



ADAPTATION FUND

AFB/PPRC.33/3/Rev.1
4 April 2024

Adaptation Fund Board
Project and Programme Review Committee
Thirty-third Meeting
Bonn, Germany, 16-17 April 2024

Agenda item 4)

**REPORT OF THE SECRETARIAT ON INITIAL
SCREENING/TECHNICAL REVIEW
OF PROJECT AND PROGRAMME PROPOSALS**

Background

1. This document presents to the Project and Programme Review Committee (PPRC) of the Adaptation Fund Board (the Board) an overview of the project/programme proposals submitted by Implementing Entities (IEs) to the current meeting, and the process of screening and technical review undertaken by the secretariat.

2. The analysis of the proposals mentioned above is contained in a separate addendum to this document.

Current single country cap

3. At the thirty-sixth meeting, having considered the analysis contained in document AFB/B.36/5, the Adaptation Fund Board (the Board) decided:

(a) To revise the cap per country established by decision B.13/23 from US\$ 10 million to US\$ 20 million for all eligible developing country Parties, so that any Party could access a total of up to US\$ 20 million from the Adaptation Fund once it had accessed funding amounting to at least US\$ 8 million for concrete single-country adaptation projects or programmes or once four years had passed since approval of the first concrete single-country adaptation project(s)/(programme(s) by the Board, whichever occurred earlier;

(b) To set a maximum level of US\$ 10 million for an individual funding request for single country concrete adaptation projects, provided that lower maximum levels could be set by the Board in specific circumstances, such as in the case of national implementing entities accredited through the streamlined process;

[...]

(Decision B.36/41)

4. At this time, one country (Costa Rica) has exhausted the funding available under the country cap as per Decision B.36/41. The specific amount currently available to each country depends on the conditions set in sub-paragraph (a).

Funding status under the MIE cap

5. At the twelfth meeting, the Board instituted a cap of 50 per cent for project funds directed through Multilateral Implementing Entities (MIEs), having decided:

(a) That the cumulative budget allocation for funding projects submitted by MIEs, should not exceed 50 per cent of the total funds available for funding decisions in the Adaptation Fund Trust Fund at the start of each session. That cumulative allocation would be subject to review by the Board on the recommendation of the Project and Programme Review Committee at subsequent sessions;

(b) To request the Trustee to provide an update on the amount of funds that have been approved for projects implemented by NIEs and MIEs at each meeting of the Adaptation Fund Board; and

(c) To review the implementation of this decision at the fourteenth meeting of the Adaptation Fund Board.

(Decision B.12/9)

6. In its seventeenth meeting, having considered the recommendation of the Ethics and Finance Committee (EFC), the Board decided to:

(a) Maintain the 50 per cent cap on the funding of projects/programmes implemented by MIEs established by decision B.12/9, and exclude project/programme concepts from the 50 per cent calculation; [...]

(Decision B.17/19)

7. According to the latest Financial Report prepared by the Trustee as of 31 December 2023, the cumulative funding decisions for all projects/programmes amounted to US\$ 1,142.19 billion and funds available to support AF Board funding decisions amounted to US\$ 405.77 million. The cumulative funding decisions for projects/programmes submitted by MIEs amounted to US\$ 713.10 million. The Board had instituted, through decision B.12/9, a cap of 50 per cent for projects/programmes submitted by MIEs. Some categories of proposals submitted by MIEs had been excluded from counting towards the 50 per cent, and the cumulative funding decisions for MIE projects/programmes that counted towards it amounted to US\$ 699.31 million. Therefore, the funds available below the 50 per cent cap currently amount to US\$ 134.20 million.

Funding window for regional projects and programmes

8. Since its inception and until March 2017, the Adaptation Fund Board had only approved projects and programmes implemented in individual countries. At its twenty-fifth meeting, the Board considered a proposal for a pilot programme on regional projects and programmes, and decided to:

(a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;

(b) Set a cap of US\$ 30 million for the programme;

(c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; [...]

