliable, sustainable, and modern energy.	<b>Interventions:</b> Development of renewable energy infrastructure, risk mitigation for investments, and policy support. <b>Location:</b> Botswana and other SADC countries.	Potential collaboration on promoting sustainable land management and climate resilience practices, although SRMI focuses mainly on energy infrastructure, not the full WEFE approach. <u>Additionally, direct support at local level in Botswana under the project targets grid expansion in the village of Borolong, thus guaranteeing no risk of geographic overlap will occur.</u>
USAID's Resilient Waters Program (2019-2023)	Aims at strengthening institutions for water management, implementing water-saving technologies, and promoting community-based natural resource management.	<b>Interventions:</b> Water-saving technology implementation, community-based NRM, and transboundary water resource management. <b>Location:</b> Transboundary River basins, including parts of Botswana.	<u>Collaboration</u> Building on water-saving technologies and community-based natural resource management could enhance the resilience of water systems. The proposed project can build on institutional capacity strengthened by Resilient Waters. There is no overlap because USAID focuses specifically on transboundary water management and biodiversity conservation, distinct from the integrated WEFE approach of the proposed project. <u>Additionally, no duplication risk is expected as this project is completed.</u>
IFAD's Agricultural Services Support Project (2010-2018)	Supported training farmers in modern farming techniques, providing agricultural inputs, and improving access to agricultural markets.	<b>Interventions:</b> Training in modern agriculture, distribution of inputs (seeds, fertilizers), and improving market access for farmers. <b>Location:</b> Selected rural areas across Botswana.	Lessons learned from capacity-building efforts and market access strategies from Agricultural services support project can inform similar activities in the proposed project. There is no overlap with proposed project in the sense that focused on agricultural services rather than the integrated WEFE nexus approach, ensuring distinct interventions and geographic locations. <u>Additionally, the project has been completed since 2018.</u>
EU's Project: Fostering Water, Energy and Food Security Nexus Dialogue and Multi-Sector Investment in the SADC Region (2017-2023)	facilitating dialogues between water, energy, and agricultural sectors, supporting the development of integrated investment plans, and providing technical assistance to member states.	<b>Interventions:</b> High-level policy dialogues, investment plan development, and technical assistance to SADC member states. <b>Location:</b> SADC-wide, including Botswana as part of the region.	The proposed project can leverage the policy coherence and multi-sectoral investment strategies developed by the EU project. There is no overlap considering that the EU project focuses on high-level policy and investment facilitation, <u>(and has been completed since 2023)</u> , while the proposed project implements on-the-ground demonstrations and community-level interventions.
World Bank's Botswana Integrated Water Resources Management Project (2015-2021)	Focused on developing integrated water management plans, constructing water infrastructure, and strengthening water management institutions.	<b>Interventions:</b> Integrated water management plans, water infrastructure (dams, irrigation systems), and institutional strengthening for water governance. <b>Location:</b> Botswana, across multiple regions with high water resource needs.	The proposed project will align with water plans and infrastructure developed under the World Bank project. While the World Bank project focuses solely on water infrastructure, the proposed project integrates renewable energy and agriculture, distinguishing intervention focus and geographic impact. <u>Additionally, no duplication risk is expected as this project is completed.</u>
FAO's Sustainable Land Management Project (2017-2022)	Trained farmers in sustainable land management techniques, implementing soil and water	<b>Interventions:</b> Training in sustainable land management, soil and water	The proposed project incorporates best practices from FAO's land management training but focuses on an integrated WEFE

	conservation measures, and supporting policy development for sustainable land use.	conservation techniques, and policy advocacy for sustainable land use. <b>Location:</b> Various regions in Botswana, focusing on degraded agricultural lands.	approach, which is complementary. The FAO project emphasized land management without integration of energy or food security aspects, thus reducing overlap risks. <u>Finally, no duplication risk is expected as this project is completed.</u>
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**G. If applicable, describe the learning and knowledge management component to capture and disseminate lessons learned.**

91. Improving the knowledge base for investment, upscaling and mainstreaming of integrated, climate-resilient land and water resources management and land-use planning is a central objective of the project. While this is listed as one of the direct outcomes of component 1, it is integrated throughout the project by various activities forming part of each component.
92. Central to these activities are the MES and the KMAS developed under Component 1. These strategies will serve to steer knowledge management, dissemination and awareness raising as well as capacity building initiatives undertaken as part of the project.
93. Monitoring and evaluation forms an important input to the KMP which is strengthened or developed under Output 1.4. Continual monitoring of key indicators, in accordance with a Monitoring Plan established will be undertaken for assessing and tracking progress on resource availability, resource use efficiency and climate impacts on land-use and water resources at national, sub-national and project levels. This will feed into the KMP and forms the foundation of the learning aspect of the project, which will serve to inform the local, national, and global knowledge on climate-change adaptation about effective intervention methods.
94. On a project level, the KMS and KMP will play a central role in building the adaptive capacity of various stakeholders. In accordance with the monitoring plan, the MSS will continually undertake a capacity needs assessment of key stakeholders. This will include an assessment of investment and finance needs, technical competencies required as well as technological needs across various stakeholders. Based on the findings of the assessment, identified capacity needs will continually be addressed through measures such as linking stakeholders to the knowledge-sharing platform, providing tailored training, and undertaking awareness campaigns and facilitating access to finance.
95. Climate-change adaptation demonstrations undertaken as part of Component 2 is integral to the knowledge gathering, learning and dissemination process through monitoring and showcasing of successful interventions, capacity building and creating awareness amongst stakeholders. Since these demonstrations will both be informed by and feed into the KMP, they will serve an important part in laying the foundation for a regional knowledge base, essential for the upscaling and broader adoption of effective adaptation strategies.
- ~~96. Component 3 aims to gather knowledge on and address barriers to accessing adaptation finance which has been identified as one of the most pertinent challenges to the future sustainability and upscaling of adaptation interventions in Botswana. The activities under this component are designed to continually identify farmers' financial limitations and requirements and feed into the KMP via the MES. This will enable these needs to be addressed through capacity building initiatives as mentioned. Additionally, a centralised catalogue including diverse sources of available financing and incentives, including public, private, and donor based options, will be compiled. Access to this catalogue will be given to stakeholders by means of the KMP and will serve as a key resource to streamline the process of identifying and leveraging existing financial streams.~~
- ~~97-96.~~ On a national scale, the MSS and KMS will play a pivotal role in creating awareness and facilitating a coordinated approach to public climate-change adaptation related funding and expenditure needs. Accordingly, a tool will be adopted or developed to scrutinise public expenditure on climate change adaptation initiatives.
- ~~98-97.~~ Using insights from the indicators monitored under the MES, an analysis will be undertaken by the MSS to provide recommendations on potential synergies and opportunities for additional financing to upscale the project interventions towards the prioritisation of climate change adaptation under development planning.
- ~~99-98.~~ Furthermore, the KMAS also intends to address the current lack of a national research entity prioritising

applied research on climate change adaptation. By identifying research needs and augmenting some of these needs through the planned demonstration interventions planned under Component 2, vital data will be contributed to the KMP. Consequently, the KMP will serve as a conduit, informing stakeholders, including private-sector investors, of the benefits and investment potential of WEFE integrated adaptation measures, thereby de-risking investment needed for future upscaling and sustainability.

**H. Describe the consultative process, including the list of stakeholders consulted, undertaken during project preparation, with reference to vulnerable groups, including gender considerations, in compliance with the Environmental and Social Policy and Gender Policy of the Adaptation Fund.**

~~100-99.~~ Facilitated by the Global Water Partnership Southern Africa (GWPSA), alongside partners from IFAD and the Food and Agriculture Organisation of the United Nations (FAO), the project commenced its concept development phase with a series of extensive stakeholder consultation workshops. These sessions were hosted by the Global Water Partnership Botswana (GWPB) and the Ministry of Land Management, Water and Sanitation Services.

~~101-100.~~ The initiative emerged as a continuation of the Southern Africa Development Community (SADC) Nexus Dialogue Programme, titled “Fostering Water, Energy and Food Security Nexus Dialogue and Multi-Sector Investment in the SADC Region” which is supported by the European Union. The programme is implemented by GWPSA on behalf of the SADC Secretariat.

