



ADAPTATION FUND

AFB/PPRC.34/3/Rev.1
18 September 2024

Adaptation Fund Board
Project and Programme Review Committee
Thirty-third Meeting
Bonn, Germany, 8-9 October 2024

Agenda item 3)

**REPORT OF THE SECRETARIAT ON INITIAL
SCREENING/TECHNICAL REVIEW
OF PROJECT AND PROGRAMME PROPOSALS**

Background

1. This document presents to the Project and Programme Review Committee (PPRC) of the Adaptation Fund Board (the Board) an overview of the project/programme proposals submitted by Implementing Entities (IEs) to the current meeting, and the process of screening and technical review undertaken by the secretariat.
2. The analysis of the proposals mentioned above is contained in a separate addendum to this document.

Current single country cap

3. At the thirty-sixth meeting, having considered the analysis contained in document AFB/B.36/5, the Adaptation Fund Board (the Board) decided:

- (a) *To revise the cap per country established by decision B.13/23 from US\$ 10 million to US\$ 20 million for all eligible developing country Parties, so that any Party could access a total of up to US\$ 20 million from the Adaptation Fund once it had accessed funding amounting to at least US\$ 8 million for concrete single-country adaptation projects or programmes or once four years had passed since approval of the first concrete single-country adaptation project(s)/(programme(s) by the Board, whichever occurred earlier;*
- (b) *To set a maximum level of US\$ 10 million for an individual funding request for single country concrete adaptation projects, provided that lower maximum levels could be set by the Board in specific circumstances, such as in the case of national implementing entities accredited through the streamlined process; [...]*

(Decision B.36/41)

4. Currently, four countries (Cambodia, Costa Rica, Georgia and Uruguay) have exhausted the funding available under the country cap as per Decision B.36/41. The specific amount currently available to each country depends on the conditions set in sub-paragraph (a).

Table 1: Countries with less than US\$ 1 million remaining under the country cap

Countries with less than US\$ 1 million remaining under country cap			
Country	No. of Projects approved	Amount approved in US\$	Amount left under US\$ 20 M Cap
Cambodia	3	19,954,273	45,727
Costa Rica	2	19,970,000	30,000
Georgia	3	19,808,060	191,940
Uruguay	1	19,967,678	32,322
Since AFB42 four (4) countries have less than US\$ 1 M remaining under the country cap.			

Funding status under the MIE cap

5. At the twelfth meeting, the Board instituted a cap of 50 per cent for project funds directed through Multilateral Implementing Entities (MIEs), having decided:

- (a) *That the cumulative budget allocation for funding projects submitted by MIEs, should not exceed 50 per cent of the total funds available for funding decisions in the Adaptation Fund Trust Fund at the start of each session. That cumulative allocation would be subject to review by the Board on the recommendation of the Project and Programme Review Committee at subsequent sessions;*
- (b) *To request the Trustee to provide an update on the amount of funds that have been approved for projects implemented by NIEs and MIEs at each meeting of the Adaptation Fund Board; and*
- (c) *To review the implementation of this decision at the fourteenth meeting of the Adaptation Fund Board.*

(Decision B.12/9)

6. In its seventeenth meeting, having considered the recommendation of the Ethics and Finance Committee (EFC), the Board decided to:

- (a) *Maintain the 50 per cent cap on the funding of projects/programmes implemented by MIEs established by decision B.12/9, and exclude project/programme concepts from the 50 per cent calculation; [...]*

(Decision B.17/19)

7. According to the latest Financial Report prepared by the Trustee as of 30 June 2024, the cumulative funding decisions for all projects/programmes amounted to US\$ 1,224.48 billion and funds available to support AF Board funding decisions amounted to US\$ 489.70 million. The cumulative funding decisions for projects/programmes submitted by MIEs amounted to US\$ 758.11 million. The Board had instituted, through decision B.12/9, a cap of 50 per cent for projects/programmes submitted by MIEs. Some categories of proposals submitted by MIEs had been excluded from counting towards the 50 per cent, and the cumulative funding decisions for MIE projects/programmes that counted towards it amounted to US\$ 746.40 million. Therefore, the funds available below the 50 per cent cap currently amount to US\$ 113.20 million.

