



Annual Performance Report 2023



ADAPTATION FUND

*Mangrove reforestation activities in
Bajito Vaquería, Colombia
(Photo by WFP/Rein Skullerud)*

CONTENTS

EXECUTIVE SUMMARY	3
INTRODUCTION	4
SECTION I: ACTION.....	5
Project and Programme Approvals.....	5
Strategic Results Framework.....	7
Core Impact Indicators	8
Current Portfolio and Approvals by Region during FY 23	10
Africa.....	10
Latin America and the Carribean.....	11
Asia-Pacific.....	12
Eastern Europe	13
SECTION II: INNOVATION.....	14
Innovation Grant Approvals	14
SECTION III: LEARNING AND SHARING	15
SECTION IV: CROSS-CUTTING THEMES	18
Advance Gender Equality.....	18
Enhance Access to Climate Finance and Long-Term Institutional Capacity	20
Readiness Grants approval by Region and Funding Window.....	20
Readiness Programme Results Framework	21
Developments within the Readiness Programme	21
Strengthen Complementarity, Coherence and Synergies with Other Adaptation Funders and Actors	22
Promote Locally Based or Locally Led Adaptation	24
ANNEXES.....	26

ACRONYMS

AF	Adaptation Fund
AFCIA	Adaptation Fund Climate Innovation Accelerator
APR	Annual Performance Report
COP	Conference of the Parties
CPDAE	Community of Practice for Direct Access Entities
DA	Designated Authority
DRR	Disaster Risk Reduction
EBA	Ecosystem-based Adaptation
ESP	Environmental and Social Policy
FY	Fiscal Year
GCF	Green Climate Fund
GP	Gender Policy
GAP	Gender Action Plan
IE	Implementing Entity
ISGAP	Innovation Small Grant Aggregator Platform
LAC	Latin America and the Caribbean
LDCs	Least Developed Countries
LLA	Locally Led Adaptation
MIE	Multilateral Implementing Entity
MTS	Medium-term Strategy
NIE	National Implementing Entity
PFA	Project Formulation Assistance Grant
PFG	Project Formulation Grant
PPR	Project Performance Report
RIE	Regional Implementing Entity
SDGs	Sustainable Development Goals
SIDS	Small Island Developing States
SSC	South-South Cooperation
TA-ESP	Technical Assistance for the Environmental and Social Policy
TA-ESGP	Technical Assistance for the Environmental and Social Policy and Gender Policy
TA-GP	Technical Assistance for the Gender Policy
UNFCCC	United Nations Framework Convention on Climate Change

Executive Summary

The Adaptation Fund (the Fund) was established under the Kyoto Protocol of the UN Framework Convention on Climate Change (UNFCCC). Since January 1 2019, the Fund is serving also the Paris Agreement as per the decision by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) during its 24th meeting in Katowice, Poland. Since 2010 and up to 30 June 2023, the Fund's overall project approvals including project formulation and Readiness grants amount to US\$ 1,059.7 million in 110 countries.

The Annual Performance Report (APR) for fiscal year 2023 (FY 23) highlights the unique value of the Fund's pioneering sustainable and scalable projects, and provides a wide range of information on, inter alia, the progress of the implementation of the second Fund's Medium-term Strategy (MTS) covering the period 2023-2027, as well as the overall portfolio lessons learnt and recent Fund's developments.

Reflecting the importance of locally-led adaptation, the report also provides information on some of the new yet to be operationalized funding windows, under the current MTS, reports on the progress achieved under the Adaptation Fund Climate Innovation Accelerator (AFCIA) and on engagements including partnerships and collaboration with other organizations.

The report also presents the growth of the Fund's portfolio with an additional 15 projects approved during the reporting period for a total US\$ 129.7 million, including the first innovation large grant and the first enhanced direct access (EDA) grant under the dedicated funding window, and an increasing active pipeline amounting to US\$ 377 million.

As of June 2023, the Fund's portfolio includes a total of 155 concrete projects amounting US\$ 1,055.1 million (including the innovation large and small grants and the EDA grant approved and excluding PFG and readiness grants), of which nearly a third is implemented by national implementing entities (NIEs). The approved projects are expected, among others, to benefit a total of 41 million people; create, protect or rehabilitate more than 635,000 hectares of natural habitats and install 526 early warning systems.

The Fund's network of accredited entities continues to expand and covers all regions. At the end of the reporting period, the Board had accredited a total of 55 implementing entities (IEs), including 14 Multilateral Implementing Entities (MIEs), nine Regional Implementing

Entities (RIEs), and 32 NIEs. Among the 32 NIEs, ten were from Least Developed Countries (LDCs) and seven were from Small Islands Developing States (SIDS). Overall, 15 entities were from Latin America and the Caribbean, 14 were from Africa, 11 were from Asia-Pacific, and one entity was from Eastern Europe.

The Fund's portfolio is maturing, with 60 per cent of active portfolio under implementation for a total US\$ 644.9 million. In addition, a total of 35 projects have reached completion amounting to US\$ 230.6 million. With a disbursement rate of 63%, the Fund ensures that its funding is reaching the most vulnerable without delays and contributes to building resilience; with a total of US\$ 666.6 million (for concrete projects and programmes) that has been transferred to implementing entities.

As of June 2023, a total of one 112 projects or 76 per cent of the total portfolio have submitted at least one annual project performance report.

The Fund concluded the implementation of the first Medium-Term Strategy (MTS) and approved the second MTS 2023-2027, with the aim to further consolidate its comparative advantage and impact by continuing to deliver funding to eligible countries in a variety of sectors and geographies. Among the approved projects, funds are allocated across multiple sectors, the most significant in terms of grant amount being food security, agriculture and multisector projects/programmes, and across a variety of regions, with the biggest flow of approved grant funds going to Africa, followed by Asia-Pacific, Latin America and the Caribbean (LAC) and Eastern Europe.

At the organizational and policy level and as it is starting the implementation of its new MTS, the Fund is committed to enhance its portfolio monitoring in accordance with the ambitions set in the new strategy. The Fund's strategic results framework is being updated to reflect the new MTS's modalities and windows. Building on its track record since its inception, the Fund continues expanding its capacity to harness the learning and sharing across its active portfolio and partnership with other adaptation partners as evidenced by the increasing number of KM products produced each year. In addition, as mandated by CMP, the Fund's Environmental and Social Policy (ESP) is being reviewed in consultation with relevant AF stakeholders. Finally, the Fund has taken further steps in implementing its gender policy including with a new gender scorecard (GSC) developed with the aim of enhancing the Fund's monitoring of its Gender Policy (GP) implementation at Fund's portfolio-wide level.

Introduction

This document presents the thirteen annual performance report of the Adaptation Fund (the Fund). It covers the period from 1 July 2022 through 30 June 2023.

Building upon the Adaptation Fund's achievements and experience with implementing the first Medium-term Strategy 2018-2022, the Adaptation Fund Board (the Board) adopted at its thirty-ninth meeting the MTS 2023-2027, with the aim to further consolidate the Fund's comparative advantage and optimize its impact. The MTS 2023-2027 does so by continuing the strategic focus on financing adaptation action, innovation and learning and sharing, while increasing the ambition under each of these strategic pillars, strengthening the linkage and synergies between them, and further introducing a crosscutting strategic emphasis on promoting locally based and locally led adaptation as well as on scaling up funded activities and results. The MTS 2023-2027 Implementation Plan, as contained in the annex to document AFB/B.40/5/Rev.1, was approved by the Board in March 2023 through Decision B.40/72.

To apply for funding, countries must submit proposals through an accredited institutions assessed by independent experts of the Fund's Accreditation Panel. Implementing entities can be national, regional or multilateral. Once accredited, implementing entities can apply for funding of up to US\$ 20 million per country for concrete, single country, adaptation projects or programmes for a maximum amount of US\$ 10 million per project, or for a maximum of US\$ 14 million for a regional project/programme (these are not mutually exclusive). In addition, National Implementing Entities (NIEs) can access funding outside of their country cap, for enhanced direct access projects up to US\$ 5 million per project, for large innovation grants of up to US\$ 5 million, for small innovation grants of up to US\$ 250,000 and for learning grants and project scale-up grants for respectively US\$ 150,000 and US\$ 100,000. The Adaptation Fund Board has also made available several small grants to NIEs, such as Readiness Package Grants, that is available for the accreditation of NIEs up to a maximum of US\$ 150,000 per country, Project Formulation Grants (PFG) up to US\$ 50,000, and Technical Assistance (TA) grants for the Environmental and Social Policy (ESP) and the Gender Policy (GP), for up to a maximum of US\$ 25,000 and US\$ 10,000 respectively, and which fall also outside of the country cap. To expand support to modalities that promote Locally-Led Action (LLA), and expand the reach of the Fund, the implementation plan of the MTS 2023-2027 proposes to enhance LLA-related modalities, including the afore-mentioned Enhanced Direct Access window, a new Global MIE Aggregator programme for channelling

grants for LLA to non-accredited entities, and opening the option for EDA-type national programmes for Multi-lateral Implementing Entities (MIE) and Regional Implementing Entities (RIEs).

At the end of the reporting period, the Board had accredited a total of 55 implementing entities, comprising of 14 Multilateral Implementing Entities (MIEs), nine Regional Implementing Entities (RIEs), and 32 National Implementing Entities (NIEs). Among the 32 NIEs, ten were from Least Developed Countries (LDCs) and seven were from Small Islands Developing States (SIDS). With respect to the geographic coverage of the 32 NIEs and 9 RIEs, 15 entities were from Latin America and the Caribbean, 14 were from Africa, 11 were from Asia-Pacific, and one entity was from Eastern Europe.

As of 30 June 2023, a total of 155 projects were approved for funding for concrete projects and programmes, representing a total amount of US\$ 1,055.1 million (this includes the approved innovation small grants), 102 Project Formulation Grants (PFG) and five Project Formulation Assistance grants (PFA), representing a total of US\$ 4.6 million.¹ A total of US\$ 666.6 million² for concrete projects and programmes has been transferred to implementing entities, representing a disbursement rate of 63 per cent. This figure has slightly improved from last year APR which reported a disbursement rate of 61 per cent of approved amount. Of the 147 projects approved to date (excluding the innovation small grants), 43 are being or have been implemented by NIEs, 18 by RIEs, and 86 by MIEs. Detailed description of project breakdown by IEs is illustrated in Annex 1.

The APR for the FY23 confirms the maturation of the overall portfolio of active projects since the Fund approved its first project in 2010, with 93 projects under implementation, for a total grant amount of US\$ 644.9 million, and 112 projects having submitted at least one project performance report (PPR) as of 30 June 2023. Forty-three projects have submitted a mid-term review, and 35 projects have reached operational finalization and have submitted terminal evaluation reports as of 30 June 2023.

The present report describes the progress made in each of the current MTS pillars during the reporting period, as well as in the cross-cutting themes. It provides an analysis of project approvals through 30 June 2023, of expected results from approved projects, a summary of progress made for projects under implementation in FY23, and a presentation of the management effectiveness and efficiency indicators for the Fund.

1. All amounts are in US dollars. The figures above include implementing entity fees but not project formulation grants.

2. This amount does not include the cash transfers made for the small grants for innovation, learning and projects scale up, as well as excludes the transfer made for the readiness grants and the Project Formulation Grants.

Section I - Action

Under the action pillar, the Adaptation Fund supports eligible countries to undertake high quality projects and programmes consistent with their priority needs, goals and strategies.

This section includes an overview of the cumulative projects and programmes approvals related to regular single country and regional proposals, enhanced direct access and innovation large grants as of 30 June 2023. In addition, provides information on the Fund's investments distribution among regions and sectors, and on the Fund's investments at outcome level and tracks the impact of the Fund in each region. Table 1 below provides a summary of key figures for the reporting period.

Project and Programme Approvals

Since the Board's first review of proposals in June 2010 through 30 June 2023, the Fund has approved a total of 147 projects, including the two MIE innovation

aggregators.⁴ Infographic 1 (page 6) provides a detailed breakdown of projects approved by region as of 30 June 2023.

These approved projects span across four regions – Africa, Latin America and the Caribbean, Asia-Pacific and Eastern Europe. As indicated in Infographic 1, the largest amount of grant funding approved thus far has been to the Africa region (40 per cent of the total portfolio), followed by Asia-Pacific (31 per cent of total portfolio) and by Latin America and the Caribbean (25 per cent of total portfolio). So far there have been six projects approved in Eastern Europe or three per cent of total portfolio.

Out of these, 47 projects are from least developed countries (LDCs) and 26 from Small Island Developing States (SIDS)⁵ corresponding to 50 per cent of the total portfolio, with Comoros, Guinea-Bissau, Haiti, São Tomé and Príncipe and Solomon Islands included in both groups.

Table 1: Adaptation Fund at a Glance³ (as of 30 June 2023)

APPROVALS CUMULATIVE	
Number of projects approved	147
	US\$ Million
Grant amount (excluding fees and execution costs)	904.5
Execution costs	68.1
Entity fees	80.5
Grant amount approved	1.053.2
Entity fees as percentage of total grant amount approved	7.65%

APPROVALS BY FY										
	FY 14	FY15	FY16	FY17	FY18	FY19	FY20	FY21	FY22	FY23
Number of projects approved	5	11	5	12	10	11	23	14	11	15
	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million	US\$ Million
Grant amount (excluding fees and execution costs)	30.5	64.3	18.9	71.7	49	74.7	155.9	77	78.7	114.2
Execution costs	2.1	5.6	1.4	6.5	4.4	5.2	10.1	6.3	7	10.2
Entity fees	2.6	5.8	1.4	6.7	4.5	6.7	13.9	6.6	7.2	10.3
Grant amount approved	35.2	75.7	21.7	84.9	57.9	86.6	179.9	89.9	92.9	129.6
Entity Fees as percentage of total grant amount approved	8.0%	8.3%	6.8%	7.0%	7.8%	7.6%	7.7%	7.3%	7.7%	7.9%

3. Figures below refer to concrete regular adaptation projects only and do not include other forms of grants such as project formulation grants, readiness grants, innovation or EDA grants.

4. This amount excludes the project (one project) that was cancelled after approval and excludes the innovation small grants.