~~102-101.~~ Marking the inception, the inaugural Botswana WEFE nexus dialogue workshop convened on 29 July 2022 in Gaborone, initiating discussions for the national implementation of this programme through a climate change adaptation project. Aiming to foster transformative change in response to the escalating demands of water, energy, and food security in the context of climate change within the SADC region, the project advocates for an integrated nexus approach. Stakeholders from various sectors in Botswana including water, energy, agriculture, and environment actively contributed to the discussions. Community engagements were gender balanced, with 46% of participants being female and 54% male.

~~103-102.~~ The initial national dialogue workshop focused on the following key aspects:

- a) Mainstreaming an integrated WEFE nexus approach within governance and investment opportunities at both the regional and national level.
- b) Formulating concrete policy recommendations and governance frameworks that embody the integrated natural resources management approach.
- c) Identifying potential investment opportunities for multi sectoral projects at the country level.
- d) Developing innovative training tools and guidelines, alongside discussing best practices to transition the WEFE nexus approach from theory to practice.
- e) Following the initiation of the project conception, extensive dialogues were held over a period of 13 months with representatives from key ministries, GWPSA, GWPB, FAO and IFAD. A technical working group was formed between these partners to facilitate the development of this Concept Note and will continue to operate into Funding Proposal development and project implementation.

~~104-103.~~ During this time, two workshops and site visits were held on 28 – 29 July 2023 and 29 – 30 August 2023 which were well represented by stakeholders including government departments, parastatals, financial institutions, RBO’s, CSO’s, Research/Academia, technical experts, and the private sector. A complete list of stakeholder engagements has been submitted as an Annex to this Concept Note

- f) Engagements with government representatives from the Department of Meteorological Services, the Department of Water and Sanitation, and the Department of Energy, along with key officials from the Ministry of Agricultural Development and Food Security, played a pivotal role in shaping the national context and project design. Facilitating first-hand insights, these dialogues were supplemented with site visits to the Glen Valley Wastewater Treatment Works and with the community at the Matsoetlane Pilot Site. Women were consulted, and they expressed the

need for improving food security at household level through cluster gardens cooperatives and highlighted that the use of greywater harvested from households would be a sustainable option for horticultural activities.

- g) The are San, Balala, and Nama peoples in Botswana<sup>61</sup>, however there are no IPs present in the targeted areas of: “i) Sese village in the southern district, ii) ~~Omawenen~~Omaweneno village in the Kgalagadi district and Mahalpye in the central district”.

#### I. Provide justification for funding requested, focusing on the full cost of adaptation reasoning.

Baseline Scenario	Alternative benefits of the Adaptation Fund Project
<p><b>Impact of climate change on water, energy, and food systems:</b> Botswana frequently experiences severe droughts, impacting food and water supply. Drought conditions exacerbate existing water scarcity in a country that already experiences low average annual rainfall and relies on groundwater for around 49% of its freshwater supply. The recent 2018/19 drought, for example, resulted in significant crop failure and cattle mortality. Moreover, the number of rainy days has decreased across the country, especially in the country's drier western areas. These patterns are projected to intensify as climate change including rising temperatures, heightened rainfall variability, and a greater frequency of extreme weather events such as droughts and floods is poised to have a profound impact on the Southern African region. During dry spells and droughts, the demand for water for livestock often makes it necessary for farmers to deepen boreholes and extend pumping hours, hiking up costs for livestock rearing. Across all of Botswana, at 1.5°C global warming the cost of pumping water is expected to increase by 15%, with further increases of 19% and 24% expected at 2°C and 3°C respectively. The scarcity of surface water resources become more pronounced during frequently recurring drought periods. Botswana only has a few perennial rivers in the north-western part of the country (being the Okavango and Chobe rivers) which are supplied by major rivers from neighbouring countries</p>	<p>To effectively address climate change impacts on vulnerable rural livelihoods, strengthened and coordinated human systems that ensure water, energy, food, and ecosystem (WEFE) security are essential, emphasising the importance of harnessing cross-sectoral synergies and interlinkages. Under the proposed project, activities will focus on ensuring that existing water and land resources are adequately managed to ensure food security and economic productivity for Botswana under the impacts of climate change. A multi-sectoral approach under supported under Component 1 of the project will be critical in ensuring a national coordination framework that drives evidence-based decision-making and monitoring and learning is promoted. Through understanding the capacity needs required within the multi-stakeholder group, institutional capacity development will be a crucial element of the response aimed at empowering stakeholders to identify and implement concrete adaptation actions. These activities will seek to enhance the efficiency in the way natural resource inputs in food production (namely water, energy, and land) are used.</p> <p><u>Component 4As outlined below, Component 2 activities also directly target the integration of water, energy, food and ecosystems, by supporting enhanced access to water resources and their efficient use; promoting soil conservation measures; facilitating access to clean energy sources for better processing and conservation thereby reducing loss and waste.</u></p> <p><u>Component 3</u> of AF Project focuses on raising awareness and understanding of the impact of climate change on the water, food, and energy systems, and ensuring that key stakeholders integrate climate change adaptation into their plan. This system change will require behavioural change, and the project goes a long way into building an understanding of these impacts and identifying the trade-offs that can be considered in the water and food systems.</p>
<p><b>Impact on livelihoods.</b> Given their high dependence on rainfall for agricultural livelihoods, Botswana's rural communities are particularly vulnerable, as they primarily depend on rainfed arable agriculture and on groundwater for livestock watering and domestic needs. As climate change puts additional pressure on an already vulnerable agricultural</p>	<p>Consequently, urgent intervention measures are required to address these climate change impacts on livelihoods the AF project focuses on building climate resilient WEFE systems which can ensure that Botswana achieves social, economic, and environmental sustainability.</p>

<sup>61</sup> [IWGIA 2024](#)

sector, existing food insecurity could further escalate, causing substantial disruption to livelihoods and presenting a serious threat to future sustainability and resilience.

Even though the agricultural sector comprises less than 2% of GDP it is vital to the livelihood of a large proportion of the population. Approximately 70% of rural households derive part or all their livelihoods from primarily rainfed, arable agriculture, making them particularly vulnerable to climate-related impacts.

Small-scale, rural farmers and communities in are vulnerable as they lack the knowledge, technical and technological capacity, and financial resources to implement the necessary adaptation measures.

Overall, the project aims to benefit 20,000 direct beneficiaries, of which 40% women and 30% youth.

- Through Component 2 the project will focus on implement concrete adaptation measures that ~~aet~~ will showcase resilience building. ~~these actions will work towards building the resilience~~ of communities to ensure that impacts of climate on water and food systems ~~aeare~~ managed. ~~Output 2.2 focuses on ensuring that the natural resources driving the water and food systems are adequately managed to build resilience against climate change impacts.~~ The vision that the proposed project targets is that local smallholder farmers in Botswana can effectively contribute towards sustainable food security, using climate-smart technologies and practices and renewable energy solutions, while building adequate livelihoods, and are more resilient to climatic shocks. More specifically, the project will notably aim at supporting: 12 demonstration sites in the 3 priority areas of intervention
  - ~~Component 3 focuses on ensuring sustainability~~ 50 drip irrigation systems to optimize water use
  - Sustainable land management practices over 3,000 hectares
  - Support to 200 solar powered water pumps supporting 2,000 hectares
  - Construction of the 100 rainwater harvesting systems
  - 200 small-scale water storage facilities, wastewater reuse systems, aquifer recharge, and desalinization units in 10 villages
  - d) installation of 20 solar-powered cold storage rooms for agricultural products
  - access to 50 solar powered processing machines
  - access to 100 solar dryers and ovens to process agricultural products
  - Pilot interventions, and this for Climate-Resilient Land and Water Management Systems, including new rainwater harvesting and water storage systems (such as underground dams), cultivation pilots for perennial grains such as perennial sorghum and pulses, and the introduction of ultra-efficient irrigation systems such as sub-surface drip irrigation
- Component 3 will be through facilitating access to additional financial resources to upscale enhance the project interventions knowledge base on climate impacts and risks to support the promotion and development of climate-smart products and services targeting rural communities (including tailored weather index insurance products).

