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Adaptation Fund Board Project and Programme Review Committee Thirty-third Meeting Bonn, Germany, 16-17 March 2024

PROPOSAL FOR BOLIVIA



ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regular-sized Project Concept

Country/Region: Bolivia		
Project Title: Enhancing climate resilience and protectin	g agricultural productivity in critical climate-vulnerable areas of Bolivia	
through the recovery of water recharge		
Thematic Focal Area: Agriculture and water management	nt	
Implementing Entity: International Fund for Agricultural	Development (IFAD)	
Executing Entities: Ministry of Rural Development and Lands		
AF Project ID:		
IE Project ID:	Requested Financing from Adaptation Fund (US Dollars): 10,000,000	
Reviewer and contact person: Camila Florez	Co-reviewer(s): Imen Meliane	
IE Contact Person:		

Technical Summary	The project "Enhancing climate resilience and protecting agricultural productivity in critical climate-vulnerable areas of Bolivia through the recovery of water recharge" aims to is to support vulnerable communities particularly affected by climate change-related drought in adopting climate-resilient measures and benefit from improved water recharge. This will be done through the three components below:
	<u>Component 1</u> : Establishment of integrated water Recharge Infrastructure and Climate-Resilient Practices (Water Recharge Zones) (USD 3,930,000);
	<u>Component 2:</u> Introduction of innovative climate and water smart technologies and practices (Zones for productive use of groundwater) (USD 2,160,000);
	Component 3: Institutional Strengthening and Generation of Practical Knowledge in Sustainable Water Resource Management and Climate Change (USD 2,252,978).
	Requested financing overview: Project/Programme Execution Cost: USD 873,612 Total Project/Programme Cost: USD 9,216,590 Implementing Fee: USD 783,410

	Financing Requested: USD 10,000,000
	The initial technical review raises several issues, such as the lack of overlap with other projects, the consultative process, and the full cost of adaptation reasoning, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.
Date:	January 22, 2024

Review Criteria	Questions	Comments
	 Is the country party to the Kyoto Protocol, or the Paris Agreement? 	Yes.
Country Eligibility	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	Yes. Bolivia is experiencing increased droughts, floods, intense rainfall events, and melting glaciers, all of which affect water availability and food security of rural communities and farmers.
	 Has the designated government authority for the Adaptation Fund endorsed the project/programme? 	Yes. As per the Endorsement letter dated December 8 th , 2023.
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes?	No. The proposal document is 59 pages plus annexes.
Project Eligibility		CAR1: Please reduce the length of the proposal no no more than 50 pages including its annexes. Please note that Section III is not required for a concept note and thus should not be included. This will allow the concept note to stay within the fifty pages limit.
	3. Does the project / programme support concrete	Yes, but more information is needed.
	adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?	The project supports the adoption of water recharge practices and technologies at the farm and community levels, as well as water-smart and efficient technologies and practices. In addition, the project will

	finance critical studies for the sustainable use of groundwater. The project will enhance the resiliency of communities and farmers who depend on aquifers and are facing increased droughts and water scarcity. The project will prioritize areas where the Bolivian government has been financing wells but that has affected groundwater levels.
	CR1: For section II.A., please indicate the activities to be carried out per each output.
	CR2 : Regarding Outcome 1.1, the document indicates that agricultural practices will be enhanced for productivity and resilience, aiming to avoid landslides and erosion. Kindly include a preliminary list of the water management technologies considered. Please also explain how and when these measures will be selected.
	CR3 : Regarding Output 1.2.1, please confirm whether there will be one study per zone, that encompasses all the subtopics identified or if there will be multiple studies per zone.
	CR4 : Do activities identified in Figure 15 refer to the potential activities under Output 1.2.2? Then, what is the small-scale water recharge infrastructure project?
	CR5: Regarding Outcome 2.1, please include a preliminary list of potential technologies to be supported and explain the different needs for water efficient and irrigation management technologies, and water harvesting approaches. Based on the budget, it seems the largest focus is on water harvesting, and

	thus it would be beneficial to provide more details about it. Please also explain how these measures will be selected.
	CR6 : Given that the Government of Bolivia has funded wells in drought-prone areas, which has led to unexpected consequences on the aquifers, the project proposes to carry out significant water studies to better inform decision-making, and the use of relevant technologies to improve the status of groundwater. However, in this context, improving water scarcity may also need to consider local water management approaches, and overall, the governance of groundwater at the community level. How will the project address this?
	CR7 : Regarding Output 3.2.1, enhancing groundwater mapping and supporting the improvement of "Nuestro Pozo" program is aligned with the project. However, funding under the Components can be for project activities and/or required consultancies. Thus, hiring staff for the governmental program is not applicable. It is not clear whether the indicated specialists would be consultants or staff. Also, kindly disaggregate the budget required for this output to better understand the goals and expected outcomes.
	CAR2 : The funding figures per component in section II.A. are in the billions rather than the millions. The figures are in parentheses next to the title per component. Kindly adjust.

4	Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	Yes, but more information is needed. The project will focus on smallholder farmers, rural youth, rural women, and groups with additional vulnerabilities. The concept note indicates that at least 40% of the project beneficiaries will be women.
		The project will be implemented in municipalities of three main regions: Valles, Chiquitanía, and Altiplano. The specific municipalities will be selected during project proposal development.
		CR8: To better understand the benefits of the project, please indicate initial estimates for direct and indirect project beneficiaries, disaggregated by gender and age, if possible. Please also outline how the proposed project will ensure the equitable distribution of benefits to vulnerable households or individuals.
		CR9: The proposal refers to women, youth and indigenous people as vulnerable groups, however it does not provide more details on the specific vulnerabilities of these group and no intersectionality is considered. Please provide more details on the vulnerable and marginalized groups in the target areas and what specific benefits the project will provide these groups.
		CR10 : Kindly explain further the economic benefits of the project for project beneficiaries and communities. If possible, provide estimates of the averted losses for smallholder farmers.
		CR11: The geographical scope of the project is unclear. The concept note indicates three regions

5. Is the project / programme cost effective? Yes, but requires further information. The project approach and scope are built in a complimentary manner, focusing on the different aspects of water recharge and management to ensure the sustainability of water use. CR14: To provide a better sense of cost-effectiveness, the description would benefit from additional consideration of quantitative results, when possible, such as the number of beneficiaries, number of municipalities, number of communities, and number of farms to be supported. Also include the expected number of persons to be trained and the number of		 where municipalities will be selected. Kindly provide more details about these regions, such as in which department they are located, the number of municipalities per region and the target number of municipalities to be selected. CR12: The concept note included an initial gender analysis explaining women's situation in Bolivia. Please include more details about gender dynamics in the agricultural sector, explain how this informs the project would address these. CR13: The proposal needs to inform further how it will benefit indigenous communities (if present in the project area) and also how traditional and indigenous knowledge is being considered.
CR15 : Regarding water systems, it would be	5. Is the project / programme cost effective?	The project approach and scope are built in a complimentary manner, focusing on the different aspects of water recharge and management to ensure the sustainability of water use. CR14 : To provide a better sense of cost-effectiveness, the description would benefit from additional consideration of quantitative results, when possible, such as the number of beneficiaries, number of municipalities, number of communities, and number of farms to be supported. Also include the expected number of persons to be trained and the number of studies to be carried out.

	sub-basins to be addressed by the project, and if possible, please indicate the expected hectares under efficient irrigation, and the water storage expected to be improved (e.g., in m3) for example through water harvesting.
6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?	 Yes, but requires further information. The project is aligned with Bolivia's NDC, the National Development Plan, and the Framework Law on Mother Earth and Integral Development for Living Well, among others. CR16: Kindly explain in detail how the project will contribute to implementing the National Climate Change Adaptation Plan. CR17: Bolivia has legislation regarding agriculture and water, which might be relevant for this project. Please include these in section II.D.
7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	No. CAR18: Please assess the project's alignment with <i>technical standards</i> (e.g., water quality regulations, municipal permit procedures, etc.) relevant to the project, particularly related to the agricultural and water sector, but not limited to them (e.g., construction codes, environmental impact assessment standards). Please also explain how the project will ensure compliance with these standards.
8. Is there duplication of project / programme with other funding sources?	Not clear. The concept note has identified a couple of current projects with potential synergies. The section

	 highlights lessons from some of the projects identified. However, the concept note falls short of explaining complementarity and lack of overlap. CR19: Please address in detail how each identified project complements the proposed project and how it will avoid duplication with other funding sources. For example, the GCF project includes irrigation infrastructure in the Valles Macro-region. How is the proposed project distinct and avoids overlap on this particular topic?
	CR20 : The list of projects provided is limited, only presenting current projects. Kindly include relevant projects that have already been implemented in Bolivia, such as FAO's project "Asistencia Técnica al Ministerio de Medio Ambiente y Agua y a Gobiernos Sub-nacionales priorizados para la implementación del Programa Cosechando Vida, Componente agua segura", the GIZ's "Integrated rural development at river basin level (PROCUENCA)" and the Bolivian government's "Harvesting Water, Sowing Light project", and the UNESCO CliMWaR project (Enhancing Climate Services for Improved Water Resources Management in Vulnerable Regions to Climate Change). Please clarify if lessons learned from previous projects were integrated in the proposed project design.
9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	Yes, but more information is needed. The project will support the gathering of key water knowledge through studies, and knowledge sharing by developing manuals and two curricula.

	 CR21: Although the project has indicated some aspect of knowledge management and dissemination, please explain the specific activities that will take place to gather and disseminate lessons from the project itself, including knowledge about what kind of technologies and practices work. Furthermore, at the fully-developed proposal stage, giving the interlinkages with other projects/initiatives on water recharge, water harvesting, and irrigation in the country, a more detailed explanation on the plans to learn from relevant projects, programs, initiatives and evaluations is needed.
10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	 Unclear. An initial consultative process has taken place. From October 2 – 7, 2023, the implementing agency visited Bolivia and met with key governmental actors, other relevant actors from academia, and civil society organizations. Nevertheless, the consultative process has not included vulnerable groups, nor has it included gender considerations. CR22: Please detail the topics discussed during the consultation process, and the issues raised per type of organization. CR23: Please clarify how the consultative process integrated gender considerations for the project.
	CR24: Please also clarify if representatives of the target regions and communities have been consulted,

	and how their interests and concerns were taken into account in the project design.
11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	 No. The concept note provides a very limited explanation of the full cost of adaptation. CR25: Please further explain how the project activities are relevant in addressing its adaptation objectives and that, taken solely, without additional funding from other donors, they will help achieve these objectives. A comparison with the baseline scenario would be helpful.
12. Is the project / program aligned with AF's results framework?	Largely yes. The project is aligned with AF outcomes 8, 2, and 3.
13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	 Unclear. The proposed project has a comprehensive focus on addressing the increased droughts and extreme rainfall and the vulnerability of rural populations. CR26: Given that the project will invest in small-scale infrastructure, what arrangements would be set to ensure its maintenance and operability after the project finishes? CR27: The project supports using groundwater to improve farmers' resilience. However, it has not explained how the project will ensure that those with

	access to wells do not over-extract water, which can affect the replenishment of the aquifers. Particularly, beyond promoting new technologies, how will the project enhance individual or community-level mechanisms that ensure the sustainability of water use? Please also see CR6 .
14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	No. The proposal provides an overview of management approaches to unnamed risks by each of the AF principles. The risk findings are not substantiated. Given the lack of specification of beneficiaries and the geographical scope of the proposal, the screening provided does not consider the possibility of risks.
	CR28: The risk category of the project needs to reflect the AF ESP. Please adjust.
	CR29: The proposal does not explain the risks per se; rather, it only classifies them (e.g., as 'low risk'), and proposed management measures are given. Please explain risks in detail, and its grading should be substantiated – this applies to the 15 principles of Table 6. This should follow the AF ESP policy.
	CR30: Given that project activities have not yet been identified (e.g., specific nature-based solutions), a comprehensive risk identification is expected in the full proposal. Please indicate how this will be carried out during the full project preparation.
	CR31: An initial gender analysis has been included. However, this assessment should be nuanced to the project focus (e.g., agriculture, food systems, rural communities).

Resource Availability	 Is the requested project / programme funding within the cap of the country? 	Yes.
	 Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee? 	Yes.
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	Yes.
Eligibility of IE	 Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board? 	Yes.
Implementation Arrangements	 Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund? 	n/a at concept stage
	2. Are there measures for financial and project/programme risk management?	n/a at concept stage
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	n/a at concept stage
	4. Is a budget on the Implementing Entity Management Fee use included?	n/a at concept stage
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage
	6. Is a detailed budget including budget notes included?	n/a at concept stage
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and	n/a at concept stage

sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	
8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage
9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	n/a at concept stage
10. Is a disbursement schedule with time-bound milestones included?	n/a at concept stage



CONCEPT NOTE PROPOSAL FOR SINGLE COUNTRY

PART I: PROJECT/PROGRAMME INFORMATION

Title of Project/Programme:	Enhancing climate resilience and protecting agricultural productivity in critical climate-vulnerable areas of Bolivia through the recovery of water recharge.
Country:	Bolivia
Thematic Focal Area:	Agriculture and water management
Type of Implementing Entity:	Multilateral Implementing Entity
Implementing Entity:	International Fund for Agricultural Development (IFAD)
Executing Entities:	Ministry of Rural Development and Lands
Amount of Financing Requested:	10,000,000 (in U.S Dollars Equivalent)
Project Formulation Grant Request (available to NIEs only): Yes DD No D	
Amount of Requested financing for PFG	(in U.S Dollars Equivalent)
Letter of Endorsement (LOE) signed: Yes	

NOTE: LOEs should be signed by the Designated Authority (DA). The signatory DA must be on file with the Adaptation Fund. To find the DA currently on file check this page: <u>https://www.adaptation-fund.org/apply-funding/designated-authorities</u>

Stage of Submission:

 \Box This concept has been submitted before

 \Box This is the first submission ever of the concept proposal

In case of a resubmission, please indicate the last submission date: Click or tap to enter a date.

Please note that concept note documents should not exceed 50 pages, including annexes.

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Abbreviations and Acronyms

AF- Adaptation Fund APR - Annual Project Report CCKP- Climate Change Knowledge Platform CMIPs- Climate Model Intercomparison Project (por sus siglas en inglés) ESA - Environmental and Social Assessment ESCMP - Environmental, Social and Climate Management Plan ESP - Environment and Social Principles ESP - Environment and Social Policy GCRI- Global Climate Risk Index 2021 ENSO El Niño Southern Oscillation FAO - Food and Agriculture Organisation of the United Nations **FPIC-** Free Prior and Informed Consent GCF - Green Climate Fund **GDP** - Gross Domestic Product **GEF - Global Environment Facility** GHG - Greenhouse Gas Emission GIZ- Gesellschaft für Internationale Zusammenarbeit IE- Independent Evaluation INE- Instituto Nacional de Estadísticas **GRM** - Grievance and Redress Mechanism IFAD - International Fund for Agricultural Development IPCC - Intergovernmental Panel on Climate Change LMMC- Like Minded Megadiverse Countries

IWRM- Integrated Water Resources Management **IRM-** Integrated Watershed Management M&E - Monitoring & Evaluation MDR&L- Ministry of Rural Development and Lands - MDRyT (Spanish) MDP- Ministry of Development Planning MTR - Mid-Term Review MTS- Movement toward Socialism NBS - Nature-based solutions NDA - National Designated Authority NDC - Nationally Determined Contribution **NIE-** National Implementing Entity PDES – National Development Plan PMU- Project Management Unit PPR - Project Performance Report **RCP-** Representative Concentration Pathways SAMS- South American Monsoon System SDG - Sustainable Development Goal SECAP - Social Environmental and Climate Assessment Procedures SPEI - Standardized Precipitation Evapotranspiration Index SSP- Shared Socioeconomic Pathways TNC - Third National Communication UN - United Nations **UNDP - United Nations Development Programme** UNFCCC - United Nations Framework Convention on Climate Change WB - World Bank UPA - Production farmers units

Project/Programme Background and Context:

In the Global Climate Risk Index 2021 (GCRI), Bolivia stands out as the top-ranking nation in Latin America while holding the tenth worldwide position as the most vulnerable country. Bolivia's vulnerability is further heightened by its exposure to natural disasters, with a significant portion of its population residing in delicate mountain ecosystems and expanding arid regions. According to the ND-GAIN, Bolivia shows a low readiness score underscoring a substantial need for investment and innovations to enhance preparedness, accompanied by a compelling call for immediate action.

As Bolivia has suffered adverse climatic impacts, such as heightened instances of droughts and irregular rainfall, particularly affecting agricultural regions like Valles, Altiplano, and Chiquitanía. The Ministry of Rural Development and Lands (MDRyT) initiated the "Nuestro Pozo" program, constructing wells to adapt to diminishing surface water availability during droughts. Nevertheless, the program encounters challenges, with escalating unmet demands ranging from 83% to 96%, and raising apprehensions about aquifer depletion and contamination. The lack of monitoring exacerbates the situation, as insufficient information on aquifer states and recharge contributes to dwindling water quality and quantity.

Acknowledging the urgency, this concept note describes a comprehensive project addressing climate change adaptation, aiming to improve infiltration zones for vulnerable aquifers, implement water harvesting, and enhance irrigation systems. The project also aims to establish a network of piezometers and climate stations, conduct studies on aquifer dynamics, and map recharge zones to overcome the scarcity of groundwater data. Overall, the MDRyT's project strives to confront immediate drought challenges while fostering resilient groundwater management through community collaboration and climate-responsive technologies. The overarching aim of the project is to assist vulnerable communities, especially those severely affected by climate change-induced drought, in adopting climate-resilient measures and benefiting from enhanced water recharge.

A. Geography

1. Bolivia is located in the central-western part of South America and shares borders with Argentina, Brazil, Chile, Paraguay, and Peru. Its territory covers 1,098,581 square kilometres and, according to the country's Ministry of Rural Development and Lands (MDR&T), it is organized into five macro-regions, including the highlands, the Chaco, the tropical plains, the valleys, and the Amazon region. The country experiences a diversity of climates influenced by the tropical and humid air currents from the Equatorial Amazonian Current and the cold air masses from the Southern Current. Its remarkable geographic and climatic diversity hosts over 80% of the ecosystems present on the planet, placing Bolivia among the 15 most megadiverse countries in the world. With 14 Ecological Zones, 36 eco-regions, and 205 ecosystems, Bolivia encompasses a wide range of landscapes. Forty eight percent of its surface is covered by forests and it hosts 22 natural parks, notably the Madidi Park, renowned for its extraordinary global biodiversity.¹ : Bolivia's diverse geography includes fragile mountain ecosystems in the Andes and expanding arid areas. These regions are particularly susceptible to the adverse effects of climate change, including droughts, landslides, and glacial melting.