(Decision B.25/28)

9. In accordance with the decision B.25/28 and the document AFB/B.25/6/Rev.2, the secretariat had issued, on 5 May 2015, an invitation to submit project and programme proposals for funding under the pilot programme. The invitation was sent to Designated Authorities (DAs) of the Adaptation Fund, and to Multilateral and Regional Implementing Entities (RIEs) accredited by the Board.

10. The Board decided, at its twenty-sixth meeting, [...] to request the secretariat to inform the Multilateral Implementing Entities and Regional Implementing Entities that the call for proposals under the Pilot programme for Regional Projects and Programmes is still open and to encourage them to submit proposals to the AFB at its 27th meeting, bearing in mind the cap established by decision B.25/28.

(Decision B.26/3)

11. The Board considered, at its twenty-seventh meeting, issues related to the pilot programme on regional projects and programmes and decided to:

(a) Continue consideration of regional project and programme proposals under the pilot programme, while reminding the implementing entities that the amount set aside for the pilot programme is US\$ 30 million;

(b) Request the secretariat to prepare for consideration by the Project and Programme Review Committee at its nineteenth meeting, a proposal for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline; and

(c) Consider the matter of the pilot programme for regional projects and programmes at its twenty-eighth meeting.

(Decision B.27/5)

12. The proposal requested in (b) above was presented to the nineteenth meeting of the PPRC as document AFB/PPRC.19/5. The Board subsequently decided:

(a) With regard to the pilot programme approved by decision B.25/28:

(i) To prioritize the four projects and 10 project formulation grants as follows:

1. If the proposals recommended to be funded in a given meeting of the PPRC do not exceed the available slots under the pilot programme, all those proposals would be submitted to the Board for funding;

2. If the proposals recommended to be funded in a given meeting of the PPRC do exceed the available slots under the pilot programme, the proposals to be funded under the pilot programme would be prioritized so that the total number of projects and project formulation grants (PFGs) under the programme maximizes the total diversity of projects/PFGs. This would be done using a three-tier prioritization system: so that the proposals in relatively less funded sectors would be prioritized as the first level of prioritization. If there are more than one proposal in the same sector: the proposals in relatively less funded regions are prioritized as the second level of prioritization. If there are more than one proposal in the same region, the proposals submitted by relatively less represented implementing entity would be prioritized as the third level of prioritization;

(ii) To request the secretariat to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting; and

(b) *With regards to financing regional proposals beyond the pilot programme referred to above:*

- (i) *To continue considering regional proposals for funding, within the two categories originally described in document AFB/B.25/6/Rev.2: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million, subject to review of the regional programme;*
- (ii) *To establish two pipelines for technically cleared regional proposals: one for proposals up to US\$ 14 million and the other for proposals up to US\$ 5 million, and place any technically cleared regional proposals, in those pipelines, in the order described in decision B.17/19 (their date of recommendation by the PPRC, their submission date, their lower “net” cost); and*
- (iii) *To fund projects from the two pipelines, using funds available for the respective types of implementing entities, so that the maximum number of or maximum total funding for projects and project formulation grants to be approved each fiscal year will be outlined at the time of approving the annual work plan of the Board.*

(Decision B.28/1)

13. At the twenty-ninth meeting, having considered the comments and recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) *To include in its work plan for fiscal year 2018 a program of work amounting to US\$ 30 million for the funding of regional project and programme proposals, as follows:*
 - (i) *Up to three proposals requesting up to US\$ 5 million for funding;*
 - (ii) *One proposal requesting up to US\$ 14 million of funding;*
 - (iii) *Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully developed project documents requesting up to US\$ 5 million of funding;*
 - (iv) *Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully developed project documents requesting up to US\$ 14 million of funding.*

(Decision B.29/4)

14. At its thirty-first meeting, the Board subsequently decided:

- (a) *To merge the two pipelines for technically cleared regional proposals established in decision B.28/1(b)(ii), so that starting in fiscal year 2019 the provisional amount of funding for regional proposals would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2, and that the funding of regional proposals would be established on a ‘first come, first served’ basis; and*

- (b) *To include in its work programme for fiscal year 2019 provision of an amount of US\$ 60 million for the funding of regional project and programme proposals, as follows:*
- (i) *Up to US\$ 59 million to be used for funding regional project and programme proposals in the two categories of regional projects and programmes: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million; and*
 - (ii) *Up to US\$ 1 million for funding project formulation grant requests for preparing regional project and programme concepts or fully developed project and programme documents.*

(Decision B.31/3)

15. For the fiscal year 2020, the decision was taken by the Board to approve the same amounts as for the year 2019 (decision B.33/12).

