



## ADAPTATION FUND

AFB/PPRC.36/7  
15 September 2025

---

Adaptation Fund Board  
Project and Programme Review Committee  
Thirty sixth Meeting  
Bonn, Germany, 7-8 October 2025

Agenda Item 4(e)

## PROPOSAL FOR INDONESIA

## Background

1. The Operational Policies and Guidelines (OPG) for Parties to Access Resources from the Adaptation Fund (the Fund), adopted by the Adaptation Fund Board (the Board), state in paragraph 45 that regular adaptation project and programme proposals, i.e. those that request funding exceeding US\$ 1 million, would undergo either a one-step, or a two-step approval process. In case of the one-step process, the proponent would directly submit a fully-developed project proposal. In the two-step process, the proponent would first submit a brief project concept, which would be reviewed by the Project and Programme Review Committee (PPRC) and would have to receive the endorsement of the Board. In the second step, the fully-developed project/programme document would be reviewed by the PPRC, and would ultimately require the Board's approval.

2. The Templates approved by the Board (Annex 5 of the OPG, as amended in March 2016) do not include a separate template for project and programme concepts but provide that these are to be submitted using the project and programme proposal template. The section on Adaptation Fund Project Review Criteria states:

*For regular projects using the two-step approval process, only the first four criteria will be applied when reviewing the 1st step for regular project concept. In addition, the information provided in the 1st step approval process with respect to the review criteria for the regular project concept could be less detailed than the information in the request for approval template submitted at the 2nd step approval process. Furthermore, a final project document is required for regular projects for the 2nd step approval, in addition to the approval template.*

3. The first four criteria mentioned above are:

- (i) Country Eligibility,
- (ii) Project Eligibility,
- (iii) Resource Availability, and
- (iv) Eligibility of NIE/MIE.

4. The fifth criterion, applied when reviewing a fully-developed project document, is:  
(v) Implementation Arrangements.

5. It is worth noting that at the twenty-second Board meeting, the Environmental and Social Policy (ESP) of the Fund was approved and at the twenty-seventh Board meeting, the Gender Policy (GP) of the Fund was also approved. Consequently, compliance with both the ESP and the GP has been included in the review criteria both for concept documents and fully-developed project documents. The proposal template was revised as well, to include sections requesting demonstration of compliance of the project/programme with the ESP and the GP.

6. At its seventeenth meeting, the Board decided (Decision B.17/7) to approve "Instructions for preparing a request for project or programme funding from the Adaptation Fund", contained in the Annex to document AFB/PPRC.8/4, which further outlines applicable review criteria for both

concepts and fully-developed proposals. The latest version of this document was launched in conjunction with the revision of the Operational Policies and Guidelines in November 2013.

7. Based on the Board Decision B.9/2, the first call for project and programme proposals was issued and an invitation letter to eligible Parties to submit project and programme proposals to the Fund was sent out on April 8, 2010.

8. The following fully-developed project document titled “Building Climate Resilient District in Indonesia: Case of Sigi District” was submitted for Armenia by the Partnership for Governance Reform (Kemitraan), which is a National Implementing Entity of the Adaptation Fund.

9. This is the sixth submission of the fully-developed project proposal using the two-step submission process.

10. It was first submitted as a project concept in the thirty-ninth meeting and was endorsed by the Board. The Board decided:

*(a) To endorse the concept note as supplemented by the clarification responses provided by the Partnership for Governance Reform in Indonesia (Kemitraan) to the request made by the technical review;*

*(b) To request the secretariat to notify Kemitraan of the observations in the review sheet annexed to the notification of the Board’s decision, as well as the following issue;*

*(i) The fully developed project proposal should include a comprehensive consultation process, with particular attention to vulnerable, marginalized, and indigenous groups;*

*(c) To approve the project formulation grant of US\$ 50,000;*

*(d) To request Kemitraan to transmit the observations under subparagraph (b) to the Government of Indonesia;*

*(e) To encourage the Government of Indonesia to submit, through Kemitraan, a fully-developed project proposal that would also address the observations under subparagraph (b) above.*

**(Decision B.39/15)**

11. The current submission was received by the secretariat in time to be considered in the forty-fifth Board meeting. The secretariat carried out a technical review of the project proposal, assigned it the diary number AF00000306, and completed a review sheet.

12. In accordance with a request to the secretariat made by the Board in its 10th meeting, the secretariat shared this review sheet with Kemitraan, and offered it the opportunity of providing responses before the review sheet was sent to the PPRC.

13. The secretariat is submitting to the PPRC the summary and, pursuant to decision B.17/15, the final technical review of the project, both prepared by the secretariat, along with the final submission of the proposal in the following section. In accordance with decision B.25.15, the proposal is submitted with changes between the initial submission and the revised version highlighted.



ADAPTATION FUND

**ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW  
OF PROJECT/PROGRAMME PROPOSAL**

PROJECT/PROGRAMME CATEGORY: Small Size Full Proposal

**Country/Region:** Indonesia/Asia & Pacific

**Project Title:** Building Climate Resilient District in Indonesia: Case of Sigi District

**Thematic Focal Area:** Rural Development

**Implementing Entity:** Kemitraan Partnership

**Executing Entities:** Konsorsium Lingkungan Adaptif, Berketahanan, Inovatif, dan Partisipatif (KOLABORASI) Koaksi Indonesia, Lingkar Temu Kabupaten Lestari (LTKL), Earth Innovation Institute (EII), Alliance for Water Stewardship Indonesia in partnership with the District of Sigi, Central Sulawesi.

**AF Project ID:** AF00000306

**IE Project ID:**

**Requested Financing from Adaptation Fund (US Dollars):** USD \$998,868

**Reviewer and contact person:** Lystra Fletcher-Paul

**Co-reviewer(s):**

**IE Contact Person:**

<p>Technical Summary</p>	<p>The project “Building Climate Resilient District in Indonesia: Case of Sigi District” aims to increase the economic, social and ecosystem resilience of Sigi District towards the detrimental impacts of climate change. This will be done through the three components below:</p> <p><u>Component 1:</u> Strengthened enabling environment to support Adaptation policy implementation in Sigi District. USD 278,583</p> <p><u>Component 2:</u> WEF nexus approach applied to improve the effectiveness of District’s Climate Change Adaptation Action Plan USD 448,360</p> <p><u>Component 3:</u> Centre of excellence of climate change adaptation at district level USD 106,216</p> <p><u>Requested financing overview:</u>  Project/Programme Execution Cost: USD 87,457  Total Project/Programme Cost: USD 920,616  Implementing Fee: USD 78,252  Financing Requested: USD 998,868</p>
--------------------------	---

	<p>The initial technical review raised several issues, such as the need for more details regarding stakeholder mapping and consultations, a grievance mechanism, cost effectiveness, implementation arrangements, financial and project management, the ESMP, core indicators and the breakdown of the IE fee and project execution costs, reflected in the Clarification Requests (CRs) and Corrective Action Request (CAR).</p> <p>This second technical review finds that there is a significant improvement in the Project Proposal, as multiple adjustments have been made to enhance the document. There are a few remaining CARs and CRs still to be addressed related to compliance with the AF ESP, gender disaggregated indicators and core indicators in the Results Framework, and alignment between the budget amounts presented throughout the proposal.</p> <p>The third technical review finds an improvement in the project proposal with most of the CAR and CRs addressed. However, there are a few issues to address. The proponent is to correctly calculate the Project/Programme Execution Cost and ensure compliance with ESP. In addition, the proposal should specify that a consultant will implement the gender action plan and the budgetary allocation for the consultant should also be included.</p> <p>The fourth technical review finds that most CAR and CRs have been addressed except for some results based management issues, executing entity clarification and risk assessment and management.</p> <p>The fifth technical review finds that most of remaining CAR and CRs have been addressed except for issues related to alignment of the M&amp;E budget and detailed budget as well as the Beneficiaries and the Results Framework.</p> <p>The final (sixth) technical review find that all remaining CARs and CRs have been addressed.</p>
Date:	September 12, 2025

Review Criteria	Questions	Comments Initial Technical Review December 11, 2024	Comments Second Technical Review February 27, 2025	Comments Third Technical Review July 7, 2025	Comments Fourth Technical Review August 11, 2025	Comments Fifth Technical Review September 6, 2025	Comments Sixth Technical Review September 12, 2025
Country Eligibility	<ul style="list-style-type: none"> <li>Is the country party to the Kyoto Protocol and/or the Paris Agreement?</li> </ul>	Yes.	-	-	-	-	-

	<ul style="list-style-type: none"> <li>Is the country a developing country particularly vulnerable to the adverse effects of climate change?</li> </ul>	<p><b>Yes.</b> Indonesia is highly vulnerable to climate change impacts, especially shifts in rainfall patterns and increasing incidence of extreme events, including flooding and landslides which threaten livelihoods and food security.</p>	-	-	-	-	-
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b>	-	-	-	-	-
	2. Does the length of the proposal amount to no more than One hundred (100) pages for the fully-developed project document, and one hundred (100) pages for its annexes?	<p><b>Yes.</b> The proposal is 82 pages inclusive of annexes. <b>CR1:</b> At Part I: Project/Programme information, please fill out the thematic focal area, check box on LOE, and check the appropriate box regarding the stage of submission.</p>	<p><b>CR1: Cleared.</b> Based on amendment to page 1.</p>	-	-	-	-
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of	<p><b>Yes.</b> The project proposes a climate-resilient district through a Water-Energy-Food (WEF) nexus approach, with Sigi District serving as the pilot for</p>	<p><b>CR2: Not cleared.</b> While the TOC has been revised for clarity (page 23), there are some inconsistencies in the formulation of outputs in the TOC</p>	<p><b>CR2: Cleared</b> in page 23 with the alignment of outputs (2.1.4, 2.1.5, 2.2.1, and 2.2.3) on the TOC with Table 6 on page 24</p>	-	-	-

	<p>climate change and build in climate resilience?</p>	<p>these adaptation efforts. It aims to strengthen the enabling environment for climate resilience by enhancing institutional capacity, developing management tools, and supporting the implementation of adaptation policies.</p> <p>The project's activities include conducting climate change vulnerability and capacity assessments to identify needs, gaps, and levels of awareness. These efforts, combined with the establishment of a district-level working group and awareness and capacity-building exercises, will enable the formulation of appropriate adaptation measures to enhance the district's resilience to threats to water, energy, and food security. The resulting District-level Action Plan for Climate Change Adaptation will serve as a model for regional governance of water and agricultural practices. Additionally, the project</p>	<p>(Figure 5) and those listed in Table 6 (i.e. Outputs 2.1.4, 2.1.5.,2.2.1. and 2.2.3). Kindly ensure that all the outputs are formulated highlight their implementation (e.g., developed, implemented, designed, etc), for consistency.</p>				
--	--	---	---	--	--	--	--

		<p>proposes the construction of climate-resilient infrastructure, including a nature-based water treatment plant, distribution pipes, an improved agricultural drainage system, flood plains, a retention well, and the installation of solar PV systems.</p> <p>The project activities support AF Strategic outcomes 1 2, 3, 4, 5, 6, and 7.</p> <p><b>CR2:</b> Please include a legible TOC on Page 22 under Project/Programme Objectives.</p>					
	<p>4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and</p>	<p><b>Unclear.</b></p> <p>The project is targeting 1,543 direct beneficiaries in 6 pilot villages of Pandere, Pakuli Utara, Simoro, Bangaa, Sambo, and Wisolo with strengthened capacity in climate adaptive agricultural, forestry and fisheries sectors, and improved livelihoods. The project would also support 50 District-level officials to”</p>		-	-	-	-

	<p>Gender Policy of the Fund?</p>	<p>implement climate adaptation regional planning and to develop an economic resilience model". Activities are expected to strengthen Sigi's forestry, agriculture, and fisheries' sectors.</p> <p>A series of awareness raising activities on climate risk and the need for climate change adaptation is expected to lead to the uptake of nature-based solutions and climate adaptive strategies to improve the district's water, food, and energy management.</p> <p>The project commits to the equitable distribution of benefits to vulnerable communities, households, and individuals, with a particular focus on women from indigenous communities who could benefit from greater involvement in income-generating activities and access to financing through cooperatives, VSLA and other community driven</p>					

		<p>initiatives, but these activities are not mentioned in the list of project activities, nor is how the project will ensure participation of and access for vulnerable groups, including women, indigenous groups, and persons with disabilities, particularly since it is unclear whether these stakeholders have been consulted.</p> <p>A gender analysis is included in the context section and annex on page 76 and cites some potential gender-responsive actions including “raising awareness on environmental socio-economic issues and opportunities and ensuring that all voices are heard”, but it is unclear how the project plans to ensure the active and equitable participation of women and address the religious and social customs that are cited as barriers to women’s involvement.</p>	<p><b>CAR1: Cleared.</b> Further details on the scope of the consultative process, including the methods used, were added in section H, paragraphs 115-116, as well as in Tables 11, 12 and 13. Specific reference to the vulnerable groups consulted was made in Table 12, as well as in Section H, paragraph 118. Gender-disaggregated baseline was also included in the revised proposal in Table 4 and paragraph 50, and the gender</p>				
--	--	--	---	--	--	--	--

		<p>More critically, the gender analysis states that the mapping of vulnerable groups has not been carried out yet, however this is a requirement at full proposal stage.</p> <p><b>CAR1:</b> Please ensure that stakeholder mapping, including of vulnerable groups is complete, including gender-disaggregated baseline data, and that all project stakeholders have been involved in the consultations process.</p>	<p>assessment and analysis strengthened as part of Annex 1.</p>				
	5. Is the project / programme cost effective?	<p><b>Unclear.</b> More details are needed regarding alternative interventions that could have taken place to help adapt and build resilience in the same sector. The table on page 34 compares the benefits of conventional physical infrastructure and the project's "water energy food nexus" with an estimated range and difference in cost, but more details are needed regarding sustainability of proposed infrastructure.</p>	<p><b>CAR2: Cleared.</b> Details on the project's cost-</p>	-	-	-	-

		<p>For example, how will the Solar PV systems be maintained?</p> <p><b>CAR2:</b> Please include comparison to other possible interventions that could have taken place to help adapt and build resilience in the same sector, geographic region, and/or community; with quantitative estimates where feasible.</p>	<p>effectiveness were added in Part II, section C, including comparisons with alternative adaptation (Paragraphs 85-91), as well as cost effectiveness with quantitative estimates in Table 10.</p>				
	<p>6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?</p>	<p><b>Yes.</b></p> <p>The project is aligned with Indonesia's commitment towards climate change mitigation and adaptation, as formalized in the National Determined Contribution (NDC) and the NDC roadmap, as well as the following national and sub-national plans and instruments:</p> <ul style="list-style-type: none"> <li>• National Medium-Term Development Planning document (<i>Rencana Pembangunan Jangka Menengah Nasional</i> or</li> </ul>	-	-	-	-	-

		<p>RPJMN) 2020-2024 specifically outlines seven national development agendas, which includes building the environment and increasing disaster and climate change resilience</p> <ul style="list-style-type: none"> <li>• National Action Plans for Climate Change Adaptation (<i>Rencana Aksi Nasional Adaptasi Perubahan Iklim</i> or RAN-API)</li> <li>• Presidential Regulation no. 98/2021 on Economic Value of Carbon</li> <li>• Strategic Plan of the Directorate General of Climate Change of the Ministry of Environment and Forestry (Renstra PPI)</li> <li>• Regional Medium-term Development Plan (<i>Rencana Pembangunan</i></li> </ul>					
--	--	---	--	--	--	--	--

		<p>Jangka Menengah or RPJMD) 2021-2026</p> <ul style="list-style-type: none"> <li>• Green Sigi (Siji Hijau) Vision</li> </ul>					
	<p>7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?</p>	<p><b>Yes.</b></p> <p>The project complies with national and local regulations as follows:</p> <ul style="list-style-type: none"> <li>• Law No. 32/2009, Government Regulation No. 22/2021, and Ministerial Regulation No. 4/2021 on environmental management, ensuring proper permits and sustainable practices.</li> <li>• Presidential Regulation No. 98/2021 on climate adaptation and Ministry Regulation No. 33/2016 for local adaptation plans.</li> <li>• Law No. 5/1990 on conservation and local forest and coastal management regulations.</li> <li>• Law No. 14/2018 on transparency, Presidential Instruction</li> </ul>		-	-	-	-

		<p>No. 9/2000 on gender, and Law No. 8/2016 on inclusion of people with disabilities.</p> <ul style="list-style-type: none"> <li>● Regent Decree No. 198-682/2022 and Regional Regulation No. 15/2014, ensuring local alignment.</li> <li>● Government Regulation No. 12/2019 and Law No. 11/2020, ensuring compliance with ecological fiscal transfers.</li> </ul> <p><b>CR3:</b> Please cite any relevant codes, including those governing renewable energy.</p>	<p><b>CR3: Cleared.</b> The updated proposal includes reference to additional relevant national and local regulations related to environmental management, climate adaptation, conservation, transparency, gender inclusion, and fiscal policies (Part II, Section E, paragraphs 99-104), as well as renewable energy (paragraph 105)</p>				
	8. Is there duplication of project / programme with other funding sources?	<p><b>No.</b></p> <p><b>CR4:</b> Please outline the framework for coordination with complementary projects during implementation.</p>	<p><b>CR4: Cleared.</b> The framework for coordination with complementary projects is included in the updated proposal in Part II, section F, paragraphs 106-107, which outlines the establishment of the Green Siqi</p>	-	-	-	-

			Multistakeholder Forum.				
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<p><b>Yes.</b></p> <p>Component 3 contemplates the establishment of a Center of Excellence for climate change adaptation at the district level to document best practices, disseminate knowledge, and share lessons learned. Additionally, the project plans the development of several knowledge products, including worksheets and workshops. The project will work with the Communication and Information Agency and Public Relations of Sigi District to establish a “micro-site” linked to the district's official website and serve as an information portal.</p> <p>It would be helpful to understand the relationship with the planned Center of Excellence and the</p>	<p><b>CR5: Cleared.</b> The updated proposal clarifies the link between the Center of Excellence and the proposed ‘micro-site’ (paragraph 112).</p>	-	-	-	-

		<p>Communication and Information Agency and Public Relations of Sigi District. Where will the Center be located?</p> <p><b>CR5:</b> Please clarify the link between the planned micro-site and the Center of Excellence.</p>					
--	--	--	--	--	--	--	--