Funding window for regional projects and programmes

8. Since its inception and until March 2017, the Adaptation Fund Board had only approved projects and programmes implemented in individual countries. At its twenty-fifth meeting, the Board considered a proposal for a pilot programme on regional projects and programmes, and decided to:

- (a) *Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) *Set a cap of US\$ 30 million for the programme;*

- (c) *Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; [...]*

(Decision B.25/28)

9. In accordance with the decision B.25/28 and the document AFB/B.25/6/Rev.2, the secretariat had issued, on 5 May 2015, an invitation to submit project and programme proposals for funding under the pilot programme. The invitation was sent to Designated Authorities (DAs) of the Adaptation Fund, and to Multilateral and Regional Implementing Entities (RIEs) accredited by the Board.

10. The Board decided, at its twenty-sixth meeting, [...] *to request the secretariat to inform the Multilateral Implementing Entities and Regional Implementing Entities that the call for proposals under the Pilot programme for Regional Projects and Programmes is still open and to encourage them to submit proposals to the AFB at its 27th meeting, bearing in mind the cap established by decision B.25/28.*

(Decision B.26/3)

11. The Board considered, at its twenty-seventh meeting, issues related to the pilot programme on regional projects and programmes and decided to:

- (a) *Continue consideration of regional project and programme proposals under the pilot programme, while reminding the implementing entities that the amount set aside for the pilot programme is US\$ 30 million;*
- (b) *Request the secretariat to prepare for consideration by the Project and Programme Review Committee at its nineteenth meeting, a proposal for prioritization among regional project/programme proposals, including for awarding project formulation grants, and for establishment of a pipeline; and*
- (c) *Consider the matter of the pilot programme for regional projects and programmes at its twenty-eighth meeting.*

(Decision B.27/5)

12. The proposal requested in (b) above was presented to the nineteenth meeting of the PPRC as document AFB/PPRC.19/5. The Board subsequently decided:

- (a) *With regard to the pilot programme approved by decision B.25/28:*

- (i) *To prioritize the four projects and 10 project formulation grants as follows:*

1. *If the proposals recommended to be funded in a given meeting of the PPRC do not exceed the available slots under the pilot programme, all those proposals would be submitted to the Board for funding;*

2. *If the proposals recommended to be funded in a given meeting of the PPRC do exceed the available slots under the pilot programme, the proposals to be funded under the pilot programme would be prioritized so that the total number of projects and project formulation grants (PFGs) under the programme maximizes the total diversity of projects/PFGs. This would be done using a three-tier prioritization system: so that the proposals in relatively*

less funded sectors would be prioritized as the first level of prioritization. If there are more than one proposal in the same sector: the proposals in relatively less funded regions are prioritized as the second level of prioritization. If there are more than one proposal in the same region, the proposals submitted by relatively less represented implementing entity would be prioritized as the third level of prioritization;

- (ii) To request the secretariat to report on the progress and experiences of the pilot programme to the PPRC at its twenty-third meeting; and*
- (b) With regards to financing regional proposals beyond the pilot programme referred to above:*
 - (i) To continue considering regional proposals for funding, within the two categories originally described in document AFB/B.25/6/Rev.2: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million, subject to review of the regional programme;*
 - (ii) To establish two pipelines for technically cleared regional proposals: one for proposals up to US\$ 14 million and the other for proposals up to US\$ 5 million, and place any technically cleared regional proposals, in those pipelines, in the order described in decision B.17/19 (their date of recommendation by the PPRC, their submission date, their lower “net” cost); and*
 - (iii) To fund projects from the two pipelines, using funds available for the respective types of implementing entities, so that the maximum number of or maximum total funding for projects and project formulation grants to be approved each fiscal year will be outlined at the time of approving the annual work plan of the Board.*

(Decision B.28/1)