¹ <u>https://hia.paho.org/en/countries-22/bolivia-country-profile</u>

B. Governance and administration

- 2. Politically and administratively, the country is divided into 9 departments, 112 provinces, 327 municipalities and 1,384 cantons². Bolivia declared its independence from Spain in 1825, experiencing frequent military coups and periods of dictatorial rule for much of its history. The country re-established democratic civilian rule in 1982. The new constitution of 2009 defines Bolivia as a representative, participatory and communitarian democracy. The President Luis Alberto Arce Catacora, took office in November 2020 after a first-round victory in the same year elections in which his Movement toward Socialism (MTS) party maintained a legislative majority. Bolivia currently has 17 ministries in the executive branch. The heads of these ministries form the cabinet.
- 3. Regarding the development of agricultural projects, the Ministry of Rural Development and Lands (MRD&L) is responsible for creating and implementing policies that support sustainable development in various rural sectors, including agriculture, forestry, and indigenous communities. Its main goals are to promote comprehensive rural agricultural growth, ensure equitable access to land, create employment opportunities, and foster cultural identity. It prioritizes principles such as transparency, inclusivity, and food sovereignty for "Vivir Bien" (living well). The Supreme Decree No. 2852 creates the National Program for Drilling Groundwater Wells called "Nuestro Pozo" and establishes the decentralized public entity called the Well Execution Unit "Unidad Pozos". The main objective of this decree is to promote and facilitate access to water is limited or insufficient. The "Nuestro Pozo" program aims to ensure the availability of water for food security. The "Unidad Pozos" will be in charge of executing the project³.
- 4. The Ministry of Planning for Development (MPD): its primary role is to implement the Integrated Planning System of the State, which facilitates long-term, medium-term, and short-term planning. This system integrates both sectoral and territorial planning across all public entities and levels within the Plurinational State of Bolivia.

C. Economy

- 5. In 2022, Bolivia experienced a GDP growth rate of 3.1% stabilizing after (6.1% in 2021 and 8.7% in 2020), reaching a total value of USD \$43,07 billion that year, while still classifying as a lower-income country. This postive trend prevailed despite a prolonged period of social unrest in Santa Cruz, fuelled by higher non-natural gas commodity export prices (mainly zinc and soybean) and sustained public spending. Annual inflation has remained at a low of 1.7 percent (2022), contained by a fixed exchange rate, subsidized fuel prices, and price controls.
- 6. Consistent economic expansion resulted in a decrease in poverty from 5.3 percent in 2011 to 2 percent in 2021. While the COVID-19 pandemic temporarily increased poverty and inequality in 2020, the declining trends resumed in 2021 due to improved economic growth. Domestic labor demand has not fully recovered, presenting still a significant share of low-quality self-employment.
- 7. The agricultural sector contributes 12.9% to the GDP, while the country's agricultural area covers 35.2% of the land. Employment in agriculture (% of total employment) was reported at 29.23% in 2021. The nation's soils generally have limited inherent fertility due to challenging

² <u>https://oig.cepal.org/en/countries/6/system</u>

³ <u>https://www.ecolex.org/es/details/legislation/decreto-supremo-no-2852-crea-el-programa-nacional-de-perforacion-de-pozos-de-aguas-subterraneas-nuestro-pozo-y-la-entidad-publica-desconcentrada-unidad-ejecutora-de-pozos-ue-pozos-lex-faoc157923/</u>

climatic conditions, rugged topography, steep slopes, and deficient physical, chemical, and biological properties. In the eastern regions, there are acidic soils with issues like flooding and additional constraints. Furthermore, approximately 45% of the national territory, notably in significant portions of the Altiplano, Valleys, and the Chaco, is affected by natural or human-induced degradation processes, including erosion, salinization and/or sodification, pollution, and others (Torrico 2020).

8. Challenges at the micro level, stemming from the prevalence of low-quality jobs and low productivity in the informal job market, hinder efforts to address economic vulnerability. Despite significant investments in the past decade, there is a pressing need for more targeted development in both physical and human capital to create opportunities and tackle persistent inequalities, promoting agricultural productivity and resilience, and ensuring sustainable practices in extractive sectors. The country is highly exposed to various natural disasters, such as floods, landslides, droughts, and forest fires. These events have severe consequences for communities, infrastructure, agriculture, and the overall economy.

D. Population

9. In 2021, population was approximately 12 million, with a growth rate of 1.2. Bolivia's population has grown rapidly from 8.2 million in 2001 to just over 11 million in 2015. There are approximately 3,563,556 households living in rural areas (World Bank, 2022)⁴. Rural Population Growth data was reported at -0.019 % in 2022. This records a decrease from the previous number of 0.008 % for 2021. Seventy percent of the population (8,513,808) now lives in urban centers, both cities and peri-urban areas, which are growing particularly fast. Bolivia has a very diverse population with more than three dozen native groups, the largest of which is the Quechuas at 2.5 million people, followed by the Aymaras (2 million), the Chiquitano (180,000) and the Guarani (125,000) - (World Population Review 2023).

Bolivia shows the lowest population density in Latin America and the Caribbean. In contrast to Mexico and Ecuador, where there are more than 50 inhabitants per square kilometre, Bolivia's density stands at 10 inhabitants per square kilometre. Notably, Bolivia exhibits substantial internal variations, with 42 municipalities (12% of the total 339) surpassing the 50 inhabitants/Km2 threshold, while 174 municipalities (51%) have less than 10 inhabitants/Km2, and 38 municipalities (11%) experience a density of less than one person per square kilometre. This creates extensive uninhabited areas, posing challenges for the expansion of essential service networks, overall state presence, and the establishment of institutions for territorial planning and management⁵

- 10. Notably, as individuals age, there is a higher percentage of women in both urban and rural settings. However, a distinct trend becomes apparent when considering community size, indicating that smaller towns have a lower proportion of women compared to larger cities across all age brackets. For example, within the 29 to 40 years age range, the ratio of women to men is 1.1 in large cities, while in smaller towns, this ratio decreases to 0.92.
- 11. The effects of climate change are evident, as both men and women identify drought in the highlands and valleys as a primary cause for decreased productivity and increased production costs. Moreover, it results in the migration of children or spouses, leading to an added workload for women. In Bolivia, women play a significant role in addressing and coping with the challenges posed by climate change. They often face greater vulnerability to its effects due to socio-economic

⁴ <u>https://hia.paho.org/en/countries-22/bolivia-country-profile</u>

 ⁵ ONU MUJERES (2019). ENFOQUE TERRITORIAL PARA EL EMPODERAMIENTO DE LAS MUJERES RURALES: ESTUDIO BOLIVIA
 ⁶ IBID

factors such as limited access to resources, education, and healthcare. This heightened vulnerability is particularly pronounced within indigenous communities and among marginalized populations. A significant portion of Bolivia's population depends on agriculture and natural resources for their livelihoods. Changes in climate patterns and extreme weather events directly affect these livelihoods, leading to food insecurity and economic instability.

E. Biodiversity and forestry

12. Deforestation and biodiversity trends are also altering the weather and water recharge dynamics, making them less resilient to climate variability. Although the country has invaluable biodiversity⁷ it is increasingly endangered by deforestation and habitat loss. The deforestation trends are extremely concerning: between 1985 and 2018, Bolivia lost 3,670 million hectares of forest. These trends have been on the rise, reaching a peak in forest cover loss of 852,000 hectares in 2019.

F. Water resources

- Despite relative water abundance⁸ in the country. Bolivia 13. faces considerable challenges in this sector. Although it has a high per capita water availability, water is unevenly distributed across its territory and is threatened by the climate change, irregular precipitation patterns, and pollution. Deforestation, habitat loss, and the retreat of glaciers in the Andean region, along with climate fluctuations, exert additional pressures on these valuable water resources. Moreover, limited access to clean water and energy is a significant issue in Bolivia, with over 40% of the rural population lacking piped water distribution systems and a 67.5% coverage of clean water⁹. Notably only approximately 27% of wastewater is treated in the country.
- 14. As will be explained in more detail in the climate change sections, one significant challenge confronting Bolivia is the effective recharge of aquifers, especially as an alternative water source during recurring drought events. The situation is further exacerbated by reduced precipitation and increasing temperatures, impacting the replenishment of underground aquifers. Moreover, a consequence of climate change in Bolivia is the diminishing glaciers. This reduction is attributed to the combined impact of the 'El Niño' phenomenon and global warming since the mid-1970s. The decline in glaciers, coupled with temperature increases and unpredictable rainfall patterns, threatens groundwater aquifer recharge. The country exhibits insufficient infrastructure and a lack of knowledge about undergropund water cycles, hindering the proper solution to these problems.
- 15. The management and preservation of these water resources are crucial, Bolivia is committed to developing a comprehensive strategy for watersheds, sub-watersheds, and micro-watersheds. This strategy aims at the efficient, equitable, and inclusive use of water resources across various applications, all with a focus on the well-being of the population and the protection of Mother Earth. According to the last NDCs¹⁰, efforts are also being made to implement measures that

⁷_CBD. (2020). Bolivia (Plurinational State of) – Main Details, Biodiversity Facts. Convention of Biological Diversity Recuperado de https://www.cbd.int/countries/profile/?country=-bo#:~:text=Bolivia%20is%20among%20the%2015,plants%20and%20their%20wild%20relatives.

⁸ Bolivia has a wealth of water resources, including a wide variety of rivers, lakes, and basins, ranging from the tropical plains in the north and east to the high-mountain lakes in the Andean highlands, such as the iconic Lake Titicaca.

⁹ Ministerio de Salud, 2020. https://www.paho.org/es/noticias/15-10-2020-bolivia-promovera-participacion-ciudadana-comoclavepara-ampliar-

derechoal#:~:text=Seg%C3%BAn%20datos%20proporcionados%20por%20el,s%C3%B3lo%20el%2044%2C3%25.

¹⁰ Contribución Nacionalmente Determinada del Estado Plurinacional de **Bolivia** 2021-2030 :

https://unfccc.int/sites/default/files/NDC/2022-06/CND%20Bolivia%202021-2030.pdf

increase the resilience of water supply systems, ensure safe and equitable access to drinking water, enhance sanitation services in both urban and rural areas, and protect and restore water sources, areas for the recharge of surface and groundwater. Bolivia strives to ensure the sustainable use of its water resources and protect its valuable aquatic ecosystems, in alignment with its commitments to biodiversity conservation and climate change adaptation as part of its endeavors towards sustainable development. However, Bolivia still needs further support to understand and manage their underground water respurces, in the face of climate change.

G. Agriculture and food security

- 16. Between 2005 and 2012, the agricultural sector generated, on average, 34.6 percent of the country's employment, and it is estimated that more than 90 per cent of the population employed in agricultural activities is in rural areas. Agricultural land¹¹ in Bolivia is around 35 % (World Bank 2021) and its value is concentrated near the largest urban centers: 72 percent of total agricultural value added is concentrated in Santa Cruz, Cochabamba, and La Paz Departments. Despite the large numbers of people migrating to urban areas, Bolivia's population and especially its population of poor people remains significantly rural and closely tied to the country's natural resources.
- 17. According to the 2013 Agricultural Census¹², there are 871,921 APUs¹³, of which 95 per cent correspond to campesino family farming and 5 per cent to agro-industry. According to this same census, campesino family farmers exploit only 40 per cent of cultivated land, in contrast with agroindustry, which exploits 60 per cent. Among smallholder producers, there are different sizes of agricultural farms. However, the majority of UPAs have land areas between 1 and 5 hectares¹⁴, approximately 65% of all farms in the country have a maximum of 5 hectares. The agricultural census data confirms that a significant portion of the country's agriculture continues to be rainfed, meaning it relies on rainfall for the development of various crops. 32.9% of the total surveyed UPAs in the country use different irrigation methods. The majority of agricultural farms that use irrigation are located in the valleys and the Altiplano regions (94.0%), concentrating 68.1% of the total cultivated area under irrigation.
- 18. In Bolivia, agriculture plays a vital role in the economy, providing livelihoods for a significant portion of the population. However, the sector faces considerable challenges due to climate variability and change, including:

Water Scarcity: Bolivia experiences water scarcity in various regions, impacting irrigation for agriculture. Changes in precipitation patterns, glacial melting, and droughts affect water availability, crucial for crop cultivation.

Extreme Weather Events: Irregular rainfall, floods, and prolonged droughts disrupt agricultural activities, leading to crop losses, soil erosion, and reduced yields. Extreme weather events pose a significant threat to farming communities and food production.

¹¹ Agricultural land refers to the share of land area that is arable, under permanent crops, and under permanent pastures. Arable land includes land defined by the FAO as land under temporary crops (double-cropped areas are counted once), temporary meadows for mowing or for pasture, land under market or kitchen gardens, and land temporarily fallow. https://tradingeconomics.com/bolivia/agricultural-land-sq-km-wb-data.html ¹² Last available census.

¹³ Agricultural Production Units (UPAs), defined for census purposes, engage in the following primary activities: Agriculture, Livestock, Poultry, Forestry, Extraction, Collection, Hunting, and Aquaculture. Among the secondary activities are Mining, Manufacturing Industry, Commerce, Transportation, and others.

¹⁴ https://webapps.ifad.org/members/eb/131R/docs/EB-2020-131-R-R-15.pdf?attach=1

Vulnerability of Smallholder Farmers: Small-scale farmers, who form a significant part of Bolivia's agricultural workforce, are particularly vulnerable to climate-related risks due to limited resources, access to technology, and financial support to adapt to changing climatic conditions.

In Bolivia, efforts to address the agri-climate nexus involve a multi-pronged approach. This includes Climate-Resilient Agriculture practises like using resilient seeds, water management, conservation agriculture, and sustainable land management to increase productivity and reduce climate risks. Farmers are encouraged to adopt adaptation measures such as crop diversification, agroforestry, and improved irrigation systems to withstand climate variations. Moreover, policy support is crucial, focusing on the development and implementation of policies that promote climate-resilient agriculture, provide financial incentives for sustainable practices, and drive research and innovation in the agricultural sector. These combined strategies aim to improve agricultural productivity while minimizing vulnerability to climate change impacts in Bolivia. Developing climate adaptation projects in Bolivia is crucial to safeguarding livelihoods, preserving ecosystems, ensuring food security, while addressing the pressing challenges posed by climate change. The overall goal of the proposed project is to enhance water management and adapt agricultural production while minimising vulnerability to the adverse effects of climate change in Bolivia.

- 19. At the national level, 11% of the population is experiencing extreme food insecurity and 13% is highly food insecure. These percentages represent more than 2.4 million people in 116 municipalities, mainly in the departments of La Paz, Potosí, Cochabamba and Chuquisaca and some areas of Oruro and Tarija¹⁵. In the 2023 Global Hunger Index (GHI), Bolivia holds the 71st position among the 125 countries for which adequate data is available to compute the 2023 GHI scores. ¹⁶.
- 20. Malnutrition remains a major public health concern. Inadequate diets and low consumption of nutritious local products due to changing eating habits are at the root of overlapping nutritional problems. Diabetes is estimated to affect 10.1% of adult women and 7.8% of adult men¹⁷. According to FAO (SOFI 2022):
 - 8.8% of children under 5 overweight
 - 24.4% of women aged 15 to 49 years affected by anaemia

- 20% of population experiencing obesity (0.7 M of women of reproductive age are overweight or obese)

- The stunting rate for children under five has dropped from 20 to 12 percent in ten years, in rural areas it remains as high as 23.7 percent.

- 55.7% prevalence of exclusive breastfeeding among infants 0–5 months of age
- 21. The living conditions of a substantial portion of the population are increasingly under threat, due to rapid urbanization, food insecurity and climate-related risks. Climate change is jeopardizing the livelihoods of vulnerable farmers, along with rising temperatures, rainfall variability and poor soil management; this is increasing both the pressure on traditional agriculture, and the urgency of expanding infrastructure for water treatment and reuse. This means a worsening of the position of domestic small-scale food producers and farmers is inevitable if no steps are taken to

¹⁵ <u>https://docs.wfp.org/api/documents/WFP-0000131999/download/?_ga=2.88903195.1688300575.1697631962-327829658.1697034654</u>

¹⁷ <u>https://globalnutritionreport.org/resources/nutrition-profiles/latin-america-and-caribbean/south-america/bolivia-plurinational-state/</u>

support farmers' ancestral knowledge of balancing natural resources and agriculture in the center of policies.

F. Climate Change

22. The Global Climate Risk Index 2021 (GCRI) ranks Bolivia as the tenth most vulnerable country in the world, and the first in Latin America, considering the impacts of extreme weather events and related socio-economic data. Its vulnerability is exacerbated by its exposure to natural disasters. The country hosts a substantial part of its population in fragile mountain ecosystems and expanding arid areas. According to the ND-GAIN, Bolivia finds itself in the upper-left quadrant of the ND-GAIN Matrix, marked by a high vulnerability score and a low readiness score. This positioning underscores a significant need for investment and innovations to enhance readiness, coupled with a pressing urgency for action. Bolivia is ranked as the 79th most vulnerable country and the 161st most ready country out of 185 countries assessed. Notably, the sub-indicator for "Agricultural capacity" vulnerability is alarmingly high, scoring 0.974 out of 1, indicating an exceptionally high vulnerability to climate change in this domain. Additionally, Bolivia's "Water" vulnerability indicator is positioned at 138th out of 170 globally classified countries, signifying one of the most vulnerable nations worldwide in terms of water vulnerability to climate change.

Current climate

- 23. Due to its proximity to the equator, Bolivia does not experience clearly defined seasons throughout the year. Instead, the average temperature of any part of the country depends largely on its altitude and, to a lesser extent, its latitudinal position. The Bolivian climate is primarily influenced by three key factors: the South American monsoon, topography, and the presence of the Amazon rainforest.
- 24. The South American monsoon, known as the South American Monsoon System (SAMS), plays a central role in transporting moisture from the Atlantic Ocean through the Amazon region and, consequently, in the distribution of precipitation in Bolivia. This climatic system establishes two clearly differentiated seasons: a wet season during the summer and a dry season in the winter.

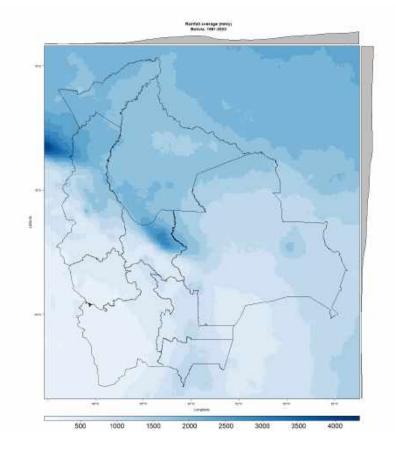


Figure 1: Average annual precipitation in Bolivia (1981-2022). Sources: CHIRPS.

- 25. In terms of altitudes, approximately 60% of Bolivia's territory is located in regions with elevations below 500 meters above sea level, such as the Gran Chaco and tropical plains, where average annual temperatures range between 22°C and 25°C. About 24% of the country is situated at elevations ranging from 500 to 3,500 meters above sea level, in areas like the valleys and the Amazon region, where the average temperature is around 18°C. Finally, the remaining 16% of the territory is at elevations higher than 3,500 meters above sea level, mainly in the Altiplano, where temperatures vary widely between -6°C and 16°C.
- 26. The majority of precipitation in Bolivia is concentrated during the wet season, which spans from December to March, representing between 60% and 78% of the annual precipitation. In contrast, during the dry season, which extends from 0% to 15% of the annual precipitation, a significantly lower amount of rainfall is recorded.
- 27. Precipitation varies widely across the country, ranging from over 6,000 mm annually in areas near the first Andean ridge (e.g., the Chapare region) to as little as 100 mm southwest of the Salar de Uyuni. Along the way, there are regions with precipitation ranging between 300 and 500 mm annually on the peaks of the Western Cordillera, 500 to 1,000 mm on the Altiplano plateau, and 600 to 2,000 mm in the plains near the Andes. These differences in precipitation amounts reflect the characteristic climatic variability of Bolivia.