	<p>10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Not cleared. More details are needed.</b></p> <p>Consultations were held with representatives from the government of Sigi District between April 2022 and November 2023, but more information is needed on local communities, NGOs, and academic stakeholders consulted in November 2023, including the number and gender breakdown of participants, and whether indigenous groups were consulted.</p> <p>Additionally, more details are needed regarding a grievance mechanism and a framework allowing for stakeholders' views to be heard during project implementation.</p> <p><b>CAR3:</b> Please ensure that a comprehensive, gender-responsive consultative process has taken place, and involved all direct and indirect stakeholders of the project/programme, including vulnerable groups and taking into</p>	<p><b>CAR3: Cleared.</b> The revised proposal includes a detailed consultative process including vulnerable groups. Information about this process and about the groups consulted has been included in paragraphs 116 and 117, and in Tables 11, 12 and 13.</p> <p><b>CAR4: Cleared.</b> The list of stakeholders consulted as part of</p>	-	-	-	

		<p>account gender considerations.</p> <p><b>CAR4:</b> Please include a report documenting the consultative process and a) the list of stakeholders already consulted (principles of choice, role ascription, date of consultation), number of participants b) a description of the consultation techniques (tailored specifically per target group), c) the key consultation findings (in particular suggestions and concerns raised).</p> <p><b>CAR5:</b> Please include a dedicated grievance mechanism for project stakeholders to voice complaints or concerns. Please ensure that the grievance mechanism is easily accessible to all stakeholders.</p>	<p>the project preparation, date of consultations, techniques and findings, are presented in Table 11. Further details on the inputs received are provided in Tables 12 and 13.</p> <p><b>CAR5: Cleared.</b> Details on the Grievance Mechanism to be established are included in paragraphs 129 to 132 of the revised proposal, including its accessibility to all stakeholders.</p>				
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<b>Yes.</b>	-	-	-	-	-

	12. Is the project / program aligned with AF's results framework?	<b>Yes.</b> As outlined on page 63.	-	-	-	-	-
	13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?	<b>Yes.</b> As outlined on page 43.	-	-	-	-	-
	14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Yes, however additional information is required.</b></p> <p>A checklist is included on page 44, but more information is needed, including on clarifying why specific issues that were flagged as posing significant potential risks are marked as requiring no further assessment and not a potential trigger of the ESP (for example pollution prevention).</p> <p>The proposal does not state the category in which the screening process has classified the project/programme.</p> <p>The annex does not include a gender action plan.</p>	-	-	<p><b>CAR6 reopened:</b> The narrative outside of table 17 s appreciated, however the following should be addressed.</p> <p>Please review Table 17 please:</p> <ul style="list-style-type: none"> <li>a. Remove the 'V'</li> <li>b. to ensure that the level of risk "Low, medium or high" identified for each ESP at column 3. In addition to this at column 3 please insert the further</li> </ul>	<p><b>CAR6: Partially Cleared</b></p> <p>a. The "V" removed from Table 16</p> <p><b>However,</b> the narrative on the assessment which will be taken and the management required for compliance has not been included in Column 3 as was requested.</p> <p>Please insert the further assessment that will be taken and the management required for</p>	<p><b>CAR6: Cleared.</b></p> <p>Based on amendment to Table 16, table 18 and supporting narrative at paragraphs 129, 132,134, and 160-165.</p>

		<p><b>CAR6:</b> Please state the category in which the screening process has classified the project/programme. (Category A, B or C). Please also ensure that these categories reflect AF ESP and not necessarily the IE policy.</p> <p><b>CAR7:</b> Please include a gender action plan.</p>	<p><b>CAR6: Cleared.</b> The updated proposal indicates that the project is Category B (paragraph 126) and provides further details on the alignment with AF ESP (paragraphs 127 and 128). The checklist of E&amp;S Principles in Table 15 was updated reflecting a more in-depth assessment, and further information on potential E&amp;S impacts and risks, based on AF principles, was included as part of Annex 2 (ESMP).</p> <p><b>CAR7: Cleared.</b> The proposal includes further details on the gender assessment and analysis conducted as part of the preparation, as well as a Gender Action Plan detailing activities, indicators, targets, responsibilities and</p>		<p>assessment that will be taken and the management required for compliance for existing laws/standards etc.</p>	<p>compliance in Column 3 of Table 16.</p>	
--	--	--	--	--	--	--	--

			costs (Annex 1, Tables 1 and 2).				
Resource Availability	1. Is the requested project / programme funding within the cap of the country?	<b>Yes.</b>	-	-	-	-	-
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<b>Yes.</b>	-	-	-	-	-
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<b>Yes.</b>	-	-	-	-	-
Eligibility of IE	1. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<b>Yes.</b> Kemitraan (Partnership for Governance Reform) is an accredited National Implementing Entity with accreditation expiring in September 2026.	-	-	-	-	-

Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	<p><b>No.</b></p> <p>The description of the Kolaborasi Consortium on page 48 is well noted, but more information is needed to clarify the roles and responsibilities of the implementing entity as well as any executing entity or organizations/stakeholders that are involved in the project.</p> <p>In particular, more information is needed on how the implementation arrangements incorporate gender-responsive elements, particularly since gender issues are flagged as a significant risk. Who will ensure that gender considerations are mainstreamed throughout project activities?</p> <p>It is recommended to provide a full organization chart showing how the four organizations, government agencies and project implementation team report to each other</p>	<p><b>CAR8: Not cleared.</b> Further clarification on the roles and responsibilities of executing entities has been added in paragraphs 139-140. However, the Institutional Structure of the Project (Figure 8) does not include Yayasan Koaksi Indonesia, or the Steering Committee, so it is not fully</p>	<p><b>CAR8: Cleared</b> on page 65 in figure 9 Yayasan Koaksi Indonesia as an executing agency and the role of each executing agency described in paragraphs 153 to 156.</p>	<p><b>CAR8 (re-opened):</b> Paragraph 150 indicates only one executing entity Yayasan Koaksi while the cover page lists “Konsorsium Lingkungan Adaptif, Berketahanan, Inovatif, dan Partisipatif (KOLABORASI): Koaksi Indonesia, Lingkar Temu Kabupaten Lestari (LTKL), Earth Innovation Institute (EII), Alliance</p>	<p>-</p> <p><b>CAR8 (re-opened); Cleared</b></p>	<p>-</p>
-----------------------------	--	---	--	--	--	--	----------

		<p>and which activities they are responsible for.</p> <p><b>CAR8:</b> Please clarify the roles and responsibilities of the implementing entity, all PICs, as well as any executing entity or organizations/stakeholders that are involved in the project.</p> <p><b>CAR9:</b> Please provide more information regarding how the project will incorporate gender-responsive elements and ensure that these implementation arrangements are adequately budgeted.</p> <p>Please see <b>CAR7</b>.</p>	<p>aligned with the narrative of Part III, A. Also, it would be useful clarify the role of each of the 4 executing entities in the project's implementation (the arrangements for each component).</p> <p><b>CAR9: Not cleared.</b> The Gender Action Plan included in Annex 1 (Table 2) provides details on the project's incorporation of gender activities, indicators, targets and costs. According to Table 2, the activities included in the Gender Action Plan will be the responsibility of a Gender Specialist/Executing Entity, but is not clear where is this Specialist budgeted (is this going to be a role maintained for the overall implementation of</p>	<p><b>CAR9: Not cleared.</b> On page 90, Activity 1.1.4.2 is to <i>'Develop a detailed training program and set of modules of technical training, including women and vulnerable groups'</i>; which, according to the response sheet includes a consultant with a total budget of USD10,267 for a 22-month engagement to incorporate the gaps identified in the gender assessment. In the proposal kindly include this budgetary allocation and specify that the consultant will implement the gender action plan,</p>	<p>for Water Stewardship Indonesia in partnership with the District of Sigi, Central Sulawesi.". Please remove the executing partners from the cover page of the proposal.</p> <p><b>CAR9: Cleared.</b> Budgetary allocation included and explained Annex 3, Page 114</p>	-	

			the Gender Action Plan?).	as the budgetary allocation as written currently does not reflect that.			
	2. Are there measures for financial and project/programme risk management?	<p><b>No.</b></p> <p>The table on page 50 includes a list of identified risks and mitigation strategies, but more information is needed, including clearly allocated roles and responsibilities for its implementation of risk management, and opportunities for consultation and adaptive management.</p> <p>There should also be credible budget provisions, as needed, for the implementation of the ESMP, clear arrangements for the IE to supervise executing entities for implementation of ESMP, and clear monitoring and evaluation arrangements for ESP compliance.</p> <p><b>CAR10:</b> Please include clearly allocated roles and responsibilities for</p>	<p><b>CAR10: Cleared.</b> Part III, Section B of the revised proposal includes a clear role and responsibility of the PMU in the implementation for the ESMP and the mitigation of risks, as well as opportunities for consultation with other stakeholders and for adaptive management throughout implementation (paragraph 141 and Table 16).</p>	-	-	-	-

		implementation of the Environmental, Social Management Plan ESMP and opportunities for consultation and adaptive management.						
--	--	--	--	--	--	--	--	--

	<p>3. Are there measures in place for the management of environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>No.</b></p> <p>The risk identification does not meet the requirements of the AF ESP and GP. There is no information on impact assessments that would have generated an ESMP.</p> <p>There is no dedicated grievance mechanism, and more information is needed on opportunities for consultation and adaptive management. No gender specialist is contemplated in the budget.</p> <p><b>CAR11:</b> Please provide an ESMP in compliance with the AF ESP and GP.</p> <p>Please see <b>CARs 6, 8, 9, 10, and 11.</b></p>	<p><b>CAR11: Not Cleared.</b> The updated proposal includes a revised section on Environmental and Social Impact and Risks (Part II, Section K), as well as an Environmental and Social Management Plan (ESMP) in Annex 2. A dedicated Grievance Mechanism is explained in paragraphs 129-132.</p> <p>However, the ESPM could be furthered strengthened: (a) the checklist of E&amp;S principles included in Table 16 and reflected in Annex 2 (page 115), are not aligned; (b) the ESMP in Annex 2 does not include details on the required consultation activities, responsible institutions for the implementation of</p>	<p><b>CAR11: Not cleared.</b> Two new tables have been included with a checklist of the AF principals for Environmental and Social Policy and Gender Policy on page 58, table 17 and in Annex 2, page 107, Table 8. However, they require revision:</p> <p>1. Principles that always apply according to AF policies: Principle 1 - compliance with the law; Principle 4 - human rights; and Principle 6 - core labour rights. Please apply these principles to all the relevant sections, making sure they represent at least a low risk for the project. Please consult guidance document <a href="#">Guidance document for</a></p>	<p><b>CAR11: Cleared</b></p> <p>Table 17, Page 58 - Checklist of AF principals for ESP and Gender policy amended. The relevant sections have been updated and Table 1 in Annex 2 deleted.</p>	<p>-</p>	<p>-</p>
--	---	---	--	--	---	----------	----------

			<p>mitigation measures, and monitoring indicators for the mitigation measures linked to each AF ESP (which can be presented as an 'ESMP Matrix'); (c) budgetary breakdown (and amounts) for the ESMP and the GRM implementation; and (d) further details about the Grievance Redress Mechanism can be provided in Annex 2 (e.g., GRM principles, monitoring and reporting, and complaints procedure).</p>	<p><a href="#">Environment and Social Policy</a>.</p> <p>2. Kindly Exclude Table 1 in Annex 2 as it makes it seem like the proposal is presenting conflicting results, Table 2 is enough.</p>			
--	--	--	---	---	--	--	--

	4. Is a budget on the Implementing Entity Management Fee use included?	<p><b>No.</b></p> <p><b>CAR12:</b> Please include a breakdown of the Implementing Entity Management Fee.</p>	<p><b>CAR12: Cleared.</b> A breakdown of the Implementing Entity Management Fee has been included in Table 22, Detailed Budget.</p>	<p><b>CAR(NEW): Not Cleared.</b> The Total Project/Programme Cost as reported in page 26 Table 6 is only the Project Activities Cost and does not include the Project/Programme Execution Cost; kindly revise. The value should be recorded as 920,616. Please ensure consistency throughout the document.</p>	<p><b>CAR(NEW): Cleared</b></p> <p>Table 6. Page 26 - Total Project/Programme Cost revised to include Project/Programme Execution Cost, which is consistent throughout the document.</p>	-	-
	5. Is an explanation and a breakdown of the execution costs included?	<p><b>No.</b></p> <p><b>CAR13:</b> Please include a breakdown of the Execution costs</p>	<p><b>CAR13: Cleared.</b> A breakdown of the Execution Costs has been included in Table 22, Detailed Budget.</p>	-	-	-	-

	<p>6. Is a detailed budget including budget notes included?</p>	<p><b>Yes.</b> as per information on pages 66-71. However, more details are needed regarding allocation of resources for gender-responsive implementation.</p> <p>Please see <b>CAR 9.</b></p> <p><b>CR6:</b> at pages 22 and 23, please remove the dots (.) in the figures presented in the Project/Programme Components and Financing Table and at detailed budget from pages 66-71 as well as results framework alignment tables found on pages 63-65.</p> <p><b>CR7:</b> Please include budget notes in the budget including specifics such as number of consultations, 3 of persons consulted and other specifics on what will be done to fulfill the activity.</p>	<p><b>CR6: Cleared.</b> Adjustments to the formatting in the Project Components, Financing Table and budget have been made, as well as in the Results framework alignment tables.</p> <p><b>CR7: Cleared.</b> Detailed budget notes are provided in Annex 3 of the revised proposal.</p>	-	-	-	-
--	---	--	--	---	---	---	---

	<p>7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&amp;E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?</p>	<p><b>No.</b></p> <p>Details on reporting are included on page 53, however the proposal lacks a budgeted M&amp;E plan, key M&amp;E milestones, and management of environmental and social risks.</p> <p><b>CAR14:</b> Please include a budgeted M&amp;E plan, in compliance with the AF M&amp;E guidelines and compliance with the Gender Policy.</p> <p>Please see <b>CARs 7 and 9.</b></p>	<p><b>CAR14: Not cleared.</b> The revised proposal includes budget M&amp;E activities in Part III, Section D (M&amp;E arrangements). However, it is not clear if/where the costs reflected in Table 18 have been integrated in the detailed budget of the project, and where are the costs of the mid-term and terminal evaluation reflected.</p>	<p><b>CAR14: Cleared</b> on page 75, Table 20, which includes a breakdown of the M&amp;E budget that includes a terminal evaluation but a mid-term will not be conducted because the project duration is only two years.</p>	<p><b>CAR16 NEW:</b> The proposed budget allocates a total of USD 4,028 for evaluation costs. This represents 0.4 % of the total project cost. Kindly revise these figures in all relevant sections of the proposal to ensure that evaluation costs (i.e., baseline data report, MTR and Final Evaluation) are, at 5% minimum of the total project cost, which is the recommended range for projects of this size (see table 3 in <a href="https://www.adaptation-fund.org/wp-content/uploads/2023/10/AFB.EFC_.32.7_Evaluation-Policy-Budget-Implication_clean.pdf">https://www.adaptation-fund.org/wp-content/uploads/2023/10/AFB.EFC_.32.7_Evaluation-Policy-Budget-Implication_clean.pdf</a>)</p> <p><b>CAR 17( NEW):</b> Reference to some mandatory reporting requirements are missing from Part III.D,</p>	<p><b>CAR16 NEW: Not cleared</b></p> <p>The M&amp;E budget (Table 19) has been revised to ensure that the evaluation cost is at minimum 5%. <b>However,</b> the amount stated in the narrative in Paragraph 166 is not consistent with the amount in the M&amp;E Table, neither is it aligned with the allocation for M &amp; E in the detailed budget (Part III G, Table 24). Specifically, the amount allocated for the Terminal Evaluation differs in the two tables.</p> <p>Please review the relevant sections and ensure that there is consistency in the budgetary allocations for M&amp;E.</p>	<p><b>CAR16 NEW: Cleared.</b></p> <p>The detailed budget and the M and E Plan (Table 19) are now aligned.</p>
--	--	--	---	--	--	--	---

					<p>namely project performance reports and project completion summary (see <a href="https://www.adaptation-fund.org/projects-programmes/project-performance/">https://www.adaptation-fund.org/projects-programmes/project-performance/</a>). Please kindly add them.</p> <p>-</p>	<p><b>CAR17 (NEW): Cleared</b> The requisite additions have been made in Para 165.</p>	-
--	--	--	--	--	--	--	---

	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	<p><b>No.</b></p> <p><b>CAR15:</b> Please include an M&amp;E Plan that is budgeted with breakdown of IE fees for supervision of M&amp;E function. Please also ensure that the budget that is allocated to mid-term review and terminal evaluation is between 1 to 5% of the total project budget.</p>	<p><b>CAR15: Not cleared.</b> Table 22, Detailed Budget, includes a breakdown the IE Fee, including a line on Project Implementation and Supervision costs. However, it is not clear if this includes the mid-term review and terminal</p>	<p><b>CAR15: Cleared</b> on page 75, Table 20 see CAR14</p>	-	-	-
--	--	---	--	---	---	---	---

	<p>9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?</p>	<p><b>Yes.</b> As outlined on page 63 however more information is needed on gender responsive indicators and targets, disaggregated by sex as appropriate, for example for the target number of women and men trained.</p> <p><b>CAR16:</b> Please ensure that the results framework includes gender responsive indicators and targets, disaggregated by sex as appropriate.</p> <ol style="list-style-type: none"> <li>1. Please amend the table under Part III section E to reflect the project goal; the indicator associated with the overall project goal (as per the AF core indicators e.g. overall number of beneficiaries [direct, indirect; male; female, youth]); baseline; target; means of verification and assumptions each in its own column</li> </ol>	<p><b>CAR16: Not cleared.</b> The Results Framework (Table 19) does not include gender-responsive indicators and targets disaggregated by sex ((e.g., number of people (of which women)). While Table 20 on the alignment with the RF of the AF includes very useful information, it needs to be further revised to comply with the AF format (add a column with the project outcomes/outputs, and rows disaggregating the different project components). Please ensure that the core indicators are</p>	<p><b>CAR16: Cleared</b> on page 88, Table 22 in the AF format. Kindly ensure that the indicators for outcome 1.2 and outcome 2.2 capture the population of users and farmers disaggregated by sex.</p>	<p><b>CAR18 (NEW):</b> One or more Objective(s) should be added to the project results framework. Objective(s) describe the overall purpose of the project interventions, which includes the intended physical, financial, institutional, social, environmental, or other results that the project interventions is expected to achieve or to which it is expected to contribute.</p> <p><b>CAR19 (NEW):</b> A target is missing for the indicator "Number of plantation areas with sustainable irrigation during drought/long dry season" (output 2.1.5), please kindly add one.</p> <p><b>CAR20 (NEW):</b> Indicators for outputs 3.1.1 and 3.2.1 are similar to those of their respective outcomes (i.e., 3.1 and 3.2). Please revise these two outputs 3.1.1 and 3.2.1, as well as output 2.2.1</p>	<p><b>CAR18 (New):Cleared</b> Objectives added to the project results framework (Table 20)</p> <p><b>CAR19:(NEW): Cleared</b> Indicator added for Output 2.1.5 (Table 20).</p> <p><b>CAR20(NEW): Cleared</b> Revisions made in Table 20</p>	<p>-</p>
--	--	--	--	---	---	---	----------