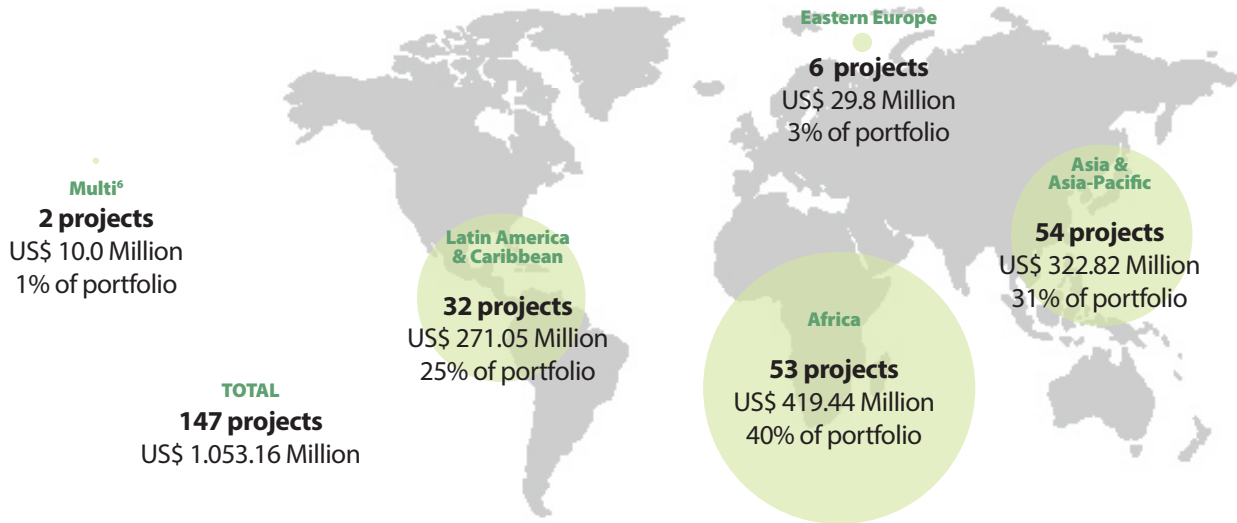
5. Figures for projects implemented in LDCs and SIDS, include also the relevant regional projects/programmes, even though the totality of the countries in which they are implemented are not included in any of the two lists.

Section I - Action

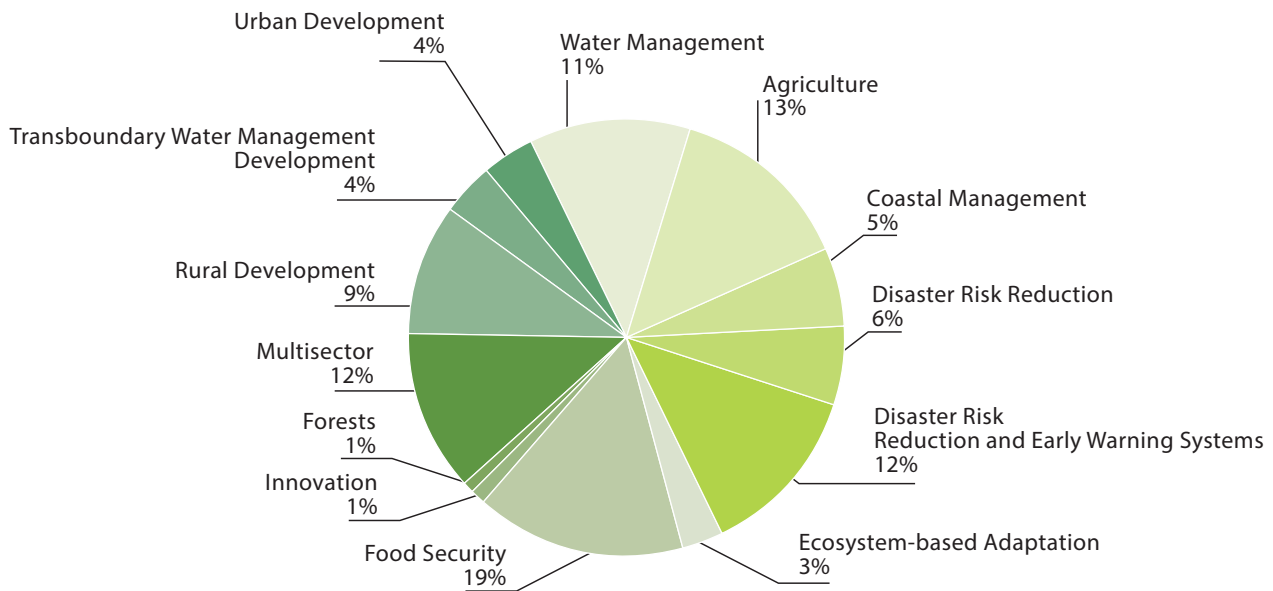
In terms of sector distribution for the approved projects, the largest grant amount is allocated to projects in the food security sector, with US\$ 200.2 million approved (19 per cent), followed by agriculture with US\$ 138.8 million (13.2 per cent), and multisectoral projects with US\$ 125.7

million (11.9 per cent). The graph 1 below⁷ provides a comprehensive breakdown of total grant amounts approved by sector (including the regional projects/programmes). A full list of approved projects as of 30 June 2023 is provided in Annex 2.

Infographic 1: Total Projects and Grant Amount Approved by Region (as of 30 June 2023)



Graph 1: Adaptation Fund Investments by Sector (US\$ Million)



6. This refers to the global projects for the establishment of the MIE Innovation Aggregator by UNDP and UNEP.

7. The thematic areas for regional proposals are food security, disaster risk reduction and early warning systems, transboundary water management, and innovation in adaptation finance. In addition, projects under the innovation facility were tagged against innovation sector, to highlight the share of innovation investments. However, these can be in a variety of sectors (i.e. Advancement of gender equality; enhancement of cultural heritage; inclusion of youth; social innovation, among others).

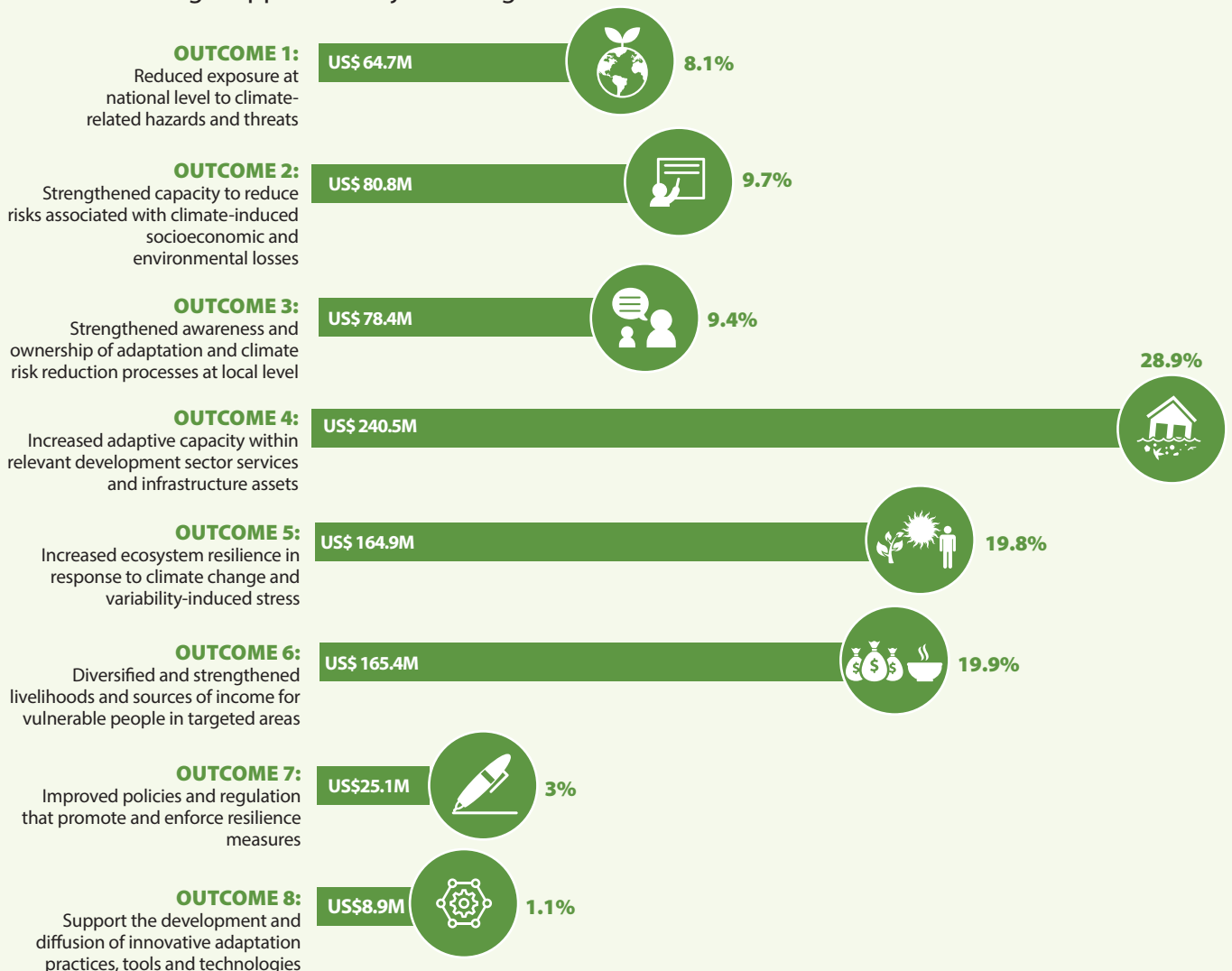
Section I - Action

Strategic Results Framework

In line with the Fund's mandate to finance concrete adaptation projects and since the starts of its operation in 2010, the Fund consistently channelled the largest amount of grant funding toward outcome four as defined in the strategic results framework (SRF), namely "Increased adaptive capacity within relevant development and natural resource sectors" for a total amount of US\$ 240.5 million or 28.9 per cent of the portfolio, followed by outcome six, "Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas" for a total amount of US\$165.4 Million or 19.9 per cent of the portfolio, and by outcome five "Increased ecosystem resilience in response to climate change" for a total US\$ 164.9 Million or 19.8 per cent of the portfolio and outcome. Many of the activities associated with concrete measures often fall within these two outcome areas, such as restoration of ecosystem

services, investment in coastal protection infrastructure, or increased access to irrigation water and production schemes. In addition, the majority of the approved projects support and strengthen climate resilient livelihoods. A revised version of the Strategic Results Framework (SRF) was approved by the Board through decision B.33/46, in light of the ad hoc readiness results framework and the MTS new strategic areas, including a new strategic outcome "Support the development and diffusion of innovative adaptation practices, tools and technologies". In line with the Board's request to update and strengthen the Fund's SRF in alignment with the MTS II, the secretariat commissioned the work for the revision of the Fund's SRF, which will be finalized taking into account recent programmatic developments under the current MTS and will be submitted for the Board's consideration at its Forty-Second meeting.

Infographic 2: Grant Amount Programmed by Adaptation Fund Results Framework Outcome Amongst Approved Projects/ Programmes as of 30 June 2023⁸



8. The table does not include project execution costs, management fees or any project level outputs that have not been explicitly aligned with the Adaptation Fund results framework.

Section I - Action

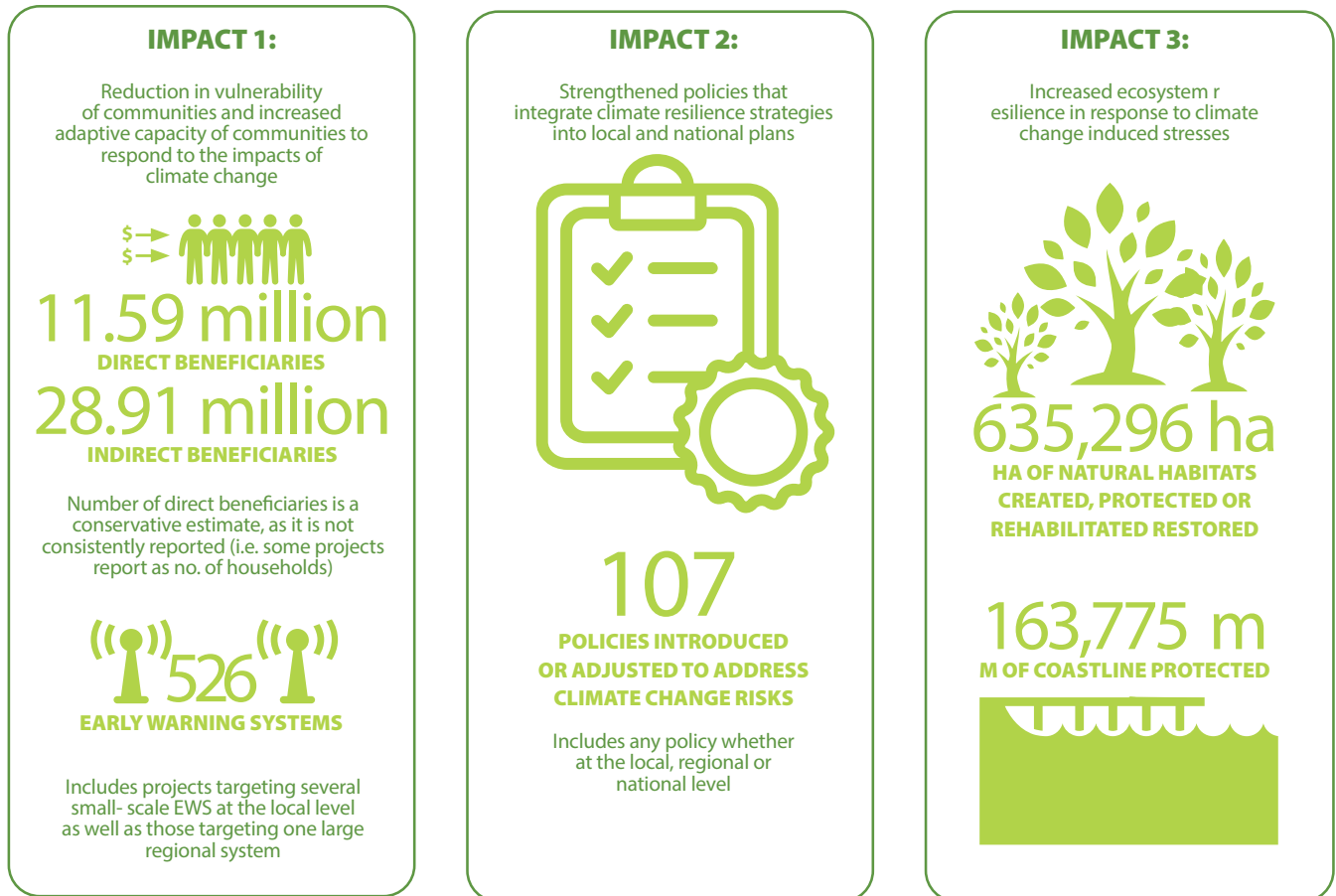
Core Impact Indicators

Given the flexible nature of the Fund’s results framework whereby project proposals are only required to report at least on one Fund level outcome, the Board has approved two impact-level results and five associated core indicators to track under these impacts, that allow the Fund to aggregate quantitative indicators at portfolio level.⁹ Since the first APR for FY13, the secretariat has reported estimated results for three of the five core indicators, as those referring to the assets produced, developed or strengthened and to the increased or avoided decrease in income present limitations for data aggregation, due to the diverse nature of the adaptation assets created. In addition, just a few projects are aligned to the core indicator ‘increased or avoided decrease in income’.