13. At the twenty-ninth meeting, having considered the comments and recommendation of the Project and Programme Review Committee, the Adaptation Fund Board (the Board) decided:

- (a) To include in its work plan for fiscal year 2018 a program of work amounting to US\$ 30 million for the funding of regional project and programme proposals, as follows:*
 - (i) Up to three proposals requesting up to US\$ 5 million for funding;*
 - (ii) One proposal requesting up to US\$ 14 million of funding;*
 - (iii) Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully developed project documents requesting up to US\$ 5 million of funding;*
 - (iv) Up to five project formulation grant (PFG) requests, of up to US\$ 100,000 each, for preparing project and programme concepts or fully developed project documents requesting up to US\$ 14 million of funding.*

(Decision B.29/4)

14. At its thirty-first meeting, the Board subsequently decided:

- (a) *To merge the two pipelines for technically cleared regional proposals established in decision B.28/1(b)(ii), so that starting in fiscal year 2019 the provisional amount of funding for regional proposals would be allocated without distinction between the two categories originally described in document AFB/B.25/6/Rev.2, and that the funding of regional proposals would be established on a 'first come, first served' basis; and*
- (b) *To include in its work programme for fiscal year 2019 provision of an amount of US\$ 60 million for the funding of regional project and programme proposals, as follows:*
 - (i) *Up to US\$ 59 million to be used for funding regional project and programme proposals in the two categories of regional projects and programmes: ones requesting up to US\$ 14 million, and others requesting up to US\$ 5 million; and*
 - (ii) *Up to US\$ 1 million for funding project formulation grant requests for preparing regional project and programme concepts or fully developed project and programme documents.*

(Decision B.31/3)

15. For the fiscal year 2020, the decision was taken by the Board to approve the same amounts as for the year 2019 (decision B.33/12). Subsequent decisions are summarized in Table 2.

16. Most recently, having considered the recommendation of the Project and Programme Review Committee, the Adaptation Fund Board decided to request the secretariat to include in its work programme for fiscal year 2025 provisions for the amounts of:

- (a) *US\$ 60 million for funding regional project and programme proposals, including the funding of project formulation grant requests for preparing regional project and programme concept or fully developed project documents;*
[...]

(Decision B.42/33)

17. Details of funding allocations under the Funding Window for regional projects and programmes per Board decision are displayed below:

Table 2. Funding allocations for regional projects per Board decision

AF Board decision	Amount (US\$ M)	For fiscal year
B.25/28	30.00	2015
B.29/4	30.00	2018
B.31/3	60.00	2019
B.33/12	60.00	2020
B.35a-35b/75	30.00	2021
B.36/1	60.00	2022
B.38/5	60.00	2023
B.40/56	100.00	2024
B.42/33	60.00	2025

Remaining Funds Available under the Funding Window for Regional Projects and Programmes

18. The total amount funded for regional projects and programmes (*including project formulation grants*) in the fiscal year 2024 is US\$ 14,271,159. The amount of funding currently remaining from the approved for the fiscal year 2025 provision for regional programming is US\$ 60 million. There are no regional projects or programmes currently on the waitlist. Currently, no regional fully developed proposals are being presented for approval.

19. The secretariat is presenting below the details of the regular projects submitted for this cycle, following the details of the pilot rolling-basis process as described AFB/PPRC.31/60 and approved in Decision B.40/59. This decision was confirmed by Decision B.41/21.

Project/programme proposals submitted by implementing entities: single country proposals

20. Accredited Entities submitted 31 single country project proposals to the secretariat, of which 30 were eligible and for which technical reviews were carried out. One proposal was found to be not eligible as it was not supported by a valid letter of endorsement. Of the 30 eligible single country project proposals, nine project proposals submitted to the secretariat were technically cleared. One proposal, a regional concept, was withdrawn by the IE, which was due to the issues with the unused PFG awarded at the pre-concept stage. All eligible proposals single country proposals are presented in Table 4 below. The total amount of funding requested by the eligible single country proposals is US\$ 251,055,063 (excluding project formulation grants).

