



ADAPTATION FUND

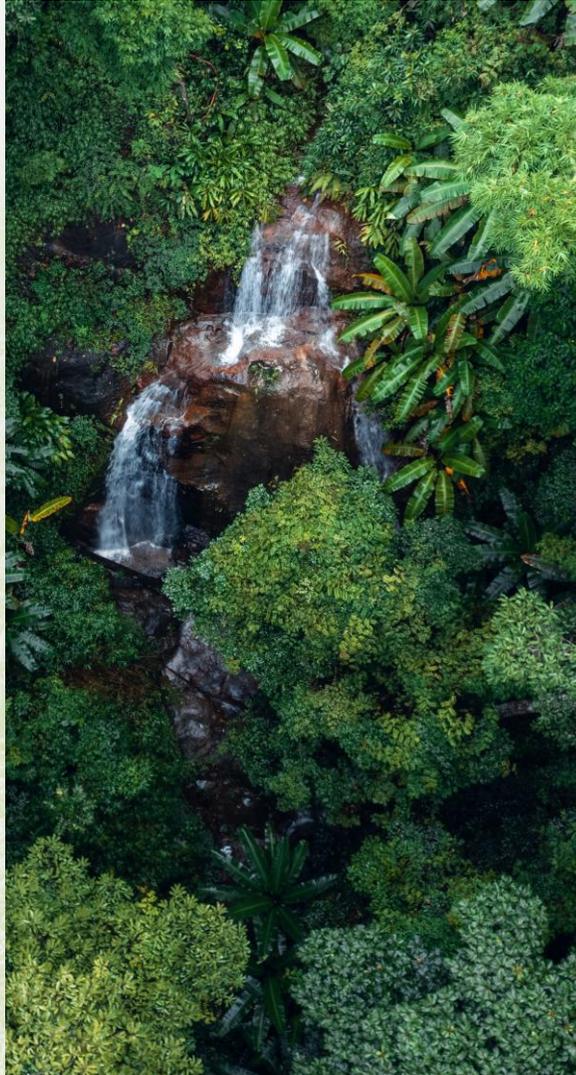
# OVERVIEW OF THE AF ACCREDITATION PROCESS

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# Overview



- **1** Background on the AF Accreditation Process
- **2** How to become an accredited entity
- **3** Parties of the Accreditation Process
- **4** Accreditation modalities
- **5** AF Accreditation Standards

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# What is the AF Accreditation Process?



# Background

- The AF Accreditation process is intended to ensure that applicant entities follow fiduciary and safeguard standards while accessing financial resources of the Adaptation Fund.
- Applicants undergo an institutional assessment to make sure they adhere to accreditation standards and implement effective social and environmental safeguards to identify any project risks in advance, prevent any harm, and improve the effectiveness and sustainability of results.
- Follows a transparent and systematic process through an Adaptation Fund Accreditation Panel (the Panel) supported by the Secretariat.



Receive **direct finance transfers** to design, implement, and oversee climate projects



Build internal capacity and codify organizational systems



Garner international recognition  
Strengthened ownership,  
improved transparency  
throughout project cycle



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**How to  
become an  
AF accredited  
entity?**



# Overall AF Accreditation Process



- ❑ **Nomination:** An entity that meets the accreditation standards is identified and nominated as a potential implementing entity (IE) by the Designated Authority (DA)
- ❑ **Application:** Submission of accreditation application to the Secretariat together with the supporting documentation demonstrating their commitment, capacity, and compliance
- ❑ **Screening:** AFS ensures all the necessary information is provided. AFS follows up with the potential IE to ensure that the application package is complete.
- ❑ **Review:** The Panel reviews the application, identifies any potential gaps and communicates directly with the applicant until it is ready to make a final assessment and recommendation.
- ❑ **AP Recommendation:** Based on the Panel's assessment and recommendation, the AFB approves recommendation for accreditation.