16. Subsequently, for the fiscal year 2021, given the extraordinary set of circumstances brought on by the pandemic, having considered the proposal by the secretariat as contained in document AFB/PPRC.26.a-26.b/22, and the recommendation by the Project and Programme Review Committee, the Adaptation Fund Board decided to:

- (a) *Include in its work plan for fiscal year 2021 the provision for an amount of US\$ 30 million to be provisionally set aside for the funding of regional project and programme proposals, including project formulation grant requests for preparing regional project and programme concept or fully developed project documents;*
- (b) *Consider the need for additional funding for regional project and programme proposals in the second half of fiscal year 2021.*

(Decision B.35.a-35.b/75)

17. Having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided to include in its work programme for fiscal year 2022 a provision for an amount of US\$ 60 million, to be provisionally set aside as follows:

- (a) *Up to US\$ 59 million for the funding of regional project and programme proposals;*
- (b) *Up to US\$ 1 million for the funding of project formulation grant requests for preparing regional project and programme concept or fully developed project documents.*

(Decision B.36/1)

18. Having considered the recommendation of the Project and Programme Review Committee (PPRC), the Adaptation Fund Board decided to request the secretariat to provide for the following in its work programme for fiscal year 2024:

- (a) *US\$ 100 million to fund regional projects and programme proposals, including requests for project formulation grants to prepare regional project and programme concept or fully developed project documents;*
[...]

(Decision B.40/56)

19. Details of funding allocations under the Funding Window for regional projects and programmes per Board decision are displayed below:

Fiscal year	AF Board Decision	Amt in Millions USD
2015	B.25/28	30.00
2018	B.29/4	30.00
2019	B.31/3	60.00
2020	B.33/12	60.00
2021	B.35a-35b/75	30.00
2022	B.36/1	60.00
2023	B.38/5	60.00
2024	B.40/56	100.00

Remaining Funds Available under the Funding Window for Regional Projects and Programmes

20. The total amount funded for regional projects and programmes in the fiscal year 2024 to date is US\$ 13,991,159. Accordingly, the amount of funding currently remaining from the amount approved for the 2024 provision for regional programming is US\$ 86,008,841 and there are no regional projects or programmes currently on the waitlist. However, at this time, no regional fully developed proposals are being presented for approval.

21. The secretariat is presenting below the details of the regular projects submitted for this cycle, following the details of the pilot rolling-basis process as described AFB/PPRC.31/60 and approved in Decision B.40/59. This decision was confirmed by Decision B.41/21.

Project/programme proposals submitted by implementing entities: single country proposals

22. Accredited Entities submitted 30 single country project proposals to the secretariat, of which 28 were eligible and for which technical reviews were carried out. One proposal was found to be not eligible as it was supported with a letter of endorsement which was not valid. One proposal was withdrawn. Of the 28 eligible single country project proposals, fifteen project proposals submitted to the secretariat were technically cleared. All eligible proposals single country proposals are presented in table 2 below. The total amount of funding being requested by the eligible single country proposals is US\$ 196,596,537 (excluding project formulation grants).

23. The proposals were submitted by the following National, Regional and Multilateral Implementing Entities of the Fund; Development Bank of Latin America (CAF); Environmental Management Agency (EMA), Zimbabwe; Environmental Project Implementation Unit (EPIU), Armenia; National Environment Fund Benin (FNEB); the International Center for Integrated Mountain Development (ICIMOD); , the International Fund for Agricultural Development (IFAD); the Mexican Institute of Water Technology (IMTA); Partnership for Governance Reform (Kemitraan) of Indonesia (Kemitran); The Protected Areas Conservation Trust (PACT); Peruvian Trust Fund for

National Parks and Protected Areas (PROFONANPE) The Pacific Community (SPC); the United Nations Human Settlement Programme (UN-Habitat) and the United Nations World Food Programme (WFP). The proposals included US\$ 15,65,787.00 or 7.04%¹ in IE management fees and US\$ 16,167,458.00 or 7.57%² in execution costs.