**J. Describe how the sustainability of the project/programme outcomes has been considered when designing the project/programme.**

~~105-104.~~ The project was conceived with a focus on economic, social, environmental, and institutional sustainability, thus fostering a long-lasting impact that extends beyond the project's lifespan. This will be realised through the following avenues:

**Economic sustainability**

- **Capacity building:** The project aims to enhance the capacity of small-scale rural farmers to adapt to climate change impacts through technical and technological innovations for sustainable land and water management. This is expected to improve resource use efficiency, yields and general agricultural viability.
- **Value chain enhancement and access to markets:** By enhancing agricultural production and processing systems and facilitating access to markets, the project aims to increase income potential through diversified livelihoods in the involved communities.



- **Climate-resilient, independent communities:** Through proactive initiatives, the project aspires to cultivate communities that are resilient to climate changes, thus minimizing the need for reactive and costly interventions from the government in the future.
- **Mainstreaming of climate change adaptation in sectoral budgeting:** This will facilitate the inclusion of climate change adaptation strategies in national development planning, promoting the prioritisation in the allocation of government funding for adaptation.
- Viability of investments (in particular access to equipment) supported under component 2 will be subject to the confirmation of their economic relevance based on simple business plans, and will be verified continuously during implementation.
- Access to finance: The project foresees policy support for the creation/identification of relevant funding mechanisms that would enable the continuous flow of financial resources for the maintenance and scaling up of project initiatives. The additional finance the project aims to develop is with a focus on project sustainability to a) help finance and upscale initiatives developed because of this project; and b) to help put structures in place to develop a sustainable framework for the future development and financing of climate change adaptation projects beyond this AF project cycle. At the same time, the project aims to develop tailored weather-index insurance products that will derisk investments in the agricultural sector and facilitate agropastoralists' access to finance while stabilizing their activities and investment on the long run, thereby guaranteeing its sustainability.
- **Partnerships and opportunities for collaboration:** By fostering partnerships and collaborations, the project aims to attract additional funding and support for its continuity and expansion.

#### Social sustainability

- **Community engagement and ownership:** Through its CBNRM approach and continuous consultation the project will foster community ownership, encouraging participation at every stage and ensuring that the outcomes are socially accepted and embraced. This community ownership is anticipated to be a critical driver for the project's sustainability.
- **Knowledge sharing:** The project envisages establishing knowledge-sharing platforms seeking out and applying indigenous knowledge where feasible. These knowledge sharing platforms will allow for the continuous exchange of information and experiences, fostering social cohesion and communal learning.

#### Environmental sustainability

- **Improved resilience through resource use efficiency:** The project has been designed to optimise land, water and energy resource use and minimising waste by means of climate-smart, sustainable management practises and technologies.
- **Conservation:** By improving yields, food security and income potential for existing farmers the project will help to alleviate pressure on and reduce human encroachment into surrounding natural habitats. By providing an alternative renewable energy source pressure on natural woodlands will also be lessened.

#### Technical sustainability

- Operations and Maintenance: The project's participatory and community-based approach will ensure the ownership of investments by local stakeholders, who will directly be engaged in and trained on relevant techniques, particularly under component 2 (sustainable land management techniques over 3,000 hectares, innovative activities for climate resilient land and water management such as underground dams, perennial grains, sub-surface drip irrigation, etc.). Beneficiaries will receive direct training on the operation and maintenance of equipment provided under the second component (solar powered pumps, irrigation systems, processing equipment, solar dryers, etc.), and conditions of access will include the verification.

#### Institutional sustainability

- **Capacity building:** Institutional capacity building forms a core component of the project, ensuring that institutions have the necessary skills and knowledge to continue the project's initiatives into the future.
- **Intersectoral coordination and mainstreaming:** Governance structures and platforms enhanced or established for intersectoral coordination, mainstreaming and prioritisation of climate change adaptation.
- **Policy frameworks:** The project aims to strengthen policy frameworks that promote an integrated WEFE approach to climate change adaptation, ensuring that the gains achieved are institutionalised and integrated into existing systems.
- **Knowledge management and dissemination:** The project will establish or enhance platforms for knowledge gathering and dissemination, promoting learning and future replication of effective intervention measures.

Through the synergistic integration of these elements, the project aims to create a blueprint for sustainability, unlocking future finance and enabling replication, upscaling, and improvement.

#### **K. Provide an overview of the environmental and social impacts and risks identified as being relevant to the project/programme.**

~~106-105.~~ The environment and social risk category of the project is rated as a Moderate risk (Category B) according to the Adaptation Fund's Environmental and Social Policy. The project faces several potential risks associated with its activities. Key risks include potential non-compliance with national and international laws during the implementation of integrated natural resource management practices, and unequal access to project benefits, potentially excluding marginalized and vulnerable groups during the rollout of climate-smart technologies and infrastructure. Activities promoting gender-responsive planning may encounter gender disparities, while engagements with minority groups could risk infringing on their rights and traditional practices. Implementing sustainable land and water management activities could lead to involuntary resettlement, soil degradation, and damage to natural habitats if not carefully managed. Furthermore, activities involving renewable energy installations may inadvertently increase greenhouse gas emissions and pollution. The project's health and safety initiatives may impact public health, and efforts to conserve natural resources might affect cultural heritage sites.

~~107-106.~~ To mitigate these risks, the proposed project aligns with both domestic and international legal standards, IFAD's Social Environment and Climate assessment Procedures (SECAP) guaranteeing to the principles outlined by the Adaptation Fund's Environmental and Social Policy which emphasise compliance with the law, the inclusion and protection of marginalised and vulnerable groups, and fostering gender equity and women's empowerment. This alignment extends to a focus on environmental sustainability, with dedicated efforts towards land and soil conservation, climate change mitigation, and the prevention of pollution.

~~108-107.~~ Initiated through a national consultation process, the project will continue to be meticulously implemented and monitored in compliance with prevailing national standards and legislation, fostering a concerted approach towards environmental and social sustainability. The project intricately integrates strategies to safeguard the interests of vulnerable communities and ensures equal opportunities across genders, particularly targeting the upliftment of women in rural arable agriculture sectors.

~~109-108.~~ During its execution, the project will uphold the highest standards in various sectors including agriculture, forestry, and water resources management. It will be characterised by a participatory and consultative process that not only heeds the concerns of local communities and authorities but is also devoted to preventing any adverse impact on priority biodiversity areas, local communities, or any identified vulnerable groups. The project will employ continuous monitoring and adaptive management to ensure compliance with the Adaptation Fund's Environmental and Social Policy.

An overview of the environmental and social impacts and risks identified as being relevant to the project/programme is outlined below:

## Environmental and social impacts and risks identified as being relevant to the project.

Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
<i>Compliance with the Law</i>	Further assessment will be conducted for the full proposal	<p><b>Risk:</b> Potential non-compliance with national and international legal requirements could arise due to the complexity of project activities, which may require multiple permits across various jurisdictions. This risk exists because the project spans diverse regions and involves environmental interventions, which necessitate careful alignment with national, regional, and international laws.</p> <p><b>Mitigation:</b> The project will ensure regular consultations with legal experts will be ensured along with strict adherence to all relevant laws necessary permits and clearances will be obtained.</p>
<i>Access and Equity</i>		<p><b>Risk:</b> There is potential for inequitable access to project benefits, especially for rural and marginalized groups who may face barriers to participation. This risk exists because rural communities and vulnerable groups often have limited access to project resources or decision-making processes in large-scale projects.</p> <p><b>Mitigation:</b> The project will include several transparent steps in inclusive planning that will help ensuring fair distribution of benefits without discrimination nor favouritism. Project targeting will include gender and age quotas along with broad outreach and mobilisation strategies to ensure participation across demographic groups.</p>
<i>Marginalised and Vulnerable Groups</i>		<p><b>Risk:</b> Adverse impacts on marginalised and vulnerable groups may occur if project resources are not equitably allocated or if these groups are unintentionally excluded from project benefits. This risk is present because marginalized communities, such as rural women and youth, are disproportionately affected by climate change and may lack the capacity to access project resources.</p> <p><b>Mitigation:</b> The project is specifically designed to cater for the needs of marginalised, vulnerable groups through social impact assessments implementing targeted measures to supports these groups and continuous monitoring. The targeted geographic areas will be determined by a comprehensive screening and identification process. Based on predefined environmental and social criteria, intervention areas demonstrating substantial climate-change vulnerability will be selected, specifically targeting vulnerable rural communities and marginalised groups. As detailed above, following the selection of intervention areas, participant selection will also be subject to meticulous screening. This process particularly aims to guarantee fair and equal access to target demographics, including marginalized or vulnerable groups, along with women and youth. To further ensure the protection and consideration of these groups, a grievance redress mechanism will be established. This will provide those affected by the project with an accessible, transparent, fair, and effective process for receiving and addressing complaints about environmental or social harms which may occur during all project stages.</p> <p>As a result of the transparent and inclusive outreach programme including gender and youth quotas as well as the FPIC process (see indigenous peoples below), marginalised and vulnerable peoples will not be discriminated against and be given equal opportunities.</p>
<i>Human Rights</i>		<p><b>Risk:</b> There is risk that project activities could inadvertently violate human rights, especially if communities are not fully informed or if indigenous land is affected. This is a critical consideration, as the project may involve land use changes that could impact local populations.</p> <p><b>Mitigation:</b> The project commits to adhering to, and where feasible, advancing international human rights standard ensuring that all activities respect and promote human right. A legal register will be instituted, encapsulating rights articulated within the Universal Declaration of Human Rights. Continuous assessment of compliance will be undertaken throughout the project's lifespan. Additionally, the grievance redress mechanism initiated will facilitate procedure for lodging and resolving complaints concerning social harms or potential human rights violations that might arise at any stage of the project. The project will ensure no damage to/or loss of access to indigenous land, assets, resources, and/or cultural heritage is suffered facilitate the project will support recent Office of the High Commissioner on Human rights (OHCHR) Special Procedures and work towards helping Botswana comply with UNCHR Special Procedures, inter alia including on rights to water and sanitation. The project will conduct regular training and awareness programmes for the project staff.</p>