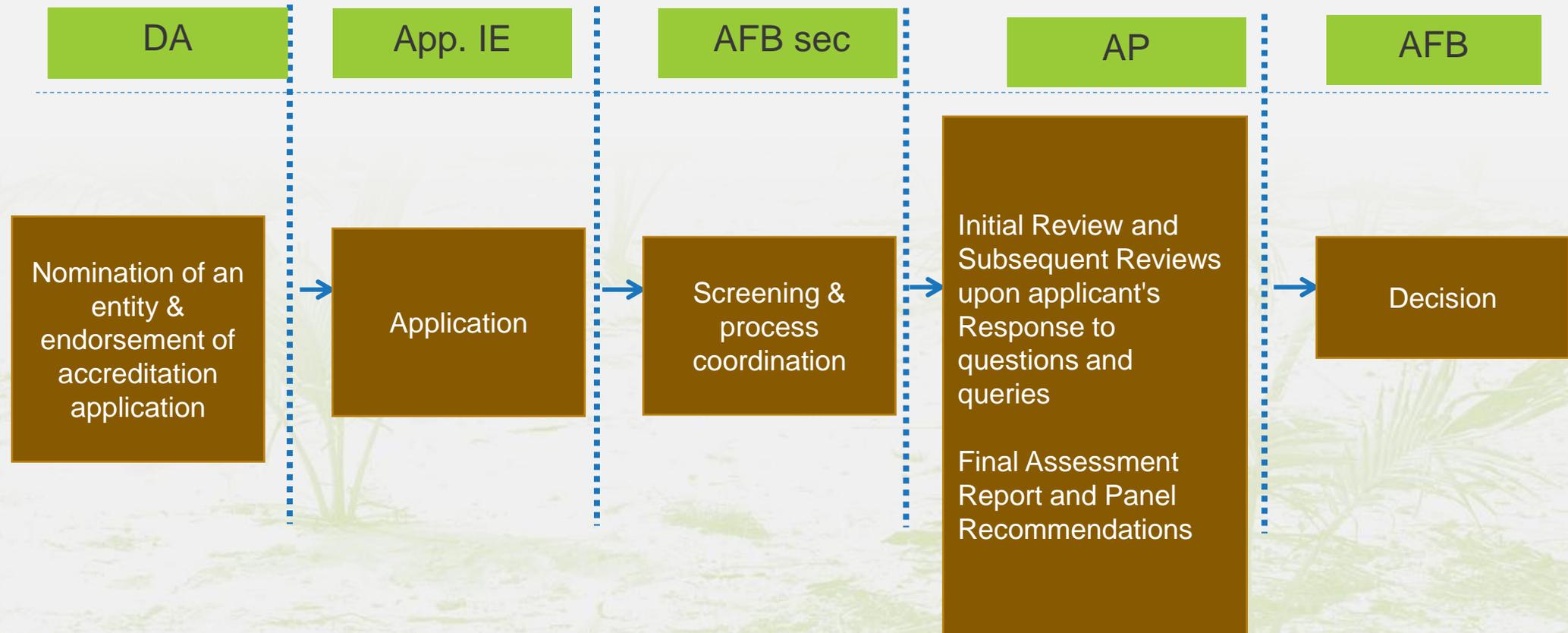
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## Parties of the Accreditation Process