21. The proposals were submitted by the following National, Regional and Multilateral Implementing Entities of the Fund: Caribbean Community climate Change Center (CCCCC) Development Bank of Latin America (CAF); Comisión Acción Social Menonita (CASM), Honduras, Ministry of Environment Rwanda (MOE) Environmental Management Agency (EMA), Zimbabwe; Environmental Project Implementation Unit (EPIU), Armenia; National Environment Fund Benin (FNEB);Fondacion Natura; the International Center for Integrated Mountain Development (ICIMOD);, the International Fund for Agricultural Development (IFAD); Partnership for Governance Reform (Kemitraan) of Indonesia (Kemitran); Peruvian Trust Fund for National Parks and Protected

Areas (PROFONANPE); Pacific Regional Environment Programme (SPREP); the United Nations Human Settlement Programme (UN-Habitat), United Nations Industrial Development Organization (UNIDO) and the United Nations World Food Programme (WFP). The proposals included US\$ 19,673,073 or 7.83%¹ in IE management fees and US\$ 20,013,464 or 7.97%² in execution costs.

22. Of the eight projects that were technically cleared, four are fully developed project proposals and four are concept notes. The total requested funding of the fully developed proposals amounts to US\$ 27,800,364 (excluding project formulation grants).

23. All the proposals are in compliance with Board decision B.11/16 to cap management fees at 8.5%. In accordance with the same decision B.11/16, all proponents of fully developed project documents provide a budget on fee use.

24. All the proposals are in compliance with Board Decision B.13/17 to cap execution costs at 9.5% of the project/programme budget.

25. All submissions for single countries request funding below the cap available to each country, as per decision B.13/23 and decision B.36/41 on the *Provision* of financial resources between single-country and regional concrete adaptation project and programmes (country cap) -see Table 3 below. None of the proposals are small size proposals (under US\$ 1 million). Three MIEs submitted multiple proposals. The fourteen proposals are from fourteen different countries, and five of those are requesting part of the additional US\$ 10 million available to them as per decision B.36/41.

26. All fully developed project/programme documents provide an explanation and a breakdown of their execution costs and other administrative costs, and are in compliance with the following Board Decision made in the twelfth meeting:

(b) To request to the implementing entities that the project document include an explanation and a breakdown of all administrative costs associated with the project, including the execution costs.

(Decision B.12/7)

27. Details of the single-country proposals are contained in separate PPRC working documents, as follows:

¹ The implementing entity management fee percentage is calculated compared to the project budget including the project activities and the execution costs, before the management fee.

² The execution costs percentage is calculated as a percentage of the project budget, including the project activities and the execution costs, before the implementing entity management fee.

Table 3: Single country proposals technically reviewed for consideration at AFB.43