Bench terracing in Nyabihu District, Rwanda
(Photo by Adaptation Fund)

Infographic 3: Preliminary Aggregation of Fund Indicators as of 30 June 2023¹⁰



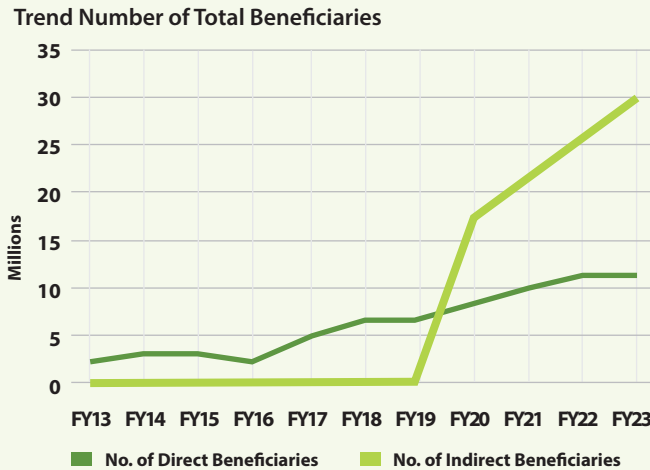
9. The core indicators were approved at the Board’s twenty-fourth meeting and are expected to help the secretariat report on the expected results from the Fund’s approved portfolio. For those projects reporting on no of households, the secretariat has taken the average household figure of the country to multiply by no of households targeted.
10. The preliminary aggregation of Funds indicators is based on the planned results included in the approved project documents.

Section I - Action

To address the afore mentioned limitations, the secretariat has commissioned a work to update and strengthen the Fund's Strategic Results Framework (SRF), including its core impact indicators, taking into account recent programmatic developments under the MTS II which will be submitted for the Board's consideration at its forty-second meeting.

For the current report, the secretariat extracted expected results from all 145¹¹ approved project proposals and presented the targets by region. The estimated results for the three of the five core indicators up to 30 June 2023 is reported in Inforgraphic 3 and the trend on the progress of the core impact indicators is reported in graph 2 below.

Graph 2:
Trend of Preliminary Aggregation of Fund Indicators



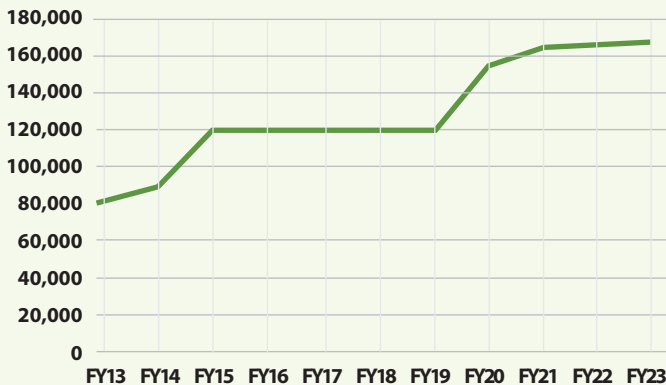
Trend No. of Early Warning Systems Installed



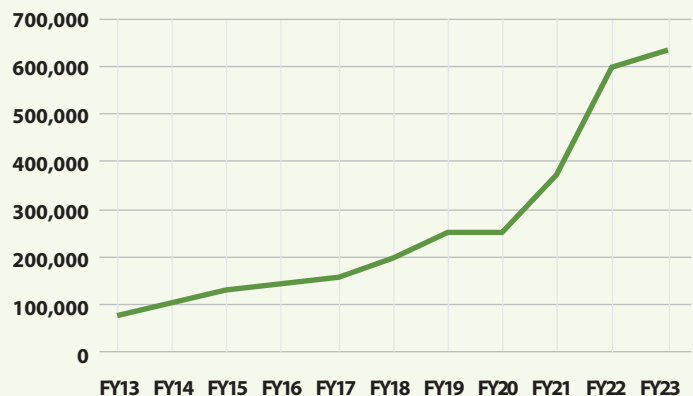
Trend No. of Policies Introduced or Adjusted to Address Climate Change Risks



Trend Meters of Coastline Protected



Trend Ha Natural Habitats Created, Protected or Restored



11. Approved projects for the Multilateral Implementing Entities Innovation Aggregator are not included in this analysis.

Section I - Action: Africa

Current Portfolio and Approvals by Region during FY 23

Since June 2010, the Board has approved a total of 53 projects in the Africa region covering 45 countries. The largest grant amount has gone to projects in the food security sector with a grant allocation of US\$ 96.6 million, followed by disaster risk reduction and early warning systems for US\$ 88.4 million, projects in agriculture for US\$ 61.9 million, followed closely by investments in rural development amounting to US\$ 57.6 million. During the current reporting period, the Board approved three single country and one regional project in Africa. Among the three single country projects, the Board approved the first EDA grant under the dedicated funding window developed as part of the previous MTS.

An example of an investment in agriculture is the project “Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices” in [Côte d’Ivoire](#) implemented by the Interprofessional Fund for Agricultural Research (FIRCA). The aim of the project is to strengthen the resilience of smallholder farmers to the effects of climate change through the adoption of proven innovative technologies and practices in a context of variability and irregularity of rainy seasons. It is estimated that overall, the project will directly benefit 6,900 small-scale farmers (75 per cent of women and youth) through concrete adaptation interventions, including the implementation of sustainable and improved water management technologies.

Another example is the project titled, “West and Central Africa Small Island Developing States ADAPT – Building resilience of agricultural systems to climate change” in [Cabo Verde, Guinea Bissau and Sao Tome and Principe](#), implemented by the International Fund for Agricultural Development (IFAD), which aims to build climate-resilient agricultural systems in the three SIDS in West and Central Africa by securing water resources for agricultural and domestic usages and rehabilitating degraded lands to increase the climate resilience of agrarian ecosystems and enhance agricultural productivity. The project will benefit



Ayyad Ali, a farmer in Luxor, Egypt, proudly stands in his land, where he increased his crop production by introducing improved agricultural techniques (Photo by WFP)

75,720 individuals directly, and 526,800 indirectly through the implementation of proven best practices in climate resilient value chains drawn from local and international research leading to a sustainable increase in production, watershed and water infrastructure restoration and provision of water harvesting and distribution infrastructures.

AS OF JUNE 30, 2023



Section I - Action: Latin America and the Caribbean

Current Portfolio and Approvals by Region during FY23



Mangrove reforestation activities in Bajito Vaquería, Colombia
(Photo by WFP/Rein Skullerud)

In the Latin America and the Caribbean (LAC) region, the Board has approved 32 projects in 22 countries up to June 30 2023, with the largest allocation to investments in the sector of food security for US\$ 43.5 million, followed by US\$ 39.3 million towards multisectoral projects, and by US\$ 35.4 million towards the agriculture sector. There were three approved projects in the LAC region during the reporting period.¹²

In [El Salvador and Honduras](#), the project “Strengthening the Adaptive Capacities of Climate-Vulnerable Communities in the Goascorán Watershed of El Salvador and Honduras through Integrated Community-Based Adaptation Practices and Services” implemented by the United Nations World Food Programme (WFP), aims to strengthen the climate change adaptive capacity of vulnerable households in the degraded transboundary watershed of Goascorán across El Salvador and Honduras by providing communities with integrated climate risk management tools and services that enhance

their resilience to climate variability and change. The project will support the adoption and dissemination of traditional and innovative climate-resilient practices and technologies within a gender-transformative integrated approach and is expected to benefit 275,000 individuals.

The project “Use of Nature-based Solutions to Increase Resilience to Extreme Climate Events in the Atlantic Region of Central America ” in [Guatemala, Honduras and Belize](#) implemented by the Central American Bank for Economic Integration (CABEI), aims to strengthen the climate resilience of communities and the ecosystems in the target area by deploying nature-based restoration efforts in a gender-conscious manner, providing access to community tools and training, supporting local early warning systems, and implementing a regional approach that enhance scaling up possibilities for restoration ecosystems as an adaptation measures the Gulf of Honduras. The project aims to benefit 2,050 individuals directly and more than 35,000 indirectly.

AS OF JUNE 30, 2023



12. During the reporting period three proposals at concept stage, which have been submitted for the Board's consideration, were endorsed.

Section I - Action: Asia-Pacific

Current Portfolio and Approvals by Region during FY 23



Women's association processing candlenuts in Toraja, Indonesia
(Photo by Adaptation Fund)

Since 2010, the Board has approved 54 projects in the Asia-Pacific region in 31 countries with the largest allocation in the disaster risk reduction sector for US\$ 48.3 million, followed by US\$ 46.9 million in the agriculture sector, US\$ 44.9 million for multisector projects, and US\$ 44.2 million for projects in food security. During the current reporting period, the Board approved six single country projects, including the first innovation large grant, and two regional projects in the Asia-Pacific region.

As an example, the project “Adaptation to Climate-Induced Water Stresses through Integrated Landscape Management in Bhutan” implemented in [Bhutan](#) by the Bhutan Trust Fund for Environmental Conservation (BTFC), proposes an articulated approach that will lead to healthier ecosystems and improved associated services, better management of water use for human activities, improved information systems and decision-making, and linking with the most relevant level of governance. Issues related to water

availability and use all along the watershed, the various components of sustainability (including at technical, financial and institutional level), and the participation of the beneficiaries are key aspects of the project.

In [Nauru](#), the project “Resilient Coastal Fisheries and Aquaculture in Nauru” implemented by The Pacific Community (TPC), seeks to utilize an integrated approach to natural resource management to address a number of long-standing barriers that have hampered the implementation of climate adaptation solutions with regards to Nauru’s coastal fisheries and aquaculture sectors. The overall aim of the project is to provide the necessary tools and human capacity to accelerate the implementation of sustainable national coastal fisheries plans and conservation measures to protect vulnerable coastal ecosystems, and to enable the scale up of aquaculture operations to increase the climate resilience of livelihoods and health of local communities.



Section I - Action: Eastern Europe

Current Portfolio and Approvals by Region during FY23



Project beneficiaries learned to cultivate in a greenhouse in Armenia (Photo by Adaptation Fund)

In Eastern Europe, the Board has approved six projects including five single country and one regional project, in seven countries. The largest allocation is in the Multisector for US\$ 10 million, followed by US\$ 9.9 million towards DRR, US\$ 6 million towards food security, US\$ 5.3 million

toward water management projects/programs, US\$ 4.7 million towards agriculture, US\$ 2.5 million towards forestry, and US\$ 1.4 million in urban resilience projects. During the current reporting period there were no completed projects in this region.

AS OF JUNE 30, 2023



Section II - Innovation

Under the innovation pillar the Adaptation Fund foresees targeted funding for activities related to innovation, such as support for calling up proven innovative adaptation practices and technologies across countries or regions. Practical knowledge and lessons will also be captured and communicated from effective Fund activities and impact themes. These objectives are supported through the establishment of an Innovation Facility, which includes small and large grants. The small grants are awarded to vulnerable developing countries through two routes: directly through national implementing entities (NIEs) particularly to those countries that have accredited NIEs, and through an MIE aggregator delivery mechanism to other entities (organizations, groups, associations, institutions, businesses, agencies, etc.) that are not accredited with the Fund.

This section summarizes the achievements of the fund under the innovation pillar, providing an overview of the innovation proposal submissions and brief overview of the Fund's work in this sector.

Innovation Grant Approvals

As part of the direct access route, the Fund launched the first call for innovation small grants in December 2018. This funding opportunity makes available grants of up to US\$ 250,000, to support the development and diffusion of innovative adaptation practices, tools, and technologies.

During the reporting period, the Board approved two small grants for innovation through Direct Access in Indonesia. Approved funding decisions for innovation small grants, which amounted to US\$ 500,000.

The innovation small grant "Developing a Climate Smart Community System to Increase Climate Resilience for Saddang Watershed Communities" for total amount of US\$ 250,000 implemented by the Partnership for Governance Reform (Kemitraan) of [Indonesia](#), aims to strengthen technology-based climate knowledge and learning systems in increasing awareness and adaptive capacity of the Saddang watershed ecosystem community through the development of a Climate Smart Community system. It will also strengthen policies and participation of stakeholders to support the technology-based adaptive actions of the Saddang watershed ecosystem community, through policy advocacy and development of an information system for monitoring climate change adaptation.



Traditional practice of fog catching to address the impacts of water scarcity in Mexico (Photo by UNDP)

The project "Towards Climate and Economic Resilience: Development Sustainable Adaptation-based of Coffee Village and Tourism Village through Co-production Social Forestry" for total amount of US\$ 250,000 implemented by Kemitraan in [Indonesia](#), aims to increase the achievement of climate resilience and the community's economy through the development of adaptive coffee and social forestry techno park-based tourist villages. Coffee villages will be developed as locations for developing coffee types that are adaptive to climate change. The proposal will also develop a learning tool for stakeholders, especially coffee farmers in terms of cultivation to post-harvest coffee processing. In each activity of the project, the project will involve village youth, vulnerable groups, including at least 30 percent participation from women's groups.

Large Innovation Projects

Large Innovation Projects, under the Innovation Facility of the Adaptation Fund, makes available grants of up to US\$ 5 million to NIEs, RIEs and MIEs starting with the first request for proposals under an indicative set-aside of US\$ 30 million, approved at second session of the thirty-fifth meeting of the Adaptation Fund Board in October 2020.

Section II - Innovation

For the large innovation projects specifically, two expected results have been identified by the MTS implementation plan:

i) Successful innovations rolled out. Innovative adaptation practices, tools and technologies that have demonstrated success in one country spread to new countries/regions;

ii) Viable innovations scaled up. Innovative adaptation practices, tools and technologies that have demonstrated viability at a small scale piloted at larger scales.

During the current fiscal year, the Board approved one large grant project in Viet Nam implemented by International Fund Agricultural Development (IFAD).

The large innovation project in [Viet Nam](#) titled, “Innovative Financial Incentives for Adaptation in wetland livelihoods (IFIA)” for a total amount US\$ 5,000,000 aims to pilot, systematize learning and institutionalize financing instruments for scaling up adaptation in coastal livelihood activities (e.g., eco-aquaculture, eco-tourism, and non-timber forest products (NTFP) from mangroves) that contribute to the wetland and mangrove forest conservation and sustainable use. The project aims to incentivise private sector engagement with small-scale coastal producers



Women farmers being trained in innovative float farming techniques in India.
(Photo by UNDP)

in joint innovation processes in adaptation technologies and promote innovations in financing products and mechanisms to support wider adoption of sustainable wetland and mangrove forest management and other coastal adaptation initiatives in livelihood activities.