<i>Gender Equality and Women's Empowerment</i>	<p><b>Risk:</b> Gender disparities in project benefits and decision-making processes may arise in access to project benefits and in decision-making roles, particularly in rural areas where traditional gender roles may limit women's participation. This risk exists because women are often underrepresented in community decision-making, which could affect their access to project resources.</p> <p><b>Mitigation:</b> Through the execution of the planned activities, both men and women will be afforded equal opportunities to participate in various facets of the project. The arrangements for targeting marginalised and vulnerable groups are clearly delineated in the intervention area selection process (as well as participant selection process). Given that the project demonstration is centred on advancing climate-resilient arable agriculture, vulnerable women and communities are particularly expected to benefit, as they constitute most farmers in these areas. Therefore, the enhancement of skills and the introduction of technology to boost the resilience of rural arable agriculture is poised to significantly benefit these groups. To mitigate against deeply rooted culturally induced gender dynamics, a project Gender, and Social Inclusion (GESI) Action Plan will be developed during the project design and implemented. The project will also implement gender-responsive planning and execution, ensuring women's participation and leadership, and addressing specific needs of women.</p>
<i>Core Labour Rights</i>	<p><b>Risk:</b> potential violations of labour rights, including unsafe working conditions or child labour, especially if local contractors are engaged. This risk exists due to varying enforcement levels of labour standards in remote or rural areas.</p> <p><b>Mitigation:</b> The project commits to meet the core labour standards as identified by the International Labour Organization (ILO). Botswana joined the ILO in 1978 – to date it has ratified 15 Conventions of which 8 are fundamental/core, 1 is for Governance and 6 are Technical Conventions. The 8 Core Conventions are on forced labour, freedom of association, right to organise, equal remuneration, abolition of forced labour, discrimination, minimum age convention and worst forms of child labour. A legal register will be instituted, encapsulating the labour standards of the ILO as well as those prescribed by domestic legislation. Labour contracts will be drafted to ensure compliance with these laws and standards. Continuous assessment of compliance and audits will be undertaken throughout the project's lifespan. Additionally, the grievance redress mechanism will facilitate a procedure for lodging and resolving complaints concerning violations that might arise at any stage of the project.</p>
<i>Indigenous Peoples</i>	<p><b>Risk:</b> There could be adverse impacts on indigenous communities if project activities inadvertently infringe on their lands or resources. This risk is relevant as Botswana has indigenous populations, such as the San, Balala, and Nama, with rights to specific lands and cultural practices.</p> <p><b>Mitigation:</b> To mitigate the risk of involving indigenous peoples in the project area, the project will adhere to a participatory and rights-based approach that aligns with international standards, including the Adaptation Fund's Environmental and Social Policy and relevant national laws. A comprehensive stakeholder engagement plan will be implemented to ensure the free, prior, and informed consent (FPIC) of indigenous communities throughout all project phases. This includes conducting culturally appropriate consultations to identify their specific needs, priorities, and concerns, and incorporating these into project design and implementation. Measures will also be taken to respect and protect indigenous peoples' traditional knowledge, land rights, and livelihoods. Capacity-building activities will be tailored to empower indigenous communities to actively participate in and benefit equitably from the project's outcomes. A grievance redress mechanism will be established to address any issues that may arise, ensuring transparency, accountability, and the protection of their rights. These actions aim to minimize potential adverse impacts while fostering inclusive and sustainable adaptation efforts.</p>
<i>Involuntary Resettlement</i>	<p><b>Risk:</b> The risk of involuntary displacement of communities exists if project activities require land for infrastructure or conservation. This risk is inherent in projects involving land management and could disrupt local livelihoods if relocation is required.</p> <p><b>Mitigation:</b> The project will avoid involuntary resettlement wherever possible, if unavoidable a comprehensive resettlement plan will be implemented ensuring fair compensation and support.</p>
<i>Protection of Natural Habitats</i>	<p><b>Risk:</b> Project activities may damage natural habitats or lead to biodiversity loss if not carefully managed, especially in ecologically sensitive areas. This risk is significant, as climate adaptation projects often involve land modification or water management that could impact nearby habitats.</p> <p><b>Mitigation:</b> This ES principle will follow the AF Environmental and Social Policy in the full proposal and specifically also in the ESMP of the project document to be approved by the AF as well as the ESP risk assessment in said document. Risk assessment measures</p>

		<p>will need to be in place to ensure that each proposed project will be assessed to ensure compliance with said policy and that no project activity will take place in or near protected areas, if this is unavoidable, that appropriate measures will be taken (in compliance with AF ESP policy) to ensure that the proposed activities will not adversely impact protected areas and biodiversity conservation</p> <p>An Environmental and Social Management Plan (ESMP) will be developed as part of the full project design to ensure that appropriate mitigation measures can be taken. If project activities cannot be identified and appropriately risk-assessed, then these will be considered Unidentified Sub-Projects (USPs) and will need to comply with AF USP guidance.</p> <p>To further ensure environmental protection, the project's legal register will catalogue pertinent protected areas or species and the relevant legislation pertaining to these will be Environmental Impact Assessment, as per the relevant legislation, these will rigorously adhere to the prescribed legal requirements.</p>
Conservation of Biological Diversity		<p><b>Risk:</b> The project activities could impact the biodiversity negatively if they involve land use changes or water resource modifications. This is a relevant risk in regions with vulnerable ecosystems.</p> <p><b>Mitigation:</b> The project will promote conservation activities, preventing activities that harm biodiversity, and integrating biodiversity considerations into project planning. Biodiversity considerations will guide the choice of project activities, and only practices that support biodiversity will be implemented.</p>
Climate Change		<p><b>Risk:</b> Certain project activities, such as infrastructure development, may contribute to greenhouse gas emissions, countering the adaptation goals. This is a potential risk, especially if energy-intensive practices are employed.</p> <p><b>Mitigation:</b> Prioritizing low-emission technologies and practices, conducting carbon footprint assessments, and implementing mitigation measures such as carbon offsets, will be applied where necessary such as carbon offsets, will be applied where necessary.</p>
Pollution Prevention and Resource Efficiency		<p><b>Risk:</b> There is a risk of pollution and inefficient resource use if project practices are not optimized for sustainability. This risk is important in projects involving agricultural inputs or water management.</p> <p><b>Mitigation:</b> Implementing pollution control measures, promoting resource-efficient technologies, and regular monitoring. The project will bring environmental benefits such as sustainable water use, sustainable land management practices.</p>
Public Health		<p><b>Risk:</b> Project activities may have indirect impacts on public health if they lead to water contamination or spread diseases through improper waste management. This is a potential risk in projects involving multiple land and water interactions.</p> <p><b>Mitigation:</b> The ESMP will guide public health risk management. Positive impacts on health are expected due to improved water access and strengthened food systems, but precautions will ensure no negative health impacts.</p>
Physical and Cultural Heritage		<p><b>Risk:</b> There is a risk of damaging cultural and historical sites if project areas overlap with heritage locations. This risk exists as some areas in Botswana hold cultural significance, and project activities could impact them. <b>Mitigation:</b> Cultural heritage sites will be identified before any intervention. Local communities will be engaged in heritage conservation efforts, and all project activities will avoid sensitive heritage areas.</p>
Lands and Soil Conservation		<p><b>Risk:</b> Soil degradation or loss of productive lands could occur if land management practices are improperly applied. This risk is relevant in projects involving intensive agriculture or land use changes.</p> <p><b>Mitigation:</b> Sustainable land management practices will be adopted, promoting soil conservation. The project will monitor soil health, and adaptive management will address any soil degradation. Where specific risks are not applicable, these will be documented to substantiate their exclusion.</p>