1. Full Proposals: Single country	Country	IE	FIF Platform Document ID	Number of technical reviews	PPRC Document number	Grant Size, USD	Country Cap Balance
NIE							
	Armenia	EPIU	AF00000363	5	AFB/PPRC.34/Inf.3	3,780,513	16,058,900
	Benin	FNEC	AF00000290	3	AFB/PPRC.34/14	3,053,744	17,065,455
	Honduras	CASM	AF00000350	1	AFB/PPRC.34/Inf.4	3,999,999	10,000,000
	Panama	Fundacion Natura	AF00000289	2	AFB/PPRC.34/Inf.5	10,000,000	10,032,441
	Peru	PROFONANPE	AF00000296	3	AFB/PPRC.34/15	4,746,620	4,746,649
	Rwanda	MoE	AF00000389	2	AFB/PPRC.34/Inf.6	10,000,000	10,030,381
RIE							
	Bangladesh	ICIMOD	AF00000347	4	AFB/PPRC.34/Inf.37	10,000,000	10,004,631
	Pakistan	ICIMOD	AF00000348	4	AFB/PPRC.34/17	10,000,000	10,000,000
MIE							
	Azerbaijan	UN-Habitat	AF00000388	5	AFB/PPRC.34/18	10,000,000	20,000,000
	Bosnia, Herzegovina	IFAD	AF00000364	1	AFB/PPRC.34/Inf.7	10,000,000	20,000,000
	Philippines	UNIDO	AF00000297	2	AFB/PPRC.34/Inf.8	9,003,493	20,000,000
	Uzbekistan	IFAD	AF00000369	3	AFB/PPRC.34/Inf.9	10,000,000	14,584,897
	Yemen	UN-Habitat		1	AFB/PPRC.34/Inf.10	9,998,560	20,000,000
	Zambia	IFAD	AF00000280	3	AFB/PPRC.34/Inf.11	10,000,000	20,000,000
Sub-total, USD						114,582,929	
2. Concepts: Single country	Country	IE	FIF Platform Document ID	Number of technical reviews	PPRC Document number	Grant Size, USD	Country Cap Balance
NIE							
	Indonesia	Kemitraan	AF00000374	2	AFB/PPRC.34/Inf.12	979,548	10,278,559
	Zimbabwe	EMA	AF00000392	1	AFB/PPRC.34/Inf.13	10,000,000	10,011,000
RIE							
	Barbados	CCCCC	AF00000406	1	AFB/PPRC.34/Inf.14	10,000,000	20,000,000
	Dominican Republic	CAF	AF00000397	3	AFB/PPRC.34/19	10,000,000	10,043,308
	Papua New Guinea	SPREP	AF00000396	1	AFB/PPRC.34/Inf.15	3,459,267	3,469,627
	St. Kitts and Nevis	CCCCC	AF00000393	2	AFB/PPRC.34/Inf.16	10,000,000	20,000,000
MIE							
	Algeria	IFAD	AF00000405	1	AFB/PPRC.34/Inf.17	10,000,000	20,000,000
	Bolivia	IFAD	AF00000377	4	AFB/PPRC.34/20	10,000,000	10,000,000
	Botswana	IFAD	AF00000385	2	AFB/PPRC.34/Inf.18	10,000,000	20,000,000
	Comoros	IFAD	AF00000403	1	AFB/PPRC.34/Inf.19	10,000,000	20,000,000

	Djibouti	IFAD	AF00000402	1	AFB/PPRC.34/Inf.20	9,997,436	10,002,159
	Eswatini	IFAD	AF00000395	1	AFB/PPRC.34/Inf.21	10,000,000	20,000,000
	Malawi	IFAD	AF00000380	1	AFB/PPRC.34/Inf.22	10,000,000	10,010,665
	Mongolia	IFAD	AF00000404	1	AFB/PPRC.34/Inf.23	2,038,883	2,038,883
	Somalia	IFAD	AF00000382	3	AFB/PPRC.34/21	10,000,000	20,000,000
	Tunisia	WFP	AF00000356	2	AFB/PPRC.34/22	9,997,000	10,002,812
Sub-total, USD						136,472,134	
GRAND TOTAL						251,055,063	

31. Details of the single-country proposals not technically cleared are presented to the Board as information documents.

Project/programme proposals submitted by implementing entities: regional proposals

32. Accredited entities submitted ten regional proposals to the secretariat, nine were eligible and technical reviews were carried out. The total amount of funding being requested by the eligible regional proposals is US\$ 121,309,230 and includes US\$ 10,396,327 (8.57%) in IEs' management fees and US\$ 10,343,368 or (8.52%) in execution costs.

33. The proposals were submitted by the following Regional and Multilateral Implementing Entities of the Fund; Caribbean Development Bank (CDB); the International Fund for Agricultural Development (IFAD), the United Nations Environment Programme (UNEP); World Health Organization (WHO); the United Nations World Food Programme (WFP) and World Meteorological Organization (WMO).

34. Of the nine eligible regional proposals submitted to the secretariat, three proposals were technically cleared and are presented in Table 4 below. The total requested funding of those proposals amounts to US\$ 41,915,000 (excluding project formulation grants). None of the three proposals are fully developed projects, two are concept notes, and one is a pre-concepts.