# Parties of the Accreditation Process

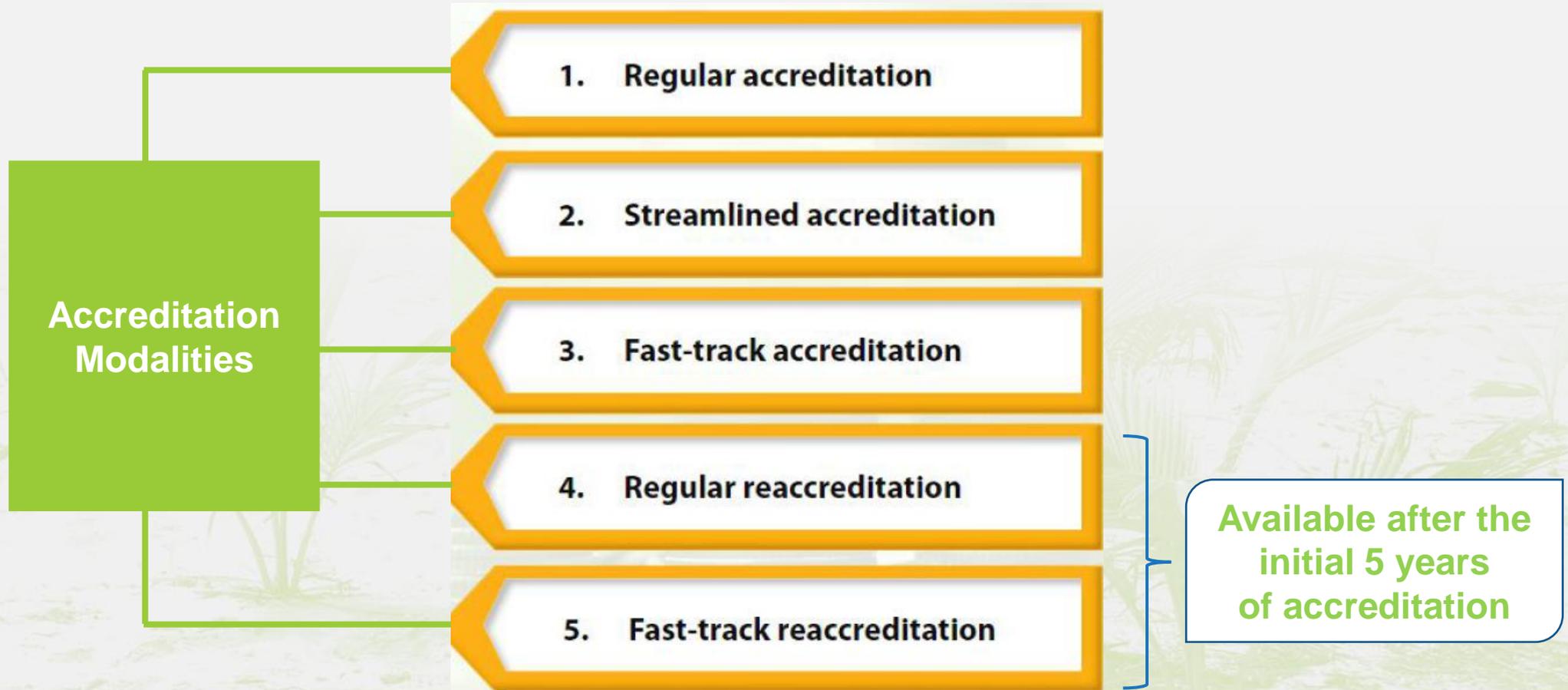


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## Accreditation Modalities



# AF Accreditation Modalities



- ❑ Accreditation status, whether granted through the Regular, Streamlined, or Fast Track accreditation process, is **valid for five years with a possibility of renewal (i.e. re-accreditation)**.

# AF Accreditation Modalities – Cont'd

- ❑ **Regular Accreditation:** Available to entities of all types, sizes, and risk profiles.
- ❑ **Streamlined Accreditation:** Designed to support **smaller entities** access AF resources; typically available for applicants that **execute or implement projects that cost up to USD 1 million**; that have a **staff of up to 25 members** engaged in projects, and that have **annual administrative expenses of up to USD 1 million**.
- ❑ **Fast-track Accreditation:** Available to all applicant entities that had been accredited by the GCF within a period of four years prior to the submission of the accreditation application to the Fund.
- ❑ **Regular re-accreditation & fast-track re-accreditation:** Allow an entity to renew its accreditation status with the Adaptation Fund.
  - Developed to provide the Panel with a systematic approach to review the sustainability of an applicant's competencies under the fiduciary standards as well as its potential to address the criteria of the ESP and GP.