24. Of the fifteen projects that were technically cleared, eight are fully developed project proposals and seven are concept notes. The total requested funding of the fully developed proposals amounts to US\$ 57,477,411 (excluding project formulation grants).

25. All the proposals are in compliance with Board decision B.11/16 to cap management fees at 8.5%. In accordance with the same decision B.11/16, all proponents of fully developed project documents provide a budget on fee use.

26. All the proposals are in compliance with Board Decision B.13/17 to cap execution costs at 9.5% of the project/programme budget.

27. All submissions for single countries request funding below the cap available to each country, as per decision B.13/23 and decision B.36/41 on the *Provision* of financial resources between single-country and regional concrete adaptation project and programmes (country cap) -see Table 1 below. None of the proposals are small size proposals (under US\$ 1 million). Two of the proposals were submitted by the same MIE. The eight proposals are from eight different countries, and six of those are requesting part of the additional US\$ 10 million available to them as per decision B.36/41.

28. All fully developed project/programme documents provide an explanation and a breakdown of their execution costs and other administrative costs, and are in compliance with the following Board Decision made in the twelfth meeting:

(b) To request to the implementing entities that the project document include an explanation and a breakdown of all administrative costs associated with the project, including the execution costs.

(Decision B.12/7)

29. Details of the single-country proposals are contained in separate PPRC working documents, as follows:

Table 1: Single country proposals technically reviewed for consideration at AFB.42

1. Full Proposals: Single-country	Country	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	Country cap (funding available), USD
NIE						
	Armenia (1)	EPIU	3	AFB/PPRC.33/Inf.1	3,780,513	16,058,900
	Belize	PACT	2	AFB/PPRC.33/4	4,000,000	14,000,000
	Benin	FNEC	2	AFB/PPRC.33/5	2,934,545	20,000,000

¹ The implementing entity management fee percentage is calculated compared to the project budget including the project activities and the execution costs, before the management fee.

² The execution costs percentage is calculated as a percentage of the project budget, including the project activities and the execution costs, before the implementing entity management fee.

	Peru	PROFONANPE	1	AFB/PPRC.33/Inf.2	4,746,649	4,746,649
	Zimbabwe	EMA	1	AFB/PPRC.33/6	4,989,000	15,000,000
RIE						
	Bangladesh	ICIMOD	1	AFB/PPRC.33/Inf.3	9,999,930	10,004,631
	Fiji	SPC	4	AFB/PPRC.33/7	5,707,100	15,764,005
	Pakistan	ICIMOD	1	AFB/PPRC.33/Inf.4	10,000,000	10,000,000
	Uruguay	CAF	2	AFB/PPRC.33/8	10,000,000	10,032,322
MIE						
	Cambodia	UN Habitat	4	AFB/PPRC.33/9	10,000,000	10,045,727
	Georgia	IFAD	3	AFB/PPRC.33/10	9,846,766	10,038,706
	Nicaragua	WFP	3	AFB/PPRC.33/11	10,000,000	14,499,050
	Zambia	IFAD	2	AFB/PPRC.33/Inf.5	10,000,000	20,000,000
Sub-total, USD					96,004,503	
2. Concepts: Single-country	Country	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	Country cap (funding available), USD
NIE						
	Armenia (2)	EPIU	3	AFB/PPRC.33/12	4,472,630	16,058,900
	Indonesia (1)	Kemitraan	1	AFB/PPRC.33/13	960,225	16,058,900
	Indonesia (2)	Kemitraan	1	AFB/PPRC.33/14	970,503	16,058,900
	Indonesia (3)	Kemitraan	1	AFB/PPRC.33/Inf.6	979,548	16,058,900
	Indonesia (4)	Kemitraan	1	AFB/PPRC.33/Inf.7	909,128	16,058,900
	Mexico	IMTA	2	AFB/PPRC.33/15	8,000,000	20,000,000
RIE						
	Argentina	CAF	1	AFB/PPRC.33/16	10,000,000	10,063,183
MIE						
	Albania	IFAD	1	AFB/PPRC.33/Inf.8	10,000,000	20,000,000
	Bolivia	IFAD	1	AFB/PPRC.33/Inf.9	10,000,000	20,000,000
	Bosnia and Herzegovina	IFAD	2	AFB/PPRC.33/17	10,000,000	20,000,000
	Botswana	IFAD	1	AFB/PPRC.33/Inf.10	10,000,000	20,000,000
	Lebanon	IFAD	1	AFB/PPRC.33/Inf.11	4,300,000	12,139,175
	Malawi	IFAD	1	AFB/PPRC.33/Inf.12	10,000,000	10,010,665
	Somalia	IFAD	1	AFB/PPRC.33/Inf.13	10,000,000	20,000,000
	Uzbekistan	IFAD	2	AFB/PPRC.33/18	10,000,000	14,584,897
Sub-total, USD					100,592,034.00	