		<p>immediately under the header row of the table.</p> <p><b>CAR 17:</b> At Part III Section E Please also insert core indicator tables for the core indicators identified in the proposal. Must include number of beneficiaries and at least one other. Please utilize the prescribed tables. These can be found at <a href="#">Methodologies for reporting Adaptation Fund core impact indicators</a> (78 kB, DOC).</p>	<p>included as part of the Results Framework (Table 19).</p> <p><b>CAR17: Not cleared.</b> The revised proposal includes a table with project beneficiaries disaggregated by sex (Table 8). Please ensure that the upper portion of the table is presented as per the AF guidance (page 10) <a href="#">Methodologies for reporting Adaptation Fund core impact indicators</a> (78 kB, DOC). Kindly clarify which other core indicator, in addition to Number of Beneficiaries, is the project addressing.</p>	<p><b>CAR17: Cleared</b> on page 33, table 10.</p>	<p>to make them more specific and measurable. Targets for these three outputs should be quantified (e.g., One analysis of supply chain and market demand for leading commodities and derivative products)</p> <p><b>CAR21 (NEW):</b> The alignment table provided in part III.F must be revised in accordance with the guidance provided in Annex 5 of the OPG (refer to the example on p.16). Although no changes need to be made to the Lower section of the table, the Upper section of the table needs to be revised as follows:</p> <ul style="list-style-type: none"> <li>i. Once the three project components are replaced by Project Objectives (see CAR above), enter each Project Objective in the "Project</li> </ul>	<p><b>CAR21 (NEW):Cleared</b> Alignment table revised (See Table 23)</p>	
--	--	--	--	--	--	--	--

					<p>Objective(s)" column</p> <p>ii. add the corresponding Project Objective Indicator(s) identified in the project results framework in the "Project Objective Indicator(s)" column;</p> <p>iii. for each Project Objective indicator listed, select only the most appropriate Fund Outcome and enter it in the "Fund Outcome" column (please map only one Fund Outcome for each Indicator listed);</p> <p>iv. select only the most relevant Fund Outcome Indicator for each Fund Outcome selected, and</p>		
--	--	--	--	--	--	--	--

					<p>enter it in the "Fund Outcome Indicator" column; and</p> <p>v. input the grant amount for each Fund Outcome in the column "Grant Amount (USD)", ensuring that the total equals the project activity cost, i.e. USD 833,159.</p> <p>Lastly, for consistency, please ensure that the all Fund Outcomes associated with the listed Fund outputs in the lower section of the table are reflected in the upper section of the table.</p> <p><b>CAR22 (NEW):</b> table 10 may be shifted to part III.E. It must be revised in accordance with the document "Methodologies for reporting Adaptation Fund core impact</p>		
--	--	--	--	--	--	--	--

					<p>indicators". Specifically, for each AF Core Indicators relevant to the project interventions (i.e., "Number of beneficiaries" and "Natural Assets Protected or Rehabilitated"), please insert the corresponding Core Indicators table(s) available on pp.10-14 of the document "Methodologies for reporting Adaptation Fund core impact indicators". Kindly ensure that "Baseline" and "Target at project approval" columns are duly completed in each table (including total number of direct and indirect beneficiaries which are currently missing from the Number of beneficiaries table), and ensure that the figures provided in the two tables align with those included in the project results framework.</p>	<p><b>CAR22 (NEW): Not cleared</b> Table 10 was moved to III E as Tables 21 and 22. <b>However</b>, while the figures for the Natural Assets indicators and the Project Results Framework Table are aligned, it is difficult to determine the alignment for the number of beneficiaries.</p> <p>Please ensure that the number of beneficiaries in the Project Results Table are aligned with the Core Impact Indicator table.</p>	<p><b>CAR22 (NEW): Cleared.</b> As per amendments to Tables 20, 21 and 22.</p>
--	--	--	--	--	--	---	--

	10. Is a disbursement schedule with time-bound milestones included?	<p><b>Yes. However, amendment is required.</b></p> <p><b>CR8:</b> Please ensure that the disbursement schedule complies to the template requirements available at <a href="#">Disbursement Schedule Template (For fully-developed proposals)</a> (18 kB, XLS)</p>	<p><b>CR8: Not cleared.</b> The disbursement schedule has been revised to align with the required template for fully-developed proposals. However, the amounts reflected in Table 23 are not aligned with the budget amounts reflected in Table 6,</p>	<p><b>CR8: Cleared</b> on page 24, Table 6, page 86 Table 22 and page 87 Table 23, revised and total amounts for each component. Kindly revise paragraph 171 to reflect the lack of a mid-term review.</p>	-	-	-

			in Table 21 and Table 22. Kindly check all the budget amounts presented throughout the proposal to ensure full alignment with the final budget.				
--	--	--	---	--	--	--	--



## FULLY DEVELOPED PROPOSAL FOR SINGLE COUNTRY

### PART I: PROJECT/PROGRAMME INFORMATION

**Title of Project/Programme:** Building Climate Resilient District in Indonesia: Case of Sigi District

**Country:** Indonesia

**Thematic Focal Area:** Rural Development

**Type of Implementing Entity:** National Implementing Entity

**Implementing Entity:** Kemitraan (Partnership)

**Executing Entities:** Yayasan Koaksi Indonesia

**Amount of Financing Requested:** 998,868 (in U.S Dollars Equivalent)

**Letter of Endorsement (LOE) signed:** Yes   No

*NOTE: The LOE should be signed by the Designated Authority (DA). The signatory DA must be on file with the Adaptation Fund. To find the DA currently on file check this page: <https://www.adaptation-fund.org/apply-funding/designated-authorities>*

**Stage of Submission:**

This proposal has been submitted before including at a different stage (concept, fully-developed proposal)

This is the first submission ever of the proposal at any stage

In case of a resubmission, please indicate the last submission date: September 3<sup>rd</sup>, 2025

Formatted: Superscript

**Please note that fully-developed proposal documents should not exceed 100 pages for the main document, and 100 pages for the annexes.**

## Project/Programme Background and Context

### A. General Context: Climate Change and Adaptation

1. At the global level, the Intergovernmental Panel on Climate Change (IPCC) warns that even a mean global temperature increase of 1.5°C will lead to an increase in the frequency and intensity of rainfall events in some regions, and droughts in other regions. On one hand, extreme intensity of rainfall could cause floods regardless of infrastructure preparedness to match extreme events (no-regret infrastructure development principle). Drought, on the other hand, is much more complex compared to other climate extreme events. Scientists have found a link between certain climate patterns, such as El Niño, and drought. El Niño is a weather event where the surface water in the Pacific Ocean along the central South American coast rises in temperature. These warmer waters alter storm patterns and are associated with droughts in Indonesia, Australia, and Northeastern South America. El Niño events keep climate scientists guessing, by occurring every two to seven years.
2. Moving to the country level, Indonesia is ranked in the top-third of countries in terms of climate risk, with high exposure to all types of flooding and extreme heat. The intensity of these hazards is expected to grow as the climate changes. Without effective adaptation, population exposure will also rise. High intensity of rainfall (generally more than 100 mm per day) for long stretches of time often contributes to flooding in Indonesia. Daily rainfalls of 248.5 mm, 110-197 mm and 182-289 mm were recorded in Jayapura District in Papua, South Sulawesi and Bengkulu, respectively, when floods and landslides hit those regions. The cause of extreme weathers in South Sulawesi and Bengkulu was Madden-Julian Oscillation, a natural phenomenon that increases the volume of moist air that causes high rainfall in most of Indonesia. It is predicted that the population across provinces in Indonesia that are exposed to extreme river floods could grow by 1.4 million by 2035–2044<sup>1</sup>.
3. Central Sulawesi Province is one of the provinces in Indonesia that relies on the agricultural sector as the main priority in production. Currently, BMKG has 4 rainfall observation stations with data length of more than 20 years. Recent study by Solih Alfiandy and Donadli Sukma Permana on Trend of Rainfall Based on BMKG Observation Data and MERRA-2 NASA Reanalysis in Central of Sulawesi Province shows an increasing trend of total rainfall, a decreasing trend of some rainy days with <5 mm/days, and a rising trend of several rainy days with >50 mm/days (extremes) annually. The annual total rainfall trend increased by 4.68-52.40 mm/year, with the highest movement was observed in Poso and part of Sigi, Tojo Una-Una, Morowali Utara, and Morowali districts. A study by Hatauruk et al., 2020, shows that the level of flood hazard in the central Sulawesi is predominantly in the moderate category. This moderate category occurs in almost every district city including Sigi district<sup>2</sup>.

---

<sup>1</sup>The World Bank Group and Asian Development Bank. (2021). Climate Risk Profile: Indonesia.

<sup>2</sup>Hatauruk et al. (2020). GIS-based Flood Susceptibility Mapping in Central Sulawesi.

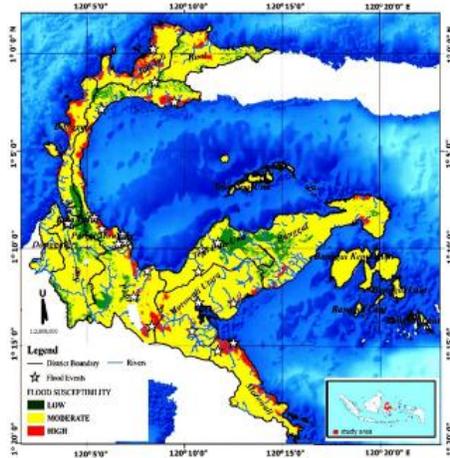


Figure 1. Flood Susceptibility Map of Central Sulawesi  
Source: Hutaaruk et al., 2020

4. Shifting on the drought side, the development of the El Nino phenomenon also hits Indonesia. The El Nino phenomenon is characterized by a positive increase in the sea surface temperature index anomaly in the Central Pacific, which has an impact on reduced levels of precipitation (rain), thus causing drought and dryness in the Indonesian region. The southern part of Indonesia, especially Southern Sumatra, Java, Bali, NTB, NTT, South Kalimantan, South Sulawesi and Southeast Sulawesi, did not have any rain for 2-3 months. The impacts felt were reported in the form of dry reservoir water, land and forest fires, as well as air quality that felt congested and the air felt hot. The impact of El Nino in 2023 was also felt in the Central Sulawesi region. A study by Sofian Alfandy et al., 2019, shows that the average surface air temperature has increased in Central Sulawesi Province over 39 years. It has been found that there is no rain in several areas, and the air feels hot and stuffy, including Sigi district.
5. Moving from province to district level, Sigi District, based on the Index and Vulnerability Data Information System (Sistem Informasi Data Indeks dan Kerentanan/SIDIK), is vulnerable to the impacts of climate change, particularly floods and droughts. According to the head of Sigi District Agency for Disaster Management, 66% of the villages are considered to have a moderate vulnerability to climate change. Villages located further from the district capital in the Sigi Biromaru sub-district tend to have a higher vulnerability to climate change. Figure 2 illustrates the vulnerability at village level<sup>3</sup>.

<sup>3</sup>[https://inarisk.bnbp.go.id:6443/arcgis/rest/services/SIDIK/Kerentanan\\_IKlim/MapServer](https://inarisk.bnbp.go.id:6443/arcgis/rest/services/SIDIK/Kerentanan_IKlim/MapServer)

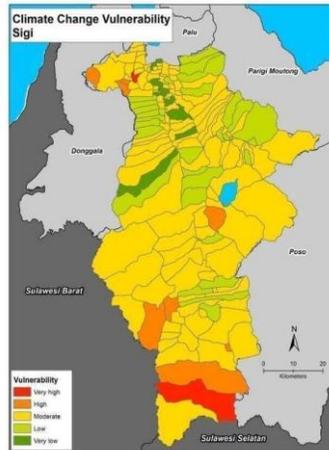


Figure 2. Vulnerability to climate change map of Sigi District in 2018, Source: SIDIK

6. As Sigi is susceptible to flooding due to climate change, Sigi district is also located on Alluvial, Planosol and Hidromorf soil types. These types of soil are difficult to absorb water (Rahmati et al., 2016) and they increase the risk of flooding.
7. Based on the result of the rainfall analysis in the past 37 years, there is an increasing trend in the number of rainy days >50 mm/day (extreme) per year. This indicates that there is a threat of increasing rains with extreme intensity in the future, which would cause floods and landslides that could submerge houses and agricultural land, and damage other public infrastructure, such as roads, fresh water, and electricity. Gumbasa, Palolo, Dolo Selatan, Marawola Barat Sub-districts are some of the areas with high exposure to disasters. In 2020, flash floods hit the Omu and Tuva Villages in the Gumbasa Sub-district. The disaster had disabled the Palu-Kulawi route for two days. Apart from these two villages, there were also several villages in Dolo Selatan sub-districts, which had been frequently hit by flash floods. Therefore, people who live along the river need to be alert and evacuate immediately if there are signs of flood. Local people assumed that the climate variability has increased the risk of floods and landslides, and other types of climate-related disasters in Sigi District.
8. The flooding events in Sigi are mostly triggered by high-intensity rains that can be classified into two sub-categories, i.e.: local rain and flash flood due to the propagation of peak runoff from high-intensity rain in the upstream of the catchment. The topography of Sigi, which is dominated by highland-mountainous areas, leads to a higher threat of flash flood to low-lying areas along the river systems. Several rivers with frequent floodings include 1) Miu river (Gumbasa Sub-district), Salui river, Kalangga river; 2) Palindo river (Dolo Selatan Sub-district), Wewe River, Magila River, Sadaunta River; and 3) Manggalapi River (Palolo sub-district).

9. High intensity rainfall generally occurs in the southern part of Sigi. This region is both classified as the upstream part of Palu catchment and Lariang Hulu catchment. The region includes Kulawi Sub-district, southern part of Lindu Sub-district, South Kulawi Sub-district and Pipikoro Sub-district. The high flooding incidence in Sigi is caused by several factors, including 1) local weather and climate, 2) Madden Julian Oscillation (MJO) - Global Atmospheric Phenomenon, 3) rock and soil conditions, 4) topographic, and exacerbated by 5) land cover changes. In addition, the risk of higher rainfall intensity and flooding would increase and trigger landslides and flash floods in several areas, mainly along the Palu Valley.

Table 1. Disaster Incidents in Sigi District 2010-2019  
Source: 2019 Disasters in Indonesia Data and Information

Disaster	Number of people				Number of residential units				Number of units damaged		
	Number of events	Loss of life	Injured	Relocated	Severe damaged	Damaged	Little damaged	Submerged	Health facilities	Religious facilities	Education facilities
Flood	15	10	28	5,039	235		122	1,011	2	8	11
Earthquake and liquefaction	1	405	1,112	76,835	8,342	5,960	13,850	0	35		267
Landslide	5		10	384	8	0	10	46	0	1	0
Extreme weather	1	0	2	0	0	0	0	0	0	0	0

10. Sigi District also has the potential for drought. The indication is that there are several rivers in Sigi District that experience drought during the dry season. If action is not taken to solve the problem immediately, it is not impossible that drought will also occur in the future. In 2019, Sigi district experienced a long drought where seven villages experienced drought. The seven villages are Maranata Village, Sidondo Satu Village and Sibowi Village in the Sigi Biromaru Sub-District area. Then, the Dolo Sub-District area includes the villages of Solouwe, Karawana, Sidera and Jono Oge. It had been 11 months since residents in the area have experienced a water crisis due to drought. The drought problem was aggravated by the damage to the Gumbasa irrigation canal due to the earthquake.

11. In the Sigi District, climate change has led to significant impacts on local communities, as demonstrated by recent disasters. Flooding in Sambo Village and Balengga Village in 2024 displaced 384 people and damaged 73 homes. In Pandere Village, a flood in 2021 resulted in the loss of 50 hectares of cacao, corn, and coconut crops. Similarly, in 2019, floods in Bangga Village, Balongga Village, Walanata Village, Omu Village, and Tuva Village destroyed 500 houses and displaced 2,400 people.

12. Then, the Sigi District has also been severely affected by droughts, impacting water access, agriculture, and livelihoods. In 2019, villages such as Maranata, Sidondo Satu Sibowi, Solouwe, Karawana, Sidera, and Jono Oge from a

prolonged drought that deprived residents of water, sanitation, and hygiene for 11 months. In 2019 and 2023, Gumbasa and Tanambulava districts saw 6,000 hectares of farmland dry up. Recurrent droughts from 2015 to 2024 caused significant agricultural failures in villages like Bulumpewa, Porame, Lembantongoa, Sidera, and Lolu, leading to major crop losses and forcing farmers to temporarily shift to manual labor.

**Box 1. The Surface Temperature: Precipitation Relationship in More Depth**

The connection between precipitation and surface temperature is defined by the Clausius-Clapeyron equations. The Clausius-Clapeyron equations calculate the energy required to cause a chemical reaction at a given pressure. In terms of precipitation, the Clausius-Clapeyron equations can be used to calculate the thermal energy required to condense water vapor into droplets when the atmospheric pressure is known.

When water droplets evaporate into the atmosphere, they travel upwards. As the Clausius-Clapeyron relationship is dependent on atmospheric pressure, the thermal energy requirement for a phase change is lower at a lower pressure. As the water droplets travel upwards, two things happen:

The atmospheric pressure decreases, and the atmospheric temperature cools (this is known as the temperature lapse rate and is typically estimated at  $-6.5^{\circ}\text{C}$  per kilometer). When the water vapor reaches an elevation where the atmospheric pressure and temperature satisfy the Clausius-Clapeyron relationship, the water vapor condenses into cloud droplets<sup>4</sup>.

**Box 2. Cause of Drought**

Most droughts occur when regular weather patterns are interrupted, causing disruption to the water cycle. Changes in atmospheric circulation patterns can cause storm tracks to be stalled for months or years. This disruption can dramatically impact amounts of precipitation that a region normally receives. Changes in wind patterns can also be disruptive to how moisture is absorbed in various regions.

Scientists have found a link between certain climate patterns and drought. El Niño is a weather event where the surface water in the Pacific Ocean along the central South American coast rises in temperature. These warmer waters alter storm patterns and are associated with droughts in Indonesia, Australia, and northeastern South America. El Niño events keep climate scientists guessing, by occurring every two to seven years.

La Niña is the counterpart to El Niño, when the surface water in the Pacific Ocean along the coast of South America decreases in temperature. The cooler waters affect storm patterns by contributing to drier-than-normal conditions in

<sup>4</sup><https://www.jbarisk.com/news-blogs/the-physics-of-precipitation-in-a-warming-climate>

parts of North and South America. El Niño and La Niña both usually last about a year. The effects of La Niña on weather patterns are often more complex than El Niño. Two of the most devastating droughts in the history of the United States—the 1930s Dust Bowl and the 1988 drought in the Midwest—are associated with the effects of La Niña.