## PART III: IMPLEMENTATION ARRANGEMENTS

### A. Demonstrate how the project aligns with the Results Framework of the Adaptation Fund

Project Objective(s) <sup>62</sup>	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
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<sup>62</sup> The AF utilized OECD/DAC terminology for its results framework. Project proponents may use different terminology, but the overall principle should still apply.

Increase a strong knowledge base built, through a multi-stakeholder process, to provide evidence and support decision-making for concrete actions that promote climate-change adaptation for WEFE security, gender equality and social inclusion in vulnerable rural communities.	Number of staff trained on improved information and access to support decision-making	Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses.	Indicator 2.1: Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased.	1,800,000
Increased ability to coordinate an integrated systems-based approach strengthening the resilience of WEFE natural resource assets in response to climate change impacts.	Number of stakeholders engaged and trained on understanding climate risks	Outcome 5: "Increased ecosystem resilience in response to climate change and variability-induced stress  Outcome 7: Improved policies and regulations that promote and enforce resilience measures	Indicator 5: Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress.  Indicator 7: Climate change priorities are integrated into national development strategy	4,754,971
<del>Enhance understanding of financing needs and financial sustainability structures to support upscaling of climate change adaptation interventions that strengthen climate resilient WEFE systems in rural communities.</del>	<del>Number of households/populations receiving finance (gender-disaggregated data)</del>	<del>Outcome 6: "Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas</del>	<del>Indicator 6.1: Percentage of households and communities having more secure access to livelihood assets.  Indicator 6.2: Percentage of targeted population with sustained climate-resilient alternative livelihoods</del>	
Increase awareness and capacity of the human system built to address the current and future impacts of climate change on WEFE systems through the promotion and development of climate-smart products and services targeting rural communities.	Number of stakeholders engaged and trained on understanding climate risks	Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	Indicator 3.1: Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses.  Indicator 3.2: Percentage of targeted population applying appropriate adaptation responses	4,216,977 3
<b>Project Outcome(s)</b>	<b>Project Outcome Indicator(s)</b>	<b>Fund Output</b>	<b>Fund Output Indicator</b>	<b>Grant Amount (USD)</b>
Outcome 1.1: Enhanced gender responsive intersectoral coordination and support integration of inclusive climate resilient practices	Number of staff trained to reduce risks and manage associated with climate induced socio-economic and environmental losses in WEF systems	Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events	Indicator 2.1.1: Number of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)  Indicator 2.1.2: Number of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector, and scale)	1,598,800,000
<del>Outcome 1.2: The enforcement of laws and policies enhanced towards integrated land and water resource management and WEFE integrated land-use planning.</del> Outcome 1.2: Improved policy framework supporting climate adaptation and gender equality	Number of policies and laws enhanced	Output 7: Improved integration of climate-resilience strategies into country development plans	Indicator 7.1: Number of policies introduced or adjusted to address climate change risks (by sector)  Indicator 7.2: Number of targeted development strategies with incorporated climate change priorities enforced	
<del>Outcome 1.3: Enhanced capacity of national and sub-national centres and networks to effectively address and mitigate the impacts of climate change.</del> Outcome 1.3: Enhanced capacity of national and sub-national centres and networks to effectively address and mitigate the impacts of climate change.	Number of staff trained to mitigate impacts of climate change. (Gender disaggregated data)	Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events	Indicator 2.1.1: Number of staff trained to respond to, and mitigate impacts of, climate-related events (by gender) Indicator 2.1.2: Number of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector, and scale).	
Outcome 1.4: Effective tracking of adaptation efforts, improved understanding of baseline situation and progress made towards climate-resilient land and	Number of targeted (including women and vulnerable groups) population with improved understanding of climate resilience	Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts including variability	Indicator 5.1: Number of natural resource assets created, maintained, or improved to withstand conditions resulting from climate variability and change (by type and scale)	

water resources management and land-use planning.				
Outcome 1.5: Enhanced capacity for knowledge sharing and awareness-building regarding climate impacts on land-use and water resources, as well as the effective implementation of climate-resilient management initiatives.	<i>Percentage increase in climate knowledge among participants, the number of awareness-building sessions held, and platform reach for knowledge-sharing.</i>	<b>Output 3:</b> Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	<b>Indicator 3:</b> Number of people with increased awareness of climate change impacts and adaptation measures.	
Outcome 2.1: Enhanced resilience of key population groups, especially women and youth, through climate-resilient technologies and strengthened natural resource management, fostering sustainable adaptation to climate change	Number of people with increased resilience	Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities.	Indicator 3.1: Number of news outlets in the local press and media that have covered the topic	4,216,977,754.9
<del>Outcome 3.1 Farmers and pastoralists in the target districts benefit from an enhanced financial framework that includes weather index insurance, enabling them to recover from climate shocks, maintain their livelihoods, and make productive investments.</del> Outcome 2.2: Increased ecosystem resilience in response to climate change and variability-induced stress	<del>Number of beneficiaries reporting having access to finance.</del> hectares of land under resilient practices	<del>Output 6: Targeted individuals: Vulnerable ecosystem services and community livelihood strategies.</del> natural resource assets strengthened in response to climate change impacts including variability	<del>Indicator 6.1.1: Number and type of adaptation natural resource assets (tangible and intangible) created, maintained, or strengthened in support of individual or community livelihood strategies.</del> <del>Indicator 6.1.2: Number of individuals with improved access to livelihood assets because of the project withstand conditions resulting from climate variability and change (by type and scale)</del>	<del>1,400,000</del>
Outcome 4.1: Improved Awareness of the future impacts of climate change and the need for climate-smart products and services enhanced.	Number of targeted population/groups participating in climate change adaptation and risk reduction awareness activities	<b>Output 3:</b> "Strengthened awareness and ownership of adaptation and climate risk reduction processes at the local level	<b>Indicator 3:</b> Number of people with increased awareness of climate change impacts and adaptation measure.	1,666,046,783

Merged Cells

#### A. Record of endorsement on behalf of the government<sup>2</sup>

### PART IV: ENDORSEMENT BY GOVERNMENT AND CERTIFICATION BY THE IMPLEMENTING ENTITY

B.J Gopolong Senior Climatologist Department of Meteorological Services	Date: 15 December 2023
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**Implementing Entity coordinator:**

Project contact person:	E-mail: <a href="mailto:c.reiner@ifad.org">c.reiner@ifad.org</a>
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**Field Code Changed**

**Field Code Changed**

## 48





**Revised PFG Submission Form<sup>1</sup>**  
**Project Formulation Grant (PFG)**

**Submission Date:**

**Adaptation Fund Project ID:**

**Country/ies:** Botswana

**Title of Project:** Enhancing climate resilient water, food, and energy systems in Botswana through sustainable natural resources management

**Country:** Republic of Botswana

**Type of IE (NIE/RIE/MIE):** MIE

**Implementing Entity:** International Fund for Agricultural Development (IFAD)

**Executing Entity/ies:** IFAD for the PFG

Ministry of Agricultural Development and Food Security (Lead); Ministry of Land Management, Water and Sanitation Services; Ministry of Minerals and Energy (MME); Ministry of Environment, Natural Resources Conservation and Tourism; Ministry of Finance (MoF); Global Water Partnership Southern Africa; Food and Agriculture Organisation of the United Nations (FAO) for the project

**A. Project Preparation Timeframe**

<b>Start date of PFG</b>	Upon Concept Note approval date
<b>Completion date of PFG</b>	(10 months) after Concept Note approval date

**B. Proposed Project Preparation Activities (\$)**

List of Proposed Project Preparation Activities	Output of the PFG Activities	US\$ Amount	Budget note <sup>2</sup>
Stakeholder consultations	Engagement reports, stakeholder inputs, aligned priorities groups, in the proposal.	20 000	Stakeholder consultations ensure inclusivity, transparency, and ownership of the project by all relevant actors.