Adaptation Fund Climate Innovation Accelerator (AFCIA)

The two Adaptation Fund Climate Innovation Accelerator (AFCIA) programmes i.e., “Adaptation Fund - Special Financing Window in Support of Innovation for Adaptation” implemented by UNEP and the “Adaptation Fund-UNDP Innovation Small Grant Aggregator Platform (ISGAP)” implemented by UNDP that began implementation during Fiscal Year 2021, issued the grant awards under their respective funding windows for the first call for proposals. Details on small grants approved are presented below:

Adaptation Fund-UNDP Innovation Small Grant Aggregator Platform (ISGAP)

Implementation status

The AF-EU-UNDP Innovation Small Grant Aggregator Platform (ISGAP) aims to support the development, diffusion and evidence building of innovative adaptation practices, tools, and technologies in developing countries. ISGAP is designed to meet this objective through an effective and efficient backbone management architecture and network of global best practitioners to (i) competitively source and screen innovative adaptation project ideas; (ii) grant funding and administering to bring selected project ideas to fruition; (iii) provision of customized technical and business development capacity building, incubation, and acceleration support; and (iv) knowledge management and sharing and result-based monitoring and evaluation.

Under the first call for proposals issued by UNDP 22 grantees were awarded. The first call for proposals received 384 applications from NGOs/CSOs globally. The 22 first cohort of grantees, cover 19 countries, no country has more than two Low Value Grant Agreements (LVGA). Out of the 22 grantees, seven are from Africa, 11 Asia-Pacific (including four SIDS), and four from Latin America. Between five to seven grantees have high scaling-up or replication potential and are receiving support from

Section II - Innovation

ISGAP to find additional sources of funding (capacity building, network and advocacy, specialized technical assistance). The programme submitted its mid-term evaluation report (MTR) with a satisfactory rating.

The programme has submitted two project performance reports (PPRs) with satisfactory performance for both years of implementation. All 22 grantees have received support from UNDP with regards to: financial reporting, indicator and milestone reporting, innovation concepts, business development, individual support to scaling-up their tools/practices/business models, safeguards and risk management.

In terms of sectors, 40 per cent of the 1st cohort of grantees focus on resilience agriculture; 20 per cent on technology and technology related innovation; 10 per cent on community-based adaptation (including traditional knowledge, community knowledge and behaviour change); about 10 per cent on payment for ecosystem services or other financial structures to support adaptation; and lastly, 10 per cent focus on entrepreneurship with focus on women or people with disabilities.

The second call of proposals was carried out between September and November 2022 and the longlisting process is underway. A total of 462 proposals were received from 92 countries, with a majority again from the Africa region. The second largest group was from Asia and Pacific, followed by Latin America and the Caribbean, and in a lesser amount from Central Asia and the Arab States.

Special Financing Window in Support of Innovation for Adaptation implemented by UNEP

Implementation status

The primary objective of this five-year programme is to support countries to test, evaluate, roll out and scale up innovative adaptation practices, products and technologies. It does so by providing micro-grants to eligible beneficiary countries (developing countries without direct access to Adaptation Fund grants). Micro-grants are used to support the development and diffusion of innovative adaptation practices, tools, and technologies through the provision of technical assistance by relevant CTCN network members. The Programme also facilitates information sharing and

the exchange of best practices to support a learning mechanism for innovation in adaptation.

The programme has submitted two project performance reports (PPRs) with satisfactory performance for both years of implementation. Three calls for proposals were launched and closed, and 12 requests have been selected through the first two calls for proposals and are under implementation, among which four projects are partially or entirely co-funded by the CTCN (Maldives, Sudan, Mongolia, Honduras). Additionally, 13 projects will be selected through the last call for proposal for a total of 25 projects implemented under AFCIA. From these, three concept notes have already been fully drafted for scale up funding.

The number of eligible applications received from one call to the next has progressively increased (47 for the first round, 57 for the second round and 104 for the third round), which shows the progress made in reaching out to potential applicants. The high number of applications also highlights the increasing demand and interest by countries and partners. Stakeholders beyond CTCN focal points and governments, such as small and medium sizes cities from developing countries, have been strongly encouraged to participate to the third call with the help and collaboration of Local Governments for Sustainability (ICLEI), academia, think tanks, research centres of developing countries through UNEP's networks and partnerships. In addition, bilateral meetings and in-person sessions on AFCIA were organized with the National Designated Entities (NDEs) interested in the three regions to support the preparation and the submission of high-quality proposals.

Despite those efforts, it appeared that only a very limited number of proposals were responding to all AFCIA selection criteria among the eligible applications of the third call for proposal drastically limiting CTCN's choice in the selection process. The still limited quality of the proposals received shows a low capacity especially among stakeholders beyond NDE including the universities, academia, think tanks and centres of research. Increased capacity building efforts among those groups would have been needed to maximise their chances of being selected. The third reporting period will be focused on ensuring an efficient implementation of the 12 AFCIA funded and co-financed projects, selecting the 13 remaining projects to be funded while putting a strong emphasis on exchange of lessons learned and putting in place strong bases to scale up the most promising adaptation technologies coming out of the programme.

Section III - Learning & Sharing

The Learning and Sharing pillar of the Medium-Term Strategy enables the Fund to enhance its own processes and activities, as well as those of the Fund's partners. It also calls for practical knowledge to be captured and communicated from effective Fund's activities and impact themes, including gender responsiveness, vulnerable groups, communities and ecosystems, indigenous communities and local knowledge. The Fund also supports cross-project learning around different priority themes.

This is achieved through the development of various knowledge and learning products, which include learning grants, e-learning courses, knowledge publications and studies, case studies, partnerships and communities of practice and lessons learned reports.

The implementation plan of the first Medium-Term Strategy (MTSI) introduced a new funding window in the form of learning grants of up to US\$ 150,000 each which was launched by the Fund in FY19. The purpose of these grants is to facilitate learning and sharing of information, good practices and lessons learned from failures as well as successes between National Implementing Entities (NIEs) or from NIEs to the wider climate adaptation community.

During the reporting period, the Board approved one learning grant through Direct Access. Approved funding decision for learning grant amounted to US\$ 150,000.

Learning Grant Approvals

The learning grant for [Peru](#) implemented by Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE) aims to disseminate good practices and lessons learned from the "Adaptation Project to the impacts of climate change on the coastal marine ecosystem of Peru and its fisheries (Coastal Marine Adaptation Project -CMAP)" by consolidating information, organizing workshops, field exchanges, and producing knowledge products.

E-learning courses

During the reporting period the secretariat completed the learning materials for three e-learning courses, which provide an overview of Enhanced Direct Access (EDA) modality and guidance on how to complete the project proposal template for the EDA grants offered by the Adaptation Fund.

This [course](#) is self-paced and an important tool for capacity building at national level that is available for free to all implementing entities and relevant stakeholders interested in learning more about EDA, and how to develop EDA grant

proposal with the Fund. The Secretariat also produced the same e-learning in [French](#) and [Spanish](#) for regional audiences.

Knowledge events

The AF participated in numerous knowledge events at the COP27 in Sharm el-Sheikh, as a direct organizer or collaborating with other partners: a) "Adaptation Fund engages next generation"; b) "Accessing climate finance for adaptation - AF new Medium-Term Strategy", an event held for Spanish-speaking audiences; c) "Child-inclusive finance in adaptation" in collaboration with Save the Children and d) "Joining forces in scaling up support to adaptation: collaboration between AF and GCF". In addition, the AF secretariat participated at Gobeshona Global Conference in an event titled "Challenges and Opportunities in the Monitoring of Locally-led Adaptation Initiatives" held in March 2023.

In early June, as part of the Country Exchange organized in Indonesia jointly with the host NIE, a Knowledge Fair was organized to exchange lessons learned, challenges and experiences among the participating NIEs from the implementation of their projects with elements of ecosystem-based adaptation.

Communities of practice

The Fund continued its participation in and collaboration with the locally-led adaptation (LLA) community of practice where relevant information was shared amongst peer organizations on various topics, including on principals of locally-led action, local and youth engagement in climate change adaptation.

Knowledge products

During the reporting period, the Secretariat commissioned three knowledge products, aimed at enhancing stakeholders' knowledge about the Fund's processes and on topics relevant to the AF portfolio such as disaster risk reduction and early warning systems, climate change adaptation in fragile and conflict-affected countries, etc. These knowledge products are: a study focusing lessons learned from national financial institutions and trust funds in providing climate adaptation, the second one offers an overview of disaster risk reduction and early warning systems interventions from the AF portfolio aimed at strengthening resilience to climate change, the third study focuses on lessons learned from the AF-funded projects in addressing climate change adaptation in fragile and conflict affected countries. The first study has been completed and disseminated to relevant stakeholders while the last two are in the final stages of production.

Section IV - Cross-Cutting Themes

Advancing Gender Equality

The Fund has continued implementing its [Gender Policy and Action Plan](#),¹³ originally approved by the Board in March 2016 and updated in March 2021 (Decision B.35-36/25), strengthening efforts to enhance institutional capacity of the Fund's Implementing Entities with a view to helping them enhance the integration of gender responsive approach throughout the project life cycle and improve monitoring and reporting on gender progress in the projects and programmes.

To help strengthen the capacity of IEs to integrate gender considerations into the Fund's projects and programmes, in-person gender training was held for NIEs during 2022 annual NIE Seminar in Washington DC in September 2022. In addition, the first Adaptation Fund gender webinar was held targeting all the IEs in October 2022, which provided opportunities for IEs to enhance understanding on the Fund's updated Gender Policy and its updated gender guidance document and share best practices for and challenges in conducting gender assessment, establishing gender-disaggregated indicators and designing gender responsive interventions and gender action plan for project and programme. The second gender webinar was held in May 2023, which included a session to learn about available financial and technical support related to gender mainstreaming in the Fund and an interactive session for NIEs to share their lessons learnt, best practices, and challenges in integrating gender responsive approach in the Fund's projects and programmes. Gender training was also held during the Fund's Enhanced Direct Access (EDA) workshop

in Rwanda in June 2023, which focused on how to integrate gender considerations in designing an EDA proposal, prepare and implement gender-responsive budgeting and report gender progress made in the projects through the Fund's PPR template.

In honour of the 2023 International Women's Day, the secretariat organized an informational session on the Adaptation Fund GSC targeting the secretariat staff. The session aimed to present an overview of the Fund's gender scorecard to enhance the understanding on the gender scorecard, facilitate the review of the draft scorecard by the relevant teams such as programming team, RBM team, and exchange views and suggestions.

The Secretariat has developed the Adaptation Fund gender scorecard (GSC) with the aim of enhancing the Fund's monitoring of its GP implementation at the Fund's portfolio-wide level. GSC is a tool developed to document an outcome of a process of data and information collection and analysis of the AF portfolio. A pilot phase of GSC implementation has started applying the GSC against projects and programmes at two stages: (i) at entry; and (ii) during implementation and exit. The secretariat will annually report outcome of the GSC to the Board through the Fund's annual performance report.

Work continued on improving the Fund's gender-responsive operational framework, including policies and operational guidelines. In this regard, the Fund's gender policy¹⁴ and the updated guidance document¹⁵ have been



Women producing biofertilizer from fish remains helping them to have an additional income source, Peru (Photo by Adaptation Fund)

13. <https://www.adaptation-fund.org/document/opg-annex4-gender-policy/>. The Fund's Gender Policy and Action Plan is available in English and Spanish, and the French version will be available soon.

Section IV - Cross-Cutting Themes

translated into French and Spanish.

The secretariat has been able to observe the efforts by IEs to improve their integration of gender considerations and implementation of gender-sensitive and -responsive interventions in projects and programmes approved during the reporting period.¹⁶ Some examples and good practices that have been identified in projects' gender assessment and gender action plans, include:

a) To acknowledge and respond to gender-differentiated climate risks, the projects have identified women's specific concerns and experiences and focused on sectors with a significant impact for women such as access to water and sanitation (appropriate sanitation facilities)¹⁷, disaster management (through early-warning systems), income generation (through women's entrepreneurship), and access to land (through awareness raising);

b) To increase women's participation and agency, the projects have set specific targets to ensure distribution of benefits among vulnerable groups, such as women, indigenous people and youth; promoting skills development and trainings to enhance their technical capacities and resilience to adapt to climate change (ranging from sustainable land management, agroecology, to business development), as well as accessing financial services to diversify their livelihoods;

c) To promote innovation and sustainability, the projects have implemented interventions aimed at ensuring learning and knowledge for younger generations of women and challenging social norms. For example, in addition to peer-learning and focused trainings, an initiative called "Youth Learning Routes" will be executed, in order to provide young female farmers with skills and peer exchange networks for sustainable agroecology,¹⁸ as well as the implementation of the "Gender Learning and Promotion Framework for Sustainable Development"¹⁹ "a participatory tool to train communities and challenge social norms;

d) To ensure gender-mainstreaming throughout the project cycle, the projects have ensured institutional support from gender teams, as well as grievance mechanisms, appointments of gender focal points operational guidance and awareness trainings for project staff.

Moreover, some examples of the gender-disaggregated information and targets to ensure that women will be benefited from the Fund's supported projects are as follows:

a) The Project "Strengthening the Adaptive Capacities of Climate-Vulnerable Communities in the Goascorán Watershed of [El Salvador and Honduras](#) through Integrated Community-Based Adaptation Practices and Services", implemented by WFP will train 30,000 people (60% of them women) in ecosystem-based adaptation and disaster risk reduction, and 600 individuals (50% women) in the analysis and communication of climate and weather information. Additionally, 6,000 farmers (35% women) will benefit from financial inclusion initiatives and will be covered by an insurance product through risk transfer mechanisms.

b) The project "Build Resilience to Climate Change and Climate Variability of Vulnerable Communities in Mullaitivu District of [Sri Lanka](#)", implemented by UN-Habitat will increase the productivity of 1,100 women, increase income of 500 female-head households through value-added fish processing and train 150 women on establishment of home-based industries and business management.

c) The project "Adaptation to Climate Change and Resilience in the Montenegrin Mountain Areas-Gora", implemented by IFAD in [Montenegro](#), will benefit 40,000 people (50% women). 10,000 women will enhance their resilience to climate change. Moreover, 40 young women leaders will be identified to participate in learning routes to improve their skills and rural life projects through peers' exchanges in the field of agroecology and other climate resilient practices.

d) The project "Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices", implemented by the Interprofessional Fund for Agricultural Research in [Côte d'Ivoire](#), will benefit 6,900 farmers (75% of women and young people) with Solid Rain technology. The project will also support 195 farmers (15% of women) with rice-fish farming technology, so that each farmer will benefit from one fish-farming application site for a total of 195 fish-farming application sites.

14. See <https://www.adaptation-fund.org/document/opg-annex4-gender-policy/>.

15. <https://www.adaptation-fund.org/document/guidance-document-implementing-entities-compliance-adaptation-fund-gender-policy-2/>.