There is still a lot of debate about the connection between drought and global warming, the current period of climate change. A 2013 NASA study predicts warmer worldwide temperatures will mean increased rainfall in some parts of the world and decreased rainfall in others, leading to both more flooding and more droughts worldwide. Other scientists question the prediction that there will be more droughts and believe global warming will create a wetter climate around the world<sup>5</sup>.

13. Floods, exacerbated by climate change, pose significant impacts on the ecosystem, particularly in Sigi District. Soil erosion resulting from floods leads to the loss of nutrients, damaging forest root systems and decreasing forest productivity. This erosion affects 15% of forest areas, thereby jeopardizing tree stability and growth. Moreover, floodwaters carry pollutants such as agricultural chemicals and waste into water bodies, which deteriorates water quality. For instance, contamination levels have increased by 40% in the Gumbasa River, exacerbating ecological challenges and highlighting the urgent need for adaptive measures to safeguard local ecosystems against these detrimental impacts. This impact has the potential to get worse because according to projections of changes in flood risk in Sigi from 2022 to 2030 using the current trend scenario by the WWF Water Risk Filter, it shows an increasing trend with a risk change class of +0.8. This projection shows that areas currently identified by BNPB as having a high level of flood and flash flood danger, will be more susceptible to higher flood risks.

---

<sup>5</sup><https://education.nationalgeographic.org/resource/understanding-droughts/>



Figure 3. Impact of Flash Flood in Sambo Village, Dolo Selatan, Sigi  
Source: Field Survey 2024

14. Drought conditions in Sigi District have profound impacts across the ecosystem, exacerbating vulnerabilities to pests and wildfires in forest ecosystems. The increased incidence of forest fires by 30% in Central Sulawesi due to drought has led to significant forest degradation and loss of biodiversity. Additionally, dry and friable soils increase susceptibility to wind erosion and loss of topsoil layers. In Sigi District, drought-induced loss of topsoil layers has adversely affected agricultural productivity and contributed to land subsidence in several areas. Reduced water surfaces concentrate pollutants in rivers and lakes, exemplified by increased contamination levels in the Palu River, adversely affecting aquatic biodiversity and human health.
15. Several climate adaptation actions are currently underway in Sigi District, each playing a crucial role in enhancing resilience against floods. The "Program Sigi Hijau," supported by local government policies and Lingkar Temu Kabupaten Lestari (LTKL), exemplifies an indirect but moderately effective approach. Direct and highly effective interventions include the construction of riverbank embankments by the Sigi government, aimed at mitigating flood risks. Additionally, initiatives such as bamboo planting in degraded forest areas directly implemented by the local government underscore high effectiveness in bolstering ecological restoration efforts. Alongside physical interventions, efforts to disseminate climate change information and enhance community capacity through forums like the Disaster Risk Reduction Forum in Sigi demonstrate moderate effectiveness in fostering resilience-building across the district.
16. Efforts to adapt to drought conditions in Sigi District are robust and multifaceted, addressing immediate needs while aiming for long-term sustainability. Emergency responses such as water, sanitation, and hygiene (WASH) initiatives

led by NGOs demonstrate high effectiveness in providing temporary relief. Meanwhile, the construction of reservoirs by village and regional authorities, supported by local police, represents a direct and highly effective measure with a focus on sustainability. Altering crop patterns, facilitated by the Agricultural Service and local farmers, shows high effectiveness in immediate drought mitigation and long-term sustainability. Similarly, agricultural agencies' development of resilient plant varieties ensures indirect but highly effective long-term solutions. Physical interventions like digging wells and establishing food barns, undertaken collaboratively by village and regional authorities with police support, exhibit high immediate effectiveness with moderate sustainability efforts.

#### **A.1. Water-Energy-Food Nexus**

17. The Water-Energy-Food (WEF) Nexus is an integrated approach to managing water, energy, and food security that emphasizes the interdependencies between these critical sectors. In the context of Sigi District, understanding and applying the WEF Nexus is vital for sustainable development, particularly under the pressures of climate change. By acknowledging the interconnectedness of water availability, energy supply, and food production, this approach facilitates comprehensive planning and policy-making that aim to enhance resilience and sustainability.

#### **Water-related Strategic Issues in Sigi District**

18. Catchment water balance takes into account the total inflows of water entering the catchment, the total outflows of water leaving the catchment, and the capacity of the catchment to store water. One of the significant impacts of climate change and variability is the changing water cycle in the catchment, disrupting the catchment water balance that could further increase the risks related to conflict over water among different users in the catchment<sup>6</sup>.
19. Sigi District is located in the Palu-Lariang river basin, or Wilayah Sungai Palu-Lariang, a trans-provincial river basin comprising 52 smaller surface catchments. Two of the Palu-Lariang river basin's largest catchments are located in Sigi, which are called Daerah Aliran Sungai (DAS) Lariang and DAS Palu. Understanding the catchments' water balance allows the district government to better plan a resilient fresh water supply for the upcoming industrial and agricultural centers in Sigi, as a part of the KAPET-PALAPAS National Strategic Area.
20. Currently, the study on the impact of climate change at the district level is not available and is expected to be made available through the implementation of this proposal.
21. The resilience of water supply to sustain livelihood and energy production also depends on the quality of available water sources. The Sigi Information on Environmental Management Performance in 2018 mentioned that maintaining

---

<sup>6</sup>A Chalid and A Mulyadi 2021 IOP Conf. Ser.: Earth Environ. Sci. 930 012074; and T.V.Reshmidevia, D.Nagesh KumarbcR. Mehrotrad A.Sharmad, Estimation of the climate change impact on a catchment water balance using an ensemble of GCMs

good quality of water resources is considered as one of the district strategic development issues.

22. Regular surface water quality monitoring by the district government is done at six subsidiaries of Lariang river. Monitoring in 2018 showed that both the physical and chemical parameters of Lariang river are not suitable for the district's drinking water supply. On the other hand, the groundwater quality monitoring in 2018 in nine sampling locations indicated that the district's groundwater is still suitable for fresh water supply for domestic purposes with prior treatments.
23. The district government has identified the lack of centralized domestic and industrial wastewater treatment plants and poor solid waste management as the significant causes for the declining of surface water quality.
24. While water sources availability is in abundance year-long in Sigi, only 3% of households are connected to the district water company (PDAM Donggala) piping system. As many as 70% of total households get their domestic water supply from individual bore wells<sup>7</sup>. While the total number showed that more than 70% of Sigi households already have access to clean water, SDG 6 targets, and national development targets required to be in a decent and/or safe state. However, information related to the quality of the individual bore wells are limited. The level of proneness to water quality contamination and resiliency towards natural disaster should be assessed, and the spatial distribution of the high-risk population in terms of access to decent or safe clean water.
25. Once collected and assessed, this information should be fed into the district's development targets and planning.

#### **Food-related Strategic Issues in Sigi District**

26. Based on the Ministry of Environment and Forestry's spatial data<sup>8</sup>, the land use in Sigi District is dominated by forest cover. In 2019, 71% of the land was covered by forests, while only 17% or equal to 92,128 hectares was used as agricultural land, which has been constantly increased compared to the agricultural land in 1990–11% or equal to 60,597 hectares. According to the Ministry of Environment and Forestry Regulation No. 734/2014, the area available for non-forest use, including agricultural activities and urban development, is only 25% of the total area of Sigi. The rest of the area is designated for forest-related land use, including production forest (25%), protection forest (27%), and national park (23%). These figures are also consistent with the district's latest spatial planning for the 2021-2041 period (Regional Regulation No. 1/2021 on Sigi District Spatial Plan).
27. There are at least 2 leading commodities, namely cocoa, and coconut, where some of the commodities are grown by utilizing forest cover<sup>9</sup>. Currently, Sigi District has been designated as a center for agricultural development, particularly

---

<sup>7</sup>BPS Kabupaten Sigi. (2021). Statistik Kesejahteraan Rakyat Kabupaten Sigi 2021.

<sup>8</sup><https://dbgis.menlhk.go.id/arcgis/rest/services/KLHK>

<sup>9</sup><https://kabupatenlestari.org/en/anggota/sigi/>

in organic cocoa. Historically, Sigi has been a leading cocoa producer in Central Sulawesi Province, with cocoa plantations covering 27,887.50 hectares in 2023, making it the second-largest cocoa plantation area after Banggai District in the province<sup>10</sup>. Cocoa has been a prominent export product from Central Sulawesi, contributing substantially to foreign exchange earnings<sup>11</sup>. Meanwhile, according to Suud et al., 2021, Central Sulawesi is also known for coconut production<sup>12</sup>, with Sigi District having 6,150 hectares of coconut plantations in 2023<sup>13</sup>. As part of efforts to enhance regional food security, the Central Sulawesi government has promoted coconut farming in Bangga Village, South Dolo Subdistrict, Sigi District, historically known for its coconut production<sup>14</sup>.

28. Despite being a leading commodity, climate change has the potential to reduce cocoa and coconut productivity in Sigi District. As observed during 2011-2013, rising temperatures have adversely affected cocoa plant resilience, making them more susceptible to evolving viruses due to changing weather patterns. According to Central Sulawesi's Central Statistics Agency (BPS), cocoa exports have steadily declined. In 2011, Central Sulawesi exported 44,751 tons of cocoa, generating USD 132.31 million in foreign exchange, while in 2012, cocoa exports amounted to USD 78.53 million with 35,336 tons exported. By the first quarter of 2013, cocoa exports plummeted to 2,950 tons, a decrease of 59.5 tons compared to the same period in 2012<sup>15</sup>. Additionally, high rainfall from January to August 2011 in Central Sulawesi caused many cocoa pods to drop prematurely, resulting in a reduced harvest compared to previous years due to extreme climate changes<sup>16</sup>. More recently, in 2017, unfavorable weather conditions were suspected to have caused a significant decline in cocoa yields in Sigi District, Central Sulawesi, leading to substantial losses for farmers. Usually, one farmer can harvest up to five sacks of dry cocoa beans, but now the maximum is one sack of cocoa beans. This disappointing harvest, attributed to excessive rainfall, drastically reduced cocoa yields compared to previous years, leaving many farmers in distress<sup>17</sup>. Similar impacts have also affected the coconut commodity in Sigi District, Central Sulawesi. In 2019, many coconut trees in the district perished due to prolonged drought conditions. Observations in several locations revealed numerous coconut trees already dried out and deceased. Their fruits had fallen, leaving behind only dried trunks and leaves. The extensive loss of coconut trees resulted directly from the prolonged dry season experienced over the past few months<sup>18</sup>.

29. Most of the villages in Sigi District face moderate vulnerability to the impacts of

<sup>10</sup>BPS Provinsi Sulawesi Tengah. (2024). Provinsi Sulawesi Tengah Dalam Angka 2024

<sup>11</sup><https://sulawesi.bisnis.com/read/20201008/539/1302418/sulteng-andalkan-kabupaten-sigi-sebagai-sentra-produksi-kakao-organik>

<sup>12</sup>Suud et al. (2021). Kinerja Manajemen Rantai Pasok Kelapa di Provinsi Sulawesi Tengah.

<sup>13</sup>BPS Provinsi Sulawesi Tengah. (2024). Provinsi Sulawesi Tengah Dalam Angka 2024

<sup>14</sup><https://news.republika.co.id/berita/rbh131457/pemprov-sulteng-kembangkan-pertanian-jagung-dan-kelapa-di-sigi>

<sup>15</sup><https://sulteng.antaranews.com/berita/11238/meningkatkan-produksi-kakao-saat-pemanasan-global>

<sup>16</sup><https://disbun.kaltimprov.go.id/artikel/harga-kakao-berjangka-turun-54-poin>

<sup>17</sup><https://www.akurat.co/irill/1301870466/Hasil-Panen-Kakao-Sigi-Anjlok-Imbas-Cuaca-Tak-Mendukung>

<sup>18</sup><https://www.antaranews.com/berita/1058150/tanaman-kelapa-di-sigi-banyak-mati-dampak-kemarau-panjang>

climate change. The predominant livelihoods in these vulnerable villages revolve around agriculture, particularly cocoa and coconut plantations. The productivity of these plantations heavily relies on temperature and rainfall patterns. With increasing temperatures, humidity levels decrease significantly, posing a severe threat to cocoa trees and the chocolate industry. Additionally, Sigi District is characterized by extensive plantation areas highly susceptible to drought, particularly in Gumbasa District. In this district, agricultural lands heavily depend on water supply from the Gumbasa irrigation system. If not addressed through climate adaptation measures, these conditions could exacerbate the current impacts of climate change on plantation commodities<sup>19</sup>.

#### **Energy-related Strategic Issues in Sigi District**

30. Indonesia's compliance of energy needs has not yet been evenly distributed and still faces many challenges. As experienced in several regions in Indonesia, especially with conditions that tend to be difficult, Central Sulawesi with a total of 3,010,440 people or 811,927 households has a 91.93% electrification ratio. Zooming out to Sigi, the district's electrification ratio is 83% with 43 villages in four sub-districts having limited access to PLN's grid (State-Owned Electricity Company). These sub-districts are Lindu, Kulawi, South Kulawi, West Dolo, and Pipikoro. PLN has difficulty reaching these areas because the distance from the capital of Sigi to Kulawi and Pipikoro is about 50 km. On average, PLN can only manage to expand 2 km of the grid in each expansion point per year (ESDM, 2017), and remote villages are located 25 km outside the grid. Connecting the most outlying villages of Kulawi and Pipikoro to the grid will not be happening within five to ten years.
31. Although PLN has provided electricity to 83% of the villages in Sigi District, not all residents in these villages have reliable electricity. The electrical system in Central Sulawesi relies on hydroelectric power plants, where water resilience is a critical factor for power generation. The flow rate necessary to operate the turbines in Central Sulawesi's hydroelectric plants is highly variable, leading to potential power outages at any time. With the increasing risk of drought, river water levels cannot be consistently relied upon throughout the year to generate electricity.
32. The context of climate adaptation needs becomes crucial in the villages most affected. A more detailed assessment indicates that the sub-districts of Kulawi and Pipikoro experience fewer flood impacts compared to Gumbasa and South Dolo. Therefore, interventions to strengthen the adaptive capacity of community members are more urgently needed in Gumbasa and South Dolo. These sub-districts also hold strategic locations that connect the northern and southern parts of Sigi.
33. Communities require energy to enhance their climate adaptation resilience, with a crucial aspect being the integration of energy and information technology to develop early warning systems for floods, climate data, and weather information that support local agriculture and plantations.

---

<sup>19</sup><https://kumparan.com/paluposo/kabupaten-sigi-terancam-kekeringan-1550977945211949363/full>

## **A.2. Socio Economic Context Population**

34. Over the last ten years, the population number in Sigi District has been steadily increasing, from 215,030 in 2010 to 257,580 in 2021, showing approximately 1% annual growth rate<sup>20</sup>. The highest percentage of population (22,54%) resides in its capital, Sigi Biromaru, while the lowest percentage lives in Nokilalaki, a sub-district located 52 kilometers away from the district's administrative capital.
35. In 2020, the population density in Sigi is 50 people per km<sup>2</sup>, only a third of the average Indonesia population density. However, the access to civil registration is still considered as a challenge due to the district's vast area. Since 2014, Sigi has implemented an online civil administration information system, but the number of National Registry ID Card (KTP) ownership is only 75% in 2017, and only 40 out of 1,000 people have birth certificates<sup>21</sup>. This condition has worsened since 2018, where a large number of residents lost their civil registry documents due to the major earthquake and liquefaction<sup>22</sup>.
36. In Sigi District, several indigenous communities have been officially recognized through the Sigi Regent's Decree. These include the To Kulawi Uma in Moa Village, To Kulawi Uma in Masewo Village, and To Kulawi Moma in Toro Village. Specifically, in Gumbasa and South Dolo Subdistricts, which are project locations, the Topoado indigenous community resides. Topoado refers to the Kaili ethnic group, with "To" meaning people or group and "Po" indicating a speaker of the language<sup>23</sup>. Additionally, according to the Indigenous Territories Registration Agency, the Kaili Inde Gia indigenous community, which consists of small family-based groups, is the majority population in Wisolo Village. They live in scattered settlements around Mount Wisolo and along nearby rivers. Their economic livelihood is derived from plantation commodities such as coconut, cocoa, candlenut, bananas, corn, and vegetables<sup>24</sup>.

### **Poverty Level**

37. The main livelihood in Sigi Districts is agriculture. In 2020, 52,132 (45%) of people of productive age worked as farmers or farm workers, and 44,276 (38%) worked in the service industry. Minimum wage in Sigi district according to Central Sulawesi Governor Decree No. 561/399/Dis.Nakertrans.6.ST/2021 is Rp 2,390,739, while the regional poverty line is Rp 370,788.
38. The poverty level in Sigi has fluctuated in the past five years. Currently, Sigi District is categorized into Desil 1 Category District with extreme poverty based on the National Household Data (Bappeda Sulteng, 2022). There are 153,000 poor people in Sigi, which contributed to the poverty rate in Sigi is 13,05% against

<sup>20</sup>BPS, 2021, Kabupaten Sigi Dalam Angka 2021.

<sup>21</sup>Kabupaten Sigi, 2019, Rencana Kerja Peringkat Daerah Kabupaten Sigi 2019.

<sup>22</sup><https://sulteng.antaranews.com/berita/44616/banyak-warga-sigi-kehilangan-dokumen-kependudukan>

<sup>23</sup><https://aman.or.id/news/read/844>

<sup>24</sup><https://brwa.or.id/wa/view/NDJPC0l6aEhvbXc>

its entire population<sup>25</sup>. Meanwhile, the national target of poverty rate is at 8,5 to 9% in 2022.

39. Out of this poverty number in Sigi District, 38.16% are unemployed and 55.63% are informal workers, who mostly work in the agricultural sector. More than half (54.5%) of the population in poverty has healthcare insurance (BPJS) and up to 83% have home ownership<sup>26</sup>.

#### **Economic Condition**

40. The economic condition of Sigi District is reflected in the agricultural activities of its villages. Specifically in the 6 project villages, in Pandere Village, rice is harvested every four months yielding 500 kg/ha, corn every three months at 3,000 kg/ha, and cocoa biweekly at 140,000 IDR/kg. Pakuli Utara Village produces similar yields of rice and corn. Simoro Village also harvests corn and coconut every three months and cocoa biweekly. Bangga Village focuses on corn, yielding 2,500 kg/ha every four months at 4,500 IDR/kg. Sambo Village grows rice and corn, with rice sold to middlemen at 11,000 IDR/kg. Wisolo Village diversifies with secondary crops, cloves, durian, mango, and avocado.