The Niño and the Niña

28. The El Niño and La Niña phenomenon is part of what is known as the El Niño Southern Oscillation (ENSO). These events have a significant impact on the climatic behavior in various regions of

Bolivia. In general terms, La Niña tends to cause drier and cooler than usual conditions, while El Niño is associated with increased temperatures, resulting in warmer and rainier weather.

- 29. The El Niño phenomenon alters the pattern of winds coming from the Amazon, causing rainfall in the regions of Yungas and Chapare. These warm, moist winds accumulate on the coasts of Peru, generating precipitation in the Andean mountains, leading to strong storms and rainfall. As these warm winds cross the mountains to reach the Altiplano and Bolivian valleys, they disrupt the normal flow of moist air coming from Brazil towards the west, resulting in intense rains and floods in the eastern areas and droughts in the Altiplano.
- 30. In contrast, La Niña is a complex phenomenon with variable episodes, but it is generally associated with intense rains in the Altiplano, which can lead to floods caused by river overflow and rising water levels.

Historical Climate Trends

31. In Bolivia, a significant trend toward an increase in annual average temperatures has been observed, indicating a warming process in the country. This phenomenon is accompanied by a notable rise in the number of days with heat indices exceeding 35°C, as seen in Figures 2.

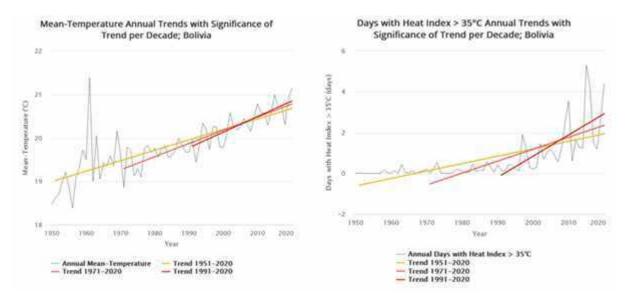


Figure 2: Average and trend of annual mean temperature (left) and hottest days (>35°C) (right) in Bolivia. Sources: World Bank CCKP.

32. The trend of increasing temperatures, both minimum and maximum, is not uniformly manifested throughout the Bolivian territory, as illustrated in Figures X. Significant upward trends are observed, particularly in specific regions of the country. These trends become more notable in the central-western part of Bolivia, which includes the Andean mountain range and a portion of the Chaco.

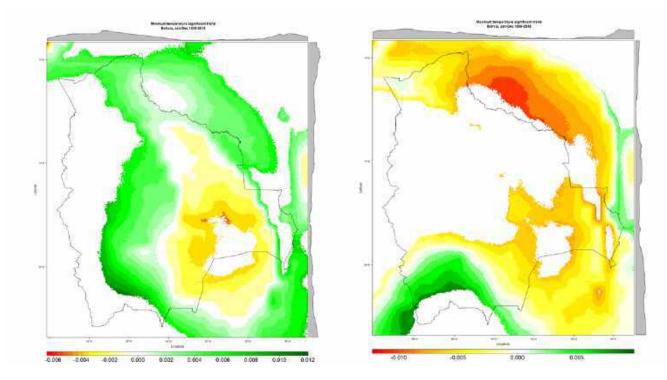
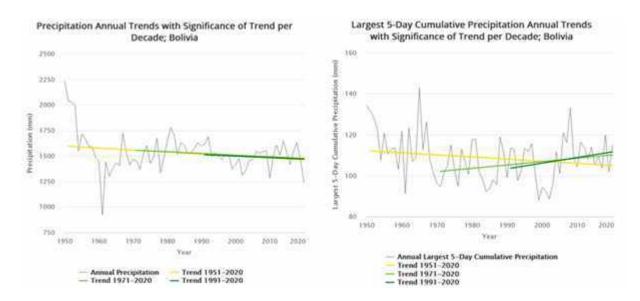
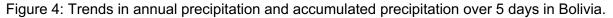


Figure 3: Trend of minimum temperatures (left) and maximum temperatures (right) in Bolivia (1958-2018).

Sources: TerraClimate.

33. A trend towards a decrease in annual precipitation has been observed overall, as seen in Figures 4, decade-wise annual precipitation trends. However, in recent decades, there has been an increase in accumulated precipitation during 5-day periods. This suggests a pattern of more concentrated rainfall over shorter periods and less precipitation spread throughout the year.





Sources: World Bank CCKP.

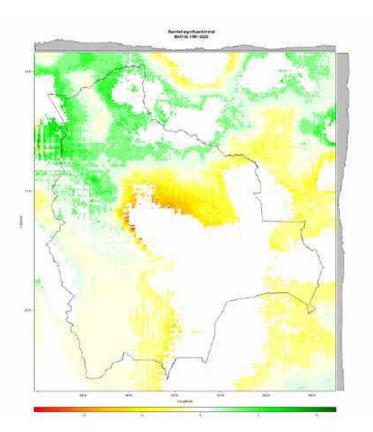


Figure 5: Trend of annual precipitation in Bolivia (1981-2022). Sources: CHIRPS.

- 34. The distribution of precipitation trends across Bolivia is not uniform, as depicted in Figures 5. Notably, there is a substantial decline in annual precipitation observed in the central, eastern, and south-western regions of the country over the years, starting from 1981.
- 35. The drought index (SPEI, 4 months) since 1981 indicates that droughts are occurring more frequently nationwide in Bolivia. However, these trends are not reflected in the long-term drought index (SPEI 18 months) at the national level, suggesting variations in drought patterns at different time scales. The SPEI is categorized from extremely [wet, dry] (2, -2) to moderately [wet, dry] (1, -1) and near normal [wet, dry] (0.5, -0.5). A portion of the country is affected by long-term drought, with significant impacts on groundwater reserves. Provinces most vulnerable to recurring long-term droughts (SPEI 18 months) include Chuquisaca, Potosi, Cochabamba, Santa Cruz, and Tarija, emphasizing the importance of addressing water resource management in these particularly affected areas.

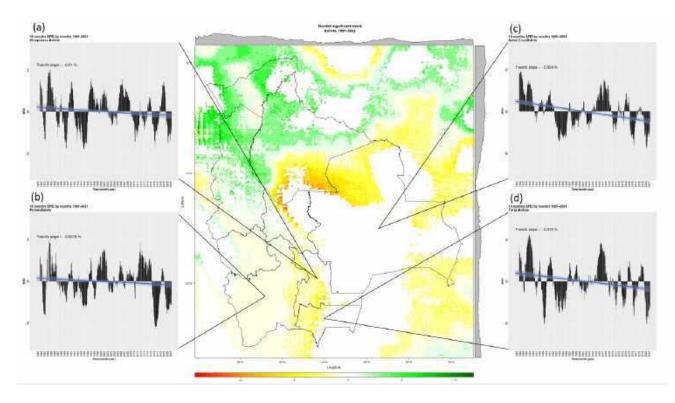


Figure 6: Drought index (SPEI, 18 months, 1981-2022) in Chuquisaca (a), Potosi (b), Santa Cruz (c), and Tarija (d). Sources: CHIRPS & TerraClimate.

36. In recent years, significant droughts have been experienced, reflected in a drought index lower than 2 (classified as extremely dry) over a short-term period (4 months). These events affected the soil surface, being notably observed in 2016 in Oruro and Potosí, in 2017 in Chuquisaca, and in 2020 in Cochabamba, Santa Cruz, and Pando. Additionally, episodes of long-term drought (18 months) were recorded, impacting groundwater depth. These events were evident in 2016 in Oruro, in 2017 in Chuquisaca and Potosí, in 2020 in Santa Cruz, and in 2020 in Cochabamba and Tarija.

Future Climate Scenarios

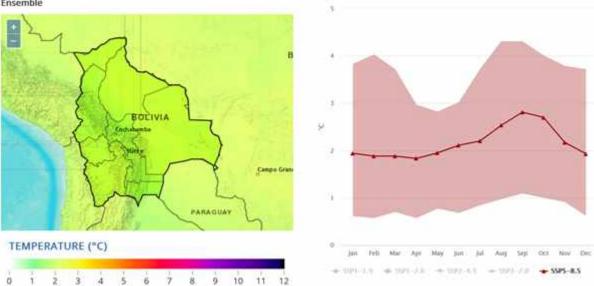
37. The data for climate projection comes from global climate models compiled within the framework of the Coupled Model Intercomparison Projects (CMIP), overseen by the World Climate Research Programme. The data presented here is based on CMIP6, corresponding to the Sixth phase of the CMIPs. The CMIPs form the database used in the Intergovernmental Panel on Climate Change (IPCC) Assessment Reports. CMIP6 supports the IPCC's Sixth Assessment Report. The data is presented at a resolution of 0.25° x 0.25° (25 km x 25 km). The Shared Socioeconomic Pathways (SSP) are representations of potential global socioeconomic changes projected up to the year 2100. The SSPs are closely linked to Representative Concentration Pathways (RCP), the scenarios used in CMIP5, which are solely based on concentrations of greenhouse gases in the atmosphere. These representations are employed to generate greenhouse gas emission scenarios, considering various climate policies. The five scenarios are as follows:

- SSP1¹⁸-1.9 or 2.6 (Sustainability Opting for an Environmental Focus): This scenario emphasizes sustainability, with a strong commitment to environmental conservation. It represents a future where efforts are made to limit global warming to 1.9 or 2.6 degrees Celsius above pre-industrial levels.
- SSP2-4.5 (Middle-of-the-Road): This scenario represents a middle-of-the-road path, balancing socioeconomic development and environmental considerations. It envisions moderate efforts to mitigate climate change, resulting in a radiative forcing level of 4.5 W/m².
- SSP3-7.0 (Regional Rivalry A More Challenging Path): This scenario portrays a future marked by regional rivalries and challenges. It assumes a world where efforts to address climate change are limited, resulting in a radiative forcing level of 7.0 W/m².
- SSP4-3.4 (Inequality A Path Marked by Divisions): This scenario highlights a future characterized by significant socioeconomic inequalities. It represents a world where mitigation efforts are limited, leading to a radiative forcing level of 3.4 W/m².
- SSP5-8.5 (Fossil-Fuel-Driven Development Following the Path of Intensive Industrialization): This scenario envisions a future where development is driven by the intensive use of fossil fuels. It represents a pathway with high greenhouse gas emissions, resulting in a radiative forcing level of 8.5 W/m².
- These SSPs provide a framework for exploring how different societal choices and policy decisions may influence future climate conditions.
- 38. According to these models, it is anticipated that Bolivia will experience a continuation of the climate trends observed over the past decades. In the future scenario, the trend of increasing national average temperatures is expected to persist, with a particular emphasis on increases during winter and early spring. Additionally, a decrease in the number of frost days is anticipated during the fall and winter compared to the reference period of 1995-2014. By the year 2050, it is projected that the average number of frost days will decrease to 65 days in Oruro (according to the SSP5-8.5 scenario), whereas there were 127 frost days in the period from 1995 to 2014 (see Figure 7).

¹⁸ The Shared Socioeconomic Pathways (SSP) scenarios outline different possible global futures based on varying levels of socioeconomic and environmental considerations. Each SSP is associated with a specific radiative forcing pathway, represented by a range of possible future levels of greenhouse gas concentrations.

Projected Mean-Temperature Anomaly for 2040-2059 (Annual)

Bolivia; (Ref. Period: 1995-2014), SSP5-8.5, Multi-Model Ensemble



=

Model Ensemble

Projected Mean-Temperature Anomaly for 2040-2059

Bolivia; (Reference Period: 1995-2014), SSP5-8.5, Multi-

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Figure 7: Trend of future average temperatures (2050) with the SSP5-8.5 scenario in Bolivia. Sources: World Bank CCKP.

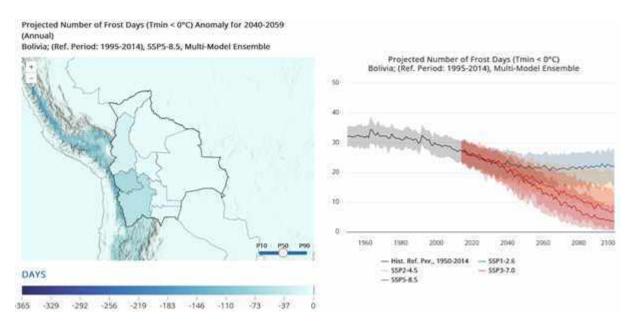


Figure 8: Trend in the annual number of frost days (<0°C, 2050) with the SSP5-8.5 scenario (left) and projections with all scenarios (right) in Bolivia. Sources: World Bank CCKP.

39. In the future scenario, a decrease in precipitation is projected throughout Bolivia, with the exception of southern Santa Cruz, during the late winter and spring. Furthermore, by the year 2050, it is anticipated that the Drought Index (SPEI) will decrease nationwide, indicating a higher likelihood of droughts during that period.

Projected Precipitation Anomaly for 2040-2059 (Annual) Bolivia; (Ref. Period: 1995-2014), SSP5-8.5, Multi-Model Ensemble Projected Precipitation Percent Change Anomaly for 2040-2059 Bolivia; (Reference Period: 1950-2014), SSP2-4.5 & SSP5-8.5, Multi-Model Ensemble

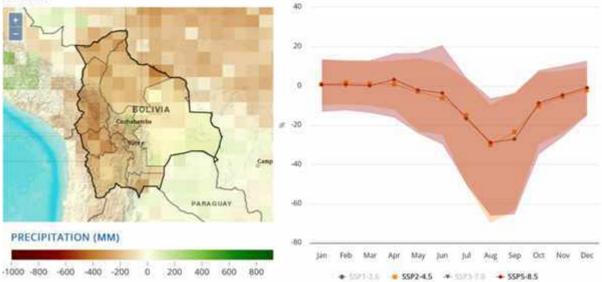


Figure 9: Trend in precipitation (2050) with the SSP5-8.5 scenario (left) and projections with SSP2-4.5 and SSP5-8.5 scenarios (right) in Bolivia. Sources: World Bank CCKP.

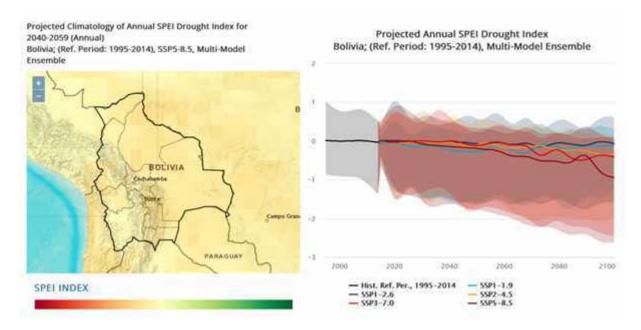


Figure 10: Trend of the Standardized Precipitation Evapotranspiration Index (SPEI, 2050) with the SSP5-8.5 scenario (left) and projections with all scenarios (right) in Bolivia. Sources: World Bank CCKP.

40. In future projections, it is anticipated that the number of storms with daily precipitation exceeding 50 mm will increase annually, especially in mountainous areas, where there is a higher risk of landslides.

Projected Days with Precipitation >50mm Anomaly for 2040-2059 (Annual) Bolivia; (Ref. Period: 1995-2014), SSP5-8.5, Multi-Model Ensemble =

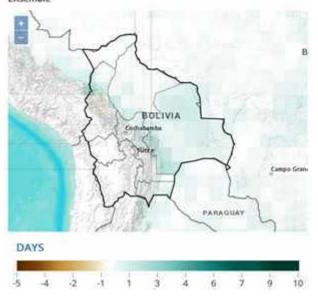


Figure 11: Trend in the annual number of days with precipitation >50mm (2050) with the SSP5-8.5 scenario in Bolivia. Sources: World Bank CCKP.

- 41. In summary, the analysis of historical climate change in Bolivia reveals concerning trends, with a clear increase in annual average temperatures, particularly evident in specific regions such as the central-western part of the country. This warming is not uniform and is more pronounced in the Andean mountain range and the Chaco region.
- 42. Furthermore, annual precipitation is experiencing a general decrease, with a pattern of more concentrated rainfall in shorter periods, particularly affecting the central, eastern, and south-western parts of the country. The frequency of droughts, measured by the Standardized Precipitation Evapotranspiration Index (SPEI), is increasing, with significant impacts on groundwater reserves, especially in provinces like Chuquisaca, Potosí, Cochabamba, Santa Cruz, and Tarija.
- 43. Looking to the future, projections indicate a continuation of these climate trends, with an emphasis on increasing temperatures, a decrease in frost days, and a reduction in precipitation, leading to an increased risk of droughts. These future climate changes are also projected to bring about an increase in the frequency of storms with intense precipitation, especially in mountainous areas, implying a higher risk of landslides.
- 44. In this context, sustainable water resource management and the implementation of adaptation strategies become crucial, especially in areas identified as most vulnerable to the impacts of climate change in the analysis.

H. Climate Change Impacts on Agriculture and Hydrological Resources

45. Between 2009 and 2015, Bolivia experienced a series of extreme climatic events. During this period, droughts were recorded in approximately 67% of the country's municipalities, with a significant impact in Santa Cruz, Tarija, and Cochabamba. Additionally, there were episodes of

frosts and snowfalls associated with the El Niño and La Niña phenomena, which particularly affected Tarija. Floods also became a recurrent hydro meteorological event, causing significant damage to crops and livestock in regions such as La Paz and Santa Cruz. Recently, flooding has impacted the municipalities of Guanay, Mapiri, and Tipuani in the Larecaja Province of the La Paz Department. This occurred in early February 2023 due to heavy rainfall and the overflow of the Tipuani and Mapiri rivers. The government has reported that more than 1,375 families were affected across the La Paz Department. Furthermore, floods have also affected various municipalities in the Santa Cruz Department. According to local media reports, over 30,000 hectares of crops, including soybeans, rice, and sugarcane, have been destroyed.¹⁹ In the years 2013 and 2014, the Chaco experienced the most severe droughts in the last five decades, accompanied by serious floods in the northern part of the country.

46. In 2023, Bolivia is facing a severe drought amid the hottest winter in its history, with temperatures rising up to 40 °C, leaving the population on the brink of acute chronic malnutrition and dehydration. The regions of Potosí and Oruro have been the most affected in 2023, while other departments, such as Chuquisaca and Cochabamba, have declared a state of emergency due to the effects of the drought. More than 71 municipalities—20 percent of the country—have been officially declared in a disaster situation.²⁰

Reduction in Water Availability

- 47. One of the most important challenges facing Bolivia is the recharge of aquifers, particularly as an alternative water source during repeated drought events. The lack of infrastructure and knowledge about water cycles hinders the country's ability to effectively store and manage water resources. This situation is exacerbated by decreased precipitation and rising temperatures, which impact the recharge of underground aquifers. Inadequate aquifer recharge can also lead to overexploitation of water resources, posing an additional risk to the country's water security. (TNC 3)
- 48. In Bolivia, one of the most notable impacts of climate change is the reduction of glaciers. There has been a significant retreat of tropical glaciers, with substantial losses observed between 1980 and 2010. Among the most prominent cases are the Apolobamba (with a 40% loss of its surface), Tres Cruces (27%), and Real (37%) mountain ranges. Similar rates of decrease have been recorded in other mountains in the same region. On average, the area lost between 1980 and 2009 amounts to 37.4%, equivalent to 119 square kilometers (TNC 3). The dramatic reduction of glaciers in the region can be attributed to the combination of two main factors: the spatial and temporal frequency of the 'El Niño' phenomenon since the mid-1970s and global warming. This phenomenon can also impact aquifer recharge.
- 49. This phenomenon, combined with the ongoing rise in temperatures and the erratic rainfall patterns in the region, is exerting additional pressures on traditional agricultural systems. Urgent measures are needed to expand irrigation systems and develop water treatment and reuse infrastructure within the framework of integrated water resource management systems.