16. "Strengthening the Adaptive Capacities of Climate-Vulnerable Communities in the Goascorán Watershed of El Salvador and Honduras through Integrated Community-Based Adaptation Practices and Services", implemented by WFP; "Build Resilience to Climate Change and Climate Variability of Vulnerable Communities in Mullaitivu District of Sri Lanka", implemented by UN-Habitat; "Adaptation to Climate Change and Resilience in the Montenegrin Mountain Areas-Gora", implemented by IFAD; "Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices", implemented by the Interprofessional Fund for Agricultural Research.

17. "Build Resilience to Climate Change and Climate Variability of Vulnerable Communities in Mullaitivu District of Sri Lanka", implemented by UN-Habitat

18. "Adaptation to Climate Change and Resilience in the Montenegrin Mountain Areas-Gora", implemented by IFAD

19. "Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices", implemented by the Interprofessional Fund for Agricultural Research

Section IV - Cross-Cutting Themes

Enhance Access to Climate Finance and Long-Term Institutional Capacity

The Fund's Readiness Programme for Climate Finance (the Readiness Programme) was established by the Board through Decision B.22/24 and has a goal to increase the capacity of developing country Parties to directly access climate adaptation finance and their overall capacity to develop and initiate implementation of concrete projects and programmes that increase the resilience of vulnerable communities to the impacts of climate change. Under the Fund's Medium-Term Strategy, the Readiness Programme is also providing capacity-building support for project implementation.

There were two readiness grants approved during the reporting period, and as such, the cumulative number of approved readiness grants remains at 46. As of 30 June 2023, the Board has approved 46 readiness projects totalling US\$ 1.84 million in readiness grants which also includes two PFA grants and one scale up grant. To also note is that the Board, through decision B.37/1, decided to merge PFA grants with PFGs, effectively raising the maximum amount of individual PFGs available to NIEs to US\$ 50,000 per project.

Table 2 below provides an overview and summary of key readiness grant figures to date. During the reporting period, the AFB received and approved two readiness package grant proposals for support for accreditation and extended the role of intermediary in the delivery of support for the accreditation of an NIE via the readiness package grant to all accredited implementing entities of the Fund.²⁰

Table 2: Readiness Grants at a Glance (as of 30 June 2023)

APPROVALS CUMULATIVE	
Number of projects approved	46
	US\$
Grant amount (excluding entity fees)	1,802,447
Entity fees	40,998
Grant amount approved (including fees)	1,843,445
Entity fees as percentage of total grant amount approved	2.22%

20. AFB decision B.40/60.

21. This figure includes 2 PFA grants for Belize and Namibia.

Readiness Grants approval by Region and Funding Window

As of 30 June 2023, a total of 46²¹ readiness projects have been approved by the Board with two new grants approved during the fiscal year. Infographic 4 below provides a breakdown of projects approved by region.

Among the approved readiness projects, the largest amount of grant funding approved to date has been to the Africa region with 31 projects totalling US\$ 1,446,245 in grants, followed by Latin America and the Caribbean with eight projects totalling US\$ 197,700 in grants and Asia-Pacific with seven projects totalling US\$ 199,500 in grants.

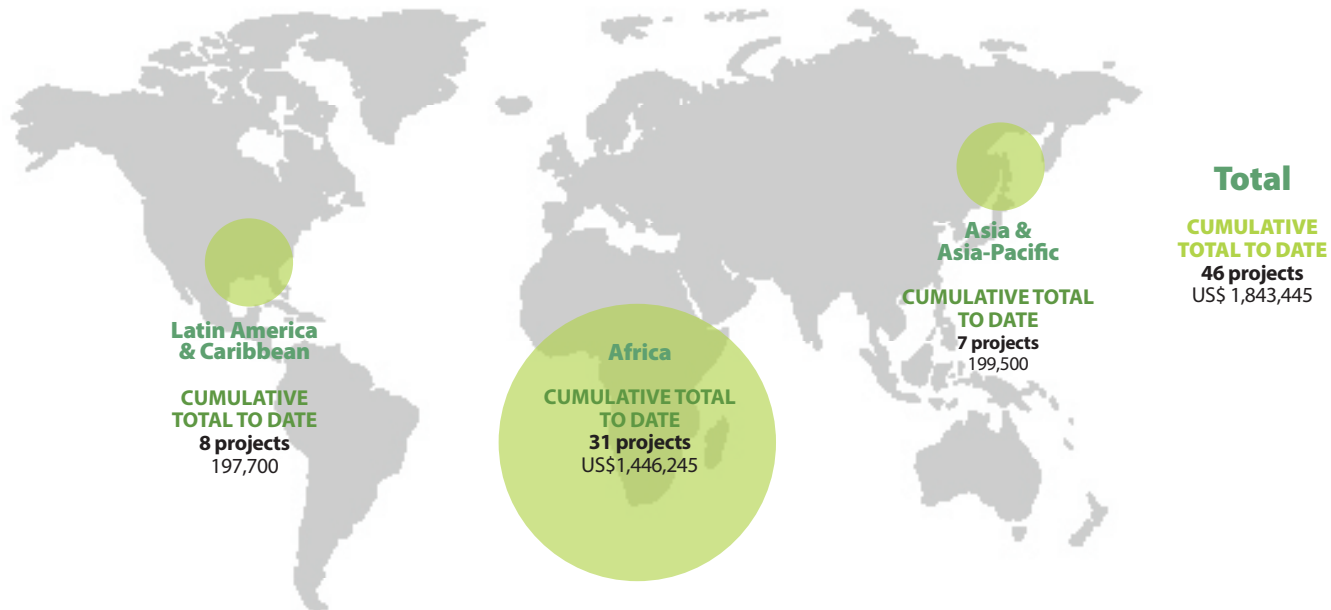
In terms of funding windows, the largest cumulative grant amount has gone towards South-South support through South-South Cooperation (SSC) grants with US\$ 836,747 approved for 17 projects. Following, 21 technical assistance projects for the environmental and social policy and gender policy (TA-ESGP) to the value of US\$ 480,020 and four technical assistance projects for the gender policy (TA-GP) to the value of US\$40,000 were approved. At its 36th meeting, the Board decided to cease South-South Cooperation (SSC) grants and replace them with readiness package grants through decision B.36/25. Following this, 3 Readiness Package Grants to the value of US\$ 387,678 were approved (Annex 3 includes a complete list of all approved readiness projects).

Since the launch of the readiness programme in March 2014, the number of accredited NIEs has increased from 17 in September 2014, to 32 as of 30 June 2023. As of 30 June 2023, the readiness programme has hosted 21 regional workshops covering Africa, Asia and the Pacific, and Latin America and the Caribbean. Twenty webinars and nine annual climate finance readiness seminars for accredited NIEs of the Fund have also been held, including four country exchanges.

During the reporting period, one regional workshop was held in person in Rwanda, which discussed the topic of: Enhanced Direct Access. One webinar on accreditation of a second NIE, re-accreditation and enhancing access to the Fund's resources under the new funding windows was held, two webinars on climate finance access were held and discussed the topic: Distilling the characteristics of EDA and innovation project design in adaptation, and the

Section IV - Cross-Cutting Themes

Infographic 4: Total Projects and Grant Amount Approved by Region (US\$) as of 30 June 2023



topic: Climate change reasoning for adaptation project development, respectively. A webinar on gender was held where participants discussed gender-responsive project/programme design and implementation reporting in the AF project cycle. The 2022 annual climate finance readiness seminar for accredited NIEs was held in Washington, D.C.

Since its inception, the readiness programme has partnered with almost 40 organizations in holding joint events, to share expertise and knowledge on accessing the Fund's resources, readiness and provide capacity building support available to support developing countries. During the reporting period the readiness programme strengthened partnerships with the GCF to operationalize the CPDAE. On-going support was provided to implement the action plan of the community of practice for direct access entities (CPDAE), an initiative driven by accredited NIEs of the AF and direct access entities of the GCF. The AF also participated in the CPDAE annual meeting held from 2-4 November 2022 in Cairo, Egypt. The CPDAE will use the funds and other indirect financial support from the AF to implement its action plan which among other things addresses issues related to capacity building, project pipeline development, and knowledge learning and sharing for the community. During the reporting period, the readiness programme also partnered and contributed to forums on capacity-building related to climate change adaptation with organizations and bodies that include, the Paris Committee on Capacity Building,

the National Adaptation Planning Task Force, the United Nations Development Programme (UNDP) and the Climate Technology Centre and Network (CTCN). Existing partnerships have continued to be strengthened through continued collaboration and on-going dialogue and information sharing and exchange.

Developments within the Readiness Programme

At its thirty-eighth meeting, the Board approved through decision B.38/38, the updated readiness package grant application and review template, and increase in total funding amount for the readiness package grant from US\$ 100,000 to US\$ 150,000 per NIE, following the report on the readiness package pilot. The Board also decided to allow readiness grants (except the project scale-up and learning grants) to be reviewed intersessionally between the March and Oct board meetings. The Board also decided through decision B.37/1 to merge PFA grants with PFGs, effectively raising the maximum amount of individual PFGs available to NIEs to US\$ 50,000 per project. The Board also decided through decision B.40/60 to extend the role of intermediary in the delivery of support for the accreditation of an NIE via the readiness package grant to all accredited implementing entities of the Fund.

Section IV - Cross-Cutting Themes

Strengthen Complementarity, Coherence and Synergies with Other Adaptation Funders and Actors

During the final year of implementing the first MTS (2018 – 2022), the engagement with other climate finance delivery channels has been further strengthened at the level of inter-fund dialogue and as well as specific activities under the three strategic focal areas of action, innovation, and learning and sharing. To this end, the AF has actively engaged with other multilateral climate funds, including the Green Climate Fund (GCF), Global Environment Facility (GEF) and Climate Investment Funds (CIFs), in implementing the climate funds collaboration roadmap and workplan to further enhance complementarity and coherence. In addition, the AF is working with other climate finance delivery channels, such as the Multilateral Development Banks (MDBs) on opportunities for enhancing access to finance and scaling up AF projects.

On the margins of the COP 27 Climate Change Conference in Sharm el-Sheikh, Egypt, the AF and other climate funds held the sixth annual dialogue of climate finance delivery channels and agreed to deepen collaboration in the following areas: (i) study on indicators focusing on

the impacts of the work of the funds on the ground, including on economic impact of funded activities, to be led by the CIFs (by December 2023), (ii) study on options for joint programming, to be led by AF (by December 2023); (iii) advancing the options for collaboration between the funds on knowledge management & capacity building; and (iv) continuing their joint efforts on communications & outreach, including joint advocacy options for the COP28.

One of the expected results under the action pillar of the MTS (2018 – 2022) is to prepare countries to scale up effective projects/ programmes with support from other climate funds and finance channels (including private sector). To this end, the Fund under its readiness programme, has made available project scale-up grants for national implementing entities up to a maximum of US\$ 200,000 per year. The objective of the project scale-up grants is to support planning, assessment, capacity enhancement (individual, organization and institutional) for designing and developing scaling up pathways for AF project/programmes under implementation or near-



Project monitoring mission in the Volta basin focused on integrating flood and drought management and early warning for climate change (Photo by Adaptation Fund)

Section IV - Cross-Cutting Themes

ing completion/completed. In this reporting period, no project scale-up grants approvals or submissions were received for the Board's consideration.

To date, 18 AF projects/programmes in a range of sectors have already been scaled up by other climate funds, particularly with funding from the GCF. The AF projects have provided important evidence for feasibility and effectiveness by delivering tangible results on the ground for the most vulnerable communities. This provided the opportunity for the GCF to build on these successful projects/programmes and scale them up to reach a higher number of beneficiaries and regions.

Based on the case studies of several scaled up AF projects, the AF published a study on the enabling conditions, best practices, and challenges to the scaling-up of adaptation interventions titled "Scaling up adaptation finance: Experiences and lessons learned from the Adaptation Fund portfolio of projects and programmes".²² The study's aim is to inform the wider adaptation finance community on enabling the scaling up of global adaptation finance.

Under the joint funds road map, progress has been made specifically on AF-GCF complementarity and coherence, including (i) the piloting of the AF-GCF project scaling-up framework, (ii) joint support to the community of practice for direct access entities (CPDAE), and (iii) fast-track accreditation and reaccreditation.

Building on lessons learnt and experiences from the piloting of the AF-GCF scale-up framework, both funds have agreed to expand the approach to their entire portfolios. As of June 2023, potential eligible projects fulfilling the criteria set forth in the scale-up framework were identified and shared by the AF with the GCF. The GCF has contacted its respective National Designated Authorities, out of which two thirds have confirmed their interest in scaling-up their AF project/programme with GCF resources. As part of the first phase of this pilot, four countries have reached advanced discussions on the implementation of the project scale-up (Belize, Cambodia, Ecuador, and South Africa).

Regarding the CPDAE, both funds have continued their joint support throughout the year. Following approval by the GCF of the funding support to CPDAE in January 2023, the CPDAE started implementation of their action plan with support from the Deutsche Gesellschaft für In-

ternationale Zusammenarbeit (GIZ) as the delivery partner. Key deliverables under development are a project prioritization tool for entities, a roster of experts, and six papers focusing on CPDAE members' lessons learned and experience sharing on various topics.

The fast-track accreditation and re-accreditation process between the AF and GCF continued to enhance operational complementarity and coherence between the two funds towards streamlining access procedures. During the reporting period, four implementing entities were re-accredited under the fast-track route, covering all types of IEs (one NIE, one RIE, and two MIEs), including Armenia's Environmental Project Implementation Unit (EPIU), the Caribbean Development Bank (CBD), the Asian Development Bank (ADB) and the World Bank (International Bank for Reconstruction and Development - IBRD). This brings the total number of GCF entities fast-tracked by the AF, either for accreditation or re-accreditation, to twenty-seven. The reaccreditation of the two MDBs, World Bank (IBRD) and ADB will enhance the Fund's partnership with MDBs. The AFB and GCF secretariats have a mechanism in place to periodically share relevant information about the accreditation and re-accreditation status of implementing entities in their respective portfolios.

Under its MTS innovation pillar, the AF innovation facility has built active partnerships with other climate finance delivery channels towards its objective of accelerating, encouraging and enabling innovation for effective, long-term adaptation to climate change. The AF engaged with the TEC and the CTCN towards furthering the collaboration on innovation and participated in the Advisory Board to the CTCN.

Under the MTS learning and sharing pillar, the Fund engaged with other climate finance delivery channels, including by organizing a side event with the GCF at the COP27 in Sharm el-Sheik on joining forces in scaling up support for adaptation where AF NIEs had the opportunity to share experiences related to enabling factors and challenges related to scaling up of projects.

In the reporting period, the AFB also adopted its new, second MTS (2023 – 2027)²³ and an ambitious MTS implementation plan²⁴, which builds on the achievements of the first MTS. The new MTS (2023 – 2027) includes the crosscutting strategic theme of strengthening complementarity, coherence and synergies with other adaptation actors and funders.