41. In the 6 project villages in Sigi District, the primary occupation of the residents is farming. In Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo, 90-94% of the population are engaged in agricultural activities, with the remaining 3% working as civil servants (Aparatur Sipil Negara [ASN]) and 3-7% in private sectors. The community of Bangga similarly relies heavily on farming as their main source of livelihood. These farmers typically work their lands year-round, adhering to the planting and harvesting cycles of their crops to sustain their livelihoods.

42. Climate disasters have had adverse economic impacts on the communities of Sigi District. The two sub-districts most severely affected by climate-induced flooding are Gumbasa and South Dolo, encompassing six villages—Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo—where the flooding has been most severe. A total of 3,108 households have been exposed to the impacts of these floods. With an average Gross Regional Domestic Product (GRDP) of 39.73 million IDR per year<sup>27</sup>, the estimated economic loss due to flooding affecting these six villages is about 10.2 billion IDR per year, based on a scenario of three flood events per year<sup>28</sup>. This situation may continue to deteriorate in line with the increasing climate risks in Sigi District.

43. The high frequency of flooding events has made the social resilience of the community vulnerable. The impacts of flooding have caused damage to infrastructure that affects social conditions, such as students unable to attend

<sup>25</sup><https://bappeda.sultengprov.go.id/musrenbang-penyusunan-rkpd-kabupaten-sigi-tahun-2022/>

<sup>26</sup>BPS, 2021, Statistik Penduduk Miskin Kabupaten Sigi Tahun 2020

<sup>27</sup>BPS Kabupaten Sigi. (2023). Produk Domestik Regional Bruto Kabupaten Sigi Menurut Lapangan Usaha 2018-2022.

<sup>28</sup>BPBD Provinsi Sulawesi Tengah. (2024). Data Bencana 2024. <https://pusdalops-bpbdsulteng.com/data-bencana-publik>

school, hindered transportation access, damaged rice fields and plantation lands, and reduced water resilience. Consequently, the village communities face limitations in carrying out daily activities and fulfilling their socio-economic needs.

#### Education and Local Wisdom

44. The average years of schooling in Sigi District throughout 2016 to 2020 is 8,4 years, which is relatively low compared to the national policy on twelve years of compulsory education. However, the expected years of schooling has increased from 12.31 in 2016 to 12.87 in 2020, indicating that Sigi provides access to formal education up to high school level.
45. The educational infrastructure in the 6 project villages in Sigi District varies considerably. In Pandere Village, there are three elementary schools, one junior high school, and one high school, but no higher education institutions. Pakuli Utara Village also has two elementary schools, one junior high school, and one high school, with no higher education facilities. Simoro Village is more limited, with just one elementary school and no higher education options<sup>29</sup>. Bangga Village mirrors Pandere Village with three elementary schools, one junior high, and one high school. Both Sambo Village and Wisolo Village have one elementary school each but lack junior high, high school, and higher education facilities<sup>30</sup>. This disparity highlights the need for improved educational access and resources in these areas.

Table 2. Number of Educational Facilities 2021

Source: BPS Kabupaten Sigi

Village	Number of Educational Facilities			
	Elementary School	Junior High School	High School/ Vocational School	Academy/ University
Pandere	3	1	1	0
Pakuli Utara	2	1	1	0
Simoro	1	0	0	0
Bangga	3	1	1	0
Sambo	1	0	0	0
Wisolo	1	0	0	0

46. In Sigi, nature and forest protection are considered as customs and traditions that have been passed down between generations, especially for the indigenous people living alongside the forests. There is a local wisdom called Taolo<sup>31</sup>, a forest zone status that prohibits land opening in specific areas with steep slopes to prevent erosion and landslides. The indigenous law also forbids and gives out sanctions for people committing forest encroachment and environmental pollution<sup>32</sup>.

<sup>29</sup>BPS Kabupaten Sigi. (2021). Kecamatan Gumbasa Dalam Angka 2021.

<sup>30</sup>BPS Kabupaten Sigi. (2021). Kecamatan Dolo Selatan Dalam Angka 2021.

<sup>31</sup><https://jaring.id/antara-bukti-konservasi-dan-batas-di-atas-kertas/>

<sup>32</sup><https://sulteng.antaranews.com/berita/179460/upaya-komunitas-adat-lindu-jaga-kualitas-lingkungan>

## Health

47. Life expectancy in Sigi District has significantly improved from 68.69 in 2016 to 69.99 in 2020. Public health services have also improved with health facilities and workers (doctors, nurses, midwives, and pharmacists) spreading evenly across all 15 sub-districts. In 2020, 98.72% of childbirth were assisted by doctors and midwives. Child vaccination is accessible in every public health center. However, the number of children (12.46%) have not yet had a Child Identity Card (KIA) and their vaccinations were not well documented. This is a challenge as database and documentation is imperative in healthcare access and improvements.

Table 3. Number of Stunted Toddlers 2022  
Source: BPS Kabupaten Sigi

Village	Number of Stunted Toddlers
Pandere	18
Pakuli Utara	4
Simoro	2
Bangga	0
Sambo	0
Wisolo	1

48. The health condition in the 6 project villages of Sigi District reveals significant disparities in the prevalence of stunted toddlers. Pandere Village faces the highest challenge with 18 stunted toddlers, indicating severe nutritional deficiencies. Pakuli Utara and Simoro villages have relatively lower numbers, with 4 and 2 stunted toddlers respectively<sup>33</sup>. Conversely, Bangga and Sambo villages report no cases of stunting, suggesting better nutritional status and health conditions. Wisolo Village has a minimal incidence, with only 1 stunted toddler<sup>34</sup>. These variations underscore the need for targeted health and nutrition interventions across these villages.

## Gender

49. According to the 2021 data published by the Statistics Bureau of Sigi District, the population of the district in 2020 is 239,430 people with a gender ratio of 112.91, meaning that there were 113 men for every 100 women.

50. The gender ratio in the 6 project villages of Sigi District shows a near balance between male and female populations, reflecting a relatively equal gender distribution. In Pandere Village, the population consists of 1,345 males and 1,312 females, resulting in a gender ratio of 49%. Pakuli Utara Village has 769 males and 706 females, with a gender ratio of 48%. Simoro's gender ratio is 49%, with

<sup>33</sup>BPS Kabupaten Sigi. (2023). Kecamatan Gumbasa Dalam Angka 2023.

<sup>34</sup>BPS Kabupaten Sigi. (2023). Kecamatan Dolo Selatan Dalam Angka 2023.

489 males and 466 females<sup>35</sup>. Bangga Village has a slightly lower ratio at 47%, with 1,458 males and 1,285 females. Sambo Village maintains a perfect balance with a 50% gender ratio, having 623 males and 612 females. Wisolo Village follows closely with a 48% gender ratio, comprising 632 males and 592 females<sup>36</sup>. This data indicates that the gender distribution in these villages is fairly balanced, which is crucial for equitable community development and resource allocation.

Table 4. Population and Gender Ratio of 6 Project Villages  
Source: BPS Kabupaten Sigi

Village	2022 Population			Gender Ratio
	Male	Female	Total	
Pandere	1,345	1,312	2,657	49%
Pakuli Utara	769	706	1475	48%
Simoro	489	466	955	49%
Bangga	1,458	1,285	2,743	47%
Sambo	623	612	1235	50%
Wisolo	632	592	1224	48%

### A.3. Current Enabling Condition in Sigi District

51. The current efforts of Sigi District government to mitigate and adapt to the climate crisis are well-illustrated in the issuance of Sigi Hijau. It is a cross-sectoral policy breakthrough and the manifestation of Sigi's commitment to pursue jurisdictional sustainability achievable through several strategies that include climate change mitigation and adaptation including Regional Action Plans for Climate Change Mitigation and Adaptation as the extension of the national and provincial action plans to the district level. This proposed project aims to support Sigi District develop its own regional action plans for climate change adaptation. Sigi Hijau showcases the district's commitment further and will ensure effective implementation and access to funding from government fiscal incentives or private and non-profit.
52. Since the issuance of Green Sigi Vision in 2019, the district government has established its roadmap towards prepping the implementation pillars, including the availability of a multi-stakeholders forum. It was still conducted despite their post-disaster condition. By June 2022, following to the slow recovery, the district had revived their efforts. In parallel, through the Sustainable District Platform (Lingkar Temu Kabupaten Lestari/LTKL) secretariat - a district association under the Association of Districts Government in Indonesia (APKASI), the district has conducted stakeholders mapping and assessment in Sigi and across Palu City to identify core groups for Green Sigi implementation and ensure inclusivity of the multi-stakeholders platform, from architectural, disaster prevention, youth

<sup>35</sup>BPS Kabupaten Sigi. (2023). Kecamatan Gumbasa Dalam Angka 2023.

<sup>36</sup>BPS Kabupaten Sigi. (2023). Kecamatan Dolo Selatan Dalam Angka 2023.

empowerment, women group, indigenous community, literacy, and community business development working groups. The Green Sigi multi-stakeholders platform is targeted to be established by end of 2022. Prior to the establishment, the government has committed resources to support the process through district planning agency (Bappeda).

53. Jurisdictions with significant forest and conservation areas generally issue more regulations related to sustainable land use than the smaller ones. Sigi District, with relatively smaller size forest and conservation land, has published regulations on five topics of sustainable land use, including forest and peat protection and conservation, green growth planning, sustainable commodities, disaster/environmental management, and indigenous people and customary law.
54. As the proportion forest and conservation area against the total area of Sigi District is more than 70%, Sigi District relies heavily on their forest area for its ecosystem services, particularly on water and soil quality, resulting into a strong Jurisdictional Approach commitment to protect of the forest and conservation area, social forestry, and agrarian reform. Focusing on environmentally sound development and sustainable land use, Sigi will use its natural assets to increase its economic growth through sectors, such as ecotourism, forestry, and agriculture. Sigi has issued a local regulation on Regional Action Plan (Rencana Aksi Daerah/RAD) for sustainable development in Sigi District. There are also several initiatives on Lore Lindu National Park that involve all villages, including a formal agreement for the indigenous community surrounding the national park to utilize the forest sustainably.

#### **B. Project context**

55. Sigi District has developed its disaster risk assessment in 2020. The assessment has not covered many types of disasters and will need to be detailed down to be able to provide critical recommendations for mitigation and adaptation strategies. Mitigation and adaptation are the two strategies for addressing climate change. Mitigation is an intervention to reduce the emissions sources or enhance the sinks of greenhouse gasses. Adaptation is an adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities<sup>37</sup>.
56. As climate risks are increasing, the Sigi government should be aware of which risks can be mitigated and which risks are not possible and will need to be approached through an adaptation framework. In terms of adaptation, there are several basic elements as the basis of developing a comprehensive adaptation strategy, which are water and air. Due to the intensive climate variability occurrences in the region, the water cycle in many regions are changing drastically. These changes are impacting the catchment water balance, which further affects the irrigation regime, energy production through hydropower dams,

---

<sup>37</sup>Bruno Locatelli, Climate Change and Forests in the Congo Basin: Synergies between Adaptation and Mitigation: <https://www.cifor.org/fileadmin/fileupload/cobam/ENGLISH-Definitions&ConceptualFramework.pdf>

distribution of goods and services through the river networks, and other economic and development activities. In addition, the increasing occurrences of floods and long periods of droughts would be more threatening to the livelihoods of local communities, and business and economic continuity in the region.

57. Based on the public consultation in Sigi District, Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages have been decided as village candidates for the project implementation. These 6 villages are chosen because of their climate hazard impacts, vulnerability, agriculture commodities, Proklim village, and accessibility from Palu City.

The selection of Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages for the Adaptation Fund project in Sigi District is based on comprehensive public consultations that identified these areas as highly impacted by climate hazards such as flash floods. The table also highlights key insights regarding the common vulnerability to floods across all villages, emphasizing the urgent need for enhanced flood management strategies. These villages exhibit high to very high vulnerability levels, particularly in their agricultural sectors, which are crucial for local livelihoods. The chosen villages predominantly cultivate commodities like coconut, cacao, and paddy. Although none of these villages have yet attained Proklim (Climate Village) status, their accessibility from Palu City ensures practical implementation and monitoring of adaptation measures. These factors collectively underscore their suitability for focused climate resilience efforts under the project. Based on the context above, this proposal is focused on building a climate resilient district through a Water-Energy-Food nexus with Sigi District as the pilot.

Table 5. Proposed Pilot Villages Based on Priority Criteria

Village name	Climate Hazard Impacts	Vulnerability	Agriculture Commodities	Proklim Village
Pandere	Flash flood	High	Coconut, Candlenut and Cacao	Not yet
Pakuli Utara	Flash flood	High	Coconut and Cacao	Not yet
Simoro	Flash flood	High	Coconut and Cacao	Not yet
Bangga	Flash flood	Very high	Coconut and Cacao	Not yet
Sambo	Flash flood	Very high	Paddy and Cacao	Not yet
Wisolo	Flash flood	Very high	Cacao	Not yet



vulnerable areas will suffer from a variety of risks, ranging from building damages and loss of income sources, to loss of lives. Without proper assessment of climate change vulnerability, areas in the district that are highly vulnerable to the impacts of climate change, will not be properly identified, which could lead to ineffective interventions. In addition, capacity assessment is needed to identify the current institutional capacity level of local stakeholders in implementing climate change adaptation actions and improvements. These assessments will serve as the basis for development RAD-API, which will be mainstreamed into regional planning for long-term actions. Without background study of RAD-API, Sigi District will not have adequate data and justification for pursuing regional sustainable development strategies that are climate-adaptive, and could limit the district's capacity and access to relevant funding needed for implementation.

61. Without a strong enabling environment for implementing adaptation policies, the 6 project villages in Sigi District, which are highly vulnerable to flooding and drought, will face several significant challenges, such as efforts to adapt to climate impacts may be uncoordinated and insufficient, failing to address the unique vulnerabilities of each village; hinder capacity-building initiatives for local communities and authorities, leaving them ill-prepared to implement and sustain adaptation measures; and, hinder capacity-building initiatives for local communities and authorities, leaving them ill-prepared to implement and sustain adaptation measures.
62. If the WEF Nexus approach is not applied to improve the effectiveness of the District's Climate Change Adaptation Action Plan, the interventions may be fragmented and less effective. This approach ensures integrated management of water, food, and energy resources, which is crucial for building resilience against climate hazards like flooding and droughts. Without it, there could be inefficient resource allocation, increased vulnerability, and reduced sustainability of adaptation measures. This could ultimately hinder the district's ability to achieve long-term climate resilience.
63. Implementing a center of excellence for climate change adaptation at the district level is crucial for the community. This center will enhance the district's ability to monitor, evaluate, and learn from climate adaptation initiatives. It will document best practices, disseminate knowledge, and share lessons learned, ensuring that effective strategies are replicated and scaled up. Without this center, Sigi District risks losing valuable insights and innovations, leading to inefficient adaptation efforts and diminished community resilience against floods and droughts.
64. Upon the development of effective and targeted action plans, the consortium considered the importance of showcasing how such action plans can be implemented on the ground. Implementation of small-scale projects specific to the needs of the focused vulnerable villages will help increase their resilience toward climate change. This pilot implementation will also serve as a demonstration for local stakeholders for replication in other areas facing similar challenges.

## Project/Programme Objectives

65. The objectives of this project are

**Objective 1:** Strengthened enabling environment to support Adaptation policy implementation in Sigi District.

**Objective 2:** WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan.

**Objective 3:** Center of excellence of climate change adaptation at district level.

66. Below is the Theory of Change for the Project and alignment of the project objectives with the Adaptation Fund Result Framework at the outcome level:

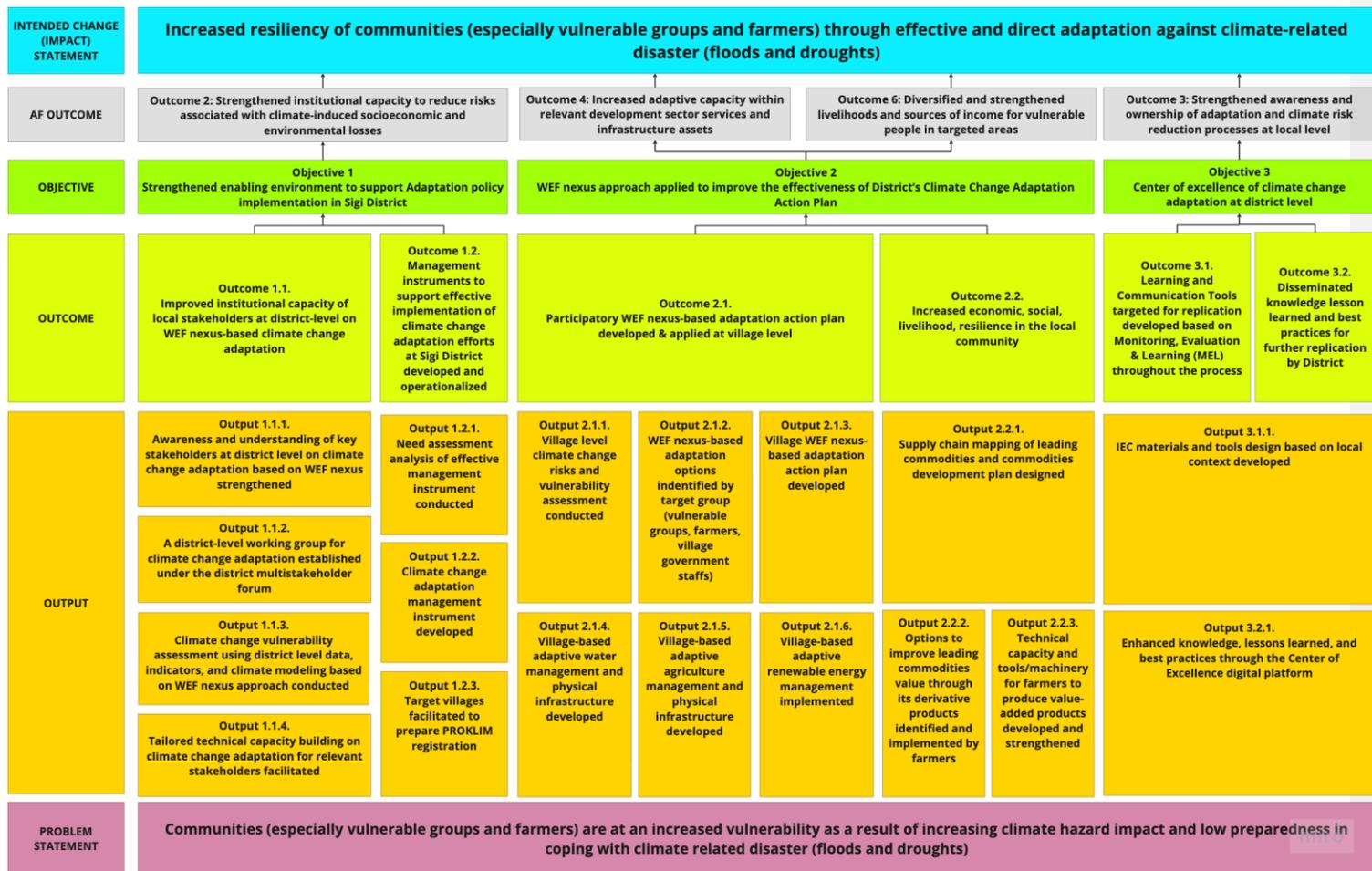


Figure 5. The Theory of Change (TOC)

## Project/Programme Components and Financing

67. The project to build a climate-resilient district in Sigi is structured around three objectives each with specific outputs and outcomes.