Table 4: Regional proposals technically reviewed for consideration at AFB 42

1. Full Proposals: Regional	Region/Countries	IE	# of reviews	PPRC Document number	Grant Size, USD
MIE					
	Cameroon, Central African Republic, Chad, Niger, Nigeria	WMO	2	AFB/PPRC.34/Inf.24	11,000,000
	Cuba, Panama	IFAD	2	AFB/PPRC.34/Inf.25	14,000,000
Sub-total, USD					25,000,000
2. Concepts: Regional	Region/Countries	IE		PPRC Document number	Grant Size, USD
MIE					
	Bolivia, Ecuador, Peru	IFAD	2	AFB/PPRC.34/23	14,000,000
	Honduras, El Salvador, Guatemala	UNEP	2	AFB/PPRC.34/24	14,000,000
Sub-total, USD					28,000,000
3. Pre-concepts: Regional	Region/Countries	IE		PPRC Document number	Grant Size, USD
MIE					
	Argentina, Chile, Colombia, Peru	UNEP	6	AFB/PPRC.34/25	13,915,000
	Botswana, Mozambique, South Africa, Zimbabwe	WFP	1	AFB/PPRC.34/Inf.26	13,995,000
	Cambodia, Lao PDR, Viet Nam, Thailand	WMO	3	AFB/PPRC.34/Inf.27	12,466,575
	Costa Rica, Panama	WMO	1	AFB/PPRC.34/Inf.28	13,932,655
	Costa Rica, Panama, Dominican Republic	IFAD	2	AFB/PPRC.34/Inf.29	14,000,000
Sub-total, USD					68,309,230
GRAND TOTAL					121,309,230

35. Details of the regional proposals that are not technically cleared are presented to the Board as information documents.

The review process

36. The secretariat received 41 submissions for the AFB43 review cycle. Thirty-nine (39) submissions were found to be eligible and, in accordance with the operational policies and guidelines, the secretariat screened and prepared technical reviews for them.

37. The eligible submissions were posted online for public review, inviting comments within a specified time period. Comments were received for Green, Resilient, and Adaptive CHT Economy (GRACE)-LoCALplus, a fully-developed proposal submitted by ICIMOD on behalf of Bangladesh. The comments were received after the specified preferred deadline, but none-the-less shared with the IE to address the comments.

38. In line with the details of the rolling-basis submission, the secretariat shared the technical review findings with the IEs that had submitted the proposals and solicited their responses to specific items requiring clarification. Responses were requested by e-mail, and IEs were given three weeks to respond to the comments of each round of the technical reviews. The IEs were offered the opportunity to discuss the review findings with the secretariat by telephone as is the usual practice

and the secretariat organized 15 such calls. The secretariat received three requests for extension of the time available to resubmit a revised proposal to enable the IEs to address the requests received during the technical review.

39. The secretariat reviewed the IEs' responses to the clarification requests and undertook additional rounds of technical reviews as needed. As per the details of the rolling basis submission process, the secretariat compiled comments and recommendations for technically cleared proposals or proposals that are recommended to be rejected. These are presented in the addendum to this document (AFB/PPRC.31/3/Add.1).

Co-financing reported at submission

40. During the review period two proposals contained information that they would be co-financed. Additional clarifications have been sought through the review process to confirm if the requested financing from the Adaptation Fund could be justified on the basis of full cost of adaptation reasoning, where this was not clearly presented in those proposals. Both proposals are fully developed proposals and have not been technically cleared by the Secretariat.