* Additional \$10 M will be available to the country for further programming once the conditions stipulated in Decision B.36/41 are fulfilled.

30. Details of the single-country proposals not technically cleared are presented to the Board as information documents.

Project/programme proposals submitted by implementing entities: regional proposals

31. Accredited entities submitted nine regional proposals to the secretariat, all were eligible and technical reviews were carried out. The total amount of funding being requested by the eligible

regional proposals is US\$ 117,246,095 and includes US\$ 9,713,539 (9.06%) in IEs' management fees and US\$ 9,680,830 or (8.99%) in execution costs.

32. The proposals were submitted by the following Regional and Multilateral Implementing Entities of the Fund; Caribbean Development Bank (CDB); the International Fund for Agricultural Development (IFAD), the United Nations Environment Programme (UNEP); World Health Organization (WHO) and World Meteorological Organization (WMO).

33. Of the nine eligible regional proposals submitted to the secretariat, four proposals were technically cleared and are presented in Table 3 below. The total requested funding of those proposals amounts to US\$ 117,246,095 (excluding project formulation grants). Two of the nine proposals are fully developed projects, four are concept notes, and three are pre-concepts.

Table 2: Regional proposals technically reviewed for consideration at AFB 42

4. Full Proposals: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	Country cap (funding available), USD
RIE						
	Cameroon, Central African Republic, Chad, Niger, Nigeria	WMO	1	AFB/PPRC.33/Inf.14	10,755,000	N/A
	Cuba, Panama	IFAD	1	AFB/PPRC.33/Inf.15	14,000,000	N/A
Sub-total, USD					24,755,000	
5. Concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	Country cap (funding available), USD
RIE						
	Antigua and Barbuda, St. Kitts and Nevis, and St. Vincent and the Grenadines	CDB	2	AFB/PPRC.33/19	13,999,520	N/A
MIE						
	Bangladesh, Nepal	WMO	2	AFB/PPRC.33/20	12,090,000	N/A
	Costa Rica, Panama	UNEP	1	AFB/PPRC.33/21	12,100,000	N/A
	Honduras, El Savador, Guatemala	UNEP	1	AFB/PPRC.33/Inf.16	14,000,000	N/A
Sub-total, USD					52,189,520	
7. Pre-concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	Country cap (funding available), USD
MIE						
	Argentina, Chile, Colombia, Peru	UNEP	1	AFB/PPRC.33/Inf.17	13,915,000	N/A
	Cambodia, Lao PDR, VietNam, Thailand	WMO	2	AFB/PPRC.33/Inf.18	12,466,575	N/A
	Guinea, Kenya, Sao Tome and Principe	WHO	1	AFB/PPRC.33/22	13,920,000	N/A
Sub-total, USD					40,301,575	

34. Details of the regional proposals that are not technically cleared are presented to the Board as information documents.

The review process

35. The secretariat received 37 submissions for the AFB42 review cycle. Thirty-six (36) submissions were found to be eligible and, in accordance with the operational policies and guidelines, the secretariat screened and prepared technical reviews for them.