22. Available at <https://www.adaptation-fund.org/document/scaling-up-adaptation-finance-experiences-and-lessons-learned-from-the-adaptation-fund-portfolio-of-projects-and-programmes/>.

23. AFB decision B.39/61; Available at <https://www.adaptation-fund.org/document/medium-term-strategy-2023-2027/>.

24. AFB decision B.40/72.

Section IV - Cross-Cutting Themes

Promote Locally Based or Locally Led Adaptation

The Fund serves the Paris Agreement by accelerating effective adaptation action and efficient access to finance, including through direct access, to respond to the urgent needs and priorities of developing countries. It does so by supporting country-driven adaptation projects and programmes, innovation, and learning with concrete results at the local level that can be replicated and scaled up. All of the Fund activities are designed to promote locally based or locally led action (LLA).

Engagement of the Fund in LLA can be illustrated by the regional project “Building adaptive capacity through food and nutrition security and peacebuilding actions in vulnerable Afro and indigenous communities in the [Colombia-Ecuador](#) border area (Colombia, Ecuador)” implemented by the United Nations World Food Programme (WFP). The project incorporated several strategies to empower Afrodescendent and indigenous Awá communities. First, those communities played an integral part in participatory baseline studies and investment decisions side-by-side with WFP, as evidenced by their undertaking of a community-level socioeconomic baseline study which gathered primary data on 6,819 households across 136 communities living in the isolated border region. Through workshops

and meetings, leaders from community organizations have played a critical role in identifying goals, results indicators, and project activities that genuinely respond to needs in the project region as related to adaptive capacity.

A specific leadership role that Afrodescendent community organizations have taken on relates to the documenting of ancestral knowledge. Women leaders from community councils, in addition to their decision-making roles in local governments, have facilitated entry to the territories, helped define the scope of the project, contributed to creating a locally appropriate methodology, and taken steps to build trust for project execution. In the case of indigenous Awá communities, community governors ensured that methodological approaches for documenting knowledge were culturally appropriate and provided simultaneous translation between Awapit and Spanish. These measures sought to ensure that Awá communities were empowered to meaningfully participate in decision-making. Due to security restrictions that prevented implementing entity staff from accessing certain target areas of the project, Awá and Afrodescendent leadership proved central to undertaking key project activities in isolated and risky areas.



Family involved in organic aquaponics at community level in Peru
(Photo by Adaptation Fund)

Section IV - Cross-Cutting Themes

Building upon similar experience and based on Parties' and other stakeholders' feedback garnered during the development of its Medium-Term Strategy for the period 2023 to 2027 (MTS 2023-2027), the Fund has heightened in FY23 its commitment to promote LLA. This is evidenced by the fact that the MTS 2023-2027 considers locally based and locally led adaptation as a fundamental cross-cutting building block of such strategy. It is within this backdrop that the MTS 2023-2027 defined activities aiming at expanding the Fund's support to Locally Led Adaptation.

Under the action pillar, the Fund will continue to support concrete adaptation projects and programmes that meaningfully involve and deliver benefits to local actors. The Board will be invited to consider enhanced project review criteria, proposal templates and guidelines, as well as revised project reporting requirements. To expand support to modalities that promote locally led action, and expand the reach of the Fund, the MTS 2023-2027 proposed to enhance measures, including the existing Enhanced Direct Access (EDA) window, a new Global MIE Aggregator programme for channeling grants for LLA to non-accredited entities, and opening the option for EDA-type national programs for Multilateral Implementing Entities (MIEs) and Regional Implementing Entities (RIEs). The proposed new aggregator programme would resemble the model of the AF Climate Innovation

Accelerator (AFCIA) but would be focused on LLA. Accredited MIEs could be invited to express interest for administering such a programme, especially those MIEs that are active in LLA relevant themes, sectors and target groups. Such a vehicle for LLA grants through global MIE aggregators is also seen as an opportunity for the Fund to identify on a pilot basis sectors, themes or target groups with high impact potential or relevance for adaptation that are currently being underrepresented in adaptation (e.g., related to health, biodiversity and nature-based solutions, fragile and conflict-affected settings, Indigenous Peoples, among others).

Under the innovation pillar, the Fund will expand its existing portfolio of "locally led adaptation" under the Adaptation Fund Climate Innovation Accelerator (AFCIA) by inviting further delivery partners for channeling grants to non-accredited entities for innovation. Finally, under the learning and sharing pillar, the Fund will expand its knowledge base and knowledge products on successful and efficient adaptation actions involving local actors through different modalities and will enhance its participation in the community of practice for locally led adaptation. It will promote locally led adaptation by sharing local communities and vulnerable groups' adaptation experiences and roles as "agents of change", including indigenous and traditional knowledge and intersectional perspectives.



*Indigenous leaders and their communities manage nurseries for reforestation
(Photo by WFP/Daniel Torres)*

V. ANNEXES

ANNEX 1:

Implementing Entities of the Adaptation Fund (alphabetical order)

National Implementing Entities (NIEs)

Agence pour le Développement Agricole (ADA), Morocco

Agencia Chilena de Cooperación Internacional para el Desarrollo (AGCID), Chile

Agencia Nacional de Investigación e Innovación (ANII), Uruguay

Banque Agricole du Niger (BAGRI), Niger

Bhutan Trust Fund for Environment Conservation (BTEC), Bhutan

Centre de Suivi Ecologique (CSE), Sénégal

Comisión de Acción Social Menonita (CASM)

Department of Environment (DoE), Antigua and Barbuda

Dominican Institute of Integral Development (IDDI), Dominican Republic

Environmental Management Agency (EMA), Zimbabwe

Environmental Project Implementation Unit (EPIU), Armenia

Fundación Natura, Panama

Fundecooperación Para el Desarrollo Sostenible, Costa Rica

General Directorate of Sectoral and Special Programs and Projects (DIPROSE) of the Ministry of Agriculture, Livestock and Fisheries of Argentina (MAGyP) (formerly Entity of Unidad para el Cambio Rural (UCAR))

Interprofessional Fund for Agricultural Research and Advice (FIRCA), Côte d'Ivoire

Mexican Institute of Water Technology (IMTA), Mexico

Micronesia Conservation Trust (MCT), Federated States of Micronesia
Ministry of Environment (MoE) (formerly Ministry of Natural Resources of Rwanda (MINIRENA)), Rwanda

Ministry of Finance and Economic Cooperation (MOFEC), Ethiopia

Ministry of Finance and Economic Management (MFED), Tuvalu

Ministry of Finance and Economic Management (MFEM), Cook Islands

Ministry of Planning and International Cooperation (MOPIC), Jordan

Ministry of Water and Environment, Uganda

National Bank for Agriculture and Rural Development (NABARD), India

National Environment Management Authority (NEMA), Kenya

National Environment Management Council (NEMC), United Republic of Tanzania

National Fund for Environment and Climate (FNEC), Benin

Palli Karma-Sahayak Foundation (PKSF), Bangladesh

Partnership for Governance Reform in Indonesia (Kemitraan), Indonesia

Peruvian Trust Fund for National Parks and Protected Areas (PROFONANPE), Peru

Planning Institute of Jamaica (PIOJ), Jamaica

Protected Areas Conservation Trust (PACT), Belize

South African National Biodiversity Institute (SANBI), South Africa

Regional Implementing Entities (RIEs)

Caribbean Community Climate Change Centre (CCCCC), Latin America and the Caribbean

Caribbean Development Bank (CDB), Latin American and the Caribbean

Central American Bank for Economic Integration (CABEI), Latin America and the Caribbean

Development Bank of Latin America (CAF), Latin American and the Caribbean

International Center for Integrated Mountain Development (ICIMOD), Asia-Pacific

Observatoire du Sahara et du Sahel / Sahara and Sahel Observatory (OSS), Africa

Secretariat of the Pacific Regional Environment Programme (SPREP), Asia-Pacific

The Pacific Community (SPC), Asia-Pacific

West African Development Bank (BOAD), Africa

Multilateral Implementing Entities (MIEs)

African Development Bank (AfDB)

Asian Development Bank (ADB)

European Bank for Reconstruction and Development (EBRD)

Food and Agriculture Organization of the United Nations (FAO)

Inter-American Development Bank (IDB)

International Bank for Reconstruction and Development (IBRD)

International Fund for Agricultural Development (IFAD)

United Nations Development Programme (UNDP)

United Nations Educational, Scientific and Cultural Organization (UNESCO)

United Nations Environment Programme (UNEP)

United Nations Human Settlements Programme (UN-Habitat)

United Nations Industrial Development Organization (UNIDO)

World Food Programme (WFP)

World Meteorological Organization (WMO)

V. ANNEXES

ANNEX 2:

Status of the Adaptation Fund Portfolio (Approved Projects/Programmes) of the Fund as of 30 June 2023 (Order by Approval Date)

Table 1a: Status of the active portfolio of approved regular and innovation large projects/programmes by the Board as of 30 June 2023

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date*	Project Status
Senegal	Adaptation to coastal erosion in vulnerable areas	CSE	8 619 000	8 619 000	17/9/2010	Closed
Honduras	Addressing climate change risks on water resources in Honduras: increased systemic resilience and reduced vulnerability of the urban poor	UNDP	5 620 300	5 620 300	17/9/2010	Closed
Turkmenistan	Addressing climate change risks to farming systems in Turkmenistan at national and community level	UNDP	2 929 500	2 929 500	15/12/2010	Closed
Mauritius	Climate change adaptation programme in the coastal zone of Mauritius	UNDP	9 119 240	9 119 240	15/12/2010	Closed
Eritrea	Climate change adaptation programme in water and agriculture in the Anseba region of Eritrea	UNDP	6 520 850	6 520 850	15/12/2010	Closed
Solomon Islands	Enhancing resilience of communities in Solomon Islands to the adverse effects of climate change in agriculture and food security	UNDP	5 533 500	5 533 500	15/12/2010	Closed
United Republic of Tanzania	Implementation of concrete adaptation measures to reduce vulnerability of livelihood and economy of coastal communities in the United Republic of Tanzania	UNEP	5 008 564	5 008 564	15/12/2010	Closed
Pakistan	Reducing risks and vulnerabilities from glacier lake outburst floods in northern Pakistan	UNDP	3 906 000	3 906 000	15/12/2010	Closed
Nicaragua	Reduction of risks and vulnerability based on flooding and droughts in the Estero Real River watershed	UNDP	5 500 950	5 500 950	15/12/2010	Closed
Ecuador	Enhancing resilience of communities to the adverse effects of climate change on food security in Pichincha province and the Jubones River basin	WFP	7 449 468	7 449 468	18/3/2011	Closed
Guatemala	Climate change resilient production landscapes and socioeconomic networks advanced in Guatemala	UNDP	5 425 000	5 425 000	22/6/2011	Closed
Mongolia	Ecosystem-based adaptation approach to maintaining water security in critical water catchments in Mongolia	UNDP	5 500 000	5 500 000	22/6/2011	Closed
Maldives	Increasing climate resilience through an integrated water resource management programme in HA. Ihavandhoo, ADh. Mahibadhoo and GDh. Gadhdhoo Island	UNDP	8 989 225	8 989 225	22/6/2011	Closed
Madagascar	Promoting climate resilience in the rice sector through pilot investments in Alaotra-Mangoro region	UNEP	5 104 925	5 104 925	22/6/2011	Closed
Cook Islands	Strengthening the resilience of the islands and communities of Cook Islands to climate change	UNDP	5 381 600	5 381 600	15/9/2011	Closed
Georgia	Developing climate-resilient flood and flash flood management practices to protect vulnerable communities of Georgia	UNDP	5 316 500	5 316 500	16/9/2011	Closed

* Dates are represented in numerical format as day/month/year

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Papua New Guinea	Enhancing adaptive capacity of communities to climate change-related floods in the north coast and islands region of Papua New Guinea	UNDP	6 530 373	6 530 373	16/9/2011	Closed
Samoa	Enhancing resilience of Samoa's coastal communities to climate change	UNDP	8 732 351	8 732 351	16/9/2011	Closed
Uruguay	Building resilience to climate change and variability of vulnerable smallholders	ANII	9 967 678	9 967 678	14/12/2011	Closed
Myanmar	Addressing climate change risks for water resources and food security in the dry zone of Myanmar	UNDP	7 909 026	7 909 026	27/2/2012	Closed
Colombia	Reducing risk and vulnerability to climate change in the region of La Depresión Momposina in Colombia	UNDP	8 518 307	8 518 307	15/3/2012	Closed
Ghana	Increased resilience to climate change in northern Ghana through management of water resources and diversification of livelihoods	UNDP	8 293 972	8 293 972	16/3/2012	Closed
Egypt	Building resilient food security systems to benefit the southern Egypt region	WFP	6 904 318	6 904 318	28/6/2012	Closed
Lebanon	Climate-smart agriculture: enhancing adaptive capacity of the rural communities in Lebanon	IFAD	7 860 825	4 009 943	28/6/2012	Under implementation
Djibouti	Developing agropastoral shade gardens as an adaptation strategy for poor rural communities	UNDP	4 658 556	4 658 556	28/6/2012	Closed
Mauritania	Enhancing resilience of communities to the adverse effects of climate change on food security in Mauritania	WFP	7 803 605	7 803 605	28/6/2012	Closed
Jamaica	Enhancing the resilience of the agricultural sector and coastal areas to protect livelihoods and improve food security	PIOJ	9 965 000	8 748 735	28/6/2012	Under implementation
Sri Lanka	Addressing climate change impacts on marginalized agricultural communities living in the Mahaweli River basin of Sri Lanka	WFP	7 989 727	7 989 727	29/6/2012	Closed
Cambodia	Enhancing climate resilience of rural communities living in protected areas of Cambodia	UNEP	4 954 273	4 954 273	29/6/2012	Under implementation
Argentina	Increasing climate resilience and enhancing sustainable land management in the south-west of the Buenos Aires province	IBRD	4 296 817	4 296 817	29/6/2012	Closed
Cuba	Reduction of vulnerability to coastal flooding through ecosystem-based adaptation in the south of Artemisa and Mayabeque provinces	UNDP	6 067 320	6 067 320	14/12/2012	Closed
Seychelles	Ecosystem-based adaptation to climate change in Seychelles	UNDP	6 455 750	6 455 750	20/12/2012	Under implementation
Argentina	Enhancing adaptive capacity and increasing resilience of small-size agriculture producers in the north-east of Argentina	DIPROSE	5 640 000	5 640 000	4/4/2013	Closed
Belize	Belize Marine Conservation and Climate Adaptation Initiative	IBRD	6 000 000	6 000 000	5/4/2013	Closed