Table 6. Project/Programme Components and Financing

Project/ Programme Components	Expected Concrete Outputs	Expected Outcomes	Amount (US\$)
1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District	Output 1.1.1. Awareness and understanding of key stakeholders at district level on climate change adaptation based on WEF nexus strengthened	Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation	278,583
	Output 1.1.2. A district-level working group for climate change adaptation established under the district multistakeholder forum		
	Output 1.1.3. Climate change vulnerability assessment using district level data, indicators, and climate modeling based on WEF nexus approach conducted		
	Output 1.1.4. Tailored technical capacity building on climate change adaptation for relevant stakeholders facilitated		
	Output 1.2.1. Need assessment analysis of effective management instrument conducted	Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized	
	Output 1.2.2. Climate change adaptation management instrument developed		
	Output 1.2.3. Target villages facilitated to prepare PROKLIM registration		
2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan	Output 2.1.1. Village level climate change risks and vulnerability assessment conducted	Outcome 2.1. Participatory WEF nexus-based adaptation action plan developed & applied at village level	448,360
	Output 2.1.2. WEF nexus-based adaptation options identified by target group (vulnerable groups, farmers, village government staffs)		
	Output 2.1.3. Village WEF nexus-based adaptation action plan developed		
	Output 2.1.4. Village-based adaptive water management and physical infrastructure developed		
	Output 2.1.5. Village-based adaptive agriculture management and physical infrastructure developed		
	Output 2.1.6. Village-based adaptive renewable energy management implemented		

	Output 2.2.1. Supply chain mapping of leading commodities and commodities development plan designed	Outcome 2.2. Increased economic, social, livelihood, resilience in the local community	
	Output 2.2.2. Options to improve leading commodities value through its derivative products identified and implemented by farmers		
	Output 2.2.3. Technical capacity and tools/machinery for farmers to produce value-added products developed and strengthened		
3. Center of excellence of climate change adaptation at district level	Output 3.1.1. IEC materials and tools design based on local context developed	Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process	106,216
	Output 3.2.1. Enhanced knowledge, lessons learned, and best practices through the Center of Excellence digital platform, and best practices developed and launched	Outcome 3.2. Disseminated knowledge lesson learned and best practices for further replication by District	
<b>4. Project/Programme Execution Cost (9.5%)</b>			<b>87,457</b>
<b>5. Project/Programme Cost</b>			<b>920,616</b>
<b>6. Project/Programme Cycle Management Fee charged by the Implementing Entity (if applicable)</b>			<b>78,252</b>
<b>Amount of Financing Requested</b>			<b>998,868</b>

## Projected Calendar

68. The projected timeline for the climate resilience project in Sigi District includes several key milestones. The project is set to commence in November 2025. A mid-term review is planned for October 2026 to assess progress and make necessary adjustments. The project will conclude in September 2027, followed by a terminal evaluation in October 2027 to evaluate its overall impact and effectiveness. This timeline ensures a structured approach to implementation, monitoring, and evaluation, facilitating effective adaptation measures in the district.

Table 7. Project/Programme Components and Financing

Milestones	Expected Dates
Start of Project/Programme Implementation	November 2025
Mid-term Review (if planned)	October 2026
Project/Programme Closing	September 2027
Terminal Evaluation	October 2027

## PART II: PROJECT/PROGRAMME JUSTIFICATION

### A. Project/Programme Components

69. Component 1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District. This component is aligned with the Adaptation Fund Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses.

70. The project outcome that is expected to be achieved under this component is Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation, that is aligned with the Adaptation Fund Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events.

Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized, that is aligned with the Adaptation Fund Output 2.1: Strengthened capacity of national and sub-national centers and networks to respond rapidly to extreme weather events.

71. The project outputs will include:

Output 1.1.1. Awareness and understanding of key stakeholders at district level on climate change adaptation based on WEF nexus strengthened. This output will be achieved by conducting the following activities:

- 1.1.1.1 Establish comprehensive understanding of current institutional awareness on WEF Nexus-based climate change adaptation
- 1.1.1.2 Develop a detailed awareness programme on WEF Nexus-based Climate Change Adaptation
- 1.1.1.3 Workshop on climate change adaptation - WEF approach
- 1.1.1.4 Awareness Video/Photo/Poster competition among relevant district-level stakeholders and for public on WEF Nexus-based Climate Change Adaptation

Output 1.1.2. A district-level working group for climate change adaptation established under the district multistakeholder forum. This output will be achieved by conducting the following activities:

- 1.1.2.1 Brainstorming on the idea of working group establishment (role, function, workplan of draft working group)
- 1.1.2.2 Drafting decision letter (SK) of Working Group
- 1.1.2.3 Launching working group (discuss and agree on working group work plan)

Output 1.1.3. Climate change vulnerability assessment using district level data, indicators, and climate modeling based on WEF nexus approach conducted. This output will be achieved by conducting the following activities:

- 1.1.3.1 Climate change vulnerability assessment through secondary data and FGD
- 1.1.3.2 Develop climate change vulnerability assessment report

Output 1.1.4. Tailored technical capacity building on climate change adaptation for relevant stakeholders facilitated. This output will be achieved by conducting the following activities:

- 1.1.4.1 Conducting need Assessment on Climate Change Adaptation Preparedness
- 1.1.4.2 Develop a detailed training program and set of modules of technical training, including women and vulnerable groups
- 1.1.4.3 Workshop and technical training on climate change adaptation, including women and vulnerable groups
- 1.1.4.4 Technical assistance and facilitation for background study for Climate Change Adaptation Action Plan (RAD-API)

Output 1.2.1. Need assessment analysis of effective management instrument conducted. This output will be achieved by conducting the following activities:

- 1.2.1.1 Initial assessment with interview and desk-analysis
- 1.2.1.2 Consultation with Focus group discussions on management instruments with multi-stakeholders platform

Output 1.2.2. Climate change adaptation management instrument developed. This output will be achieved by conducting the following activities:

- 1.2.2.1 Design management instrument
- 1.2.2.2 Development of management instruments
- 1.2.2.3 User trial test of management instruments
- 1.2.2.4 Dissemination & training of management instrument
- 1.2.2.5 Climate awareness goes to schools (trainings)

Output 1.2.3. Target villages facilitated to prepare PROKLIM registration. This output will be achieved by conducting the following activities:

- 1.2.3.1 Workshop, training, and socialization PROKLIM at village level
- 1.2.3.2 PROKLIM registry assistance with enumerators

72. Component 2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan. This component is aligned with the Adaptation Fund Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets and the Adaptation Fund Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas.

73. The project outcome that is expected to be achieved under this component is Outcome 2.1. Participatory WEF nexus-based adaptation action plan developed & applied at village level, that is aligned with the Adaptation Fund Output 4: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability.

Outcome 2.2. Increased economic, social, livelihood, resilience in the local community, that is aligned with the Adaptation Fund Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability.

74. The project outputs will include:

Output 2.1.1. Village level climate change risks and vulnerability assessment conducted. This output will be achieved by conducting the following activities:

- 2.1.1.1 Focus group discussions (preparedness and awareness on climate change risk and vulnerability assessment)
- 2.1.1.2 Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups
- 2.1.1.3 Disseminate results of rapid assessment to all village stakeholders

Output 2.1.2. WEF nexus-based adaptation options identified by target group (vulnerable groups, farmers, village government staff). This output will be achieved by conducting the following activities:

- 2.1.2.1 Develop pre-material on adaptation options
- 2.1.2.2 Facilitate FGDs on adaptation options
- 2.1.2.3 Develop IEC materials of identified adaptation options (WEF Nexus)
- 2.1.2.4 Strategic review and reflection within stakeholders

Output 2.1.3. Village WEF nexus-based adaptation action plan developed. This output will be achieved by conducting the following activities:

- 2.1.3.1 Develop action plan
- 2.1.3.2 Support village to propose identified actions to be financed by village fund and by Adaptation Fund
- 2.1.3.3 Workshop on village climate adaptation plan

Output 2.1.4. Village-based adaptive water management and physical infrastructure developed. This output will be achieved by conducting the following activities:

- 2.1.4.1 Strengthen water adaptive management village task force
- 2.1.4.2 WASH household-based e-Survey
- 2.1.4.3 Conduct public consultation and develop adaptive water management plan
- 2.1.4.4 Evidence-based planning and budgeting for village WASH program
- 2.1.4.5 Physical construction of adaptive water infrastructure (NbS knock-down levee along the river 500 meter)
- 2.1.4.6 Physical construction of adaptive water infrastructure (ponds)
- 2.1.4.7 Physical construction of adaptive water infrastructure (mini nature-based water treatment plant & distribution pipe)
- 2.1.4.8 Physical construction of adaptive water infrastructure (household water and sanitation facility)

Output 2.1.5. Village-based adaptive agriculture management and physical infrastructure developed. This output will be achieved by conducting the following activities:

- 2.1.5.1 Strengthen adaptive Agriculture management village task force (Kelompok Tani)
- 2.1.5.2 Survey and identify of flood prone on agriculture areas (physical) and cultural heritage (social)

- 2.1.5.3 Develop activity plan for adaptive agriculture management & physical infrastructure
- 2.1.5.4 Build demonstration plot nursery to produce seedlings for the establishment of plantations
- 2.1.5.5 Improvement/construction of agriculture irrigation/drainage system with implemented safety protocols
- 2.1.5.6 Biogeographic assessment for natural food plain development and restoration
- 2.1.5.7 Retention well construction in flood prone areas of plantations while safeguarding local wisdom/value

Output 2.1.6. Village-based adaptive renewable energy management implemented. This output will be achieved by conducting the following activities:

- 2.1.6.1 Development module of efficiency and energy management
- 2.1.6.2 Solar PV installment for climate impact information
- 2.1.6.3 Climate IoT tools and software development for supporting sustainable agriculture
- 2.1.6.4 Improving internet access for climate resilience information
- 2.1.6.5 Capacity building to build the technical skills related to the installation and use of solar PV systems and climate IoT tools
- 2.1.6.6 Conducting FGD for Community-driven climate resilience information sharing
- 2.1.6.7 Conducting for Workshop Community awareness on energy efficiency and management

Output 2.2.1. Supply chain mapping of leading commodities and commodities development plan designed. This output will be achieved by conducting the following activities:

- 2.2.1.1 Conduct supply chain analysis on leading commodities
- 2.2.1.2 Conduct market demand and distribution analysis on leading commodities and derivative products
- 2.2.1.3 Develop sustainable commodities development plan

Output 2.2.2. Options to improve leading commodities value through its derivative products identified and implemented by farmers. This output will be achieved by conducting the following activities:

- 2.2.2.1 Identification of preferable derivative products to be further developed to increase income of farmers
- 2.2.2.2 Conduct identified derivative products distribution analysis to ensure product reaching the right market at the right time (including identifying buyers)

Output 2.2.3. Technical capacity and tools/machinery for farmers to produce value-added products developed and strengthened. This output will be achieved by conducting the following activities:

- 2.2.3.1 Training of trainers for farmers on value-added commodities production
- 2.2.3.2 Developing appropriate Processing Tools/Machinery/Technology for the farmers (to be granted to Village enterprise/BUMDes)

- 2.2.3.3 Training for village enterprises to develop business model e.g. market, distribution, and Return of Investment (RoI)
- 2.2.3.4 Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)

75. Component 3. Center of excellence of climate change adaptation at district level. This component is aligned with the Adaptation Fund Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level.

76. The project outcome that is expected to be achieved under this component is Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process, that is aligned with the Adaptation Fund Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities.

Outcome 3.2. Disseminated knowledge lesson learned and best practices for further replication by District, that is aligned with the Adaptation Fund Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning.

77. The project outputs will include:

Output 3.1.1. IEC materials and tools design based on local context developed. This output will be achieved by conducting the following activities:

- 3.1.1.1 Identify local context on adaptation efforts for designing IEC materials and tools
- 3.1.1.2 Developing IEC materials and tools design based on local context and lessons learned from the project
- 3.1.1.3 Public consultation on the IEC materials and tools
- 3.1.1.4 Finalization of IEC materials and tools design based on local context
- 3.1.1.5 Development of project lessons learned
- 3.1.1.6 Develop communication strategy
- 3.1.1.7 Create short documentary about community-based climate adaptation
- 3.1.1.8 Dissemination of communication product (short documentary)

Output 3.2.1 Enhanced knowledge, lessons learned, and best practices through the Center of Excellence digital platform, and best practices developed and launched. This output will be achieved by conducting the following activities:

- 3.2.1.1 Design centre of excellence digital platform
- 3.2.1.2 User trial test of the centre of excellence digital platform
- 3.2.1.3 Sub national policy dialogue for identification learning and sharing climate adaptation action plan (district and province)
- 3.2.1.4 Launching of Sigi District Climate Change Adaptation Centre of Excellence (back-to-back with Closing Ceremony of the Projects)

## B. Economic, Social, and Environmental Benefits

### Economic and Social Benefits

78. The project will have a direct impact on climate adaptation planning to disaster mitigation and preparedness, economic resilience, and climate-adaptive livelihood. The total direct beneficiaries of this project reached 1,543 peoples with six pilot villages targeted namely Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. The project targets both male and female residents, ensuring gender-inclusive benefits.
79. Sigi is also selected for its leadership on the national level LTKL, focusing on accelerating the implementation of sustainable development. In functional level, the project would equip and enable 50 officials in the District Government Agencies/Office in Sigi<sup>38</sup> to implement the climate adaptation regional planning and develop an economic resilience model. When implemented, it would contribute to the growing of sustainable forest or agricultural commodities.
80. The availability of Gender Responsive and Inclusive Climate Risk Profile and the recommendation of priority adaptation actions will help stakeholders (private sector and community) to anticipate climate risks, such as floods and droughts, to secure their business continuity, such as production and distribution of goods and services, distribution of goods, crops, etc. In addition to that, as the program will contribute to the fulfillment of Sigi's Regional Competitiveness Framework<sup>39</sup>, it will directly affect the income-generation as it complies with the sustainable investment appetite and sustainable supply chain from agricultural and forestry commodities. It is expected to increase Sigi's gross regional domestic products from the forestry, agriculture, and fisheries sectors beyond 43% of the entire Sigi's GRDP<sup>40</sup>.

Table 8. Project Beneficiaries

Village	2022 Population		
	Male	Female	Total
Pandere	1,345	1,312	2,657
Pakuli Utara	769	706	1,475
Simoro	489	466	955
Bangga	1,458	1,285	2,743
Sambo	623	612	1,235
Wisolo	632	592	1,224
Total	5,316	4,973	10,289

<sup>38</sup><https://sigikab.go.id/index.php/pemerintahan/organisasi-perangkat-daerah.html>

<sup>39</sup><https://www.kabupatenlestari.org/en/document/kerangka-daya-saing-daerah-kdsd-booklet/>

<sup>40</sup><https://sigikab.go.id/dokumen%202020/RKPD%20MURNI%202019.pdf>

Table 9. Number of Direct Beneficiaries

Direct Beneficiaries	Persons	Sex-disaggregate Data
Farmers	1,093	Must comply with the baseline survey data (Initial target: 30% females)
Women and vulnerable groups	125	100% / 125 females
Elderly	55	Must comply with the baseline survey data (Initial target: 30% females)
Youth	125	30% / 38 females (estimation based on initial target)
Stakeholders (CSOs, academics, and governments)	145	Must comply with the baseline survey data (Initial target: 30% females)
Total	1,543	

#### Environmental Benefits

81. The project will inform the government and other stakeholders to understand the change of nature due to the impact of climate change. This understanding is expected to expand the options on innovative adaptation solutions, especially nature-based adaptation solutions, to respond to the risks, such as, flood and drought in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. For the village residents, the project will directly contribute to the district's capacity in water, food, and energy management.

#### Gender and Vulnerable Group Benefits

82. Building Sigi as a resilient district would impact gender mainstreaming, where the involvement of women and vulnerable groups in various activities is concerned, with a minimum of 30% participation of women. As the primary caregivers, women are responsible for the family's daily subsistence selections but are often not remunerated (Ferrant et al., 2014). However, women are disproportionately affected by the lack of cleaner and affordable energy options (Energia, 2008). Such is the case of Sigi, Central Sulawesi, and with 43 villages left in the dark, maternal mortality rates are high. Despite recognising that women are natural safeguards of natural resources, women in Sigi still lack access to essential services and voice and representation in decision-making. Particularly in rural areas, women play significant roles in small-scale agriculture and informal income-generating activities.

83. The project would integrate women's and youth active participation in key activities in the planning and consultation process both at the strategic level through 30% participation in multi-stakeholder consultation and planning at the district level to the implementation process at the village level. Women's and youth involvement from the local communities, will be accounted as key decision makers and front liners in climate mitigation and adaptation communication to targeted community groups and the wider public. The income-generating activities at the village level will also directly involve women and youth, from deciding which plantation commodities to be cultivated, such as cocoa, coffee

and coconut, to post-harvest and going to the market activities, including how they decide on how to grow the livelihood into economically valuable products and how they would access financing through cooperatives, VSLA or other community-driven initiatives. Environment safeguarding activities will also involve women, such as ensuring their inclusion as water committee members and/or in community forest stewardship initiatives and disaster management forum.

84. The Incorporation of gender analysis can increase the effectiveness of measures to protect people from climate variability and change. Gender-sensitive research is needed, including collecting, analysing and reporting sex-disaggregated data. Including gender-relevant considerations will strengthen jurisdictions' climate resilient planning. The availability of a Gender Responsive and Inclusive Climate Risk Profile will help all stakeholders, especially the vulnerable groups, to be able to understand climate risk related to Water-Energy-Food security and to have the capacity to reduce the risks. The project will develop a Gender Responsive and Inclusive Climate Risk Profile to ensure that an effective gender mainstreaming approach is implemented throughout the project design, development, and implementation, where different needs of different gender groups are identified, sensitivities across gender groups are considered, and the interventions are tailored to meet different needs. This profile will be accessible to all stakeholders (i.e., braille version, infographic for those unable to read, etc.).