"Table 1: Climate Effects and Possible Observed Impacts on the Water Cycle and Agriculture"

¹⁹ https://floodlist.com/america/bolivia-floods-la-paz-santa-cruz-february-2023 20

Observed effects	Possible impacts observed
Increase in atmospheric temperature	 Reduction in water availability in watersheds fed by disappearing glaciers, as observed in cities along the Andes. Changes in the distribution and abundance of flora across ecological zones (monitored by the Gloria Project UMSA-IE).
Increase in water temperature	 Reduction in dissolved oxygen and self- purification capacity. Increased presence of algae and the potential for an increase in eutrophication processes.
Changes in precipitation patterns	 Changes in water availability due to alterations in precipitation and other phenomena. Alteration and/or impact on the agricultural calendar.
Increase in interannual precipitation variability	 Increased difficulty in flood control and reservoir usage during the flood season. Reduction in groundwater replenishment.
Increase in Evapotranspiration	 Reduction in water availability. Salinization of water resources. Low groundwater levels.
Higher frequency and intensity of extreme events	 Floods impact water quality, infrastructure integrity, and increase river erosion, introducing various contaminants to water resources. Droughts affect water availability and quality. Impact on the distribution and abundance of hydrobiological resources.

Reduction in crop and livestock productivity

- 50. Bolivia faces increasing economic and social vulnerability due to its limited capacity in productive and social structures, and its strong dependence on sectors particularly sensitive to climate, such as agriculture. The agricultural sector, a pillar of the Bolivian economy, contributes significantly to the Gross Domestic Product (GDP), representing between 11 and 15% of it. Family farming plays a fundamental role in food production in the country.
- 51. Extreme weather events, such as droughts in highland regions and floods in low-lying areas, have become more frequent and have serious consequences. These phenomena reduce agricultural and livestock productivity, affecting the food industry and exports, while also jeopardizing the lives and livelihoods of indigenous and peasant populations.
- 52. The rise in temperatures and climate variability have led to health issues, including the spread of diseases such as malaria and dengue. The increase in pests could be a consequence of the decrease in frost days in higher-altitude production areas. Rising temperatures lead to increased evapotranspiration and irrigation demand, while reducing water availability. Additionally, it accelerates the decomposition of soil organic matter and affects fertility.

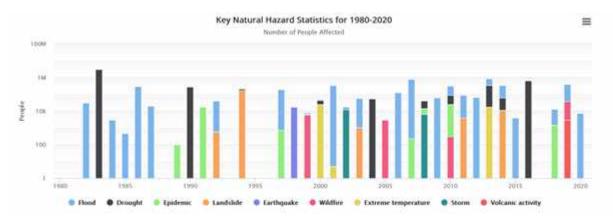


Figure 12: Key statistics of natural hazards 1980-2020 in Bolivia. Sources: World Bank CCKP

- 53. Intense rainfall has led to significant soil erosion on mountain slopes, which is particularly problematic in areas with shallow soils due to historical erosion processes. Floods, droughts, and landslides have resulted in losses of productive land, crops, and their value.
- 54. The risk of climate change in Bolivia is particularly high for vulnerable groups, such as indigenous peoples, people living in extreme poverty, women, children, persons with disabilities, residents of rural areas, and those with limited access to decision-making and resources (CND, 2022). More than 2.7 million children and adolescents, equivalent to 24% of the population, reside in areas at high risk of floods and droughts. Rural poverty affects 54% of the population, of which 98% are indigenous. Given their strong connection to natural resources and agricultural production, these groups are especially vulnerable to climate variations. The decline of subsistence systems and reduced resilience, along with diminished food production due to climate change, place this vulnerable population at a heavier burden of food insecurity and malnutrition, water and energy insecurity, as well as higher mortality rates.
- 55. Climate change also threatens the country's biodiversity. According to the 6th IPCC Report, 85% of Bolivia's natural systems will be negatively affected by climate change. In this context, Bolivia

faces the pressing need to develop climate change adaptation strategies to protect its productive systems, the health of its population and the region's unique biodiversity.

I. Strategy for adaptation to climate change in Bolivia

- 56. The Bolivian government holds that the planet is an entity with inherent rights that must be protected by states. In tune with this ideology, the legislature adopted the Law of the Rights of Mother Earth in 2010 and the Law of Mother Earth and Integral Development for Living Well in 2012. Under the Mother Earth Law, a government authority, the Plurinational Mother Earth Authority, is established to oversee the implementation of climate change mitigation and adaptation principles. This authority operates within the Ministry of Environment and Water, which includes a sub-secretariat of Climate Change.
- 57. Given the inseparable relationship between agricultural activities and the environment, with support in coordination with the Ministry of Planning, as well as the Plurinational Authority of Mother Earth, the MDRyT has been incorporating climate change mitigation and adaptation into its work and in particular the projects with IFAD: ProCamelidos and Frontera. These projects are an example of the MDRyT 's strategy to address climate change transversally, as well as the successful collaborative work between the MDRyT and IFAD.
- 58. Bolivia is a party to the United Nations Framework Convention on Climate Change (UNFCCC), a party to the Kyoto Protocol (since 1999) as well as the Paris agreement (since 2016) and is eligible to access the Adaptation Fund. The 'Designated Authorities' of the AF are government officials who act as points of contact. On behalf of their national governments, Designated Authorities endorse: a) applications for accreditation of National or Regional Implementing Entities before they are sent to the fund secretariat for evaluation, and/or b) proposals from National, Regional or Multilateral Implementing Entities for adaptation projects and programs in the country of the Designated Authority. In Bolivia, the Ministry of Development Planning is the 'Designated Authority' to the Adaptation Fund.
- 59. Bolivia ratified the Paris Agreement on October 5, 2016 and the associated Nationally Determined Contribution (NDC) in 2017, which was updated in 2022. The updated NDC includes a commitment to greater transparency in monitoring proposed adaptation, mitigation and implementation targets. Bolivia commits to submit Biennial Update Reports and National Adaptation Communications prior to the global review scheduled for 2023.
- 60. Bolivia's NDC focuses on strengthening efforts for better adaptation to climate management with additional mitigation benefits. It seeks an integral and holistic vision in the management of the climate crisis that includes actions in mitigation, adaptation and integral development for Living Well.
- 61. The updated NDC mentions that, although the impacts of climate change are already being felt in the country, there is still uncertainty about how they will develop in the future. Robust adaptation decision-making is fundamental for social and economic development in harmony with Mother Earth, reducing water-related climate vulnerabilities and building capacity.
- 62. In this sense, the State has adopted Integrated Water Resources Management (IWRM) in a multisectoral and multilevel manner as official policy, recognizing watersheds as life systems and water management units. The National Watershed Plan works on governance, social

management and environmental protection in watersheds, guiding investments with climate rationality.

- 63. In line with these objectives, the targets established in the NDCs focus on harnessing the productive potential of water and mitigating climate risks. Specifically, Target (22) of 12 million hectares with Integrated Watershed Management (IRM) by 2030 and Target (28) of recovering and increasing at least 725,000 additional hectares of degraded soils for food production by 2030 have been achieved. The objective is to secure water for livelihood systems and thus for human consumption and production in the upper, middle and lower watersheds.
- 64. The Third National Communication (NC3) of the Plurinational State of Bolivia to the United Nations Framework Convention on Climate Change (2020) defines the country's communitybased adaptation strategy, based on local and ancestral knowledge and resilience to climate change. In coherence with national mandates and policies, Bolivia seeks the valorisation and recovery of ancestral knowledge as important components of climate change adaptation actions. Thus, both governmental and non-governmental institutions incorporate this element in the development of their projects.

J. Climate change adaptation approach of the project

- 65. As explained in previous sections, Bolivia has experienced an increase in droughts and torrential rains, which has specifically affected the agricultural sector. In other words, it is raining less but in shorter seasons, while temperatures are increasing. This is especially true in the Valles, Altiplano and Chiquitanía regions (south of the tropical plains), where surface water sources are not sufficient to meet the productive demands of crops and animal watering.
- 66. Faced with this reality, the Ministry of Rural Development and Lands (MDR&T), through the "Nuestro Pozo" program, has implemented the construction of wells as a measure to adapt to the growing shortage of surface water during times of drought. Despite efforts to meet the demand for wells, there is an increase in requests that cannot be fully met, ranging from 4% to 17% satisfaction, depending on the year. This measure, which has emerged as an organic response to water scarcity, has generated concern as some aquifers are drying up or becoming contaminated.

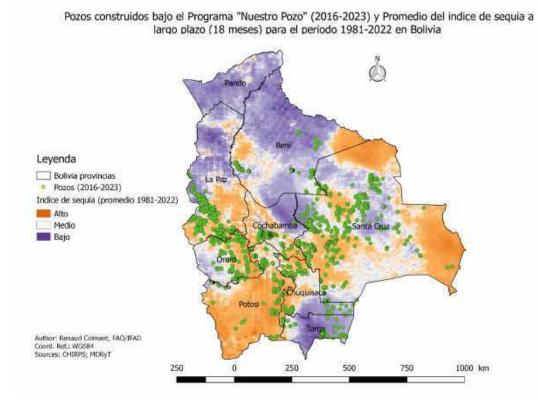


Figure 13: Wells constructed under the "Nuestro Pozo" Program (2016-2023) and Longterm average drought index (18 months) for the period 1981-2022 in Bolivia. Sources: CHIRPS&MDRyT

Year	# of requests	Aproved	Existing	Unmet Demand
2019	1157	198	959	83%
2020	764	104	660	86%
2021	3057	127	2930	96%
2022	1600	236	1364	85%
JUNE 2023	1012	98	914	90%

- 67. Unfortunately, it has been observed in the requests and contacts through the Wells project that some aquifers are drying up or becoming contaminated, which is why the MDRyT requested support for this project. This need is increased by the fact that there are only a few scarce data monitoring points, and this coupled with a lack of studies on aquifers and their recharge in the country, which are necessary to address this phenomenon.
- 68. The lack of monitoring in the constructed wells aggravates the situation, since there is a lack of information on the state of the aquifers and their recharge in the country. This limitation is reflected in the decrease of water and water quality in the wells, according to reports from the beneficiary

communities, which is why the MDRyT requested support for this project. Given the urgency of addressing this problem, a comprehensive action is required.

- 69. The proposed project focuses on climate change adaptation, addressing from: (1) water infiltration zones for aquifers at risk, with infiltration improvement technologies both at the plot level and at the small community infrastructure level, generating productive resilience benefits for agricultural communities; to (2) areas affected by droughts and decreasing groundwater supply, with implementation of infiltration improvement technologies and water harvesting, as well as efficient strategies in irrigation systems.
- 70. Given the limited information available on groundwater resources in Bolivia, the project will establish a network of piezometers and climate stations in existing wells and other key locations. In addition, studies will be carried out to understand aquifer dynamics and map recharge zones. The MDR&T's "Nuestro Pozo" program will be provided with guidelines for the study and planning of well drilling, considering recharge variables and efficient water management practices to ensure their sustainability.
- 71. This comprehensive approach, which includes climate change resilience technologies and direct collaboration with communities, seeks to address not only immediate drought, but also the sustainable management of groundwater resources. The active participation of communities, with a special focus on gender equity, will be essential to achieve sustainable and adaptive water management outcomes.²¹

K. Project area and target groups

- 70. **Project area and geographic focus.** The project will be carried out in Bolivia. At this concept stage, the project is planned to be located in specific municipalities in three main regions: Valles, Chiquitanía and Altiplano. The geographic targeting will be revised during the proposal design process, in agreement with the MDRyT , to reflect criteria of groundwater scarcity and agricultural vulnerability to climate change. During the concept mission, the decision was made to prioritize these three regions in accordance with MDRyT and MPD recommendations, as they are the macro zones most likely to be affected by drought and water scarcity.
- 71. **Target groups and targeting strategy.** The concept note uses a combination of targeting strategies, including geographic strategies (above), self-selection, and prioritization of beneficiaries according to the various consolidating entities and programs (i.e. IFAD and "Nuestro Pozo" program) to effectively address the diverse needs of rural communities.
- 72. IFAD focuses primarily on rural people and low-income smallholder farmers as its target groups. During the project design stage, it will be crucial to actively involve local communities and stakeholders, and to base the targeting strategy on project-specific studies and consultations. According to the socio-economic assessment and the SECAP Review Note, the project should focus on the following groups:

²¹An IFAD study found that with respect to mainstreaming gender and climate change adaptation in different types of projects. EA (Gender and Environment Approach) based projects consistently outperformed others, with the highest percentage of integration of climate change resilience activities, followed closely by partially EA projects, while non-EA projects lagged significantly. An impressive 96% of AE-based projects successfully incorporated climate change considerations, while non-EA projects barely reached 18%. Partially EC projects also showed remarkable progress, with 60-83% of projects integrating climate change adaptation into their activities.

(i) Smallholder farmers: with limited access to water, especially those near or below the poverty line, facing economic challenges in climate-affected areas.

(ii) Groups with additional vulnerabilities, such as ethnic minorities and households and individuals with disabilities, supporting equitable access to resources, skills development and employment opportunities.

(iii) Rural youth: addressing the challenges of unemployment, migration and exclusion.

(iv) Rural women and female-headed households as one of the most vulnerable groups of farmers. Targeting women farmers and unemployed/self-employed women, especially those without land and natural resource rights.

In addition, the communities where the project will be implemented correspond to those where the "Nuestro Pozo" program has already worked, or where applications for the program have been made and approved.

73. In this way, it is important to mention the following criteria, which are aligned with the program criteria, as additional focus criteria for this project:

(i) Agricultural projects whose objective is oriented to water systems for irrigation or livestock consumption, whose collection will be through the drilling of water wells with a groundwater source.

(ii) Areas that frequently suffer the effects and threat of drought or communities that do not have any type of water supply system for irrigation or livestock consumption, which contribute to food security, prevailing the magnitude of drought risk

(iii) Number of beneficiaries (at least 20 families per well).

(iv) relevant information, such as: geology, hydrology, hydrogeology, topography, vegetation, climate and reports or data on the hydraulic characteristics of wells already drilled in the region or zone where the execution of the water supply system is requested, which will be complemented with specific geophysical or endoscopic studies as appropriate to verify the feasibility of drilling or implementing the well.

(v) Geographic location

However, these criteria may be revised under the results of aquifer studies and sustainable aquifer management guidelines to be produced by the project.

Preliminary gender assessment.

74. Women comprising 40.8% of the population, Bolivia ranks 99nd in the UNDP's Gender Inequality Index²² Disparities persist between males and females in the Human Development Index scores. For example, while the population of males with at least some secondary education has reached 70%, for women, it remains at 60%. The adolescent birth rate is 68% per 1,000 women aged 15-

²² UNDP (2022). Human Development Report 2021/2022.

19 as of 2022, maternal mortality ratio (Per 100,000 live births) is 155²³. Moreover, women hold only 18.0% of positions in executive/ministerial roles (cabinets). Women are disproportionally outside the labour market, representing 60 per cent of the economically inactive population. Young women are employed in fragile jobs, with low wages and a greater burden of care.²⁴

- 75. In Bolivia's, gender inequality is evident in various ways, especially in rural areas: women face job segregation, working in low-productivity sectors with precarious conditions, leading to job insecurity and a higher involvement in informal work (67% more than men). Despite increased female labor participation, income disparities persist, with women earning lower incomes and facing fewer opportunities compared to men, particularly in lower-skilled occupation. Many women lack economic autonomy, with a significant percentage having no income source (34% women, 6.8% men), leading to dependency within families. Unpaid care work, predominantly done by women, contributes to economic inequality by burdening them with unequal workloads and impacting their education and well-being. Overall, Bolivia's system perpetuates gender inequality through unequal job opportunities, income gaps, economic dependency, and undervaluation of care work primarily performed by women.²⁵ 35.4% of women in Bolivia assume the headship of their households, which means 3 out of every 10 women. Meanwhile, 64.5% of men take on this responsibility (INE).
- 76. Despite Bolivia being a signatory to numerous international human rights treaties, including those addressing discrimination against women and violence prevention, women's rights and their economic agency remain insufficiently recognized in national law. Gender-based violence, particularly feminicide, has positioned Bolivia as one of the most violent countries against women in South America. According to data published by the Public Prosecutor's Office in 2022, the number of women experiencing violence has increased significantly. More than 90 feminicides were recorded in 2022. Gender-based violence increased by 30% compared to 2021.²⁶ Indigenous and rural women encounter barriers in participating fully in decision-making processes and continue to face high levels of violence persistently.
- 77. Promoting gender equality in Bolivia requires a comprehensive approach that addresses various aspects of societal, economic, and cultural structures.. Special emphasis ought to be given to support women's economic empowerment, including access to credit, vocational training, entrepreneurship support, and initiatives to close the gender wage gap. Encouraging the participation of women in traditionally male-dominated sectors can also help. Conduct awareness campaigns to challenge harmful gender stereotypes, traditional gender roles, and discriminatory attitudes. Engage men and boys as allies in promoting gender equality and preventing violence against women. To address these challenges, the proposed project will incorporate targeted activities and conduct a comprehensive gender analysis during its full design phase.²⁷ As part of the preliminary gender assessment, the project aims to promote gender equality and women's empowerment through its targeting strategy. This includes ensuring alignment with the gender inclusion policies of both the Adaptation Fund and IFAD during the development of the comprehensive project proposal. In line with these policies, the project will consider the percentage of women engaged in the agricultural sector in Bolivia to promote gender equality. The project sets a target of having a minimum of 40% percent of the beneficiaries be women, adhering to the principles outlined in project targeting.
- 78. Addressing gender-related issues in Bolivia involves implementing various strategies and actions

²³ UNDP (2022). Human Development Report 2021/2022.

²⁴ https://www.undp.org/sites/g/files/zskgke326/files/2023-01/Bolivia%20CPD%202023-2027%20ENG.pdf

²⁵ https://lac.unwomen.org/sites/default/files/2022-07/Inclusio%CC%81n_financiera_%20ONU%20Mujeres%20Bolivia.pdf

²⁶ https://promujer.org/portal/2023/08/25/pro-mujer-bolivia-opens-new-office-to-manage-gender-based-violence-cases/

²⁷ At full design, an Annex on Gender Assessment, Strategy and Action Plan will be developed.

aimed at promoting equality, empowering women, and eliminating discrimination. The project will conduct a Gender analysis examining the roles, responsibilities, needs, challenges, barriers and opportunities of women within the country's social, economic, political, and cultural contexts. Active participation of women will be ensured in the planning process through methods such as focus group discussions. Gender aspects will be integrated throughout the project's lifecycle, not just in the initial stages, creating mechanisms for continuous feedback, monitoring, and evaluation to ensure that women's perspectives are integrated and valued at every stage of the planning and decision-making process. The project will also promote the integration of gender perspectives, and ensure that climate change plans and policies are more inclusive, responsive, and impactful for all communities in Bolivia. It can also serve as powerful platforms for fostering empowerment, exploring themes related to leadership development, self-empowerment, and mentorship opportunities for women to inspire confidence and ambition. Through these measures, the project aims to prioritise gender inclusion and create a more equitable and inclusive environment for women in the agricultural sector of Bolivia.