36. The eligible submissions were posted online for public review and the Adaptation Fund's NGO network as well as the Board were notified and invited to provide comments within a specified time period (no comments were received.)

37. In line with the details of the rolling-basis submission, the secretariat shared the technical review findings with the IEs that had submitted the proposals and solicited their responses to specific items requiring clarification. Responses were requested by e-mail, and IEs were given three weeks to respond to the comments of each round of the technical reviews. The IEs were offered the opportunity to discuss the review findings with the secretariat by telephone as is the usual practice and the secretariat organized 15 of such calls. The secretariat received three requests of extension of the time available to resubmit a revised proposal to enable the IEs to address the requests received during the technical review.

38. The secretariat reviewed the IEs' responses to the clarification requests and undertook additional rounds of technical reviews as needed. As per the details of the rolling basis submission process, the secretariat compiled comments and recommendations for technically cleared proposals or proposals that are recommended to be rejected. These are presented in the addendum to this document (AFB/PPRC.31/3/Add.1).

Table 3: Project proposals forwarded to the forty-second Adaptation Fund Board meeting

1. Full Proposals: Single-country	Country	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC Cost %
NIE									
	Armenia (1)	EPIU	3	AFB/PPRC.33/Inf.1	3,780,513	296,169	8.50%	51,492	1.48%
	Belize	PACT	2	AFB/PPRC.33/4	4,000,000	313,364	8.50%	350,230	9.50%
	Benin	FNEC	2	AFB/PPRC.33/5	2,934,545	229,895	8.50%	234,650	8.68%
	Peru	PROFONANPE	1	AFB/PPRC.33/Inf.2	4,746,649	341,920	7.76%	382,146	8.68%
	Zimbabwe	EMA	1	AFB/PPRC.33/6	4,989,000	357,000	7.71%	432,000	9.33%
RIE									
	Bangladesh	ICIMOD	1	AFB/PPRC.33/Inf.3	9,999,930	783,405	8.50%	875,570	9.50%
	Fiji	SPC	4	AFB/PPRC.33/7	5,707,100	446,489	8.49%	495,000	9.41%
	Pakistan	ICIMOD	1	AFB/PPRC.33/Inf.4	10,000,000	783,412	8.50%	-	0.00%
	Uruguay	CAF	2	AFB/PPRC.33/8	10,000,000	783,000	8.50%	875,000	9.49%
MIE									
	Cambodia	UN Habitat	4	AFB/PPRC.33/9	10,000,000	783,410	8.50%	875,575	9.50%
	Georgia	IFAD	3	AFB/PPRC.33/10	9,846,766	750,000	8.24%	754,166	8.29%
	Nicaragua	WFP	3	AFB/PPRC.33/11	10,000,000	783,400	8.50%	750,000	8.14%
	Zambia	IFAD	2	AFB/PPRC.33/Inf.5	10,000,000	783,410	8.50%	874,590	9.49%
Sub-total, USD					96,004,503				
2. Concepts: Single-country	Country	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC%
NIE									
	Armenia (2)	EPIU	3	AFB/PPRC.33/12	4,472,630	350,390	8.50%	60,920	1.48%
	Indonesia (1)	Kemitraan	1	AFB/PPRC.33/13	960,225	75,225	8.50%	84,085	9.50%
	Indonesia (2)	Kemitraan	1	AFB/PPRC.33/14	970,503	75,611	8.45%	83,758	9.36%
	Indonesia (3)	Kemitraan	1	AFB/PPRC.33/Inf.6	979,548	80,880	9.00%	117,285	13.05%
	Indonesia (4)	Kemitraan	1	AFB/PPRC.33/Inf.7	909,128	71,209	8.50%	72,242	8.62%
	Mexico	IMTA	2	AFB/PPRC.33/15	8,000,000	626,728	8.50%	700,461	9.50%
RIE									
	Argentina	CAF	1	AFB/PPRC.33/16	10,000,000	743,410	8.03%	879,117	9.50%
MIE									
	Albania	IFAD	1	AFB/PPRC.33/Inf.8	10,000,000	783,410	8.50%	875,576	9.50%
	Bolivia	IFAD	1	AFB/PPRC.33/Inf.9	10,000,000	783,410	8.50%	873,612	9.48%
	Bosnia and Herzegovina	IFAD	2	AFB/PPRC.33/17	10,000,000	783,410	8.50%	873,612	9.48%
	Botswana	IFAD	1	AFB/PPRC.33/Inf.10	10,000,000	783,410	8.50%	799,613	8.68%
	Lebanon	IFAD	1	AFB/PPRC.33/Inf.11	4,300,000	337,000	8.50%	376,000	9.49%
	Malawi	IFAD	1	AFB/PPRC.33/Inf.12	10,000,000	783,410	8.50%	799,612	8.68%
	Somalia	IFAD	1	AFB/PPRC.33/Inf.13	10,000,000	783,410	8.50%	875,576	9.50%
	Uzbekistan	IFAD	2	AFB/PPRC.33/18	10,000,000	770,000	8.34%	870,000	9.43%
Sub-total, USD					100,592,034.00				
4. Full Proposals: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC%
RIE									
	Cameroon, Central African Republic, Chad, Niger, Nigeria	WMO	1	AFB/PPRC.33/Inf.14	10,755,000	900,000	9.13%	855,000	8.68%