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## Accreditation Standards



# AF Accreditation Standards

- The Adaptation Fund's accreditation process is composed of a set of accreditation standards that consist of four broad categories: **Legal status; Financial and management integrity; Institutional capacity; and Transparency, Self-investigative Powers, Anti-corruption measures and mechanism to address E&S and gender complaints.**

## Legal status

- Demonstration of legal personality
- Ability to contract with AF and authority to directly receive funds

## Financial Management and Integrity

- Financial Statements and External Audit requirement
- Internal Control Framework
- Internal Audit/Assurance and Oversight arrangements
- Preparation of Business plans and budget



# AF Accreditation Standards – Cont'd

## Institutional Capacity

- Procurement
- Project Preparation and Appraisal
- Project Implementation Planning
- Project Monitoring and Evaluation
- Project Closure and Final Evaluation

## Transparency, Self-investigative Powers, Anti-corruption measures and mechanism to address E&S and gender complaints

- Policies and Framework and capacity to deal with fraud, corruption and other forms of malpractice
- Commitment by the entity to apply the Fund's Environmental & Social and Gender policy
- Mechanism to deal with complaints on environmental and social harms caused by projects

# AF Accreditation Standards – Cont'd

- ❑ The Adaptation Fund Board has also considered (Decision B.32/36) issues related to **Anti Money Laundering (AML) and Countering the Financing of Terrorism (CFT)** and has approved “examples of supporting documentation” related to the “internal control framework”, “procurement” and “policies and framework to deal with financial mismanagement” criteria in the accreditation application form.
- ❑ In particular, the supporting documentations are related to: (i) **Policies and procedure related to anti-money-laundering/countering the financing of terrorism**; (ii) **Screening system which documents all individuals and/or organizations before the entity transfers money to them**; and (iii) **Decision-making process that the entity follows when it identifies risks related to any individuals and/or organizations**.



A close-up photograph of a strawberry plant with several ripe red strawberries and some unripe green ones. The leaves are green and serrated. The background is slightly blurred.

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**AF  
Accreditation  
Portfolio to  
date**





# Accredited Implementing Entities

## DESIGNATED AUTHORITIES



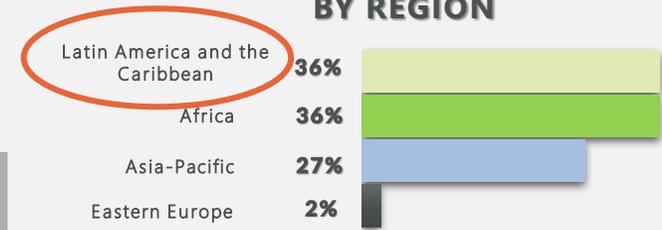
## ACCREDITED IMPLEMENTING ENTITIES (TOTAL 60)