Project Objectives:

- 79. **Goal.** The overall goal of the project is to support vulnerable communities particularly affected by climate change-related drought in adopting climate-resilient measures and benefit from improved water recharge.
- 80. **Objective.** The specific objective of the project is to enhance the climate resilience and adaptive capacity of agricultural communities in Bolivia. The Project will achieve the objective through a people-centred approach assessing the requirements of farmers and the vulnerable population, identifying their specific needs, and implementing tailored solutions to effectively adapt to the impacts of climate change. The project aims to support smallholder farmers in improving water recharge systems and resilient productive practices.

Project/Program Components	Expected Outcomes	Expected Concrete Outputs	Amount (US\$)
Component 1: Establishment of integrated water Recharge Infrastructure and Climate-Resilient Practices (Water Recharge Zones)	Outcome 1.1. Communities are enabled to improve agricultural productivity and enhance resilience to climate change impacts	Output 1.1.1. Resilient agricultural practices enhanced at the household level	600,000
		Output 1.1.2. Promoting participation in the development of agricultural resilience practices at the household level	180,000
	Sub component subtotal		780,000

Project Components and Financing (table 3):

	Outcome 1.2. Climate vulnerabilities and exposure to risks in agriculture sector are reduced through the identification of recharge zones implementing infiltration improvement practices	Output 1.2.1 Promoting studies of aquifers, surface, subsurface, and groundwater flows to identify recharge areas and the small infrastructure technologies or agricultural practices	1,500,000
		Output 1.2.2 Community-led enhanced water infiltration towards aquifers at the catchment level	150,000
		Output 1.2.3. Construct s mall- scale water recharge infrastructure project	1,500,000
	Sub component subtotal		3,150,000
Component subtotal			3,930,000
Component 2: Introduction of innovative climate and water smart technologies and practices (Zones for productive use of groundwater)	Outcome 2.1. Households supported with increased water efficiency, harvesting techniques and management technologies	Output 2.1.1. Improved water efficient and irrigation management technologies	300,000
		Output 2.1.2. Strengthened water harvesting technologies	1,500,000
	Sub component subtotal		1,800,000
	Outcome 2.2. Strengthened ownership and awareness of social practices and technologies for Climate Change Resilience at local level	Output 2.2.1. Increased participation in the social construction of water harvesting/collection technologies to reduce climate risk	360,000
	Sub component subtotal		360,000
Component subtotal			2,160,000

Component 3: Institutional Strengthening and Generation of Practical Knowledge in Sustainable Water Resource Management and Climate Change.	Outcome 3.1. Reduction in community vulnerability through the implementation of participatory systems for monitoring climate change variables	Output 3.1.1. Enhanced c limate monitoring support	1,050,000
		Output 3.1.2. Strengthening technical assistance on climate monitoring	150,000
	Sub component subtotal		1,200,000
	Outcome 3.2. Knowledge on Sustainable Water Recharge Practices disseminated and promoted among institutions	Output 3.2.1. Knowledge building for municipalities and professionals monitoring wells and climatic variables through a specific institutional structure	250,000
		Output 3.2.2 Institutional c apacity improved through the development of a manual and hiring of specialists	802,978
	Sub component subtotal		1,052,978
Component subtotal			2,252,978
Project operational total			8,342,978
4. Project/Program Execution cost			873,612
5. Total Project/Program Cost			9,216,590
6. Project/Program Cycle Management Fee charged by the Implementing Entity (8.5%)			783,410
Amount of Financing Requested			10,000,000

Projected Calendar:

Table 4: Project milestones

Milestones	Expected Dates
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Start of Project/Programme Implementation	2025 Q2
Mid-term Review (if planned)	2028 Q3
Project/Programme Closing	2030 Q2
Terminal Evaluation	2030 Q4

PART II: PROJECT/PROGRAMME JUSTIFICATION

A. Project components

- 81. The project is structured in three operational components, composed of two technical elements and a component dedicated to institutional and community strengthening, as well as knowledge management. The synergy between these components arises from the combination of two fundamental approaches that will guide project implementation: first, the promotion of resilient agricultural practices in water recharge areas, and second, the promotion of water management and irrigation technologies, in areas prone to groundwater scarcity, with the aim of improving the adaptive capacity of communities to the impacts of climate change and reducing their vulnerability. In addition, the project recognizes the vital importance of institutional strengthening and community participation, and knowledge building with a special focus on the inclusion of women, in order to ensure the sustainability and scalability of the project.
- 82. The project will promote adaptation to climate change and reduce the risk of water depletion in groundwater wells, with a particular focus on generating practical knowledge in sustainable water resources management and climate change. Interventions will promote the inclusion of the most vulnerable populations (women, youth and indigenous communities) and address new and innovative technologies and practices that take into account indigenous knowledge to improve existing techniques by making them more efficient or environmentally sustainable. All beneficiaries will be supported by Technical Assistance teams throughout project implementation, including water management practices and technologies (Component 2) and the construction and improved management of water infrastructure and technologies (Component 1).
- 83. The first component will be focused on improving aquifer recharge through technologies to increase water infiltration on farms and small community infrastructure. The second objective of the component is to strengthen resilience to the impacts of climate change of communities in recharge zones to improve agricultural productivity. The second component focuses on the efficient use and improvement in water management in areas that depend on water from aquifers, also promoting, if appropriate, community water harvesting technologies. The objective of the second component is to promote innovations and introduction of new technologies and smart practices in the face of climate change in areas for the productive use of groundwater through awareness, capacity development and investments. The third component proposes to provide the monitoring and management of the information necessary to be able to develop better investments for the first components. The objective of the third component is to increase Institutional knowledge and generate practical knowledge in the Sustainable Management of

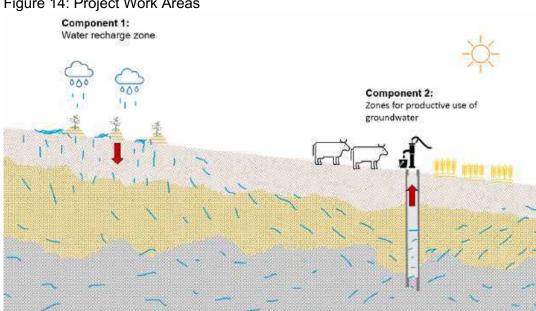


Figure 14: Project Work Areas

84. The components, their outputs and outputs, and their activities are summarized below. This combination of activities makes it possible to address the problem of drought risk working throughout the water cycle. It is based on quality information, which makes it possible to understand, work and measure the causes and effects of the project's activities, as well as to fill an information gap on groundwater processes in the country. The project has a participatory adaptation approach to existing technologies, where social work is the basis of water management agreements and adaptation to the context of each municipality and community. The project will take into consideration and value existing social conditions by working in a participatory manner from the outset, ensuring that communities are part of the consultative decision-making process and that they are empowered to reduce their vulnerabilities to climate change.

Component 1: Establishment of integrated water Recharge Infrastructure and Climate-**Resilient Practices (Water Recharge Zones)** (USD 3.555 billion)

85. Water recharge is a natural phenomenon in which water from rain enters the subsoil (aquifers) and surface waters, whether in static or moving bodies of water. The place where this process occurs periodically with greater intensity compared to other areas is called an area, zone or site of water recharge. These areas may be close to, coincide with, or be at significant distances from areas where groundwater is used. Component 1 of the project focuses on critical areas of groundwater recharge, strategically addressing the challenges of climate change at two fundamental levels: at the farm level and at the community level. At the farm level, measures will be implemented to optimize water management, both rain and surface, with the aim of preventing landslides, combating erosion and strengthening the climate resilience of producers in these areas. These actions will not only protect croplands, but also improve infiltration into aquifers, thus contributing to the effective recharge of groundwater reserves.

At the community level, small infrastructure projects and potentially ecosystem-based adaptation projects will be carried out to improve soil retention and water collection and infiltration, focused on enhancing the capacity of communities to sustainably manage their water resources.

Outcome 1.1. Communities in recharge zones are empowered to enhance agricultural productivity and strengthen resilience to the impacts of climate change.

Output 1.1.1. Resilient agricultural practices enhanced at the household level.

Output 1.1.2. Promoting participation in the development of agricultural resilience practices at the household level

The project will work at the farm and farmer level in aquifer recharge zones, proposing a menu of water management technologies to avoid landslides and erosion, from which resilience practices will be built together with producers. There is a wide menu of technologies designed with these objectives and used worldwide. During the final design mission, the analysis and preselection of these technologies will be carried out in collaboration with the potential beneficiaries of the project. The project has a participatory approach, where the producers themselves must be leaders and pilots of these technologies.

Outcome 1.2. Climate vulnerabilities and exposure to risks in the agricultural sector are reduced through the identification of recharge zones and the implementation of infiltration improvement practices.

Output 1.2.1 Promoting studies of aquifers, surface, subsurface, and groundwater flows to identify recharge areas and the small infrastructure technologies or agricultural practices

Output 1.2.2 Community-led enhanced water infiltration towards aquifers at the catchment level

Output 1.2.3. Construct small-scale water recharge infrastructure project

At the community level, the project will carry out studies²⁸ to identify water recharge zones for each of the three zones prioritized by the project. These solo studies must provide information on the functioning of water bodies and their priority recharge zones, which must identify a menu of small infrastructure technologies suitable for these areas (e.g. infiltration pools) and suitable places for them. The studies must include an analysis of the quantity and quality of groundwater, in addition to evaluating the current water demand and usage practices and encompassing conservation actions, demand management, protection of recharge zones.

Community water management infrastructure for the improvement of infiltration includes a menu of technologies to be proposed, adjusted and appropriated in agreement with the communities. These small and medium-scale works may include, but are not limited to: infiltration surfaces, water banks, and do not exclude ecosystem-based adaptation technologies such as wetland restoration and natural areas. The basic concept of these technologies is to balance the wet and dry seasons, capturing and storing rainwater during the wet periods and recharging the aquifers and thus making it available for the dry periods. Collected examples of potential recharging technologies to include in the menu are shown in the following graph adapted from Dillon (2005) (figure 15). Many of these technologies are used and common in other parts of the world and

²⁸ See examples of larger studies in Bolivia and other countries:

Rodriguez-Levy, I. E., Centellas-Levy, M. A., Ferreira, W. J., Mustafa, S. M. T., Rivera-Rodriguez, L., Gonzales Amaya, A., & Huysmans, M. (2023). Development and Application of a Methodology for the Identification of Potential Groundwater Recharge Zones: A Case Study in the Virvini Micro-Basin, Tiraque, Bolivia. *Water*, *15*(7), 1268.

Martínez, E. B., Mora, M. F. G., & Paredes-Tavares, J. (2021). Determinación de sitios potenciales de recarga artificial de agua subterránea en cinco acuíferos de la Zona Metropolitana del Valle de México. *Cuadernos Geográficos*, *60*(3), 73-94. Singh, S. K., Zeddies, M., Shankar, U., & Griffiths, G. A. (2019). Potential groundwater recharge zones within New Zealand. *Geoscience Frontiers*, *10*(3), 1065-1072.

even in Latin America, but their use is not currently customary in the country, which is only now beginning to be consider them as necessary elements of adaptation to climate change.²⁹

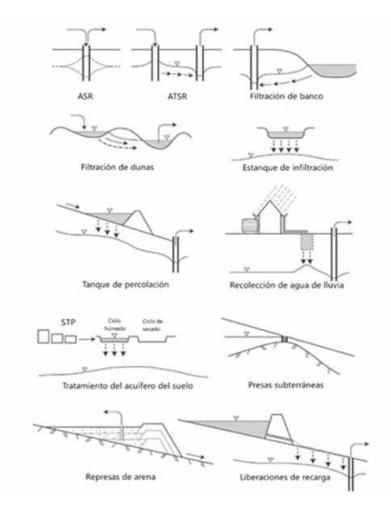


Figure 15: Types of recharge at a global level. Source: Adaptado de Dillon (2005)³⁰

The communities will be strengthened as owners of this new infrastructure supported with social work to clarify its benefits, both for them and for the users of the groundwater resource, strengthening the supervision and control of the extraction or exploitation of water.

Component 2: Introduction of innovative climate and water smart technologies and practices (Zones for productive use of groundwater) (USD 2.160 billion)

86. Component 2 focuses on areas where water, rain, and groundwater shortages are occurring, at increasingly longer times each year. The project will work at the community level, building and adapting rainwater harvesting technologies and improvements in water management in a participatory manner according to the identified need. This will ensure the sustainability of the project, improving the appropriation of new technologies by the communities. *Outcome 2.1.a. Homes supported with increased water use efficiency, collection techniques, and water management technologies.*

²⁹ https://www.agrecolandes.org/publicaciones/

³⁰ Dillon, P. (2005). Future management of aquifer recharge. *Hydrogeology journal*, *13*(1), 313-316.

Outcome 2.1.b. Rainwater harvesting systems, water management technologies, and irrigation infrastructure increased.

Output 2.1.1. Improved water efficient and irrigation management technologies Output 2.1.2. Strengthened water harvesting technologies

The efforts aimed at enhancing aquifer recharge yield results over the medium to long term. Recognizing the immediate needs of communities grappling with water scarcity, the project will extend its initiatives in these critical areas. This extension involves the implementation of technologies promoting efficient irrigation and rainwater collection. Numerous successful experiences with diverse water collection technologies exist, and these will be customized to suit the Bolivian context, ensuring active involvement and collaboration with each community.

Outcome 2.2. Strengthening local ownership and awareness of social practices and technologies for Climate Change Resilience.

Output 2.2.1. Output 2.2.1. Increased participation in the social construction of water harvesting/collection technologies to reduce climate risk.

For the success of the activities and support at the community level of this component, which are more efficient irrigation technologies or water collection, it is essential that all users or beneficiaries of the technology take ownership and collaborate so that its operation is successful and sustainable. The project, as in the previous component, proposes involving the community from the construction and adaptation of technologies, based on a menu, strengthening the community bond around a common scarce resource.

Component 3: Establishment of integrated water Recharge Infrastructure and Climate-Resilient Practices (Water Recharge Zones) (USD 2.626 billion)

Component 3 is transversal support. Given the little information and studies that exist in the country on the topic of underground aquifers, it is proposed to work at 2 levels: 1) improving the network of piezometers in the priority areas and strengthening the communities as owners of the information, 2) strengthening the municipalities, universities and the "Pozos" program with knowledge and information on the management of underground aquifers.

Outcome 3.1. Climate Change Variable Monitoring Systems reduce risks associated with climate-induced socio-economic and environmental losses.

Output 3.1.1. Enhanced climate monitoring support

Output 3.1.2. Strengthening technical assistance on climate monitoring

Given the great deficiency in groundwater monitoring in the country, it is proposed to generate a network of piezometers and associated climate monitoring units in the areas prioritized by the project. This will allow us to better understand the functioning of the aquifers and strengthen communities to take action against climate change with pertinent information, while generating data to monitor the progress of the project. This project will use existing wells as the first option for placing the piezometers, saving costs. The piezometers will be coupled with low-cost climatological monitoring units and, again, adapted to the specific situation of each geographical location.

As in the other components of this project, the monitoring will be carried out by the community, ensuring its sustainability, appropriation and benefits for the community, who will be the main users of the information. The network will also be able to be connected and will contribute to central meteorological service platforms. Farmers will be trained to access this information in real

time, through digital platform tools.

Outcome 3.2. Dissemination and promotion of knowledge on sustainable water recharge practices among institutions.

Output 3.2.1. Knowledge building for municipalities and professionals monitoring wells and climatic variables through a specific institutional structure

Output 3.2.2 Institutional capacity improved through the development of a manual and hiring of specialists

The information generated by the communities through the new piezometer network will be incorporated at the municipal level, in such a way that the municipality is also aware of this information in real time and can enrich its decision-making regarding groundwater.

To strengthen the construction of new technologies for the study and management of groundwater, the project proposes to generate two curricula (or complement existing curricula), one at the university level and one at the technical level, with a focus on this topic. This will generate and improve the job offer with knowledge on this topic and will provide technicians and students, to be able to replicate the current project in other areas.

To enhance the effectiveness of the "Nuestro Pozo" program, which oversees project execution, it is proposed to hire two specialists proficient in operating new groundwater mapping and recharge technologies. Additionally, collecting information on the procedures developed in project areas to create a manual of best practices for managing aquifers in drought-prone regions is recommended. This manual will prove beneficial for evaluating both applications and the drilling of new wells.

In summary, the first component will focus on improving aquifer recharge through technologies that optimize water infiltration on farms and small community infrastructure. Its main objective is to strengthen resilience and train communities in recharge zones, thus improving agricultural productivity in the face of the impacts of climate change. The second component will focus on the efficient use and improvement of water management in aquifer-dependent areas, promoting community-based water harvesting technologies where relevant. It seeks to promote innovations and the introduction of new technologies and smart practices in the face of climate change in areas that use groundwater for productive purposes, through awareness, capacity development and investments. The purpose of the third component is to provide the monitoring and management of the information necessary to develop the investments of the first two components. Its objective is to increase institutional knowledge and generate practical knowledge in the Sustainable Management of Water Resources and Climate Change, thus ensuring the scalability of the project.

This combination of activities addresses the problem of drought risk throughout the water cycle. The project is supported by quality information that allows us to understand, work with and measure the causes and effects of the project activities, thus filling an information gap on groundwater processes in the country. It adopts a participatory approach to adapting existing technologies, where social work forms the basis of water management agreements and adaptation to the specific context of each municipality and community. From its inception, the project will consider and value existing social conditions, working in a participatory manner to ensure that communities are an integral part of the consultative decision-making process and are empowered to reduce their vulnerabilities to climate change in the future.