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Nepal	Adapting to climate-induced threats to food production and food security in the Karnali region of Nepal	WFP	9 527 160	9 527 160	4/7/2013	Under implementation
Kenya	Integrated programme to build resilience to climate change and adaptive capacity of vulnerable communities in Kenya	NEMA	9 998 302	9 998 302	1/11/2013	Under implementation
Rwanda	Reducing vulnerability to climate change in north-west Rwanda through community-based adaptation	MOE	9 969 619	9 969 619	1/11/2013	Closed
Uzbekistan	Developing climate resilience of farming communities in the drought-prone parts of Uzbekistan	UNDP	5 415 103	5 415 103	10/2/2014	Closed
India	Climate-smart actions and strategies in the north-western Himalayan region for sustainable livelihoods of agriculture-dependent hill communities	NABARD	969 570	969 570	20/3/2014	Under implementation
Morocco	Climate change adaptation project in oasis zones	ADA	9 970 000	9 970 000	7/10/2014	Under implementation
South Africa	Building resilience in the greater uMngeni catchment in South Africa	SANBI	7 495 055	7 495 055	10/10/2014	Under implementation
India	Conservation and management of coastal resources as a potential adaptation strategy for sea level rise	NABARD	689 264	689 264	10/10/2014	Under implementation
India	Enhancing adaptive capacity and increasing resilience of small and marginal farmers in the Purulia and Bankura districts of West Bengal	NABARD	2 510 854	2 510 854	10/10/2014	Under implementation
Costa Rica	Reducing vulnerability by focusing on critical sectors (agriculture, water resources and coastlines) in order to reduce the negative impacts of climate change and improve the resilience of these sectors	FUNDECOOPERACIÓN FOR SUSTAINABLE DEVELOPMENT	9 970 000	9 970 000	10/10/2014	Under implementation
South Africa	Taking adaptation to the ground: a small grants facility for enabling local-level responses to climate change	SANBI	2 442 682	2 442 682	10/10/2014	Under implementation
Mali	Programme support for climate change adaptation in the vulnerable regions of Mopti and Timbuctou	UNDP	8 533 348	8 533 348	25/3/2015	Closed
India	Climate proofing of watershed development projects in the states of Tamil Nadu and Rajasthan	NABARD	1 344 155	1 344 155	9/4/2015	Under implementation
India	Building adaptive capacities of the small inland fishermen community for climate resilience and livelihood security in Madhya Pradesh	NABARD	1 790 500	895 240	10/4/2015	Under implementation
Jordan	Increasing the resilience of poor and vulnerable communities to climate change	MOPIC	9 226 000	9 226 000	10/4/2015	Under implementation
Peru	Adaptation to the impacts of climate change on Peru's coastal marine ecosystems and fisheries	PROFONANPE	6 950 239	6 024 646	9/10/2015	Under implementation

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Chile	Enhancing resilience to climate change of small agriculture in the Chilean region of O'Higgins	AGCID	9 960 000	9 960 000	9/10/2015	Under implementation
Niger	Enhancing resilience of agriculture to climate change to support food security in Niger through modern irrigation techniques	BOAD	9 911 000	1 376 000	5/7/2016	Under implementation
Uganda	Enhancing resilience of communities to climate change through catchment-based integrated management of water and related resources in Uganda	OSS	7 751 000	6 452 000	5/7/2016	Under implementation
Paraguay	Ecosystem-based approaches to reducing the vulnerability of food security to the impacts of climate change in the Chaco region of Paraguay	UNEP	7 128 450	2 479 357	4/10/2016	Under implementation
Panama	Adapting to climate change through integrated water management in Panama	NATURA	9 967 559	9 967 559	5/10/2016	Under implementation
Antigua and Barbuda	An integrated approach to physical adaptation and community resilience in Antigua and Barbuda's north-west McKinnon's watershed	DOE	9 970 000	8 399 000	5/10/2016	Under implementation
India	Building adaptive capacities of communities, livelihoods and ecological security in the Kanha-Pench Corridor of Madhya Pradesh	NABARD	2 556 093	2 556 093	7/10/2016	Under implementation
Lao People's Democratic Republic	Enhancing the climate and disaster resilience of the most vulnerable rural and emerging urban human settlements in the Lao People's Democratic Republic	UN-HABITAT	4 500 000	3 920 648	7/10/2016	Under implementation
Ethiopia	Climate-smart integrated rural development project	MOFEC	9 987 910	9 987 910	17/3/2017	Under implementation
Honduras	Ecosystem-based adaptation of communities in the central forest corridor in Tegucigalpa	UNDP	4 379 700	3 649 300	17/3/2017	Under implementation
Micronesia (Federated States of)	Enhancing the climate resilience of vulnerable island communities in the Federated States of Micronesia	SPREP	9 000 000	5 903 581	17/3/2017	Under implementation
Peru	Strengthening the livelihoods of vulnerable highland communities in the provinces of Arequipa, Caylloma, Condesuyos, Castilla and La Union in the region of Arequipa in Peru	CAF	2 941 446	2 941 446	17/3/2017	Under implementation
Regional	Agricultural Climate Resilience Enhancement Initiative	WMO	6 800 000	6 800 000	17/3/2017	Under implementation
Regional	Adapting to climate change in the Lake Victoria basin	UNEP	5 000 000	5 000 000	5/7/2017	Under implementation
Regional	Building adaptive capacity through food and nutrition security and peacebuilding actions in vulnerable African and indigenous communities in the Colombia-Ecuador border area	WFP	14 000 000	8 559 239	5/7/2017	Under implementation
Senegal	Reducing vulnerability and increasing resilience of coastal communities in the Saloum Islands (Dionewar and Fadial)	CSE	1 351 000	933 376	5/7/2017	Under implementation

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Solomon Islands	Enhancing urban resilience to climate change impacts and natural disasters: Honiara	UN-HABITAT	4 395 877	2 202 550	10/10/2017	Under implementation
Fiji	Increasing the resilience of informal urban settlements in Fiji that are highly vulnerable to climate change and disaster risks	UN-HABITAT	4 235 995	1 797 380	10/10/2017	Under implementation
Guinea-Bissau	Scaling up climate-smart agriculture in east Guinea-Bissau	BOAD	9 979 000	3 034 000	10/10/2017	Under implementation
Namibia	Pilot rural desalination plants using renewable power and membrane technology	DRFN	4 999 674	4 999 674	13/10/2017	Under implementation
Cook Islands	Pa Enea Action for Resilient Livelihoods	MFEM	2 999 125	2 999 125	22/3/2018	Under implementation
Iraq	Building resilience of the agriculture sector to climate change in Iraq	IFAD	9 999 660	1 300 800	22/3/2018	Under implementation
Micronesia (Federated States of)	Practical solutions for reducing community vulnerability to climate change in the Federated States of Micronesia	MCT	970 000	970 000	22/3/2018	Under implementation
Mongolia	Flood resilience in Ulaanbaatar ger areas – climate change adaptation through community-driven small-scale protective and basic services interventions	UN-HABITAT	4 495 235	4 181 369	16/7/2018	Under implementation
Regional	Promoting climate-smart agriculture in West Africa	BOAD	14 000 000	5 664 000	16/7/2018	Under implementation
Regional	Reducing climate vulnerability and flood risk in coastal urban and semi-urban areas in cities in Latin America	CAF	13 910 400	12 364 523	16/7/2018	Under implementation
Ecuador	Increasing adaptive capacity of local communities, ecosystems and hydroelectric systems in the Río Blanco upper watershed (Toachi-Pilatón watershed) with a focus on ecosystem- and community-based adaptation and integrated adaptive watershed management	CAF	2 489 373	646 368.08	16/7/2018	Proposal approved
Armenia	Artik city closed stonepit waste and flood management pilot project	EPIU	1 435 100	1 435 100	12/10/2018	Under implementation
Regional	Integrating flood and drought management and early warning for climate change adaptation in the Volta basin	WMO	7 920 000	7 122 000	12/10/2018	Under implementation
Regional	Restoring marine ecosystem services by rehabilitating coral reefs to meet a changing climate future	UNDP	10 000 000	5 080 435	12/10/2018	Under implementation
Armenia	Strengthening land-based adaptation capacity in communities adjacent to protected areas in Armenia	EPIU	2 506 000	2 246 877	15/3/2019	Under implementation
Dominican Republic	Enhancing climate resilience in the San Cristóbal province of the Dominican Republic – integrated water resources management programme	IDDI	9 953 692	7 962 953.55	15/3/2019	Under implementation
Regional	Integrated climate-resilient transboundary flood risk management in the Drin River basin in the Western Balkans	UNDP	9 927 750	7 022 698	15/3/2019	Under implementation

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Indonesia	Community adaptation for forest-food-based management in the Saddang watershed ecosystem	KEMITRAAN	835 465	835 465	8/7/2019	Under implementation
Regional	Enhancing adaptive capacity of Andean communities through climate services	WMO	7 432 250	2 229 600	8/7/2019	Under implementation
Lesotho	Improving adaptive capacity of vulnerable and food-insecure populations in Lesotho	WFP	9 999 894	6 763 951	8/7/2019	Under implementation
Lao People's Democratic Republic	Building climate and disaster resilience capacities of vulnerable small towns in the Lao People's Democratic Republic	UN-HABITAT	5 500 000	804 392	8/7/2019	Under implementation
Sierra Leone	Promoting climate resilience in the cocoa and rice sectors as an adaptation strategy in Sierra Leone	IFAD	9 916 925	2 940 567	8/7/2019	Under implementation
Tajikistan	An integrated landscape approach to enhancing the climate resilience of small-scale farmers and pastoralists in Tajikistan	UNDP	9 996 441	1 076 804	8/7/2019	Under implementation
Saint Lucia	Building resilience for adaptation to climate change and climate vulnerabilities in agriculture in Saint Lucia	CDB	9 858 570	4 271 552	8/7/2019	Under implementation
Regional	Climate change adaptation in vulnerable coastal cities and ecosystems of the Uruguay River	CAF	13 999 996	2 799 999	15/7/2019	Under implementation
Regional	Integration of climate change adaptation measures in the concerted management of the WAP transboundary complex	OSS	11 536 200	5 048 450	15/7/2019	Under implementation
Regional	Building urban climate resilience in south-eastern Africa	UN-HABITAT	13 997 423	6 943 072	15/7/2019	Under implementation
Regional	Strengthening drought resilience of small-holder farmers and pastoralists in the IGAD region	OSS	13 079 540	2 100 000	11/10/2019	Under implementation
Malawi	Adapting to climate change through integrated risk management strategies and enhanced market opportunities for resilient food security and livelihoods	WFP	9 989 335	5 372 503	11/10/2019	Under implementation
Democratic Republic of the Congo	Building adaptive capacity to climate change in vulnerable communities living in the Congo River basin	WFP	9 999 909	1 996 677	11/10/2019	Under implementation
Georgia	Dairy modernization and market access: adaptation component	IFAD	4 644 794	973 737	11/10/2019	Under implementation
El Salvador	Enhancing climate resilience of rural communities and ecosystems in Ahuachapán-Sur, El Salvador	UNDP	8 484 503	1 718 487	11/10/2019	Under implementation
Republic of Moldova	Talent retention for rural transformation – Adapt	IFAD	6 008 095	881 221	11/10/2019	Under implementation
Global	Special financing window in support of innovation for adaptation	UNEP	5 000 000	713 900	11/10/2019	Under implementation
Global	AF-UNDP Innovation Small Grant Aggregator Platform	UNDP	5 000 000	1 088 393	11/10/2019	Under implementation
United Republic of Tanzania	Enhancing climate change adaptation for agropastoral communities in Kongwa district	NEMC	1 200 000	360 102	1/6/2020	Under implementation

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
United Republic of Tanzania	Enhancing climate change resilience of coastal communities of Zanzibar	NEMC	1 000 000	243 023	1/6/2020	Under implementation
Pakistan	Enhancing community, local and national level urban climate change resilience to water scarcity, caused by floods and droughts in Rawalpindi and Nowshera in Pakistan	UN-HABITAT	6 094 000	439 860	1/6/2020	Under implementation
Tunisia	Economic, social and solidarity insertion for resilience in the Governorate of Kairouan	IFAD	9 997 190	2 278 477	1/6/2020	Under implementation
Viet Nam	Enhancing the resilience inclusive and sustainable eco-human settlement development through small-scale infrastructure interventions in the coastal regions of the Mekong Delta in Viet Nam	UN-HABITAT	6 345 292	2 294 429	1/6/2020	Under implementation
Indonesia	Safekeeping–surviving–sustaining towards resilience: 3S approach to building coastal city resilience to climate change impacts and natural disasters in Pekalongan City, Central Java Province	KEMITRAAN	5 972 670	2 518 797	23/9/2020	Under implementation
Cameroon	Increasing local communities' resilience to climate change through youth entrepreneurship and integrated natural resources management	IFAD	9 982 000	1 260 000	23/9/2020	Proposal approved
United Republic of Tanzania	Strategic water harvesting technologies for enhancing resilience to climate change in rural communities in semi-arid areas of Tanzania	NEMC	1 280 000	349 227	23/9/2020	Under implementation
Indonesia	Adapting to climate change through sustainable integrated watershed governance in indigenous people of Ammatoa Kajang Customary Area in Bulukumba Regency, South Sulawesi Province, Indonesia	KEMITRAAN	1 125 015	398 029	23/9/2020	Under implementation
Egypt	Building resilient food security systems to benefit the southern Egypt region – Phase 2	WFP	3 094 962	760 654	23/9/2020	Under implementation
Liberia	Building climate resilience in Liberia's cocoa and rice sectors	IFAD	9 592 082	1 792 592	23/9/2020	Under implementation
Regional	Reducing vulnerabilities of populations in the Central Asia region from glacier lake outburst floods in a changing climate	UNESCO	6 500 000	830 033	15/10/2020	Under implementation
Cambodia	Climate change adaptation through protective small-scale infrastructure interventions in coastal settlements of Cambodia	UN-HABITAT	5 000 000	1 064 998	26/1/2021	Under implementation
Regional	Increasing the resilience of both displaced persons and host communities to climate change-related water challenges in Jordan and Lebanon	UN-HABITAT	1 397 350.9	2 560 482	11/3/2021	Under implementation
Regional	Mekong EbA South: Enhancing climate resilience in the Greater Mekong subregion through ecosystem-based adaptation in the context of South–South cooperation	UNEP	7 000 000	834 690	11/3/2021	Proposal approved
Gambia	Rural integrated climate adaptation and resilience-building project	WFP	10 000 000	959 736	11/3/2021	Under implementation