### **C. Cost Effectiveness**

85. The cost-effectiveness analysis of Component 1 focuses on strengthening the enabling environment to support climate adaptation policy implementation in Sigi District. The proposed intervention, which includes targeted stakeholder engagement and district-specific climate vulnerability assessments, has a total budget allocation of \$278,583. This investment aims to provide precise climate modeling based on the Water-Energy-Food (WEF) nexus approach, ensuring that adaptation strategies are well-targeted and effectively address local climate challenges. The intervention's benefits include enhanced stakeholder capacity to design and implement sustainable adaptation measures, reducing long-term economic losses associated with climate-related disasters. Additionally, accurate vulnerability assessments enable strategic resource allocation, minimizing economic disparities and ensuring inclusive growth for vulnerable community groups such as farmers, women, and youth.

86. The cost-effectiveness analysis of Component 1 focuses on strengthening the enabling environment to support climate adaptation policy implementation in Sigi District. The proposed intervention, which includes targeted stakeholder engagement and district-specific climate vulnerability assessments, has a total budget allocation of \$278,583. This investment aims to provide precise climate modeling based on the Water-Energy-Food (WEF) nexus approach, ensuring that adaptation strategies are well-targeted and effectively address local climate challenges. The intervention's benefits include enhanced stakeholder capacity to design and implement sustainable adaptation measures, reducing long-term economic losses associated with climate-related disasters. Additionally, accurate vulnerability assessments enable strategic resource allocation, minimizing

economic disparities and ensuring inclusive growth for vulnerable community groups such as farmers, women, and youth.

87. In comparison, the alternative intervention, with a budget of \$280,000, proposes regional workshops and district-level climate vulnerability analyses. However, this approach may not provide the same level of strategic engagement and precision in addressing community-specific challenges. Regional workshops often result in short-term engagement with stakeholders, lacking sustained action and leading to generalized findings that may not align with village or community-level vulnerabilities. From a financial perspective, investing in a more comprehensive, localized adaptation strategy ensures a higher return on investment (ROI) by optimizing resource utilization and reducing potential economic losses in the future.
88. The cost-effectiveness analysis of Component 2, which applies the Water-Energy-Food (WEF) nexus approach to improve the district's climate adaptation action plan, highlights significant budget considerations across different interventions. The proposed intervention for improving village-based adaptive water management and physical infrastructure development is allocated a budget of \$448,360, emphasizing a cost-efficient nature-based knock-down levee solution. This approach reduces construction and maintenance costs compared to traditional embankment methods, which are estimated to cost IDR 1.6 billion per km (approximately \$104,781 USD/km), with a maximum cost reaching \$6 million USD/km in other projects within the Sigi region. The proposed levee offers better flexibility, environmental sustainability, and lower long-term financial liabilities, making it a more viable investment.
89. In contrast, the alternative intervention of river embankment construction requires a significantly higher budget allocation of \$6 million, reflecting the substantial costs associated with materials such as concrete and steel. Although this option allows for quick implementation, it presents higher risks of damage and costly repairs over time. Additionally, for improving village-based adaptive renewable energy management, the proposed intervention includes solar PV installation with an estimated operational cost of \$7,300 USD annually, whereas the alternative use of diesel generators would incur recurring fuel expenses of \$10 per day, resulting in a 3-4 times higher total cost over a five-year lifecycle. These cost comparisons demonstrate that the proposed solutions, despite their upfront investment, provide superior financial sustainability and resilience benefits in the long run.
90. The cost-effectiveness analysis of Component 3: Center of Excellence for Climate Change Adaptation at District Level evaluates the financial and operational feasibility of establishing a digital knowledge-sharing platform compared to traditional multistakeholder meetings. The proposed intervention, with a budget allocation of \$106,216, aims to develop and launch a digital platform that will provide continuous access to climate adaptation knowledge, lessons learned, and best practices. This approach offers significant cost savings by eliminating recurring expenses associated with physical workshops, travel, venue rentals, and printed materials, which typically account for 20-30% of conventional training

budgets. Moreover, the digital platform ensures inclusivity by allowing a wider range of stakeholders, including remote communities, to access valuable resources and participate in decision-making processes without geographical constraints.

91. In contrast, the alternative intervention, with a slightly lower budget involves conducting multistakeholder knowledge-sharing meetings. However, has inherent limitations, including a restricted timeframe, limited reach, and the absence of a consolidated digital data repository. Without a digital component, knowledge dissemination becomes fragmented, and stakeholders may face challenges in retaining and applying key insights effectively.

Table 10. Cost Effectiveness

	Conventional Physical Infrastructure	Water Energy Food Nexus
Protection benefit	Relatively quick to achieve when the construction ends	Provides ecosystem services and socio-cultural viability; supports long-term resilience
Materials	Cement, sand, gravel, concrete, flood control system	Supports natural materials like riparian buffers, forest restoration, and floodplain reconnection
Adaptability	Low, requires significant modifications for changes	High, adapts to changing environmental conditions
Effect for coastal ecosystems	Limited or potentially negative impacts due to artificial structures	Positive impacts due to nature-based solutions
Socio-cultural viability	Often low, as it may not align with traditional practices	High, as it integrates community practices and sustainable agriculture
Economic retention	High initial cost with potential ongoing maintenance expenses	Enhances long-term economic benefits by sustaining agricultural productivity and reducing repair costs
Regulatory Compliance	Meets strict environmental and sustainability regulations	Requires numerous permits and oversight

Table 11. Cost Effectiveness With Quantitative Estimates

Project/ Programme Components	Intervention	Activity	Effectiveness	Socio Economic Benefit During and After the Project	Budget
Component 1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District	Propose Intervention	Awareness and understanding of key stakeholders at district level on climate change adaptation based on Water-Energy-Food Nexus is in place	This approach focuses on inviting key stakeholders who are directly involved in and responsible for climate adaptation actions making it more strategic than engaging participants who may not have a direct role. Additionally, the WEF nexus framework is highly specific and tailored to local contexts, addressing key challenges and delivering targeted solutions.	This activity can strengthen understanding of climate risks and allows stakeholders to design and implement sustainable adaptation measures that improve resilience. Then, policies informed by the WEF nexus approach optimize resource use and enhance livelihoods. Early awareness minimizes the long-term economic impacts of climate change by reducing vulnerability to disasters.	278,583

		Conduct climate change vulnerability assessment using district level data and indicators and climate modeling based on water-energy-food (WEF) nexus approach	This is because utilizing district-specific data provides a more accurate representation of local conditions, leading to more precise climate modeling. Accurate modeling ensures that any climate adaptation plans developed are targeted and effective in addressing real challenges on the ground.	Accurate climate modeling allows communities to anticipate risks and take preventive actions, reducing loss of lives and property. Adaptation plans based on precise vulnerability assessments ensure investments are targeted at the most critical areas. Tailored adaptation strategies address the specific needs of vulnerable groups (e.g., farmers, women, youth), reducing socio-economic disparities and ensuring inclusive growth.	
	Alternative Intervention	Regional workshops for district program socialization	Regional workshops often involve a one-time or short-term engagement with stakeholders, which may not lead to sustained awareness or action.	-	280,000
		Climate vulnerability analysis at the district level	Conducting analysis at the district level often results in generalized findings that may not accurately reflect village or community-level vulnerabilities, leading to less targeted interventions.	-	
Component 2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan	Propose Intervention	Improving Village-based adaptive water management and physical infrastructure development	The construction of a nature-based knock-down levee along the river offers a more cost-effective and flexible solution compared to traditional dams by utilizing modular, eco-friendly materials that can be easily assembled, disassembled, and adapted to changing environmental conditions. This approach enhances flood resilience by allowing natural water flow regulation, reducing environmental disruption, and providing a sustainable alternative with lower maintenance and construction costs.	During the project, setting up a village task force and conducting WASH e-surveys help the community get involved in managing their water needs. After the project, better water access and management will improve water access, and make the village more resilient to climate challenges.	448,360
		Improving Village-based adaptive agriculture management and physical infrastructure development	Developing an activity plan for adaptive agriculture management and physical infrastructure ensures a structured approach to improving farming practices and resilience to climate challenges. Establishing a demonstration plot nursery helps farmers access	Strengthening farmer groups and planning adaptive agriculture will create jobs and build skills, while after the project, it will boost productivity, income, and resilience to climate change	

			quality seedlings, while floodplain development and retention well construction in flood-prone plantation areas reduce waterlogging risks, enhance soil moisture retention, and support long-term agricultural productivity.		
		Improving Village-based adaptive renewable energy management	Installing solar PV for climate impact information provides a reliable and sustainable energy source to power climate monitoring systems in rural areas. The development of Climate IoT tools and improved internet access will enable farmers to receive real-time data, make informed decisions, and adopt sustainable agricultural practices, ultimately enhancing their resilience to climate change.	Training and community discussions will build technical skills and awareness, while after the project, they will support better energy management and climate resilience, with technical management/maintain overseen by the Multistakeholder Forum (MSF) Sigi.	
Alternative Intervention	River embankment construction	Using materials such as concrete and other traditional methods can be costly and have a higher risk of damage compared to nature-based solutions. Cost estimation for creating River Embankment construction in Sulawesi is about IDR 1.6 Billion/Km or 104.781 USD per km USD. <sup>41</sup> While the maximum estimation from other projects in Sigi Region is about 6,000,000 USD/km. <sup>42</sup>	The project can be implemented quickly and completed immediately; however, for long-term sustainability, it carries a high risk of damage, which may lead to expensive repairs.		6,000,000
	Seed procurement project	This intervention overlooks the potential for local seed production and depends on external suppliers. While the estimated cost for seed procurement in villages ranges between 60-100 million IDR, the community often raises concerns about the seeds' productivity and suitability to local environmental conditions.	The community can obtain and plant the seeds based on land availability; however, in the long run, uncertainties remain regarding yield suitability and soil compatibility.		
	Use of diesel generators (gensets)	Requires daily maintenance and fuel supply, which can be costly, with an estimated operating cost of 10 USD per day. Estimate USD 7,300/year. Comparison with battery PV system life cycle (5 years), it will cost 3-4 times	Renewable energy solutions provide a more sustainable option for powering climate information and agricultural management systems.		

<sup>41</sup><https://ppid.sultengprov.go.id/wp-content/uploads/2023/08/RENJA-CIKASDA.pdf>

<sup>42</sup><https://www.kompas.com/properiti/read/2023/12/14/163000421/infrastruktur-pengendali-banjir-dibangun-di-tiga-sungai-kota-palu>

			more expensive. <sup>43</sup>		
Component 3. Center of excellence of climate change adaptation at district level	Propose Intervention	Creating Center of excellence digital platform to disseminate knowledge, lessons learned and best practices developed and launched	Developing a Center of Excellence digital platform offers a cost-effective solution by providing continuous access to knowledge and resources without the recurring costs of physical workshops and printed materials	The platform will empower communities by improving access to valuable information, training, and networking opportunities, enabling them to adopt better climate adaptation practices. After the project, it will foster long-term economic growth by enhancing skills, increasing employment opportunities, and supporting informed decision-making	106,216
	Alternative Intervention	Multistakeholder knowledge sharing meeting	The workshop is conducted within a limited timeframe and is not consolidated with digital data, which hinders inclusive access for all stakeholders who could learn and participate.	-	102,000

#### D. Consistent With National or Sub-National Sustainable Development Strategies

92. The proposed project will support and align to several key national development strategies and commitments. It corresponds directly with Indonesia's commitment towards climate change mitigation and adaptation, as formalized in the National Determined Contribution (NDC) and the NDC roadmap, the National Medium-Term Development Planning (Rencana Pembangunan Jangka Menengah Nasional/RPJMN) 2020-2024<sup>44</sup> and National Action Plans for Climate Change Adaptation (Rencana Aksi Nasional Adaptasi Perubahan Iklim/RAN API) by the Ministry of National Planning. The three national strategic documents outline key agendas, especially building the environment and increasing disaster and climate change and economic resilience, including local government and people preparedness by expanding multi-sector partnerships. The strategies focus on the synergy of regional spatial use and the number of regencies and cities with detailed spatial planning for resilience to disaster and climate change, especially on building strength in safeguarding food, water, and energy resources. The consortium, through Component 1, will support the district in developing the action plans for addressing climate change mitigation and adaptation efforts, through Component 2, will support resilient Water-Energy-Food (WEF) independence in 6 selected vulnerable villages namely Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages, as well as through Component 3,

<sup>43</sup><https://sultengterkini.id/2023/09/01/catat-ini-daftar-harga-bbm-pertamina-naik-1-september-2023/>

<sup>44</sup>Narasi RPJMN 2020-2024, <https://old.bappenas.go.id/files/rpjmn/Narasi-RPJMN-2020-2024-versi-Bahasa-Inggris.pdf>

will monitor and document best practices for wider replication.

93. The project would also contribute to the Government of Indonesia's target of the number of regencies and cities with detailed spatial planning for resilience to disaster and climate change from 37 regencies and cities in 2019 to 250 regencies and cities in 2024 stipulated in RPJMN 2020-2024. Regional-level action plans act as building blocks of the national-level action plans and provide locally. The government of Sigi District will develop Sigi District's climate change adaptation plans to align with the national-level strategies.
94. The project also corresponds with The Presidential Regulation on 98/2021, which stipulates the implementation of carbon economic value that emphasizes Indonesia's efforts in climate change mitigation and adaptation, covering multiple priority sectors, such as food, water, energy, health, and ecosystem. This project aims to support the achievement of this commitment by supporting regional climate adaptation planning and implementation through climate change vulnerability and capacity assessment to provide data and information on current and future vulnerable areas that can be used as baseline information. In contrast, the capacity-building element of this project aims to ensure the project's sustainability by ensuring proper implementation carried out by local stakeholders that includes monitoring and evaluation within and beyond the project period. The implementation element of this project will support existing on-the-ground projects that target priority sectors, namely water, food, and energy in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages.
95. The proposed project will also support the Strategic Plan of the Directorate General of Climate Change of the Ministry of Environment and Forestry (Renstra PPI) through the assessment of local and data-driven climate vulnerability and capacity, which Sigi District can use for developing strategies and action plans to increase its water, food, and energy securities. Proposed implementation elements of this project aim to serve as a model of how adaptation strategies are implemented at the village level, where water, food, and energy security is secured, while aiming to increase economic, social, ecosystem and livelihood resilience in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. Such exemplary models will serve as "success stories" that showcase successful village-level transition into becoming climate-adaptive and can be replicated throughout and beyond Sigi District.

#### Sub-National and Regional Development Strategies

96. Sigi District's current Medium-Term Development Planning Document (Rencana Pembangunan Jangka Menengah Daerah/RPJMD) covers the district's strategic issues and strategies for 2021-2026. It stated the district's vision to increase its competitive advantages by strengthening its agribusiness sector. One of the missions to achieve this is by pursuing disaster mitigation-based sustainable development, achieved through a decrease in the disaster risk index and an increase in disaster resilience. The proposed project aims to support this mission by providing Sigi District with the data needed to strengthen disaster resilience, including baseline assessment of Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages, and stakeholder capacity to develop strategies and

action plans to reduce disaster risks and increase the communities' resilience.

97. Besides Sigi District's RPJMD 2021-2026, the project is precisely aligned with Sigi Hijau Vision. As a policy breakthrough initiated by Sigi District, it showcases the Sigi's government commitment to pursue jurisdictional sustainability, achievable through several strategies that include climate change mitigation and adaptation. This proposed project supports exactly this: helping Sigi District develop its regional action plans for climate change adaptation enhanced with capacity and vulnerability assessment and future climate projection modeling to create more comprehensive, adaptive measures. It also offers practical intervention to increase renewable energy, reduce deforestation, and land degradation. The proposed project, which includes a pilot implementation in six selected villages namely Karunia and Dombu villages, aims to improve the local community's economic, social, and ecosystem resilience and supports sustainable, forest-friendly and climate-adaptive agriculture to ensure food and livelihood security.

#### **E. Meets Relevant National Technical Standards**

98. The project aligns with national policies, district policies, and regulations as follows:

99. Environmental Protection and Sustainable Management: Utilizing Article 47 of Law No. 32/2009 on Environmental Protection and Management, which ensures sustainable environmental practices through the implementation of environmental risk analysis. Additionally, Government Regulation No. 22/2021 on Environmental Protection and Management sets the general guidelines for environmental governance, crucial for any project involving ecosystem restoration or infrastructure development in Sigi District. The project complies with Article 7 as it does not pose significant environmental risks. The Minister of Environment and Forestry Regulation No. 4/2021 outlines the list of businesses that require environmental permits ensuring that small hybrid infrastructure projects in Sigi District adhere to these requirements. To demonstrate commitment to managing potential risks during project implementation, environmental management and monitoring statement letters (Surat Pernyataan Kesanggupan Pengelolaan dan Pemantauan Lingkungan Hidup [SPPL]) will be prepared in adherence to Article 7.

100. Climate Change Adaptation: Presidential Regulation No. 98 of 2021 on the Implementation of Carbon Economic Value is central, focusing on climate change mitigation and adaptation strategies to meet Indonesia's Nationally Determined Contributions (NDC). The project incorporates climate adaptation actions through the WEF nexus approach aligning with Article 31. The Ministry of Environment and Forestry Regulation No. 33/2016 provides guidance for developing local climate change adaptation action plans, which would be instrumental in mainstreaming climate resilience into the development strategies of Sigi District. The project includes recommendations for climate adaptation policy by engaging stakeholders from government, academia, and local communities complying with Article 13. Additionally, the project facilitates targeted villages to prepare for

Program Kampung Iklim (PROKLIM) registration adhering to Article 6 of Ministry of Environment and Forestry Regulation No. P.84/MenLHK-Setjen/Kum.1/11/2016.