B. Economic, Social and Environmental Benefits

- 86. The project aims to deliver economic, social, and environmental advantages, emphasizing communities particularly prone to drought and the vulnerable subsets within those communities, with a specific focus on gender-related considerations. As the designated Implementing Entity, IFAD is dedicated to enhancing social, environmental, and climate resilience through its initiative.
- 87. The productivity of the farmers, and therefore there livelihoods will be improved by the technologies promoted in component 1 and 2. These technologies will bring numerous benefits to agriculture, contributing to enhanced productivity and sustainability. Efficient management of groundwater resources ensures a reliable and consistent water supply for crops, reducing vulnerability to fluctuations in surface water availability and climatic conditions. Farmers can optimize irrigation schedules, tailoring water delivery to specific crop needs, thereby improving water-use efficiency and minimizing wastage. Groundwater management also supports crop diversification, allowing farmers to cultivate a variety of crops throughout the year. Additionally, sustainable groundwater practices contribute to the prevention of land degradation and salinization, maintaining soil health for long-term agricultural viability. By fostering responsible extraction and replenishment strategies, groundwater management not only safeguards water quality but also promotes resilience in the face of changing environmental conditions.
- 88. Moreover, when aplicable, nature-based solutions improve resilience against climate extremes, helping mitigate the impacts of droughts and floods. Additionally, nature-based solutions promote water conservation, groundwater recharge, reduce soil erosion, and enhance nutrient cycling, leading to more sustainable and efficient agricultural systems. The integration of green infrastructure and ecological practices not only improves environmental outcomes but also supports the overall well-being of farming communities by offering diversified income sources and In the economic domain, this initiative strengthens fostering a healthier living environment. local communities and small to medium-scale farmers by promoting advanced water management, enhancing infiltration, and building resilience in their livelihoods. The project aims to empower farmers through the implementation of integrated groundwater management solutions, equipping them with the knowledge and resources to adapt their production systems. This adaptation includes enhancing infiltration in recharge areas and implementing efficient management practices in water-scarce regions. These combined efforts contribute significantly to improving the quality and quantity of agricultural output, with a primary focus on mitigating risks associated with production.
- 89. The project places significant importance on fostering community engagement, actively involving vulnerable groups such as women and youth. Ensuring their participation and access to project activities is a key commitment, along with facilitating their involvement in decision-making processes. By incorporating their perspectives and addressing their specific needs, the project actively promotes social equity, empowers marginalized communities, and enhances the well-being of individuals and families.
- 90. The participatory adaptation the project investments brings about notable social benefits by fostering community engagement and empowerment. Involving local communities in the decision-making processes regarding water management as well as resilient productive practices ensures that their perspectives, needs, and traditional knowledge are considered. This participatory approach enhances social cohesion, as community members collaborate towards common goals related to water resource utilization. It promotes a sense of ownership and responsibility, instilling a shared commitment to the sustainable use and conservation of water. Moreover, involving communities in the planning and implementation of water management practices creates opportunities for skill development and knowledge transfer, empowering individuals with the tools

needed to address water-related challenges. This collaborative model not only enhances the effectiveness the project's initiatives but also strengthens the social fabric by fostering a sense of collective responsibility and mutual support within the community.

- 91. Furthermore, the initiative facilitates knowledge sharing and capacity development, leveraging the expertise of universities and master's students to assess innovative adaptive practices on farms. This approach not only enhances the project's impact but also contributes to the widespread dissemination of agroecological and climate change knowledge throughout the country.
- 92. The project's **environmental benefits** are significant. It promotes the sustainable use of natural resources, preservation of biodiversity, increase of carbon sinks and effective water management practices. Through measures such as the restoration of storm basins, implementation of green infrastructure projects like afforestation and windbreaks, and establishment of rainwater harvesting systems, the project mitigates the negative impacts of climate change on the environment.
- 93. Beyond the positive outcomes in agricultural productivity and risk mitigation, the project yields notable environmental benefits. It actively advocates for the sustainable utilization of natural resources, with a specific focus on water and soil, thereby enhancing biodiversity conservation. Through the execution of infrastructure projects, enhancement of small and medium-scale infiltration, and the establishment of rainwater collection systems, the initiative serves to alleviate the adverse effects of climate change not only on agricultural endeavors but also on the broader environment. In formulating the proposal, the project will adhere to a systematic approach, sustaining the consultative process initiated at the conceptual stage. Throughout this process, identification of entry points and potential barriers to participation will be meticulously undertaken, with a deliberate focus on actively engaging the most vulnerable groups, notably women and youth. The primary objective is to guarantee their comprehensive involvement, both in the design phase and across all project activities. This approach aligns closely with IFAD's overarching mission to support the most vulnerable populations, champion inclusivity, and integrate considerations of gender equity.
- 94. The project's consultative process involved engaging with a wide range of stakeholders and the full design mission will adopt systematic approach to continue the consultative process, defining entry points and inclusion barriers for actively involving the most vulnerable groups, including women and youth, to ensure their participation in the design process and in all the activities of the project. This approach aligns with IFAD's mandate to support the most vulnerable people and promotes inclusivity and gender considerations.
- 95. By implementing these comprehensive measures, the project propels sustainable and inclusive development, aligning seamlessly with IFAD's dedication to fostering social, environmental, and climate resilience. This commitment remains fully in accordance with the Environmental and Social Policy and the Gender Policy of the Adaptation Fund, underscoring the project's adherence to robust environmental and social standards.

C. Cost-effectiveness

96. The proposed project's cost-effectiveness, is attributable to the integrated nature of its components and the strategic use of economically viable small-scale infrastructure and farmbased technology. Additionally, the inclusion of nature-based solutions (NBS) within the project design enhances its efficiency. These technologies are purposefully crafted to deliver invaluable environmental services, particularly in ensuring water availability during dry seasons. Furthermore, these technologies not only augment production but also mitigate the risk of complete yield loss. The water regulation technologies will be tailored to each project area through a participatory construction approach, facilitating local community ownership and fostering long-term sustainability.

- 97. Component 1, centers on a comprehensive groundwater analysis for prioritized regions, forming the basis for cost-effective investments in small-scale and farm-based technologies to enhance infiltration and reduce mud slides. Through a thorough examination of current aquifer dynamics, climate projections, and their interconnections, the project establishes a deep understanding of water recharge implications for agriculture and the environment in diverse drought zones. This knowledge facilitates targeted interventions and optimal resource allocation, thereby maximizing the efficiency of adaptation efforts. Furthermore, the information generated contributes to the replicability of similar initiatives, enhancing overall cost-effectiveness.
- 98. The project's incorporation of Nature-Based Solutions (NBS) as a technological alternative aligns with evidence showcasing their cost-effectiveness in climate change adaptation. The World Bank's Climate Change Action Plan 2021-2025 emphasizes NBS as a promising approach to tackle climate change, emphasizing the protection, sustainable management, and restoration of ecosystems. The utilization of wetlands and watersheds offers cost-effective strategies for water resource management and disaster risk reduction. By leveraging the advantages of NBS, the project complements its utilization of traditional small-scale grey infrastructure, thereby reducing dependence on expensive engineering interventions.
- 99. Component 2, which includes on-farm as well as water management at a community level presents a cost-effective approach, focusing on efficiency for the current irrigation infrastructure, community coordination, and low cost on-farm technologies, adapted to Bolivian circumstances. This new knowledge should enable precise interventions and efficient allocation of resources, also maximizing the effectiveness of future adaptation endeavors. Additionally, the generated information contributes to the reproducibility of comparable initiatives, improving overall cost efficiency.
- 100. Component 3, which focuses on institutional strengthening and knowledge integration. contributes to the project's cost-effectiveness, promoting sustainability and longer-term impacts. By documenting project outcomes and strategies in an Institutional Manual that addresses best practices for evaluating and constructing wells considering water recharge dynamics and climate change, we propose a method to integrate lessons learned in the project into a government program. Moreover, in developing curricula at both technical and university levels, the project places significant emphasis on imparting future generations with a comprehensive understanding of aquifer recharge dynamics and the necessary skills to make informed decisions regarding optimal water resource utilization. This forward-thinking approach not only enhances the project's long-term cost-effectiveness but also fortifies the country's capacity to address climate change impacts on groundwater recharge in the years ahead. The specific National Determined Contribution Goals this project will be contributing to are: (#20) 1.4 billion m3 of water storage capacity will be reached by 2030, (#21) 1.3 million hectares under efficient irrigation will have been reached by 2030, (#26) the number of rural and peri-urban inhabitants with high food insecurity will have been reduced by 75% by 2030, and (#31) 15 billion will be invested in productive resilient infrastructure by 2030.
- 101. Furthermore, the complete project design process will encompass a thorough cost analysis of all components and activities, along with an alternatives analysis aimed at ensuring cost efficiency. This examination will evaluate the financial implications of each component, considering factors like implementation costs, maintenance requirements, and long-term sustainability. Through this cost analysis, the project strives to pinpoint cost-effective strategies, which optimize resource allocation, and prioritize interventions that yield the most substantial

economic, social, and environmental benefits as alternatives to be incorporated or scaled in Bolivia. This proactive approach to cost efficiency is intended to enable the project to maximize its impact and ensure the enduring viability of its outcomes.

102. In summary, the proposed project exhibits strong cost-effectiveness, due to its integrated components, use of low-cost small infrastructure, farm-based technology, and incorporation of nature-based solutions (NBS). These technologies, focused on providing essential environmental services like water during dry seasons, demonstrate a significantly positive benefit-cost ratio effectiveness and efficiency evaluations³¹. Beyond enhancing production, they also mitigate the risk of yield loss. The project emphasizes adaptability, employing participatory construction approaches for water regulation technologies in each project area, fostering local community ownership and ensuring sustainability and longer-term benefits.

D. Strategies

- 103. The proposed Project will align with the government's national priorities in implementing adaptation activities to mitigate the adverse impacts and risks of climate change in the country. With a strong emphasis on targeting the most vulnerable populations living in rural areas, particularly in those areas severely impacted by climate change, the proposed project will align with the mandates and climate change strategies of both IFAD and AF, as well as Bolivia's national strategies and policies. Bolivia has submitted its NDCs as part of the Paris Agreement, outlining its commitments to reducing greenhouse gas emissions, promoting renewable energy, and increasing resilience to climate impacts.
- 104. The relevant regulatory and policy framework for the Project:

- Framework Law on Mother Earth and Integral Development for Living Well: Bolivia passed this pioneering legislation recognizing the rights of nature in 2010. It emphasizes the concept of "Vivir Bien" (Living Well) and promotes a holistic approach to development in harmony with nature.

- National Development Plan (PDES) 2021-2025: The PDES incorporates climate change adaptation and mitigation measures into its framework, aiming to promote sustainable economic growth while considering environmental concerns.

-The **Patriotic Agenda 2025** was adopted in Bolivia in 2013 as a comprehensive and strategic program aimed at achieving sustainable development across various sectors in the country. ³²

- **Nationally Determined Contributions (NDCs)**: Bolivia has submitted its NDCs as part of the Paris Agreement, outlining its commitments to reducing greenhouse gas emissions, promoting renewable energy, and increasing resilience to climate impacts. In 2022, in line with the updated NDC including non-greenhouse gas (GHG) mitigation and adaptation targets and actions focusing on water, energy, forests and agriculture sectors, Bolivia establishes a total of 31 specific targets to be achieved by 2030 and for the water sector, the objective is to comprehensively increase the country's adaptive capacity and systematically reduce the country's water vulnerability³³

^{•&}lt;sup>31</sup>Ciasca, B. S., Klemz, C., Raepple, J., Kroeger, T., Acosta, E. A. P., Cho, S. J., ... & Cesário, F. (2023). Economic Cost of Drought and Potential Benefits of Investing in Nature-Based Solutions: A Case Study in São Paulo, Brazil. Water, 15(3), 466.

[•] Iseman, T., & Miralles-Wilhelm, F. (2021). Nature-based solutions in agriculture: The case and pathway for adoption. Food & Agriculture Org.

[•] Vásquez-Sarria, N., Holguín-González, J. E., & Gandini, M. A. (2023). Nature based solutions applied to mature leachate treatment in the Latin American resource-poor environment. Journal of Environmental Chemical Engineering, 11(5), 110641.

³² <u>https://www.cbd.int/countries/profile/?country=bo</u>

³³ https://climatepromise.undp.org/what-we-do/where-we-

- **Community Participation and Indigenous Rights**: Bolivia recognizes the knowledge and contributions of indigenous communities in environmental conservation and includes their participation in decision-making processes related to climate change policies (the National Comprehensive Forest Management Plan, the Comprehensive Forest and Land Management Plan).

- The **National Plan for Equality of Opportunity** "Women building the New Bolivia, to live well".

- National Climate Change Adaptation Plan (PNACC): The PNACC establishes priority actions to adapt to the impacts of climate change in key sectors of the Bolivian economy, including agriculture, livestock, and natural resources. This plan aims primarily to reduce the vulnerability of rural communities to climate risks.
- 105. In terms of water management and climate resilient agriculture practices, specifically under the component 1 and 2, the project will align its activities to the Strategic documents, such as the Framework Law on Mother Earth and Integral Development for Living Well. The Framework is an integrated instrument of comprehensive climate change and sustainable environment management planning, by incorporating the subjects of adaptation and mitigation into all sectors related to the environment, land and natural resources use. For instance, Article 16 section 2 establishes that the Plurinational State of Bolivia 'will promote the comprehensive and sustainable management of the components, zones, and life systems to ensure the sustainability of Mother Earth's regenerative capacities through [...] the planning and regulation of territorial occupation and the use of Mother Earth's components according to the ecological and productive vocations of life zones, climate change trends, and the desired scenarios by the population within the framework of Living Well [...].' In turn, Article 17 outlines specific activities to reduce vulnerability to climate change through a focus on prevention, risk management, and adaptation. Additionally, Article 19 foresees the establishment of equitable conditions for access to water for consumption, irrigation, and industrial use within the framework of comprehensive watershed and water resource management³⁴.
- 106. The project conforms to the **National Development Plan** (PDES) 2021-2025, especially with the strategic pillars 8 "sustainable and balanced environment in harmony with mother earth" and 3 "Food Security with Sovereignty". The targets of pillar 8 focus on strengthening the integrated management of surface and groundwater resources to achieve water security and promoting mitigation, adaptation, and monitoring actions for climate change, with effective response measures to its impacts in harmony and balance with Mother Earth, while the targets of pillar 3 rely on supporting greater availability and access to agricultural inputs to increase agricultural production. Moreover, the Plan -in alignment with the Gender plan³⁵- highlights several key points related to women's empowerment and gender equality such as, among others, increased access to education for Women and Equal Pay and Employment Opportunities³⁶.
- 107. This project also lies in the **Patriotic Agenda 2025** that outlines a roadmap and sets forth specific goals and objectives to be accomplished by the year 2025, with the overarching aim of advancing Bolivia's socioeconomic development while ensuring social inclusion and environmental sustainability. Furthermore, it is also closely aligned with the **National Plan for Equality of Opportunity** "Women building the New Bolivia, to live well". The Plan includes

work/bolivia#:~:text=Bolivia's%20updated%20NDC%20includes%20non,to%20be%20achieved%20by%202030 ³⁴ http://www.planificacion.gob.bo/uploads/marco-

legal/Ley%20N%C2%B0%20300%20MARCO%20DE%20LA%20MADRE%20TIERRA.pdf

³⁵ Gender plan will be developed for the FP

³⁶ https://observatorioplanificacion.cepal.org/sites/default/files/plan/files/PDES_2021-2025a_compressed_0.pdf

different pillars: (1) Economic, productive and labour markets, (2) Education, (3) Health, (4) Violence and (5) Citizenship and political participation

108. Finally, the project will contribute directly to the following Sustainable Development Goals: SDG
1 (No poverty), SDG 2 (Zero hunger), SDG 5 (Gender equality), SDG 12 (Responsible consumption and production), and SDG 13 (Climate action).

E. National Technical Standards and Environmental and Social Policy

109. The project is closely aligned with and in support of relevant national technical standards and complies with the Environmental and Social Policy of the Adaptation Fund. The project's design process is conducted collaboratively with the country's government, ensuring active participation from the relevant entities. The project will contribute to the following national priorities: eradicate extreme poverty; scientific and technological development; productive development with diversification; country-level food security; and environmental and comprehensive development

110. The proposed project is aligned with the:

- Law No. 144 of the Communal Agricultural Productive Revolution (2011)
- The Law No. 3525 on Regulation and Promotion of Ecological Non-Timber Agricultural, Livestock, and Forestry Production which aimed at regulating and supporting agricultural, livestock, and forestry activities that are environmentally friendly and sustainable.
- Law 348 (Comprehensive Law to Guarantee Women a Life Free from Violence).
- 111. In terms of meeting national technical standards, the project incorporates infrastructure investments at the territorial level that comply with relevant guidelines. Water-related laws and regulations are crucial for the management, conservation, and equitable distribution of water resources. One of the significant laws concerning water in Bolivia is Law No. 2066, also known as the General Water Law or "Ley de Aguas." The Law No. 2066 was enacted in 1999 and serves as the primary legal framework governing water resources in Bolivia. Furthermore, The National Watershed Plan for Integrated Water Resources Management is a strategic plan in Bolivia designed to address the comprehensive and sustainable management of the country's watershed areas. This plan aims to ensure proper use, conservation, and protection of water resources at the watershed level, considering aspects such as water availability, quality, and equitable distribution. Project interventions will ensure compliance with established standards under the water management practices and technologies. Rainwater harvesting systems, small-scale infrastructures that increase water infiltration (mini-dams, infiltration trenches, infiltration ponds), will enable communities to improve agricultural productivity and enhance resilience to climate change impacts, especially during drought periods.
- 112. Moreover, the project ensures compliance with the Environmental and Social Policy of the Adaptation Fund which emphasises the importance of sustainable development, environmental safeguards, and social considerations. The project integrates these principles by incorporating resilient agriculture practices, water efficiency and irrigation management technologies and small-scale infrastructure to increase water infiltration.
- 113.By meeting relevant national technical standards and complying with the Environmental and Social Policy of the Adaptation Fund, the project ensures that its activities are carried out in a

manner that is environmentally sustainable, socially inclusive, and aligned with the country's established guidelines and policies.

- 114. The proposed project will be fully developed taking into account national and international policies, strategies and treaties. At the international level, ratified international treaties linked to the Project's approach include:
 - The Ramsar Convention (1975)
 - The Convention on the Conservation of Migratory Species of Wild Animals (1983)
 - The Convention on Biological Diversity (1993)
 - The United Nations Framework Convention on Climate Change (1994)
 - The Convention to Combat Desertification and Drought (1996)
 - The Sendai Framework for Disaster Risk Reduction (2015)
 - Paris Agreement (2016)
- 115. Beyond the alignment with national strategies, the proposed project is aligned with IFAD policies and corporate priorities, including the strategic objectives of the COSOP approved by IFAD Executive Board in November 2020: (i) Improve the agricultural productive capacity of inclusive production systems in a way that is environmentally sustainable and resilient to climate change; ii) Facilitate market access and iii) access to finance; improved nutrition; empowerment of women and youth; and natural resource management and climate change. The project is also aligned with IFAD's strategic vision and comparative advantage, particularly its SOs: Increasing poor rural people's productive capacities and Strengthening the environmental sustainability and climate resilience of poor rural people's economic activities

F. Duplication

- 116. After first set of consultations within the country, the project concept note mission has confirmed that there is no risk of duplication with other existing projects or programs. During the preparation of the project, a thorough needs assessment process was conducted, which included an analysis of synergies and potential overlaps with other projects (to be concluded during full design process). The findings, presented in the table below, demonstrate that the majority of the projects and initiatives either have complementary activities or do not have geographical overlap with the project's targeted intervention area.
- 117. The careful analysis conducted during the project design phase ensures that the proposed project is well-positioned to avoid duplication and effectively contribute to addressing climate change in Bolivia. By leveraging existing initiatives, scaling up successful practices, and fostering partnerships, the AF project maximizes its impact while minimizing any potential overlap with other projects or programs.