<sup>1</sup> As presented in AFB/PPRC.33/40 Annex 1.


<sup>2</sup> The proposal should include a detailed budget with budget notes indicating the break- down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

Technical and Feasibility Assessments	Climate risk reports, feasibility studies, intervention options	27 250	Grounds the project in evidence-based, practical, and cost-effective approaches.
Preparation of Environmental safeguards studies	Comprehensive Environmental and Social Safeguards screening and management planning.	20 000	Aligns the project with environmental and social policies, ensuring long-term sustainability.
Hiring a multi-disciplinary team of consultants	Final project proposal, logical framework, stakeholder feedback integration	70 000	Consultancy fees, allowances and travel for proposal development
Project formulation grant for concept note		137 250	Total PFG allocation for concept preparation
Implementing Entity (IE) Fee (8.5%)		12 750	IE fee based on 8.5% of total PFG
Project Formulation Grant + IE fee		150 000	Total PFG budget inclusive of IE fee

Please describe below each of the PFG activities and provide justifications for their need and for the amount of funding required:

### C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation. The PFG will be executed by the IE. The EE will be responsible for execution of the project once approved.

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Mr Pierre Yves Guede, Lead, Multilateral Climate and Environmental Funds,		12/17/2024	Mr Claus Reiner, Regional Climate and Environment Specialist, IFAD	+254 11 5492302	E - mail: p.guede@ifad.org  E - mail: c.reiner@ifad.org

ECG Division, IFAD					
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29th July 2022 – WEF Nexus National Dialogue

Name	Surname	Position	Organization	Gender		Name	Surname	Position	Organization	Gender
Nsuku	Nxumalo	Ater Policy consultant	Pegasys	F	2	Larry	Swatuk	Professor-Water and Environment	University of Waterloo	M
Londiwe	Dlamini	Consultant	Pegasys	F	4	Thato	Morule	Field Implementation Director	Conservation International	F
Jackson	Aliwa	Agriculture Lecturer	Botswana University of Agriculture and Natural Resources	M	6	Piet	Kenabatho	Chairman	GWP - Botswana	M
Laura	Danga	Country programme coordinator	Global Water Partnership - Botswana	F	8	Blessing	Mudzingwa	Hydrogeologist	Groundwater and Mineral Services (Pty)	M
Leticia	Mlamwaza	Finance and Admin Officer	GWPSA	F	10	Alba	Orapeleng	Technical Officer	Kalahari Conservation Society	M
Annah	Ndeketeya	Programme Coordinator	GWPSA	F	12	Randall	Tseleng	Chief Executive officer	Kalahari Conservation Society	M
Thabile	Mgwebi		Pegasys	F	14	Botlhe	Matlodi	Researcher	University of Botswana	F
Andrew	Takawira	Senior Technical Advisor	GWPSA	M	16	Ditiro	Moalafhi	Professor	Botswana University of Agriculture and Natural Resources	M
Oteng	Mamparany	Contracts Director	Engineering Partners International	M	18	Bogadi	Mathangwane	Director	Department of Water and Sanitation	F
Chandapiwa	Molefe	Researcher-Gender Mainstreaming	University of Botswana	F	20	Nchidzi	Mmolawa	Deputy Permanent Secretary	Department of Water and Sanitation	M
Tafadzwanashe	Mabhaudhi	Professor-Agriculture and Climate Change	University of KwaZulu-Natal,	M	22	Shamiso	Kumbirai	Investments Specialist	GWPSA	F
Dumisani	Mndzebele	Programme Officer	SADC Secretariat	M	24	Thabo	Baoleki	Water Resources Engineer	Department of Water and Sanitation	M
Maryna	Storie	Technical Specialist	Pegasys	F	26	Sachin	Maskey	Senior Engineer	Water Resources Consultants	M
Simon	Johnson	Hydrologist	JG Afrika	F	28	Ikanyeng	Gaodirilwe		BIDPA	F
Tsaone	Mokwatso	Youth Representative	Department of Environmental Affairs	M	30	Ingrid	Otukile	Chief Natural Resources Officer	Department of Forestry and Fisheries	F
David	Parry	Policy Analyst	SADC Climate Services and Related Application programme	M	32	Ezra	Muchibwa	GIS Specialist	EN Geomatics (Pty)	M
Bernice	Mutelo	Programme Officer	SASSCAL	F	34	Michael	Flyman	Head of Environment	FAO	M

Ntsiuoa Evelyn	Phakisa	Youth Representative	Department of Water Affairs	F	36	David	Molefha	Chief Water Engineer	Department of Water and Sanitation	M
Moses	NTLAMELLE	Senior Programme officer	SADC Secretariat-Energy	M	38	Lettie	Pitlagano	Country Manager	Digby Wells	F
Patrice	Kabeya	Senior Programme Officer	SADC Secretariat-Water	M	40	Alex	Carrasco	Programme Manager	European Union Botswana	M
Lapologang	Magole	Researcher	University of Botswana	F	42	Jackson	Aliwa	Lecturer	University of Botswana	M
Felix	Monggae		Private	M	44	Dineo	Gaborekwe	National Project Coordinator	FAO	F
Jose	Becerra	Deputy Head of Cooperation	European Union Delegation to Botswana	M	46	Frans	Bale	Principal Civil Engineer	Water Utilities Cooperation	M
Fortune	Mothodila		Department of Water and Sanitation	M	48	Alex	Thaga	Agronomist	Ministry of Agriculture	M
Bogadi	Segole	National Chairperson	Association of Environmental Clubs Botswana	F	50	William	Kapele	Agricultural Engineer	Ministry of Agriculture	M
Joanna	Fatch	Technical Programme Coordinator	GIZ Botswana	F	52	Mothale	Tabona	Energy Engineer	Ministry of Energy	F

28<sup>th</sup> July 2023 – 1<sup>st</sup> Adaptation Fund Concept Note Development Consultative Workshop

Name	Surname	Position	Organization	Gender		Name	Surname	Position	Organization	Gender
Kene	Dick	Principal Water Chemist	Department of Water and Sanitation	F	2	Piet	Kenabatho	Chairman	GWP-Botswana	M
Saniso	Sakuringwa	Gender Focal Point	Gender Focal Point Department of Water and Sanitation	F	4	Debbie	Taylor	Gender Specialist	Botswana Community Based Organisations	F
Lorato	Musindo	Hydrologist	Groundwater and Mineral Services (Pty)	F	6	John	Molefe	Scientific Officer	Southern African Science Service Centre for Climate Change and Adaptive Land Management (SASSCAL)	M
Ivonald	Da Cruz		FAD	M	8	Thato	Morule	Independent Consultant	Private	M
Boitumelo	Mokiya	Programmes and Communications Assistant	FAO	F	10	Balisi	Gopolang	Adaptation Fund Focal Point	Ministry of Environment	M
Mahlalele	Setlhako	Coordinator	GIZ Botswana	F	12	Ajit Peter Williams		Corporate Counsel	Water Utility Cooperation	M
Keitumetse	Tsumane	Advisor	GIZ Botswana	F	14	Joana	Fatch	Technical Programme Coordinator	GIZ -Botswana	F
Oratile	Maswe	Principal Technical Officer	Department of Meteorological Services	F	16	Bernice	Mutelo	Programme Officer	SASSCAL	F
Michael	Flyman	Head of Environment	FAO	M	18	James	Molenga	Energy Engineer	Department of Energy	M