Table 5: Project proposals forwarded to the forty-second Adaptation Fund Board meeting

1. Full Proposals: Single-country	Country	IE	Number of technical reviews	PPRC Document number	Grant Size, USD	IE Fee	IE Fee %	EC Costs	EC %
NIE									
	Armenia	EPIU	5	AFB/PPRC.34/Inf.3	3,780,513	296,169	8.50%	51,492	1.48%
	Benin	FNEC	3	AFB/PPRC.34/14	3,053,744	239,235	8.50%	244,181	8.68%
	Honduras	CASM	1	AFB/PPRC.34/Inf.4	3,999,999	287,821	7.75%	322,061	8.68%
	Panama	Fundacion Natura	2	AFB/PPRC.34/Inf.5	10,000,000	783,410	8.50%	799,613	8.68%
	Peru	PROFONANPE	3	AFB/PPRC.34/15	4,746,620	341,920	7.76%	382,116	8.68%
	Rwanda	MoE	2	AFB/PPRC.34/Inf.6	10,000,000	615,960	6.56%	850,000	9.06%
RIE									
	Bangladesh	ICIMOD	4	AFB/PPRC.34/Inf.37	10,000,000	783,410	8.50%	568,607	6.17%
	Pakistan	ICIMOD	4	AFB/PPRC.34/17	10,000,000	783,410	8.50%	590,905	6.41%
MIE									
	Azerbaijan	UN-Habitat	5	AFB/PPRC.34/18	10,000,000	709,773	7.64%	798,577	8.60%
	Bosnia, Herzegovina	IFAD	1	AFB/PPRC.34/Inf.7	10,000,000	783,410	8.50%	717,771	7.79%
	Philippines	UNIDO	2	AFB/PPRC.34/Inf.8	9,003,493	705,343	8.50%	608,150	7.33%
	Uzbekistan	IFAD	3	AFB/PPRC.34/Inf.9	10,000,000	770,000	8.34%	870,000	9.43%
	Yemen	UN-Habitat	1	AFB/PPRC.34/Inf.10	9,998,560	783,077	8.50%	875,426	9.50%
	Zambia	IFAD	3	AFB/PPRC.34/Inf.11	10,000,000	783,410	8.50%	804,590	8.73%
Sub-total, USD					114,582,929	8,666,348		8,483,489	
2. Concepts: Single-country	Country	IE	Number of technical reviews	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %

NIE									
	Indonesia	Kemitraan	2	AFB/PPRC.34/Inf. 12	979,548	76,745	8.50%	85,766	9.50%
	Zimbabwe	EMA	1	AFB/PPRC.34/Inf. 13	10,000,000	850,000	9.29%	950,000	10.38%
RIE									
	Barbados	CCCCC	1	AFB/PPRC.34/Inf. 14	10,000,000	850,000	9.29%	500,000	5.46%
	Dominican Republic	CAF	3	AFB/PPRC.34/19	10,000,000	783,000	8.50%	875,000	9.49%
	Papua New Guinea	SPREP	1	AFB/PPRC.34/Inf. 15	3,459,267	294,068	9.29%	328,664	10.38%
	St. Kitts and Nevis	CCCCC	2	AFB/PPRC.34/Inf. 16	10,000,000	775,000	8.40%	825,000	8.94%
MIE									
	Algeria	IFAD	1	AFB/PPRC.34/Inf. 17	10,000,000	783,410	8.50%	875,576	9.50%
	Bolivia	IFAD	4	AFB/PPRC.34/20	10,000,000	783,410	8.50%	873,612	9.48%
	Botswana	IFAD	2	AFB/PPRC.34/Inf. 18	10,000,000	783,410	8.50%	799,613	8.68%
	Comoros	IFAD	1	AFB/PPRC.34/Inf. 19	10,000,000	783,410	8.50%	873,612	9.48%
	Djibouti	IFAD	1	AFB/PPRC.34/Inf. 20	9,997,436	783,952	8.51%	875,166	9.50%
	Eswatini	IFAD	1	AFB/PPRC.34/Inf. 21	10,000,000	949,500	10.49%	940,800	10.40%
	Malawi	IFAD	1	AFB/PPRC.34/Inf. 22	10,000,000	783,410	8.50%	798,590	8.66%
	Mongolia	IFAD	1	AFB/PPRC.34/Inf. 23	2,038,883	156,000	8.29%	164,000	8.71%
	Somalia	IFAD	3	AFB/PPRC.34/21	10,000,000	783,410	8.50%	875,576	9.50%
	Tunisia	WFP	2	AFB/PPRC.34/22	9,997,000	788,000	8.56%	889,000	9.65%
Sub-total, USD					136,472,134	11,006,725		11,529,975	
3. Full Proposals: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE									
	Cameroon, Central African Republic, Chad, Niger, Nigeria	WMO	2	AFB/PPRC.34/Inf. 24	11,000,000	1,000,000	10.00%	1,000,000	10.00%
	Cuba, Panama	IFAD	2	AFB/PPRC.34/Inf. 25	14,000,000	1,077,849	8.34%	1,223,864	9.47%
Sub-total, USD					25,000,000	2,077,849		2,223,864	
4. Concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE									
	Bolivia, Ecuador, Peru	IFAD	2	AFB/PPRC.34/23	14,000,000	1,096,774	8.50%	1,065,404	8.26%
	Honduras, El Salvador, Guatemala	UNEP	2	AFB/PPRC.34/24	14,000,000	1,270,000	9.98%	1,270,000	9.98%
Sub-total, USD					28,000,000	2,366,774		2,335,404	
5. Pre-concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document number	Grant Size, USD	IE Fee, USD	IE Fee %	Execution Cost, USD	EC %
MIE									
	Argentina, Chile, Colombia, Peru	UNEP	6	AFB/PPRC.34/25	13,915,000	1,265,000	10.00%	1,150,000	9.09%