Table 5: List of relevant projects

Other projects/partners	Summary And Geographic overlap with the project	Identified synergies
Ecosystem Based Climate Resilience of Vulnerable Rural Communities in the Valles Macro- region of the Plurinational State of Bolivia (2023-2027)	The project is a climate adaptation investment aiming to enhance the resilience of livelihoods, ecosystems, irrigation infrastructure, and food security in the Valles Macro-region of Bolivia, to face the increasing hazards of climate change. The project structure and proposed activities are built on the close relationship between ecosystem functions and services (primarily hydrological regulation) on the one hand, and climate and social-ecological resilience on the other. The project aims to tackle climate change from an integral and systemic perspective. It can geographically overlap the proposed project in the Valles Macro- region. However, the GCF project does not focus on groundwater, nor it performs any studies of groundwater dynamics or identifies specific recharge areas.	different scales and part of the water cycle, allowing them to fill each other's gaps and potentiate their impact when coinciding geographically
(2023-2027)	increase food security, market access and the adoption of climate-smart agricultural practices in the country. At least 1,000 rural community associations are the main beneficiaries of the Innovation for Resilient Food Systems (Rural Alliances – PAR III) Project, which will help reduce vulnerability to acute and chronic food insecurity through small-scale investments in infrastructure and services and nutrition enhancement by inserting technology in agricultural activities and training to manage it. The PAR III project will cover the entire national territory.	the ongoing project of the World Bank. It aims to improve the quantity and quality of agricultural produce not only entails enhancing the income and competitiveness of rural producers, but also ensuring that Bolivia has enough food to meet its nutrition and social development targets, a key challenge in the country Overall, the two projects complement each other by addressing different aspects of agricultural development. While the World Bank project focuses on small-scale investments in infrastructure and services for better nutrition and vulnerability reduction actions, the

HELVETAS- Andas Resilientes (2020-2024)	Andes Resilientes aims to contribute to the valuable experiences gained from this resilience and adaptive capacity to climate project ensuring that the lessons change among Andean rural populations learned from the previous initiatives are (both women and men) living in conditions of applied and further advanced. poverty and vulnerability. The initiative focuses on enhancing their food and water security by coordinating efforts with public and private stakeholders specialized in rural development. The goal is to improve access to services that support the climate resilience of vulnerable Andean populations in Bolivia, Ecuador, and Peru. ³⁷
	The objective of the IFAD project is to The proposed project and IFAD can increase the resilience and food and collaborate synergistically to enhance nutritional security of small agro ecological transition. Enhancing practices, and communicate information resilience capacity is key for a sustainable on climate risks. poverty alleviation for families engaged in family farming, as well as for the Additionally, there is potential for sustainability of agri-food systems to which collaboration (that will be further family farming contributes, and upon which investigate during the full design) in they depend for their family's nutrition. Based on MDR&T guidelines, the new project has as its main criterion for municipalities. These areas exhibit high vulnerability in socioeconomic, productive, environmental, climatic, and food and nutritional security dimensions.

G. Learning, Knowledge Management and Lessons Learned

- 118. The learning and knowledge management sub-component will be an integral part of the project's management framework. However, it is important to note that knowledge management is already inherent across all components of the project, since the technologies and investments will be constructed in a participatory manner and adapted within each community.
- 119. Components 1 and 2 of the project will generate knowledge through extensive studies focused on aquifers, surface and subsurface water flows, and groundwater dynamics. These investigations will pinpoint recharge areas and involve the development of small-scale infrastructure technologies or agricultural practices. Additionally, the project will concentrate on implementing infiltration improvement practices and sustainable solutions, which will be disseminated among beneficiaries and stored for future reference.
- 120. At the territorial level, Component 3 may support the equipment of specialized institutions (water and soil) such as expanding the piezometer and climate change monitoring network to enhance

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understanding of underground water levels and the water cycle. This valuable information will better inform the country about water availability and the water cycle, it will also integrate participatory monitoring systems for climate change variables, collecting and centralizing data at the national meteorology and hydrology service level, if allowed. Furthermore, this component will enhance knowledge sharing through institutional engagement and project sustainability by developing procedural manuals and best practices. This effort aims to strengthen institutional development in Climate Change and water recharge for the Ministry of Rural Development and Land (MDR&T).Lastly, technological and professional curricula to study groundwater dynamics will be designed.

121. By incorporating these various measures, the project seeks to effectively capture and disseminate lessons. This ensures that valuable knowledge and insights gained throughout the project's implementation are shared widely benefiting stakeholders, policy-makers, and the broader agricultural community.

F. Consultative Process

- 122. During the concept note's preparation phase, a consultative process was undertaken in compliance with the Environmental and Social Policy and Gender Policy of the Adaptation Fund, as well as the mandate of IFAD to support the most vulnerable people. The consultative process began with a concept mission visiting Bolivia from 02 October till 7 October 2023.
- 123. The team had the opportunity to meet representatives from both MDR&T and MDP. The mission team engaged with a range of stakeholders, including public sector counterparts, environmental protection and agriculture authorities, UN agencies, PMU of IFAD-funded projects, civil society organisations, academic institutions, and relevant sectoral experts with regard to project objectives, activities and implementation. Online meetings were also organized with WB after the mission in the country. A detailed list of individuals met during these engagements is provided in the Annex 2.
- 124. To ensure effective communication and collaboration, briefing sessions were organized between the mission team and the respective Ministries of Rural Development and Lands, Development and Planning. These sessions allowed for direct dialogue and information sharing with key decision-makers. The team had also the opportunity to directly engage with academic stakeholders in close coordination with the MDR&T and its existing Project Coordination Unit 'Unidad POZOS'. The project design team also consulted with PMUs of IFAD-funded projects mainly on Gender and youth.
- 125. The full design mission will include field visits and continue the consultative process, ensuring the active participation of the most vulnerable groups, including women and youth, in discussions and engagements through workshops. By prioritizing their inclusion, the project aligns with the IFAD mandate to support the most vulnerable people and promotes inclusivity and gender considerations. These efforts are in line with the Environmental and Social Policy and Gender Policy of the Adaptation Fund.

G. Justification for Funding

126. The primary objective of this project is to enhance adaptation to climate change and bolster the resilience of three prioritized areas heavily reliant on depleted groundwater sources

for their livelihoods. Through a comprehensive array of proposed components that tackle the groundwater dynamics issue from its source to utilization, backed by knowledge dissemination, monitoring, and scientific studies, the project aims to construct or modify existing technologies to enhance groundwater recharge. It also focuses on improving water management efficiency with community knowledge and ownership, intending these solutions to independently address the water scarcity issue without requiring additional funding from external donors.

- 127. The comprehensive adaptation rationale considers both the direct and indirect expenses incurred over the entire lifespan of the project. This encompasses investments in advanced practices and approaches aimed at optimizing water management and enhancing long-term agricultural productivity. Additionally, it includes expenses related to training farmers in infiltration improvement and water efficiency practices, fostering knowledge exchange, and ensuring the enduring viability of sustainable water management methods. This approach also covers the costs associated with restoring agricultural land eroded, thereby preventing further deterioration.
- 128. Moreover, the requested funding encompasses the establishment and execution of robust monitoring and evaluation systems. These systems are crucial for tracking the project's progress and assessing its effectiveness in enhancing climate resilience within the agricultural sector in the prioritized communities. This comprehensive approach is designed to facilitate evidence-based decision-making, thereby ensuring the maximization of the project's impact.

H. Project Sustainability

- 129. Sustainability considerations have played a pivotal role in shaping the design of this project. The emphasis is on securing the lasting impact of its activities across three key components: the first two encompassing technical and community investment, and the third involving information support and institutional strengthening.
- 130. Component 1: Establishment of integrated water Recharge Infrastructure and Climate-Resilient Practices (Water Recharge Zones) . This component is designed to facilitate the implementation of structures for groundwater recharge, including mini-dams, infiltration trenches, and catchment ponds. It also advocates for the adoption of practices and technologies, such as animal ponds and erosion prevention, to mitigate the risks associated with climate change. The project is grounded in thorough studies of groundwater recharge zones, surface and subsurface water flow, and water distribution, enabling it to address climate variability effectively. Moreover, this initiative involves the collaborative construction of these solutions within the community and provides essential technical support. This strategic approach aligns seamlessly with the project's overarching commitment to sustainably enhance water availability and reduce climate-related vulnerabilities in the targeted and vulnerable regions of Bolivia.
- 131. Component 2: Introduction of innovative climate and water smart technologies and practices (Zones for productive use of groundwater) . This component is specifically crafted to encourage the uptake of climate-resilient agricultural practices in areas reliant on groundwater for agricultural purposes. Through the introduction of efficient water management and harvesting technologies, it enhances the resilience of agricultural systems. This, in turn, fosters the enduring viability and sustainability of agricultural activities, enabling farmers to adeptly navigate evolving climatic conditions. By leveraging insights gained from successful pilot projects and incorporating valuable lessons learned, this component significantly contributes to the lasting sustainability of agriculture and the prudent management of natural resources.

- 132. Component 3: Strengthening Climate and Hydrological Monitoring, Institutional Development and Knowledge Transfer. This pivotal component centers on enhancing climate and hydrological monitoring, bolstering institutional capacity, and facilitating knowledge transfer. The project envisions identifying potential groundwater recharge zones, installing monitoring equipment such as piezometers and climate stations, and consolidating data at the national meteorological and hydrological service. This meticulous approach, coupled with community monitoring, guarantees the systematic collection of climate data. Furthermore, the project conducts training sessions for municipalities and communities on monitoring wells and climate variables. It introduces academic curricula and technical training programs in climate change and groundwater recharge, imparting crucial skills to future professionals in the realm of sustainable agriculture.
- 133. These proactive measures underscore the project's steadfast commitment to long-term sustainability, knowledge dissemination, and institutional development. A notable initiative involves the creation of a comprehensive manual that will serve as a guiding resource, aiming to enhance procedures and promote best practices. This manual will specifically focus on institutional strengthening concerning climate change and groundwater recharge. By providing a robust reference point, the project ensures that forthcoming activities will uphold quality and consistency in alignment with the objectives of climate change adaptation and sustainable water resources management.
- 134. Through the integration of locally adapted practices, small-scale low-cost infrastructure, and agro ecological principles at farm level, this project actively empowers communities, advocates for sustainable agricultural methodologies, and enhances resilience to climate change—specifically addressing variations in water supply. By facilitating adaptation measures to counter the impacts of climate change and mitigating risks associated with agriculture, the project significantly contributes to long-term sustainability.

I. Environmental and Social Impacts and Risks

- 135. The environmental and social screening conducted during the concept stage, as presented in the table below, indicates that the proposed project entails low to moderate risks. Any site-specific risks identified can be readily addressed, resulting in the project being categorized as a Medium-risk project. During the project preparation phase, the proposal will undergo assessments in accordance with both the Adaptation Fund and IFAD Procedures, as well as gender policies. To ensure transparency and inclusivity, the full design mission will engage in public consultations at ministerial levels, with donor and partner organisations, other UN agencies, civil society, academia, and women, Indigenous Peoples and farmer associations operating in Bolivia. Comprehensive records will be maintained as evidence of all consultations conducted.
- 136. The project will facilitate the gathering of gender-disaggregated data through the expertise of a gender design specialist. This process will adhere to IFAD gender guidelines, which encompass the following AF guidelines:
 - Conduct consultations with male and female beneficiaries/stakeholders separately as well as in mixed groups.
 - Conduct consultations with Indigenous Peoples separately as well as in mixed groups.
 - Carefully consider the timing and location of consultation meetings to ensure balanced gender representation.
 - Utilize appropriate communication methods to effectively engage both women and men.
 - Set targets for gender attendance to ensure meaningful participation.

 During the design mission, deliberate efforts will be made to involve national women's machineries, structures within and outside the government ministry dedicated to women, youth, and gender equality agencies, in addition to the National Designated Authority (NDA). This inclusive approach will encompass women's networks, gender and women's rights organizations, civil society, and academia at both the national and local levels.

Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
Compliance with the Law		Low/No risk As part of the ESA and ESCMP, the full project proposal will carry out an analysis of relevant laws and detail the project's compliance with said laws.
Access and Equity		Low/No risk When designing and planning the activities, ensure that any activity with communities targets vulnerable groups such as women, youth and Indigenous peoples. Throughout its implementation, the project will collaborate with the national authorities, specifically the Ministry of Ministry of Rural Development and Lands and Ministry of Planning for Development, to ensure that vulnerable and marginalized groups are not negatively impacted. To ensure inclusivity, the project will engage in participatory consultative processes, enabling all individuals to have a voice and address any concerns they may have. Additionally, IFAD will widely promote its grievance procedures, providing a means for anyone who believes they have been involuntarily displaced to seek appropriate remedies. By prioritizing transparency and accountability, the project aims to mitigate any adverse effects on affected individuals and ensure their rights are protected.
Marginalized and Vulnerable Groups		Medium risk Marginalized and vulnerable groups – especially women, youth and Indigenous Peoples - will be consulted during the proposal development process to ensure that their identified threats, priorities and mitigation measures are reflected. This project will empower vulnerable groups to make decisions on concrete adaptation actions, valuing their traditional and local knowledge. This project will create a space for women, and youth to choose adaptation activities in a transparent and participatory manner. Additionally, this project will respect land, property and customary rights.

Table 6: Adaptation Fund Environmental and social checklist

Human Rights	Х	Low/no risk This project affirms the rights of all people and does not violate any pillar of human rights.
Gender Equality and Women's Empowerment		Low risk The project will promote gender equality and women's empowerment through its targeting strategy. During the development of the comprehensive project proposal, the project will ensure alignment with the gender inclusion policies of both the Adaptation Fund and IFAD. This alignment will be reflected by considering the percentage of women engaged in the agricultural sector in Bolivia. To promote gender equality, the project aims to have a minimum of 40% percent of the beneficiaries be women, adhering to the principles outlined in project targeting. Furthermore, specific measures will include conducting gender analysis along with stakeholder engagement and analysis to recognize and address the rights, needs, and opportunities of women and men, as well as their different needs, roles, and barriers. Active participation of women will be ensured in the planning process through methods such as focus group discussions. The project will also facilitate women's involvement in policy formulation and discussion processes through committees representing the interests of specific groups or communities. By prioritizing gender inclusion, the project aims to create a more equitable and inclusive environment for women in the agricultural sector of Bolivia
Core Labour Rights		Medium risk The project will ensure respect for international and national labour laws and codes, as stated in IFAD's policies. However, there is the possibility that the project operates in sectors or value chains that are characterized by working conditions that do not meet national labour laws or international commitments.
Indigenous Peoples		Medium Risk There are indigenous people in Bolivia. The project is implemented in line with the operational policies of IFAD and will establish the Indigenous Peoples Plan and the FPIC
Involuntary Resettlement	Х	Low/no risk The project has no plans for resettlement.
Protection of Natural Habitats		Medium . No activities envisioned to adversely impact protected areas or high value conservation areas. Relevant measures will be included in project Environmental and Social Management Plan. This risk will be further assessed during full proposal development.

Conservation of Biological Diversity	Х	Low risk The risks to biodiversity are associated with intervention in protected areas. The execution of the project will consider the exclusion criteria and technical criteria from ESA for intervention in protected areas.
Climate Change	Х	Low/No risk The project area is susceptible to climate shocks. The project will not generate any significant emissions of greenhouse gases and will not contribute to climate change in any other way.
Pollution Prevention and Resource Efficiency	Х	Medium risk The project will actively promote the adoption of resilient practices, water conservation, and efficient technologies. Although there may be specific risks associated with each project site, these risks can be easily identified and effectively addressed. The project team will proactively work towards finding suitable solutions and mitigation measures to overcome any site-specific challenges that may arise, ensuring the successful implementation of the project activities.
Public Health	Х	No risk No adverse impact on public health related issues is envisaged.
Physical and Cultural Heritage	Х	Low risk There is a low probability that the project will be implemented in areas considered to hold archaeological (prehistoric), paleontological, historical, cultural, artistic, or religious value, or areas containing features considered critical cultural heritage. If this were to happen, the consequence would be low
Lands and Soil Conservation		Low/no risk The project will promote sustainable land management practices at territorial and farm level.

J. Grievance and Redress Mechanism

- 137. The proposed project aims to implement a dedicated Grievance and Redress Mechanism (GRM) modelled after IFAD's grievance mechanism³⁸. This GRM will cater to individuals, authorities, or community representatives who may be affected by the project's implementation. It will provide them with a platform to voice concerns regarding potential non-compliance with its social and environmental policies or commitments.
 - Multiple GRM channels should be used, depending on the context and group inclusion barriers. The Project will set up a system for receiving and handling complaints and denunciations with the adoption of an Ombudsman channel.

³⁸ Accountability and complaints procedures: <u>https://www.ifad.org/en/accountability-and-complaints-procedures</u>

- The Project will implement an ongoing programme to disseminate integrity policies, as well as offering training and guidance on the use of whistleblowing tools to the target groups. It would be disseminated and shared with the communities, beneficiaries and other stakeholders during the project inception workshop and subsequent meetings
- As part of the grievance redress mechanism, the contact details of the project partners -Cluster Coordinator/ Project Manager would be made available to stakeholders including project beneficiaries and the community. The grievance mechanism will be available to the entire project intervention areas, and GRM % of resolution will be reflected and reported in M&E system
- 138. Communities and individuals who believe that they are adversely affected by this IFAD supported project may submit complaints to the existing project-level grievance redress mechanisms or to IFAD's established complaints procedure. IFAD's accountability and complaints procedures receive and facilitate resolution of concerns and complaints with respect to alleged non-compliance of IFAD's environmental and social policies and the mandatory aspects of its Social, Environmental and Climate Assessment Procedures in the context of IFADsupported projects. The procedure allows affected complainants to have their concerns resolved in a fair and timely manner through an independent process. IFAD may be contacted by e-mail at SECAPcomplaints@ifad.org or via its website at https://www.ifad.org/en/accountability-andcomplaintsprocedures. Affected parties may also submit their grievances to the Adaptation Fund Ad Hoc Complaint Handling Mechanism (ACHM). Complainants should use the project level grievance redress mechanism and/or IFAD's complaints procedure as a first step. However, the Ad hoc Complaint Handing Mechanism (ACHM) of the Adaptation Fund can be directly used in cases where the Parties have failed to reach a mutually satisfactory solution through the implementing entities' grievance mechanism within a year. More information can be found in the AF https://www.adaptation-fund.org/projects-programmes/accountabilitywebsite at complaints/ad-hoccomplaint-handling-mechanism-achm/.

PART III: IMPLEMENTATION ARRANGEMENTS

A. Project implementation

- 139. Based on the discussions held during the mission for the design of the proposed project concept note, the decision was made to adopt a successful approach for the overall responsibilities and management structures of the project, building upon the models utilized in previous and ongoing IFAD-supported operations. Therefore, the proposed institutional arrangements were discussed and agreed with the NDA:
- 140. **Overall responsibility.** The Ministry of Planning for Development (MPD) as the Nationally Designated Authority (NDA) for the Adaptation Fund will have the overall responsibility for the Project and will coordinate with the Ministry of Rural Development and Lands (MDR&T), which will act as the National Implementing Entity (NIE)
- 141. Lead Agencies. At the entity level, the Ministry of Rural Development and Lands (MDR&T) will have the overall responsibility for implementation as the Lead Agency.
- 142. **Project coordination units**: The Ministry of Rural Development and Lands (MDR&T) will delegate the responsibility for coordinating and managing project activities to the existing and functioning "Unidad Pozos" Project Coordination Unit in La Paz. The project aims to strengthen the "Unidad Pozos" with new specialised profiles and a tool that provides the execution of more sustainable wells supported by future-oriented data.