Neil	Fitt	Conservationist	GWP-Botswana	M	20	Lapogala	Magole	Researcher	University of Botswana	F
Khemoitsale	Phakala		Association of Environmental Clubs in Botswana	M	22	Dorcas	Masisi	UNFCCC Focal Point	Ministry of Environment	F
Daniel	During	Researcher	GWPSA	M	24	Ireen	Madilola	Principal Water Resources Engineer	Department of Water and Sanitation	F
Botlhe	Matlodi	Programme Coordinator	SASSCAL	F	26	Mukend	Mutelo	Decision Support System Specialist	OKACOM	M
Dineo	Gaborekwe	National Project Officer	FAO	F	28	Maitio	Setlhake	Sector Coordinator	Botswana Water	M
Bartholomew	Chataika	Programme Coordinator	Centre for Coordination of Agricultural Research and Development for Southern Africa (CCARDESA)	M	30	William	Kapele	Principal Agricultural Engineer	Ministry of Agriculture	M
Alba	Orapaleng	C.E. O	Kalahari Conservation Society	M	32	Annah	Ndekete	Programme Coordinator	GWPSA	F
Mogi	Moreki		Ministry of Agriculture	M	34	Laura	Danga-Kuzora	Country Programme Coordinator	GWP-Botswana	F
Orapaleng	Nareetsile	Community and Youth representative	Metsimothabe Community Trust	M						F

29<sup>th</sup> – 30<sup>th</sup> August 2023 – 2<sup>nd</sup> Adaptation Fund Concept Note Development Consultative Workshop

Name	Surname	Position	Organization	Gender		Name	Surname	Position	Organization	Gender
Gogakiso	Modikele	Administrative Assistant	Kalahari Conservation Society	F	2	Nayang	Gaobo			F
Oratile	Maswe	Principal Technical Officer	Department of Meteorological Services	F	4	Khemoitsale	Phakala	Public Relations Officer	Association of Environmental Clubs in Botswana	M
Alex	Thaga	Agricultural Engineer	Ministry of Agriculture	M	6	Ofentse	Lesego	Teaching Assistant	University of Botswana	M
Mahlalele	Setlhako	Coordinator	GIZ Botswana	F	8	Michelle	Bagoleng	Environmental Science student	University of Botswana	F
Daniel	During	Researcher	C4EcoSolutions	M	10	Tshepo	Sethlogile			F
Rene	Schieritz	Programme Development Specialist	GWPSA	M	12	Mogi	Moreki		Ministry of Agriculture	M
Piet	Kenabatho	Chairman	GWP-Botswana	M	14	William	Kapele	Principal Agricultural Engineer	Ministry of Agriculture	M
Reuben	Setlokwane	Community Representative	Metsimothabe Development Trust	M	16	Atang	Masilomangwe	Renewable Energy Engineer	Ministry of Agriculture	M
Charles	Mazeruku	Biosafety Officer	Ministry of Agriculture	M	18	Thomas	Mogome	Chief Agronomist	Ministry of Agriculture	M
Ireen	Madilola	Principal Water Resources Engineer	Department of Water and Sanitation	F	20	James	Molenga	Energy Engineer	Ministry of Energy	M
David	Molefha	Chief Water Engineer	Department of Water and Sanitation	M	22	Laura	Danga-Kuzora	Country Programme Coordinator	GWP-Botswana	F

Wendy	Seone	Chief Sanitation Engineer	Department of Water and Sanitation	F	24	Mukend	Mutelo	Decision Support System Specialist	SASSCAL	M
31 October 2023 Adaptation Fund Concept Note Validation Workshop										
Name	Surname	Position	Organization	Gender		Name	Surname	Position	Organization	Gender
Laura	Danga	Country Programme Coordinator	GWP-Botswana	F	11	William	Kapele	Principal Agricultural Engineer	Ministry of Agriculture	M
Tirelo	Ditshipi	Programme coordinator and Gender advisor	IFAD	F	12	Keneilw	Semetsamere	Soil and Water Engineer	Ministry of Agriculture	F
Kelebeman	Maswe		Department of Meteorology	F	13	Alex	Taga	Agronomist	Ministry of Agriculture	M
Simasiku	Mukwaso	Engineer	Department of Energy	M	14	Annah	Ndeketea	Programme Coordinator	GWPSA	F
Kene	Dick	Principal Water Chemist	Department of Water and Sanitation	F	15	Rene	Schieritz	Programme Development Specialist	GWPSA	M
Charles	Mazereku	Biosafety officer	Ministry of Agriculture	M	16	Andrew	Takawira	Senior Technical advisor	GWPSA	M
Wendy	Seone	Chief Sanitation Engineer	DWS	F	17	Zira	Mavunganidze	Climate and Environment Spe	IFAD	F
Ireen	Madilola	Principal Water Resources Engineer	Department of Water and Sanitation	F	18	Phera	Ramoeli	Executive Secretary	Okavango River Basin Commission	M
Michael	Flyman	Head of Environment	FAO	M	19	Tracy	Molefi	Programme Coordinator	Okavango River Basin Commission	F
Edith	Kirumba	Country Director	IFAD	F						

## Summary of stakeholder consultations

Summary of Stakeholder Consultations												
<p>Stakeholder Consultation Workshop: Preparation of an Adaptation Fund Concept Note on enhancing the resilience of water, energy, food, and environmental security systems in Botswana. <i>Hilton Gardens Inn, Gaborone, Botswana</i> 28th July 2023</p> <p><b>Objectives:</b></p> <p>To discuss and understand the key climate and water, food, energy, and environmental security related issues in Botswana.</p> <p>To validate and identify priority issues and relevant national strategies and policies to be implemented to address these.</p> <p>To increase the understanding of how climate change is impacting water, food, and energy security in Botswana.</p> <p>To share information on the Adaptation Fund and how it can assist Botswana in addressing climate change issues impacting water, energy, food, and environmental security.</p> <p>To discuss and seek stakeholder guidance on the key challenges, how to respond to these and the key stakeholders.</p> <p>To identify on-going projects that the project is building on.</p>	<p>Key Outcomes</p> <p>Identification of key climate issues and challenges for water, energy, food, and environmental security issues</p> <p>Actions to address key issues proposed.</p> <p>Clear understanding of the Adaptation Fund application process</p> <p>Inputs and guidance for concept idea to enhance water, energy, food, and environmental security received.</p> <table><tr><th>Barriers</th><th>Proposed solutions</th></tr><tr><td>Lack of knowledge and awareness</td><td>Creating awareness through campaigns, education, and stakeholder engagement</td></tr><tr><td>Lack of public awareness and education; knowledge generation</td><td>strengthening existing research and knowledge</td></tr><tr><td>Poor data availability for decision-making</td><td>management programme/platform</td></tr><tr><td>Lack of demonstration projects</td><td>m Conduct pilot studies which feed into research and knowledge management programme or platform</td></tr></table>	Barriers	Proposed solutions	Lack of knowledge and awareness	Creating awareness through campaigns, education, and stakeholder engagement	Lack of public awareness and education; knowledge generation	strengthening existing research and knowledge	Poor data availability for decision-making	management programme/platform	Lack of demonstration projects	m Conduct pilot studies which feed into research and knowledge management programme or platform	
Barriers	Proposed solutions											
Lack of knowledge and awareness	Creating awareness through campaigns, education, and stakeholder engagement											
Lack of public awareness and education; knowledge generation	strengthening existing research and knowledge											
Poor data availability for decision-making	management programme/platform											
Lack of demonstration projects	m Conduct pilot studies which feed into research and knowledge management programme or platform											