	Cuba, Panama	IFAD	1	AFB/PPRC.33/Inf.15	14,000,000	1,096,774	8.50%	1,225,500	9.50%
Sub-total, USD					24,755,000				
5. Concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC%
RIE									
	Antigua and Barbuda, St. Kitts and Nevis, and St. Vincent and the Grenadines	CDB	2	AFB/PPRC.33/19	13,999,520	1,008,440	7.76%	1,127,080	8.68%
MIE									
	Bangladesh, Nepal	WMO	2	AFB/PPRC.33/20	12,090,000	940,000	8.43%	950,000	8.52%
	Costa Rica, Panama	UNEP	1	AFB/PPRC.33/21	12,100,000	1,100,000	10.00%	1,000,000	9.09%
	Honduras, El Salvador, Guatemala	UNEP	1	AFB/PPRC.33/Inf.16	14,000,000	1,270,000	9.98%	1,270,000	9.98%
Sub-total, USD					52,189,520				
7. Pre-concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC%
MIE									
	Argentina, Chile, Colombia, Peru	UNEP	1	AFB/PPRC.33/Inf.17	13,915,000	1,265,000	10.00%	1,150,000	8.68%
	Cambodia, Lao PDR, Vietnam, Thailand	WMO	2	AFB/PPRC.33/Inf.18	12,466,575	1,133,325	10.00%	983,250	9.09%
	Guinea, Kenya, Sao Tome and Principe	WHO	1	AFB/PPRC.33/22	13,920,000	1,000,000	7.74%	1,120,000	8.67%
Sub-total, USD					40,301,575				

Table 4: Project Formulation Grants forwarded to the forty-second Adaptation Fund Board meeting

3. Project Formulation Grants (PFG): Single-country	Country	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD
NIE					
	Armenia (2)	EPIU	2	AFB/PPRC.33/12/Add.1	45,000
	Indonesia (1)	Kemitraan	1	AFB/PPRC.33/13/Add.1	50,000
	Indonesia (2)	Kemitraan	1	AFB/PPRC.33/14/Add.1	50,000
	Mexico	IMTA	2	AFB/PPRC.33/14/Add.1	50,000
Sub-total, USD					195,000
6. Project Formulation Grants (PFG): Regional Concepts	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD
RIE					
	Antigua and Barbuda, St. Kitts and Nevis, and St. Vincent and the Grenadines	CDB	2	AFB/PPRC.33/19/Add.1	100,000
MIE					
	Bangladesh, Nepal	WMO	2	AFB/PPRC.33/20/Add.1	80,000
	Costa Rica, Panama	UNEP	1	AFB/PPRC.33/21/Add.1	80,000
Sub-total, USD					260,000
8. Project Formulation Grants (PFG) Pre-concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document Number	Grant Size, USD
MIE					
	Guinea, Kenya, Sao Tome and Principe	WHO	2	AFB/PPRC.33/22/Add.1	20,000
Sub-total, USD					20,000