- 143. **Implementing Entity:** IFAD as IE will undertake the oversight and quality control of the proposed project ensuring that the Gender Policy and Environmental and Social Policy is respected
- 144. **Project costs.** The total cost of the Project is yet to be determined and will encompass a USD 10 million grant from the Adaptation Fund (including a maximum of 8.5% for the project cycle management fee charged by IFAD as the implementing entity vis-à-vis the Adaptation Fund, and a maximum of 9.5% for project execution costs). The total program allocation should be defined within the period of developing the project concept note to ensure an appropriate design of the Project.

Risk	Impact		Probability of Occurrence		Mitigation Measures
		Low	Medium	High	
the project be sited in areas where indigenous peoples are present	Major			x	The project is implemented in line with the operational policies of IFAD (International Fund for Agricultural Development) and will establish the Indigenous Peoples' Plan and the FPIC, considering the project's intervention in areas with different land access systems and governance structures. Territories in conflict over land ownership or tenure will be excluded. In the process of formulating the FPIC possible risks will be clarified and identified if there are conflicts over resources, especially those that are collectively used such as forests, water, grazing lands, or collectively used agricultural lands, and other resources that might be claimed by them.
Climate-related shocks are a prominent consequence of climate change, leading to a rise in the frequency of extreme weather events such as droughts, floods, and hailstorms. These abrupt changes in weather patterns can directly impact crops and cause damage to critical infrastructure.			X		The project will implement water management infrastructure and integrate climate adaptation measures. A key focus will be the introduction of water-efficient practices, collection techniques, water management technologies, climate-smart agricultural practices, and investments aimed at enabling farmers to better cope with the adverse effects of climate change.
Staff turnover: causes a loss of knowhow and skills accumulated	Moderate	Х			IFAD will work with the Government to ensure that Unidad Pozos key staff is maintained for the implementation of the proposed project.

B. Project Risk Management Table 7: Risk Management

				Existing financial management arrangements will be replicated.
The project operates in value chains/areas where there have been recent credible reports of use of child labour.	Moderate		X	Price contingencies will be implemented within the project, although they may not fully address high inflation. The primary objective of the project is to enhance the resilience of local farms and the surrounding landscape. Through economic analysis at full design, the project will ensure that profitability is maintained even in scenarios where benefits decrease and costs increase. This approach will enable the project to navigate economic challenges and sustain the long-term viability of agricultural activities in the face of changing circumstances.
Insufficient capacities to appropriately manage the day-to-day implementation of the project		X		The Unidad Pozos will have independent administrative and financial management authority and will be responsible for overseeing the fiduciary management functions of the project. At the present, the team is not fully equipped to implement the project. Nonetheless, the project incorporates a capacity-building process, and the country team has acknowledged the significance of recruiting new profiles using project resources IFAD will be involved as an observer throughout the entire recruitment process.
Low interest and capacity of smallholders to adopt new climate adaptive approaches and technologies.	-	×		The project will prioritize the inclusion of smallholders in territorial-level discussions, fostering strong connections among stakeholders. It will provide technical capacity building and training opportunities, aiming to bridge the knowledge gap. Through demonstrations and awareness-raising efforts, the project will enhance environmental and climate change awareness among the broader community. Farmers will receive training on the economic and environmental advantages of adopting new systems and technologies. The project will offer adaptive support combined with productive inputs, creating an appealing package that farmers can benefit from.
Conflict among farmers due to groundwater management.			X	This conflict involves a water allocation issue between the quantity of water demanded by farmers for irrigating their crops and the necessary water flow to conserve and preserve ecosystems. The locations of the wells may be distant from the zones where groundwater is naturally replenished or recharged. Nevertheless, the project incorporates specialised staff for conflict resolution and

	provides technical assistance on devising solutions with farmers
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C. Environmental and Social Risk Management

- 145. The objective of the ESA and SECAP screening list is for the full mainstreaming of environmental, social and climate issues throughout the IFAD project cycle. It analyses the potential risks and provides information to strengthen the social, environmental and climate dimensions of programmes and projects; and maximizes the social, environmental and climate change adaptation and mitigation benefits, and avoids or minimizes the negative impacts. During the full project design, planned in November 2023, the project will conduct an environmental screening and assessment that will meet both IFAD's requirements under the Adaptation Fund's requirements in accordance to the Fund's Environmental and Social Policy, namely the Environmental and Social Assessment (ESA) as well as designing the Environmental, Social and Climate Management Plan (ESCMP).
- 146. The aforementioned assessment will strengthen the project proposal as the purpose of the project will also be the fulfilment of the recommendations set out in the SECAP preliminary screening In strengthening the social and environmental aspects of the concept, the concept aims to create an enabling environment for climate change adaptation at the institutional level and to contribute to increasing the resilience of local communities (in particular young women and men).

D. Monitoring and Evaluation Arrangements

- 147. Project Monitoring and Evaluation (M&E) will be under the oversight of the "Unidad Pozos", and led by the M&E officer who will work closely with the implementing partners. The M&E system should: (i) produce, organize and disseminate the information needed for the strategic management of the project, (ii) document the results and lessons learned for internal use and for public dissemination on the achievements and (iii) respond to the information needs of Adaptation Fund, IFAD and the Government on the activities, immediate outcomes and impact of the proposed project. A computerised database will be developed to facilitate the generation of data concerning the entire life cycle of wells, climate station monitoring, data collection, and the centralization of information at the national meteorology and hydrology service level
- 148. The system will be regularly fed from data collected in the field by the implementing partners and the various studies carried out as part of the projects' implementation. Trainings will be organised to strengthen the capacities of the various stakeholders involved in the monitoring and evaluation system. Day to day monitoring of implementation progress will be the responsibility of the project team, based on the project's Annual Work Plan and its indicators. During the first months of the project, the project team will complete and fine-tune baseline data for each indicator, and will define and fine-tune performance. Specific targets for the first year of implementation, progress indicators, and their means of verification will be developed at the Inception Workshop (see below).
- 149. **Project Inception Workshop**. An inception workshop will be conducted within two months of project start up with the full project team, relevant government counterparts and IFAD. The inception workshop is crucial to building ownership for the project results and to plan the first-year annual work plan. A fundamental objective of the Inception Workshop will be to present the modalities of project implementation and execution, and assist the project team to understand and take ownership of the project's goals and objectives.

- 150.A **Project Inception Report** will be prepared immediately following the Inception Workshop. It will include: (i) a detailed First Year/Annual Work Plan divided in quarterly time-frames detailing the activities and progress indicators that will guide implementation during the first year of the project; (ii) the detailed project budget for the first full year of implementation, prepared on the basis of the Annual Work Plan; (iii) a detailed narrative on the institutional roles, responsibilities, coordinating actions and feedback mechanisms of project related partners; (iv) a section on progress to date on project establishment and start-up activities and an update of any changed external conditions that may affect project implementation.
- 151. **Baseline study**. A baseline study will be conducted within the first year to collect data and serve as the basis for the assessment of how efficiently the activity has been implemented and results achieved. The study will include the target group and a control group which will be essential to determine the attribution of results to programme activities.
- 152. **Technical reports** such as a best practices and lessons learned report will also be completed, as determined during the project inception report.
- 153. **Annual Project Report** (APR). The project team will prepare an APR to reflect progress achieved in meeting the project's Annual Work Plan and assess performance of the project in contributing to intended outcomes through outputs and partnership work. The format of the APR will be flexible but should include the following issues: (i) an analysis of project performance over the reporting period, including outputs produced and, where possible, information on the status of the outcome; (ii) the constraints experienced in the progress towards results and the reasons for these; (iii) the three (at most) major constraints to achievement of results; (iv) AWP and other expenditure reports; (v) lessons learned; (vi) clear recommendations for future orientation in addressing key problems in lack of progress.
- 154. **Supervision** will be done by IFAD (under its direct supervision framework and guidelines), with a supervision mission mobilised at least once per year. The composition of the Supervision missions would be based on an annual supervision plan. The supervision plan would highlight, in addition to the routine supervision tasks (fiduciary, compliance and programme implementation), the main thematic or performance areas that require strengthening and would imply deployment of additional inputs for capacity building, in-depth analytical studies or review of existing policies...
- 155. Mid-term Review (MTR). The MTR will be carried out in year 3. It will assess operational aspects such as programme management and implementation of activities as well as the extent to which the objectives are being fulfilled and corrective actions needed for the programme to achieve impact. Depending on the achievements of the programme and the resources available, the possibility of scaling up the activities to other regions will also be considered in consultation with the government.
- 156. A **Final Evaluation** will be conducted three months before project closure which will include the programme completion survey (below).
- 157. **Programme completion survey** (impact evaluation): Will include the same set of questionnaires included at baseline to allow for comparison against baseline results. In addition, a panel of households will be interviewed to provide a thorough analysis of programme impact. Moreover, analysis will be done by type of beneficiary, region and gender of household head.

 Table 8: Breakdown of M&E fee utilisation

IE Fees Breakdown of M&E Supervision	Responsibility	Timeframe	Budget (USD)
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Inception Workshop Report	Unidad Pozos	After Workshop	0 (as completed by PCU/APCU)
Baseline Study	Unidad Pozos	First Year (2025)	20,000
Supervision Visits	IFAD, Unidad Pozos , government	Annual/Biannual	55,000
Annual Work Plans and Budget	Unidad Pozos	Annual	0 (as completed by PCU/APCU)
Annual Project Report	Unidad Pozos	Annual	0 (as completed by PCU/APCU)
Final Evaluation	IFAD, external consultants	2029	25,000
TOTAL			100,000

E. Results Framework Table 9: Results framework & Alignment with AF results framework

Project Outcomes	Project Outcome indicators	Adaptation Fund Outcome	Adaptation Fund Outcome Indicator	Grant Amount (USD)
Component 1. Water Recharge	Zones			
Outcome 1.1. Enhanced resilience to climate change impacts and improved agricultural productivity Outcome 1.2 Climate vulnerabilities and exposure to risks in agriculture sector are reduced through the identification of recharge zones implementing infiltration improvement practices	% of communities in recharge areas are enabled to improve agricultural productivity and enhance resilience to climate change impacts Ha in Recharge Zones Implementing Infiltration Improvement Practices	Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies Outcome 4: Increased adaptive capacity within relevant development and natural resource sectors	 8.Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level. 4.1. Development sectors' services responsive to evolving needs from changing and variable climate 	780, 000 3,150,000
Component 2. Zones for produc	tive use of groundwater.			
Outcome 2.1. Rainwater harvesting systems, water management technologies and infrastructure for irrigation increased	% Households supported with increased water efficiency, harvesting techniques and management technologies	Outcome 4: Increased adaptive capacity within relevant development and natural resource	4.1. Development sectors' services responsive to evolving needs from changing and	1,800,000

		sectors	variable climate	
Outcome 2.2. Strengthened ownership and awareness of social practices and technologies for Climate Change Resilience at local level	# Households trained with increased water efficiency, harvesting techniques and management technologies	Strengthened awareness and ownership of adaptation and climate	adaptation	360,000
Component 3. Institutional Stree Management and Climate Chan		Practical Knowledge	in Sustainable Wa	ter Resource
	# Communities Using and Sharing Pertinent Monitoring of Climate Change Related Variables	population groups participating in	3.1.1 No. and type of risk reduction actions or strategies introduced at local level	1,200,000
Outcome 3.2 Knowledge on Sustainable Water Recharge Practices disseminated and promoted among institutions	# institution´s personnel trained on Sustainable Water Recharge Practices	Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and	2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased	1,052,978
Project Outputs	Project Outputs indicators			Grant Amount (USD)
Component 1 Water Recharge Z	Cones			
Output 1.1.1 . Promoting participation in the development of agricultural resilience practices at the household level	# Farmers with resilient agricultural practices implemented	innovations are rolled out, scaled up, encouraged and/or accelerated.	innovative adaptation	600,000
Output 1.1.2 . Promoting social adaptation of agricultural resilience practices tailored to local contexts at the household level	# Farmers participating in participatory adaption of agricultural resilience practices	population groups participating in	3.1.1 No. and type of risk reduction actions or strategies introduced at local level	180,000

Output 1.2.1 Promoting studies of aquifers, surface, subsurface, and groundwater flows to identify recharge areas and the small infrastructure technologies or agricultural practices		innovations are rolled out, scaled up, encouraged and/or accelerated.	findings on effective, efficient	300,000
Output 1.2.2 Community-led enhanced water infiltration towards aquifers at the catchment level		population groups participating in adaptation and risk reduction awareness	3.1.1 No. and type of risk reduction actions or strategies introduced at local level	225,000
Output 1.2.3. Constructing mall scale water rechargeinfrastructure project	# of small scale water recharge infrastructure project finished	within relevant development and natural resource sectors	4.2. Physical infrastructure improved to withstand climate change and variability- induced stress	2,250,000
Component 2. Zones for produc	tive use of groundwater.			
Output 2.1.1. Improved water efficient and irrigation management technologies	management technologies	individual and community livelihood strategies strengthened in relation to climate change impacts, including variability	6.1.1.No. and type of adaptation assets (physical as well as knowledge) created in support of individual or community- livelihood strategies	300,000
Output 2.1.2. Strengthened water harvesting technologies	# farmers implementing water harvesting technologies	physical, natural, and social assets strengthened in response to climate change impacts, including variability	5.1. No. and type of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type of assets)	1,500,000
Output 2.2.1 . Increased participation in the social construction of water harvesting/collection technologies to reduce climate risk		population groups participating in adaptation and risk	3.1.2 No. of news outlets in the local press and media that have covered the topic	360,000

	released	activities			
Component 3. Institutional Stre Management and Climate Chan		Practical Knowledge	in Sustainable Wa	ter R	esourc
Output 3.1.1 . Enhanced Climate monitoring support	# of Piezometers and Climate Monitoring Units Installed and monitored by community members	N/A	N/A	90	0,000
	# of monitoring stations providing information to the central platforms of the hydrological and meteorological services	N/A	N/A	150,0	000
Output 3.1.2 . Strengthening of technical assistance on climate monitoring	# farmers trained and using on-farm tools for climate change monitoring	Output 3: Targeted population groups participating in adaptation and risk reduction awareness activities	3.1.1 No. and type of risk reduction actions or strategies introduced at local level	150	,000
Output 3.2.1. Knowledge building for municipalities and professionals monitoring wells and climatic variables through a specific institutional structure	wells and climate variables in their area	Strengthened capacity of national and		25	0,000
Output 3.2.2 Institutional Capacity improved through the development of a manual and hiring of specialists	# Manual in Water recharge best practices developed # Specialists hired		2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)	802,	978

F. Disbursement Schedule

Table 10: Disbursement schedule

Budget Disbursement (USD)	Y1	Y2	Y3	Y4	Y5	Total
Component 1	1.190.000	1.206.667	706.667	706.667	120.000	3.930.000
Component 2	305.000	530.000	530.000	530.000	265.000	2.160.000
Component 3	676.489	766.667	266.667	183.333	359.822	2.252.978

Total Components	2.171.489	2.503.333	1.503.333	1.420.000	744.822	8.342.978
Excecution	227.381	262.130	157.417	148.691	77.992	873.612
Implementation	203.904	235.064	141.164	133.339	69.939	783.410
Total	2.602.774	3.000.527	1.801.915	1.702.030	892.754	10.000.000

PART IV: ENDORSMENT BY GOVERNMENT AND CERTIFICATION BY THE EMPLEMETING ENTITY

A. Record of endorsement on behalf of the government²:

Mr. Carlos David Guachalla Terrazas Vice Minister for Planification and Coordination Ministry of Planification and Development	Date: December, 8 th , 2023
Ministry of Planification and Development	

B. Implementing Entity Certification

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans and subject to the approval by the Adaptation Fund Board, <u>commit to implementing the project/programme in compliance with the Environmental and Social Policy and the Gender Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.</u>			
Implementing Entity coordinator:			
Mr Juan Carlos Mendoza Casadiegos, Director, Environment, Climate, Gender and Social Inclusion Division			
Date: 21 December 2023	e-mail: juancarlos.mendoza@ifad.org		
HQ focal point:	email:j.rioux@ifad.org		
Ms Janie Rioux Senior Technical Specialist – Climate Change- AF coordinator ECG division			
Project contact person:			
Mr Oliver Page, Regional Lead Environment and Climate Specialist			
e-mail: <u>o.page@ifad.org</u>			
Mr Daniel Anavitarte, Country Director for Bolivia			
e-mail: <u>d.anavitarte@ifad.org</u>			

Annex 1 - Endorsement Letter



BOLIVIA MINISTERIO DE PLANIFICACIÓN DEL DESARROLLO

Letter of Endorsement by Government

PLURINATIONAL STATE OF BOLIVIA

December 8th, 2023

To: The Adaptation Fund Board c/o Adaptation Fund Board Secretariat Email: Secretariat@Adaptation-Fund.org Fax: 202 522 3240/5

Subject: Endorsement for "Enhancing climate resilience and protecting agricultural productivity in critical climate-vulnerable areas of Bolivia through the recovery of water recharge."

In my capacity as designated authority for the Adaptation Fund in Plurinational State of Bolivia, I confirm that the above national project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the Plurinational State of Bolivia.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by International Fund for Agricultural Development and executed by Ministry of Rural Development and Lands.

Sincerely,

Carlos Dav dawy Have Britans Viceminister of Planning and Coordination Designated Authority for Plurinational State of Bolivia

"2023 AÑO DE LA JUVENTUD HACIA EL BICENTENARIO"

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PLACE AND DATE	NAME	POSITION	INSTITUTION
October, La Paz	Dedy Gonzales	Director	General Direction for Planning - Ministry of Rural Development and Land
	Boris Roja	Chief Unit	Ministry of Rural Development and Land
	Jaime Vasquez	Professional	General Direction - Ministry of Rural Development and Land
October, La Paz	Oscar Paz	Professional	
	Javier Gonzales	Professional	
	Efrem Sejas Cespedas	Environment specialist	"Nuesto Pozo"- Ministry of Rural Development and Land
	Boris Roja	Chief Unit	Ministry of Rural Development and Land
	Jaime Vasquez	Professional	General Direction - Ministry of Rural Development and Land
	Wilfredo C. Apaza	Responsible for studies and projects	Ministry of Rural Development and Land
4 October, La Paz	Eliodoro Baldiviezo	Manager	PROSUCO
	Luis Enrique Miranda Baez	Team Leader	BID
	Soeren Rued	Program Coordinator	GIZ
	Luis Javier Zubieta Herrera	Sub-program Head	HELVETAS
October, La Paz	Jose Luis Montaño	Professor	UMSA
	Edson Ramirez	Researcher	UMSA
	Rosse Noda	Representative - Bolivia	FAO
Friday 6 October, La Paz	Eliodoro Baldiviezo	Manager	PROSUCO
October, La Paz	Daniel Anavitarte	Country Director	IFAD
	Jorge Arcienega	Consultant	IFAD
	Humberto Gomez	Consultant	IFAD
	Frederico Lacerda	Programme Officer	IFAD

Annex 2 - Mission schedule and list of people met