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Zimbabwe	Strengthening local communities' adaptive capacity and resilience to climate change through sustainable groundwater utilization in Zimbabwe	UNESCO	5 000 000	0	8/4/2021	Proposal approved
United Republic of Tanzania	Bunda climate resilience and adaptation project	NEMC	1 400 000	786 269	8/4/2021	Under implementation
Syrian Arab Republic	Increasing the climate change resilience of communities in Eastern Ghouta in Rural Damascus to water scarcity challenges through integrated natural resource management and immediate adaptation interventions	UN-HABITAT	9 997 156	0	8/4/2021	Under implementation
Regional	Resilience building as climate change adaptation in drought-struck South-western African communities in Angola and Namibia	OSS	11 941 038	4 868 000	26/7/2021	Under implementation
Indonesia	Enhancing the Adaptation Capability of Coastal Community in Facing the Impacts of Climate Change in Negeri (Village) Asilulu, Ureng and Lima of Leihitu District Maluku Tengah Regency Maluku Province	KEMITRAAN	963 456	320 794	21/10/2021	Proposal approved
Indonesia	EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas	KEMITRAAN	824 835	549 890	21/10/2021	Proposal approved
Regional	Scaling-up Climate-resilient Rice Production in West Africa	OSS	14 000 000	3 569 250	21/10/201	Proposal approved
Cote d'Ivoire	Increasing Rural Communities' Adaptive Capacity and Resilience to Climate Change in Bandama Basin in Côte d'Ivoire	IFAD	6 000 000	2 060 470	23/2/2022	Proposal approved
Malaysia	Nature-Based Climate Adaptation Programme for the Urban Areas of Penang Island	UN-HABITAT	10 000 000	1 500 000	23/2/2022	Proposal approved
Haiti	Implementing Measures for Climate Change Adaptation and Disaster Risk Reduction Mitigation of School Facilities in Haiti	UNESCO	9 916 344	1 514 200	23/2/2022	Proposal approved
Kyrgyz Republic	Regional Resilient Pastoral Communities Project - ADAPT	IFAD	9 999 313	0	8/4/2022	Proposal approved
Regional	Strengthening Resilience to Climate and Covid-19 Shocks through Integrated Water Management on the Sudan – Chad Border area (SCCIWM)	FAO	14 000 000	0	8/4/2022	Proposal approved
Trinidad and Tobago	Multisectoral Adaptation Measures to Climate Change in the South Oropouche River Basin for Flood Relief	CAF	10 000 000	0	8/4/2022	Proposal approved
Regional	Groundwater Resources in the Greater Mekong Subregion: Collaborative Management to Increase Climate Change Resilience	UNESCO	4 898 775	535 000	28/7/2022	Proposal approved
Costa Rica	Increasing the Resilience of Vulnerable Populations in Costa Rica by Scaling Up Adapta2+	FUNDECOOPERACIÓN FOR SUSTAINABLE DEVELOPMENT	10 000 000	700 000	28/7/2022	Proposal approved
Regional	Use of Nature-based Solutions to Increase Resilience to Extreme Climate Events in the Atlantic Region of Central America	CABEI	13 248 121	1 213 000	28/7/2022	Proposal approved

V. ANNEXES

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Regional	Strengthening the Adaptive Capacities of Climate-Vulnerable Communities in the Goasorán Watershed of El Salvador and Honduras through Integrated Community-Based Adaptation Practices and Services	WFP	12 048 300	2 046 280	14/10/2022	Proposal approved
Bhutan	Adaptation to Climate-Induced Water Stresses through Integrated Landscape Management in Bhutan	BTFEC	9 998 955	3 605 597	14/10/2022	Proposal approved
Uganda	Enhancing Community Adaptation to Climate Change through Climate Resilient Flood Early Warning, Catchment Management and Wash Technologies in Mpologoma Catchment, Uganda	MWE	9 504 600	0	14/10/2022	Proposal approved
Rwanda	Rwanda Subnational Adaptation Fund EDA	MOE	4 998 812	0	14/10/2022	Proposal approved
Regional	Strengthening Resilience of Vulnerable Communities in Sri Lanka and India to Increased Impacts of Climate Change	WFP	13 995 524	2 138 450	13/3/ 2023	Proposal approved
Sri Lanka	Build Resilience to Climate Change and Climate Variability of Vulnerable Communities in Mullaitivu District of Sri Lanka	UN-HABITAT	2 000 000	1 032 591	13/3/2023	Proposal approved
Regional	West and Central Africa Small Island Developing States Adapt – Building Resilience of Agricultural Systems to Climate Change	IFAD	14 000 000	0	24/3/2023	Proposal approved
Cote d'Ivoire	Strengthen the Resilience of Smallholder Farmers to the Effects of Climate Change through the Adoption of Proven Innovative Technologies and Practices	FIRCA	4 000 000	1 412 166	24/3/2023	Proposal approved
Papua New Guinea	Adaptation of Small-Scale Agriculture for Improved Food Security of Resilient Communities in Papua New Guinea (ASSA)	SPCNC	10 000 000	1 095 452	24/3/2023	Proposal approved
Mongolia	Ger Community Resilience Project (GCRP)	UN-Habitat	7 965 882	1 674 376	24/3/2023	Proposal approved
Vietnam	Innovative Financial Incentives for Adaptation in Wetland Livelihoods (IFIA)	IFAD	5000000	0	24/3/2023	Proposal approved
Nauru	Resilient Coastal Fisheries and Aquaculture in Nauru	SPCNC	7 999 493	837 939	24/3/2023	Proposal approved
Total			1053166728.19	664702989.27		

V. ANNEXES

ANNEX 2: Status of the Adaptation Fund Portfolio (Approved Projects/Programmes) of the Fund as of 30 June 2023 (Order by Approval Date)

Table 2b: Status of the active portfolio of approved innovation small grant projects/programmes by the Board as of 30 June 2023

Party	Project	Implementing Entity	Approved Amount (US\$)	Amount Transferred (US\$)	Approval Date	Project Status
Armenia	Engaging Future Leaders: Digital Education Module on Adaptation Challenges and Best Practices for Youth	EPIU	231 250	2 046 280	14/10/2022	Proposal approved
Chile	Water Security: Improving Water Access during Emergency Situations in San Antonio Province, Region Valparaíso	AGCI	9 998 955	3 605 597	14/10/2022	Proposal approved
Dominican Republic	Strengthening of a Replicable Micro Ecosystem of Accelerated Technological Innovation for Adaptation and Mitigation to Climate Change in Dominican Republic through the Development of a Pilot Thermo Solar Desalination Appropriate Technology	IDDI	249 929	249 929	23/9/2020	Proposal approved
Antigua and Barbuda	Innovative technologies for improved water availability to increase food security in Antigua and Barbuda	DOE	250 000	250 000	23/12/2020	Proposal approved
Bhutan	Building Adaptive Capacity through Innovative Management of Pests/Disease and Invasive Alien Species (IAS) in Bhutan to Enhance Sustainable Agro-Biodiversity and Livelihoods	BT FEC	250 000	250 000	21/10/2021	Proposal approved
Uganda	Enhancing Resilience to Climate-Induced Flooding and Drought through the Deployment of a Water-Filled Barrier	MOWE	250 000	250 000	8/4/2022	Proposal approved
Indonesia	Developing "Climate Smart Community" System to Increase Climate Resilience for Saddang Watershed Communities	Kemitraan	250 000	250 000	24/3/2023	Proposal approved
Indonesia	Towards Climate and Economic Resilience: Development Sustainable Adaptation-based of Coffee Village and Tourism Village through Co-production Social Forestry	Kemitraan	250 000	250 000	24/3/2023	Proposal approved
Total			1053166728.19	664702989.27		

V. ANNEXES

ANNEX 3: List of Approved Readiness Projects through 30 June 2023

AFB	Country (Candidate NIE)	NIE	Type (S-S/ TA/TA-ES-P+Gender/ TA-Gender)	Application Submission Date	Date Approved	Board Decision	Agreement signed date*	Grant Amount	Implementing Entity Fee
AFB 24	Cabo Verde	CSE	S-S	12-Aug-14	9-Oct-14	B. 24/17	9/10/14	47,449	-
	Chad	CSE	S-S	12-Aug-14	9-Oct-2014	B. 24/18	9/10/14	49,592	-
	Niger	CSE	S-S	12-Aug-14	9-Oct-2014	B. 24/19	9/10/14	47,449	-
TOTAL FY15	3							144,490	
AFB26-27	Senegal	CSE	TA-ESP	15-Sep-15	16-Feb-16	B.26-27/10	8/3/16	18,000	-
	Benin	FNEC	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/11	8/3/16	20,000	-
	Panama	FUNDACIÓN NATURA	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/12	8/3/16	20,000	-
	Costa Rica	FUNDE CO-OPERACIÓN	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/13	8/3/16	20,000	-
	Micronesia, Federated States of	MCT	TA-ESP		16-Feb-16	B.26-27/14	8/3/16	20,000	-
	South Africa	SANBI	TA-ESP	28-Sep-15	16-Feb-16	B.26-27/15	8/3/16	20,000	-
	Guinea	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/16	8/3/16	47,449	-
	Mali	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/17	8/3/16	47,449	-
	Sierra Leone	CSE	S-S	22-Sep-15	16-Feb-16	B.26-27/18	8/3/16	47,449	-
	Malawi	NEMA	S-S	22-Sep-15	16-Feb-16	B.26-27/19	8/3/16	50,000	-
	Zimbabwe	NEMA	S-S	22-Sep-15	16-Feb-16	B.26-27/20	8/3/16	50,000	-
TOTAL FY16	11							360,347	
AFB28-29	Antigua and Barbuda	DoE	TA ESP & GENDER	29-Aug-16	23-Dec-16	B.28-29/4	30/1/17	25,000	-
	India	NABARD	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/5	30/1/17	25,000	-
	Kenya	NEMA	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/6	30/1/17	25,000	-
	Namibia	DRFN	TA ESP & GENDER	11-Aug-16	23-Dec-16	B.28-29/7	30/1/17	20,000	-
	Peru	PROFON-ANPE	TA ESP & GENDER	31-Aug-16	23-Dec-16	B.28-29/8	30/1/17	25,000	-
	Rwanda	MOE	TA ESP & GENDER	2-Sep-16	23-Dec-16	B.28-29/9	30/1/17	25,000	-
	Costa Rica	FUNDECO-OPERACIÓN	TA GENDER	30-Aug-16	23-Dec-16	B.28-29/10	30/1/17	10,000	-
	Micronesia, Federated States of	MCT	TA GENDER	31-Aug-16	23-Dec-16	B.28-29/11	30/1/17	10,000	-
	Senegal	CSE	TA GENDER	19-Aug-16	23-Dec-16	B.28-29/12	30/1/17	10,000	-
	Burundi	CSE	S-S	24-Aug-16	23-Dec-16	B.28-29/13	30/1/17	50,000	-
	Togo	CSE	S-S	26-Aug-16	23-Dec-16	B.28-29/14	30/1/17	50,000	-
TOTAL FY17	11							275,000	

* Dates are represented in numerical format as day/month/year

V. ANNEXES

AFB	Country (Candidate NIE)	NIE	Type (S-S/ TA/TA-ES-P+Gender/ TA-Gender)	Application Submission Date	Date Approved	Board Decision	Agreement signed date	Grant Amount	Implementing Entity Fee
AFB 30-31	Côte d'Ivoire	CSE	S-S	25-Sep-17	29-Dec-17	B.30-31/10	30/4/18	50,000	-
	Dominica	DoE	S-S	5-Oct-17	29-Dec-17	B.30-31/11	30/4/18	50,000	2,000
	Maldives	DoE	S-S	5-Oct-17	29-Dec-17	B.30-31/12	230/4/18	50,000	2,000
	Morocco	ADA	TA ESP & GENDER	28-Sep-17	29-Dec-17	B.30-31/9	On-going	25,000	-
AFB 31-32	Burundi	CSE	S-S	9-May-18	29-Jun-18	B.31-32/6	14/9/18	50,000	-
	Mali	CSE	S-S	9-May-18	29-Jun-18	B.31-32/6	14/9/18	50,000	-
TOTAL FY18	6							275,000	4,000
AFB 32-33	Afghanistan	NABARD	S-S	1/11/18	26-Dec-18	B.32-33/9	3/6/19	50,000	0
	Armenia	EPIU	TA-ESGP	24/10/18	26-Dec-18	B.32-33/7	7/5/19	19,500	0
	Bhutan	BTFEC	TA-ESGP	17/10/18	26-Dec-18	B.32-33/5	7/5/19	25,000	0
	Dominican Republic	IDDI	TA-ESGP	24/10/18	26-Dec-18	B.32-33/6	9/5/19	22,700	1,700
	Mauritius	CSE	S-S	24/10/18	26-Dec-18	B.32-33/8	6/5/19	49,910	3,910
TOTAL FY19	5							167,110	5,610
AFB 34	Rwanda	MoE	Project scale-up	30/7/19	11-Oct-19	B.34/39	29/4/20	99,000	0
	Belize	PACT	PFA	10/8/19	11-Oct-19	B.34/15	5/5/0	20,000	1,500
	Namibia ²⁵	DRFN	PFA	10/8/19	11-Oct-19	B.34/19	pending	pending	0
AFB 34-35	Mozambique	NEMA	S-S	2/9/19	2-Dec-19	B.34-35/9	pending	50,000	3,800
	Botswana	NEMA	S-S	2/9/19	2-Dec-19	B.34-35/8	pending	50,000	3,800
	Tanzania	NEMC	TA-ESGP	4/9/19	2-Dec-19	B.34-35/7	5/5/20	25,000	0
	Uganda	MoWe	TA-ESGP	5/9/19	2-Dec-19	B.34-35/6	8/5/20	25,000	0
	Zimbabwe	EMA	TA-ESGP	5/9/19	2-Dec-19	B.34-35/5	1/5/20	25,000	0
TOTAL FY20	8							314,000	9,100
AFB 35-36	Benin	FNEC	TA-GP	6/10/20	9-Dec-20	B.35-36/6	17/2/21	10,000	-
	Cote d'Ivoire	FIRCA	TA-ESGP	1/9/20	9-Dec-20	B.35-36/7	22/2/21	24,820	-
	Mexico	IMTA	TA-ESGP	3/10/20	9-Dec-20	B.35-36/8	31/3/21	25,000	-
TOTAL FY21	3							59,820	0
AFB 37-38	Cameroon	CSE (IE providing support)	Readiness Package Grant	8/9/21				149,405	11,705
	Zambia	CSE (IE providing support)	TA-ESGP	1/9/20				149,188	11,688
TOTAL FY21	0							0	0

25. As per Board Decision B.34/19, the Board decided to approve, subject to the re-accreditation of DRFN by the Board, the project formulation assistance grant of US\$ 20,000.

V. ANNEXES

AFB	Country (Candidate NIE)	NIE	Type (S-S/TA/TA-ES-P+Gender/TA-Gender)	Application Submission Date	Date Approved	Board Decision	Agreement signed date	Grant Amount	Implementing Entity Fee
AFB 39-40	Cameroon	CSE (IE providing support)	Readiness Package Grant	24/8/22	15/12/22	B.39-40/4	Under Preparation	142,678	11,178
	Zambia	CSE (IE providing support)	Readiness Package Grant	3/8/22	15/12/22	B.35-36/7	Under Preparation	145,000	11,110
TOTAL FY23	2							287,678	22,288



ADAPTATION FUND