	Botswana, Mozambique, South Africa, Zimbabwe	WFP	1	AFB/PPRC.34/Inf.26	13,995,000	1,190,000	9.29%	1,330,000	10.39%
	Cambodia, Lao PDR, Viet Nam, Thailand	WMO	3	AFB/PPRC.34/Inf.27	12,466,575	1,133,325	10.00%	983,250	8.68%
	Costa Rica, Panama	WMO	1	AFB/PPRC.34/Inf.28	13,932,655	1,266,605	10.00%	1,095,050	8.65%
	Costa Rica, Panama, Dominican Republic	IFAD	2	AFB/PPRC.34/Inf.29	14,000,000	1,096,774	8.50%	1,225,800	9.50%
Sub-total, USD					68,309,230	5,951,704		5,784,100	
GRAND TOTAL					372,364,293	30,069,400		30,356,832	

Table 6: Project Formulation Grants forwarded to the forty-third Adaptation Fund Board meeting

1. Project Formulation Grants (PFG): Single-country	Country	IE	Number of technical reviews	PPRC Document number	Grant Size, USD
NIE					
	Zimbabwe	EMA	1	AFB/PPRC.34/Inf.13	50,000
Sub-total, USD					50,000
2. Project Formulation Grants (PFG): Regional Concepts	Region/Countries	IE	Number of technical reviews	PPRC Document number	Grant Size, USD
MIE					
	Bolivia, Ecuador, Peru	IFAD	2	AFB/PPRC.34/23/Add.1	100,000
	Honduras, El Salvador, Guatemala	UNEP	2	AFB/PPRC.34/Inf.38	80,000
Sub-total, USD					180,000
3. Project Formulation Grants (PFG) Pre-concepts: Regional	Region/Countries	IE	Number of technical reviews	PPRC Document number	Grant Size, USD
MIE					
	Argentina, Chile, Colombia, Peru	UNEP	6	AFB/PPRC.34/25	36,000
	Botswana, Mozambique, South Africa, Zimbabwe	WFP	1	AFB/PPRC.34/Inf.26	180,000
	Cambodia, Lao PDR, Viet Nam, Thailand	WMO	3	AFB/PPRC.34/Inf.27	20,000
	Costa Rica, Panama	WMO	1	AFB/PPRC.34/Inf.28	20,000
	Costa Rica, Panama, Dominican Republic	IFAD	2	AFB/PPRC.34/Inf.29	20,000
Sub-total, USD					276,000
GRAND TOTAL					506,000