## ACCREDITED IMPLEMENTING ENTITIES BY TYPE



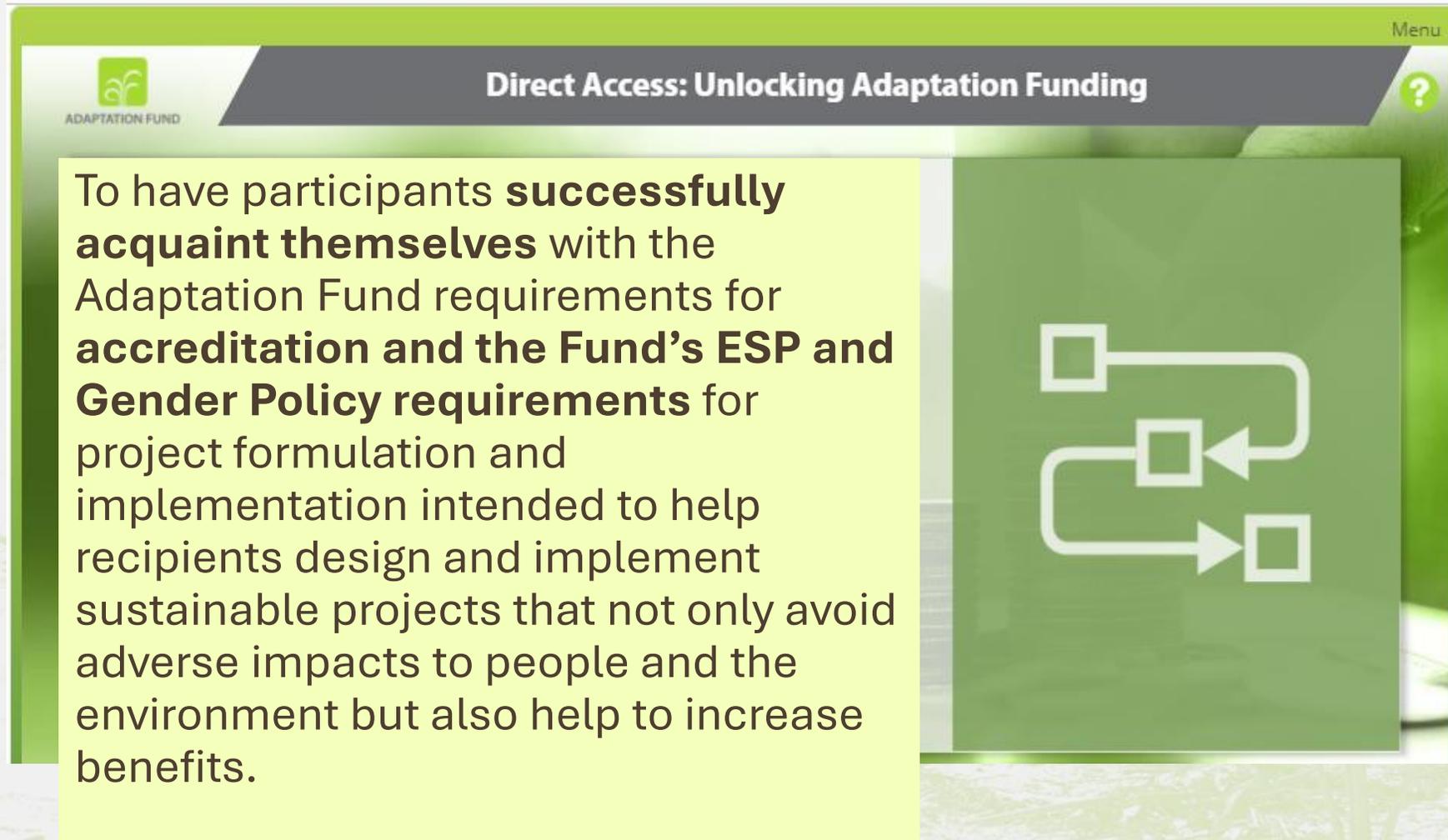
## ACCREDITED NIEs AND RIEs BY REGION



## LDCs AND SIDS AMONG ACCREDITED NIEs



# AF e-Learning course on direct access

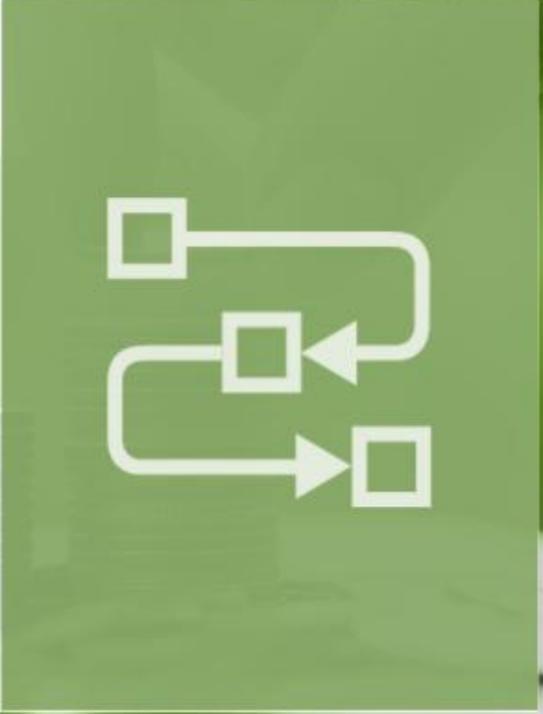


**ADAPTATION FUND**

**Direct Access: Unlocking Adaptation Funding**

Menu ?

To have participants **successfully acquaint themselves** with the Adaptation Fund requirements for **accreditation and the Fund's ESP and Gender Policy requirements** for project formulation and implementation intended to help recipients design and implement sustainable projects that not only avoid adverse impacts to people and the environment but also help to increase benefits.





**ADAPTATION FUND**

**THANK YOU**

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