	Cultural/behavioural barriers Customs and traditions e.g., challenge to convince traditional pastoralists of the value of planting crops or to adopt alternative farming methods such as rotational grazing Social behavioural (mindset) e.g., use of treated wastewater, waste management	Stakeholder engagement and consultation. Creating awareness. Showcasing through pilot studies. Capacity building.	
	Governance issues Lack of coordination between institutions, differing institutional priorities/sectoral priorities not harmonized, Fragmented policies Lack of data and information supported decision-making	Integrated resource management and intersectoral harmonisation. Communication strategy, awareness, and stakeholder engagement. Incorporation of science in policy formulation and decision-making	
	Financial barriers Misallocation of finance/budget improperly prioritised	Integrated and strategic planning and budgeting	
 <p>Second WeFEx Nexus Report: Progress and challenges in the implementation of the WEFE Nexus approach Main report: 2020, Department of Water &amp; Sanitation, KwaZulu-Natal 20-21 August 2021</p> <ul style="list-style-type: none"> <li>1. To review identified barriers to the implementation of the WEFE Nexus approach and to develop a list of barriers to the implementation of the WEFE Nexus approach</li> <li>2. To conduct a gap analysis, including a SWOT analysis, to identify the strengths and weaknesses of the implementation of the WEFE Nexus approach</li> <li>3. To develop a list of barriers to the implementation of the WEFE Nexus approach</li> </ul>	<p>Key Outcomes</p> <p>Confirmation of national context, problem statement and barriers</p> <p>Inputs into the proposed components, activities and geographic areas received.</p> <p>Improved understanding of the practical application of WEFE Nexus</p>		
 <p>WEFEx Technical Working Group: Technical Working Group for the Implementation of the WEFE Nexus approach Main report: 2020, Department of Water &amp; Sanitation, KwaZulu-Natal 20-21 August 2021</p> <ul style="list-style-type: none"> <li>1. To review and identify the barriers to the implementation of the WEFE Nexus approach and to develop a list of barriers to the implementation of the WEFE Nexus approach</li> <li>2. To conduct a gap analysis, including a SWOT analysis, to identify the strengths and weaknesses of the implementation of the WEFE Nexus approach</li> <li>3. To develop a list of barriers to the implementation of the WEFE Nexus approach</li> </ul>	<p>Key Outcomes</p> <p>Agreement on process and timelines to obtain endorsement letter.</p> <p>Validation and endorsement of the concept note.</p> <p>Final inputs to finalise concept note received.</p> <p>Agreed on proposed implementing arrangements – Ministry of Agriculture to lead</p>		



### Annex 3: Initial Gender Assessment

**Demography:** Botswana has a relatively balanced gender distribution, with slightly more females than males in the population. According to the World Bank data from 2020, the sex ratio is approximately 0.99 males to 1 female<sup>63</sup>. However, it is essential to examine how gender intersects with age and location to understand demographic disparities fully.

#### Health and Education:

**Health:** Women in Botswana have made significant progress in accessing healthcare services, including maternal and reproductive health services. The maternal mortality rate has decreased in recent years, reflecting improved access to healthcare<sup>64</sup>. However, gender disparities may persist in health outcomes, such as the prevalence of HIV/AIDS among women, highlighting the need for targeted interventions<sup>65</sup>.

**Education:** Botswana has made substantial progress in achieving gender parity in education. Girls' enrolment rates in primary and secondary education are nearly on par with those of boys<sup>66</sup>. Nevertheless, attention must be given to factors like retention and quality of education to ensure that girls and boys have equal opportunities and outcomes.

**Women in Agriculture:** Women in Botswana play a significant role in agriculture, particularly in subsistence farming. They are responsible for household food security and contribute to rural livelihoods<sup>67</sup>. Empowering women in agriculture with access to resources and knowledge can enhance their productivity and income. Women in Botswana play a vital role in agriculture, particularly in subsistence farming. Their contributions to food production and household income are substantial. However, women often face challenges related to land ownership and access to agricultural resources<sup>68</sup>.

**Gender-Based Violence:** Gender-based violence remains a critical issue in Botswana. Despite legal frameworks and policies in place, challenges persist in addressing and preventing violence against women. Challenges related to reporting, prosecution, and cultural norms persist, impacting women's safety and well-being (UNFPA, 2020). Cultural norms and stigma may deter reporting and seeking help, and the government must continue efforts to combat this issue (UN Women, 2020). GBV is a critical issue in Botswana. Shockingly, almost 70% of women have experienced GBV at least once in their lifetime, with about 30% experiencing it in the last year. Only a small fraction (1.2%) of these cases is reported to the police, indicating a significant gap between occurrence and reporting. The Botswana government has implemented policies to combat GBV, including the establishment of GBV courts and training for legal and health professionals. However, societal stigmatization and a culture of silence remain significant barriers to effectively addressing GBV.

**Differentiated Climate Change Impacts on Gender:** Climate change poses specific challenges to women in Botswana. Women are often more vulnerable due to their roles in resource management and household responsibilities. Changes in rainfall patterns and water availability can have a disproportionate impact on women's livelihoods and well-being. They are often more vulnerable due to their roles in agriculture and their reliance on

<sup>63</sup> World Bank. (2019). *Botswana - Gender Data*. Retrieved from <https://data.worldbank.org/country/botswana?view=chart>

<sup>64</sup> UNFPA. (2020). *Botswana Country Programme Document 2020-2024*. Retrieved from <https://botswana.unfpa.org/sites/default/files/pub-pdf/UNFPA%20CPD%20Botswana%202020-2024.pdf>.

<sup>65</sup> UNAIDS. (2020). Botswana. Retrieved from <https://www.unaids.org/en/regionscountries/countries/botswana>.

<sup>66</sup> UNESCO. (2021). *Education for All Global Monitoring Report 2020. Gender Report: Building bridges for gender equality*. Retrieved from <https://unesdoc.unesco.org/ark:/48223/pf0000374615>.

<sup>67</sup> FAO. (2020). *Gender and Agriculture in Botswana*. Retrieved from <http://www.fao.org/3/cb0613en/cb0613en.pdf>.

<sup>68</sup> FAO. (2018). *The State of Food and Agriculture: Women in Agriculture*. Retrieved from <http://www.fao.org/3/I9542EN/I9542en.pdf>.

natural resources. Women may also face increased responsibilities, such as fetching water over longer distances in drought-prone areas<sup>69</sup>. Policies and strategies should consider these differentiated impacts. Climate change has differential impacts on men and women in Botswana.

**Responses to Climate Change Gender Inequalities in the concept note.**

- a) **Strengthening Gender-Responsive Systems:** The project aims to build gender-responsive climate-resilient systems in rural communities, focusing on women and youth participation in adaptation and risk reduction activities. This involves enhancing access to climate-resilient water supply, renewable energy, and improving food systems agricultural production and processing systems.
- b) **Involving Women in Agricultural Practices:** Women, who are significant in Botswana's arable farming, will be empowered through access to improved agricultural practices, technologies, and renewable energy sources. This is designed to streamline their tasks, freeing up time for other pursuits and contributing to food production at the household level.
- c) **Capacity Building and Awareness:** There is a focus on building capacity and raising awareness among community members, especially women and youth, about climate change and its impacts. This includes training in climate-resilient agricultural practices and water conservation strategies.
- d) **Promoting Gender Equality:** The project emphasizes promoting gender equality by encouraging women's participation in leadership roles and implementation teams. This also includes direct benefits to women from improved access to electricity and climate-smart technologies.
- e) **Addressing Financial Barriers:** A key aspect is facilitating access to finance, especially for women and marginalized groups, to enable them to capitalize on climate-smart adaptive technologies.
- f) **Ensuring Inclusivity in Decision Making:** The project seeks to ensure inclusive decision-making and implementation, considering the specific needs and contributions of women in adapting to climate change impacts.

**Gender dynamics in relation to WEFE**

Women play a critical role in agriculture and water, energy, food, and ecosystems (WEFE) in Botswana, owning 58% of arable land but often lacking access to resources and decision-making power. Women are primarily responsible for household water management and food production but face challenges such as limited access to credit and agricultural inputs, high workloads due to domestic responsibilities, and lower participation in formal agricultural training programs. The project responds to these challenges by providing targeted training in climate-smart agriculture, renewable energy technologies, and water management, specifically for women. Women's groups will be established to facilitate peer learning and support, and the project will ensure women's representation in community management committees and decision-making bodies. Additionally, the project will facilitate access to credit and agricultural inputs and distribute time-saving technologies to alleviate women's workload. By promoting gender-sensitive policies and practices, the project aims to empower women, enhance their participation, and improve their socioeconomic situation in relation to WEFE.

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<sup>69</sup> UNDP. (2019). *Gender-Responsive Climate Change Adaptation and Mitigation in Botswana*. Retrieved from [https://www.undp.org/content/undp/en/home/librarypage/environment-energy/climate\\_change/Gender-Responsive-Climate-Change-Adaptation-and-Mitigation-in-Botswana.html](https://www.undp.org/content/undp/en/home/librarypage/environment-energy/climate_change/Gender-Responsive-Climate-Change-Adaptation-and-Mitigation-in-Botswana.html).