101. Biodiversity and Ecosystem Management: Law No. 32/2024 on the Conservation of Living Natural Resources and Their Ecosystems supports the conservation efforts in various ecosystems, applicable to the diverse landscapes of Sigi District. The project complies with Article 26 by implementing NbS infrastructure to ensure sustainable management in Sigi District.
102. Community Engagement and Social Inclusion: The project adheres to GEDSI principles as emphasized in Presidential Instruction No. 9/2000 on Gender Mainstreaming and Article 27 of Law No. 8/2016 on Persons with Disabilities by involving vulnerable groups, including farmers, women, youth, elderly, and people with disabilities in planning and implementing climate adaptation actions in regional level. Additionally, the project complies with Article 2 of Law No. 14/2018 on Public Information Transparency by ensuring project information is openly accessible for everyone supported by the establishment of the center of excellence.
103. Local and Regional Policies: The Regent of Sigi's Decree No. 189-682/2022 on the Empowerment and Protection of Ngata Wisolo Indigenous Peoples is significant as the Ngata Wisolo area neighbors Sambo Village, one of the project's targeted areas. The project complies with this regulation as it respects the rights of indigenous peoples by involving them in climate adaptation planning and ensuring that project activities align with their cultural values and traditions. The project also complies with Articles 15 and 18 of the Regional Regulation of Sigi District No. 15/2014 on Empowerment and Protection of Indigenous Peoples by promoting inclusive development that integrates local wisdom and safeguards indigenous rights.
104. Ecological Fiscal Transfers and Financial Management: The project complies with Article 52 of the Government Regulation No. 12/2019 on Regional Financial Management by ensuring that funds are allocated and used in a manner that promotes regional sustainability and ecological fiscal transfers. The project will also follow Law No. 11/2020 on Job Creation, specifically Article 35, which requires businesses and activities to manage and monitor their environmental impact through SPPL if not requiring UKL-UPL.
105. Renewable Energy: The project involves village-based adaptive renewable energy management, which aligns with Articles 19 and 26 of Law No. 30/2007 on Energy. This alignment is because the project supports community participation in energy development for public benefit and strengthens local government roles in fostering and supervising energy enterprises at the regional level.

#### **F. Duplication of Project/Programme With Other Funding Sources**

106. Currently, no existing efforts overlap with [member of the Consortium KolaborasiKolaborasi projects](#). However, some efforts would be very good to

complement or synergize with Kolaborasi. Sigi District Government is working with 1) Church World Services (CWS) and Yayasan Inovasi Ketahanan Komunitas (INANTA), and 2) Mercy Corps and Yayasan Penabulu. The first project aims to build community resiliency with a focus on livelihood and agriculture in four villages. The second seeks to manage risks through economic empowerment at the village level in 10 villages. Both projects have similar approaches to Kolaborasi: to improve the community's capacity to manage risk through economic empowerment and focus on the village level. Our project will complement and strengthen those projects by bringing a more comprehensive approach by: 1) providing a stronger foundation for the local government to develop climate adaptation programs, and 2) building a solid showcase for influencing the top-down approach from national to local levels through two critical angles: (i) Water-Energy-Food Nexus approach and (ii) Multi-layered jurisdictional approach (Village – District – Provincial – National).

107. In 2023, Sigi has established the Green Sigi Multistakeholder Forum, which serves as a 'hub' to facilitate cross-sector collaboration in the district. It ensures the creation of a shared goal and a standardized protocol for managing project implementation within a region, collectively agreed upon by all parties. Additionally, this process is supported by a data governance model and regular coordination mechanisms facilitated by the Sigi Hijau institution to ensure data sharing and transparency, avoiding overlaps. Monitoring, evaluation, and learning processes are also conducted as a foundation for synchronization and integration, particularly in achieving Sigi district's target outcomes. Green Sigi Multistakeholder Forum (KMP Sigi Hijau) is led by a representative from the Regional Development Planning Agency (Bappeda) appointed through a decree issued by the Regent of Sigi. This process will be facilitated by the Secretariat of Green Sigi Multistakeholder Forum, which represents 22 partners, including civil society organizations, development partners, youth groups, indigenous community organizations, and entities that focused on supporting farmers development. Overall, the involvement of women in KMP Sigi Hijau remains limited, with the initiative being predominantly male-dominated. Addressing this issue will be a priority for future programs, focusing on fostering inclusivity by enhancing and strengthening the role of women within KMP Sigi Hijau.

108. Other initiatives and projects with jurisdictional approaches to sustainable business models and supply-chain development are also available to be synchronized with [the Consortium](#) Kolaborasi. They are GIZ SASCI+ project, particularly in the biosphere reserve context for Lore Lindu National Park, mainly focuses on enhancing sustainability and value-added components in the agricultural supply chain in Indonesia, The GIZ Forclime Project, in collaboration with the Ministry of Agriculture and the local agriculture technology research agency (Balai Pengkajian Teknologi Pertanian/BPTP), focuses on coffee and cocoa certification and commodities research and development, Sigi District government is pursuing agrarian reform and the issuance of customary forest and community forests surrounding Lore Lindu Biosphere Reserve to reduce deforestation and land degradation. The to-be-established multistakeholder forum will act as a coordinating and collaboration body at the district level to ensure all the initiatives and interventions are synergized and complementary to

each other.

### **G. Learning and Knowledge Management**

109. The project aims to produce several critical products targeted to capture process, results and lessons learned from the project – namely (i) a summary of the process translated into a decision-tree infographic, (ii) work-sheet(s) as guidance for process replication and (iii) case-study examples for each segment of the process. These products serve as practical learning tools for adults in government settings based on the consortium's previous experience.

110. Following previous successful learning methods, the consortium will disseminate such tools through (i) workshops with opportunities for district participants to participate online and (ii) targeted coaching clinics for interested districts to participate offline under their resources. Aside from working with the provincial government of Central Sulawesi to target other districts in the province for replication, the district of Sigi is also a founding member of LTKL since 2017. This membership provides a more significant opportunity for replication and learning across the eight other district members of LTKL and other active members of APKASI throughout Indonesia.

111. We aim to work closely with key national ministries/government institutions during the implementation process, including the National Disaster Agency, National Planning Agency – LCDI Secretariat and Ministry of Environment and Forestry. Hence, the plan is to integrate results better, learn from the projects as policy recommendations from subnational experience, and achieve greater replication potential across the country.

112. From a communication perspective, we plan to collaborate with the Communication and Information Agency and the Public Relations Agency of Sigi District to establish a micro-site connected to the district's official website documenting the process, results and lessons learned. The micro-site can be an information portal for Sigi District on climate adaptation issues and will be mirrored on social media platforms of the district government and consortium members. The micro-site that will be developed will be managed by the Center of Excellence (CoE). This platform will support the strengthening of the multi-stakeholder Sigi Hijau which is an institutional entity for the Collaboration of Climate Adaptation Work. Technically, this CoE will be operated by a professionally recruited support team, supported by various parties involved, including the management of this microsite.

### **H. Consultative Process**

113. As a preparation stage for this proposal, we have begun the consultation with key stakeholders and vulnerable groups from a multifaceted angle. In environmental studies, science technology, especially for climate and disaster studies or other ecological studies, we consulted academic institutions focusing on disaster resiliency and forest protection, including Tadulako University, UIN Datokarama Palu and UIN Palu at the Central Sulawesi level.

114. The district government is also a part of the consortium and has received feedback from the Regional Development Planning Agency (BAPPEDA), Regional Disaster Agency (BPBD), Regional Environmental Agency (DLH) and Village Planning and Empowerment Agency (PemDes), based on the consultative process summarized in the table below. Further endorsement has also been given by the Head of Sigi District and formalized in the endorsement letter attached to this concept note.
115. Consultation processes were also carried out with key stakeholders at the civil society organizations, including Nemubuku, Forum Sudut Pandang, Ibu Foundation, Mercy Corps, Sikola Mombine Foundation and development partners, including GIZ SASCI+. There is also a strong opportunity to work with youth groups that have been developing initiatives on disaster resilience, including Earth Hour Palu, Macaca Rangers, Historia Sulteng, Sikola Pomore, Jaga Palu Official, Satu Buku Anak Palu, Ini Sigi, Like Sigi, Tadulako Desainer, Taman Baca Todea, Nobalu, Banua Risigi, and KPL Jambeana.
116. Following the concept note's acceptance, the proposal development stage expanded the consultative process that held on 2023-2024 to include a broader range of stakeholders, such as farmers, MSMEs, women-led economic groups (Kelompok Usaha Ekonomi Perempuan [KUEP]), local CSOs, local government agencies (Organisasi Perangkat Daerah [OPD]), village officials, private sectors, and youth communities. This phase built upon insights from the pre-proposal stage, where potential risks were identified, mitigation plans and monitoring and evaluation plans were developed. The consultations in this stage focused on refining these plans, ensuring that all identified risks were thoroughly addressed and that community needs were fully integrated. These efforts aimed to design the project that not only responds to the challenges of climate change but also strengthens local resilience by aligning with the unique social, economic, and environmental contexts of the Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. The inclusion of diverse perspectives ensured that the project would effectively enhance adaptive capacity while fostering inclusive and sustainable development outcomes.
117. We have conducted the consultative process using 2 methods, namely Focus Group Discussions (FGD) and in-depth interviews. Focus Group Discussion (FGD) is a qualitative data collection method involving a small group of people (typically 6-12 participants) who engage in a guided discussion on a specific topic. The discussion is facilitated by a moderator whose role is to ensure the conversation remains focused on the topic and to gather diverse perspectives from the participants. This method is chosen to generate broader and deeper insights through the interactions among participants. An In-Depth Interview is an individual interview method designed to explore detailed information about a participant's experiences, views, or opinions on a specific topic. The aim is to conduct a deep exploration of the individual's perspective without being influenced by others in a group setting.

Table 12. Consultative Process During Proposal Development

Date	Stakeholders	Consultation Techniques	Consultation Findings	Incorporation of Findings into Project Design
Vulnerable Groups Identified: Farmers Level of vulnerability: High				
21 February 2024	<ol style="list-style-type: none"> <li>1. Representative of Cocoa Farmers Groups in Sambo and Simoro's Village</li> <li>2. Representative of secondary crop, rice, and corn farmer groups in Wisolo, Sambo, and North Pakuli's Village</li> <li>3. Representative of coffee farmers groups in Bangga's Village</li> <li>4. Regional Government Organization (RGO): a. The Office of Food Crops, Horticulture, and Plantation, b. The Office of Food Security and Fisheries</li> <li>5. NGOs: GIZ, OFI and JB Cocoa</li> </ol>	<p>FGD</p> <p>Location: The Office of Food Crops, Horticulture, and Plantation of Sigi District</p>	<p>The discussion aimed to explore strategies for allocating the palm oil revenue-sharing funds received by Sigi District to strengthen the data system for non-palm oil agricultural activities, including coffee and cocoa farming, within Sigi District.</p>	<p>Integrating the use of palm oil revenue-sharing funds to enhance the data system for non-palm oil agricultural activities led by women farmers in Sigi District. This effort seeks to support inclusive economic development by fostering sustainable agricultural practices beyond the palm oil sector.</p>
2-6 May 2024	<ol style="list-style-type: none"> <li>1. Representative of Cocoa Farmers Groups in Sambo and Simoro's Village</li> <li>2. Representative of secondary crop, rice, and corn farmer groups in Wisolo, Sambo, and North Pakuli's Village</li> <li>3. Representative of coffee farmers groups in Bangga's Village</li> </ol>	<p>In-Depth Interview</p> <p>Location:</p> <ul style="list-style-type: none"> <li>- Pandere's village</li> <li>- Pakuli Utara's village</li> <li>- Simoro's village</li> <li>- Wisolo's village</li> </ul>	<p>Poor smallholders in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo Village often face low connectivity, which hinders access to external markets and essential services. Compounding this challenge is low bankability, as formal financial institutions are scarce in these regions. Additionally, high transportation costs arise from the lack of decent roads connecting villages, limiting mobility and economic prospects.</p>	<p>Addressing these challenges involves prioritizing offline engagement methods, such as village gatherings and community meetings, to ensure everyone is included. Manual financial transactions (e.g., cash-based systems) can temporarily compensate for the absence of banks, while communal engagement helps build solidarity and pool resources for shared transport and market access.</p>
11 July 2024	<ol style="list-style-type: none"> <li>1. Representative of Cocoa Farmers Groups in Sambo and Simoro's Village</li> <li>2. Representative of secondary crop, rice, and corn farmer groups in Wisolo, Sambo, and North Pakuli's Village</li> <li>3. Representative of coffee farmers groups in Bangga's Village</li> <li>4. RGOs: a. The Office of Food Crops, Horticulture, and Plantation, b. The Office of Food</li> </ol>	<p>FGD</p> <p>Location: Village Meeting Hall of Bangga</p>	<p>The discussion centered on data collection as part of the preparation of the Sigi Jurisdictional Investment Outlook. This outlook serves as a natural resource-based investment portfolio that highlights key commodities and other economic potentials from upstream to downstream stages in Sigi District. In the future, this portfolio will guide the efforts of farmers and</p>	<p>The natural resource-based investment portfolio will function as one of the management instruments to support sustainable local economic development and enhance adaptive capacity at the community level. The findings will guide the formulation of community-participatory adaptation action plans, involving farmers and MSMEs and</p>

	Security and Fisheries, c. Bapperida 5. NGO: Mercy Corps Indonesia 6. MSME: Coconut Oil MSME in Bangga Village		MSMEs in building sustainable businesses.	will contribute to the development of sustainable livelihood options across the agriculture, renewable energy, and local infrastructure sectors.
30 August 2024	<ol style="list-style-type: none"> <li>1. Representative of Cocoa Farmers Groups in Sambo and Simoro's Village</li> <li>2. Representative of secondary crop, rice, and corn farmer groups in Wisolo, Sambo, and North Pakuli's Village</li> <li>3. Representative of coffee farmers groups in Bangga's Village</li> <li>4. RGO: The Office of Food Crops, Horticulture, and Plantation</li> <li>5. NGO: GIZ</li> <li>6. MSMEs</li> </ol>	FGD Location: GIZ Office	The mapping of priority issues and working groups was intended to encourage the development of an integrated natural resource-based economic model that positively impacts biodiversity conservation in the district. This initiative is crucial to ensure the integration of other ongoing field programs, especially those involving farmers, and MSMEs.	The results of this mapping will be utilized to formulate a participatory action plan that links farmers and MSMEs to sustainable economic opportunities. At the same time, it aims to mitigate the risk of environmental degradation by promoting eco-friendly agricultural practices and safeguarding local ecosystems.
Vulnerable Groups Identified: Women Level of Vulnerability: Medium				
8-9 November 2023	<ol style="list-style-type: none"> <li>1. RGOs: Bapperida, DLH, PMD, BPBD,</li> <li>2. Women Farmer Groups in Gumbasa Subdistrict <ul style="list-style-type: none"> <li>- North Pakuli's Village: Madamba and Aliander</li> <li>- Simoro's Village: Nosara and Lestari Indah</li> <li>- Pandere's Village: Kelor and Sehari</li> </ul> </li> <li>Women Farmer Groups in South Dolo Subdistrict <ul style="list-style-type: none"> <li>- Bangga Village: Sangurara Bangkit and Mekar Sehati</li> <li>- Wisolo Village: Mawar and Anggrek</li> <li>- Sambo Village: Bungi Bangkit</li> </ul> </li> <li>3. CSO: Mercy Corps</li> </ol>	Workshops and Group FGD Location: Sigi Regent's Office	<p>The villages fulfill the indicators such as demography, accessibility, commodity, and level of climate change vulnerability.</p> <p>There are inputs on the proposed program that has been planned, such as climate change adaptation policies that support adaptation measures need to be detailed in the technical documents and carried by a multistakeholder forum; for the showcasing, it suggested to highlight women empowerment through capacity building and eco- labeling as the product from Lore Lindu Biosphere Reserve.</p>	Identifying village selection through agreed criteria and gathering insight for proposed program

7 February 2024	-Women-led economic groups	FGD  Location: The Office of Food Crops, Horticulture, and Plantation of Sigi District	The discussion aimed to explore strategies for allocating the palm oil revenue-sharing funds received by Sigi District to strengthen the data system for non-palm oil agricultural activities, including coffee and cocoa farming, within Sigi District.  <i>level of vulnerability: Low</i>	Integrating the use of palm oil revenue-sharing funds to enhance the data system for non-palm oil agricultural activities led by women farmers in Sigi District. This effort seeks to support inclusive economic development by fostering sustainable agricultural practices beyond the palm oil sector.
2-6 May 2024	Lead of The Women Farmer Group of Kelor in Pandere Village (Farida) Lead of The Women Farmer Group of Sejati in Pandere Village (Ratna) Lead of The Women Farmer Group of Madamba in North Pakuli Village (Minarwati) Lead of The Women Farmer Group of Aliander in North Pakuli Village (Mislia) Lead of The Women Farmer Group of Nosarara in Simoro Simoro Village (Masni) Lead of The Women Farmer Group of Lestari Indah in Simoro Village(Salmiati) Lead of The Women Farmer Group of Anggrek in Wisolo Village (Muliani) Lead of The Women Farmer Group of Anggrek in Wisolo Village (Evayanti) The Family Welfare Empowerment Organization Lead of Pandere Village The Family Welfare Empowerment Organization Lead of North Pakuli Village The Family Welfare Empowerment Organization Lead of Simoro Village The Family Welfare Empowerment Organization Lead of Wisolo Village	In-Depth Interview  Location: - Pandere's village - Pakuli Utara's village - Simoro's village - Wisolo's village	Women in villages whose main productive activities lie in the plantation and agricultural sectors generally have limited access to decision-making process as well as to financing and financial services. As a result, efforts to improve women's welfare often face multiple layers of challenges due to a male-dominated culture.	As an alternative, activities that require the involvement of women's groups are more effective if they are adjusted to women's activities in the village, such as religious events, PKK (Family Welfare Program) activities, or community programs commonly organized by women's groups.
15 July 2024	-Women-led economic groups - MSMEs	FGD  Location: Bapperinda Office	The discussion centered on data collection as part of the preparation of the Sigi Jurisdictional Investment Outlook. This outlook serves as a natural resource-based investment portfolio that highlights key commodities and other economic potentials from upstream to downstream stages in Sigi District. In the future, this portfolio will guide the efforts of MSMEs and Women Economic Groups	The natural resource-based investment portfolio will function as one of the management instruments to support sustainable local economic development and enhance adaptive capacity at the community level. The findings will guide the formulation of community-participatory adaptation action plans, involving MSMEs and Women Economic Groups (KUEP).

			(KUEP) in building sustainable businesses.	and will contribute to the development of sustainable livelihood options across the agriculture, renewable energy, and local infrastructure sectors.
6 August 2024	-MSMEs -Women-led economic groups (Kelompok Usaha Ekonomi Perempuan (KUEP))	FGD Location: Kantor Dinas Tanaman Pangan Hortikultura dan Perkebunan	The mapping of priority issues and working groups was intended to encourage the development of an integrated natural resource-based economic model that positively impacts biodiversity conservation in the district. This initiative is crucial to ensure the integration of other ongoing field programs, especially those involving MSMEs and Women Economic Groups (KUEP).	The results of this mapping will be utilized to formulate a participatory action plan that links MSMEs and Women Economic Groups (KUEP) to sustainable economic opportunities. At the same time, it aims to mitigate the risk of environmental degradation by promoting eco-friendly agricultural practices and safeguarding local ecosystems.
Vulnerable Groups Identified: Youth Level of Vulnerability: Medium				
November 8-9 2023	1. District agencies (Regional Secretary, Bapperida, DLH, TPHP Office, PemDes Office, Cooperatives & MSMEs Office, BPBD 2. Youth communities, Gampiri Interaksi Lestari 3. CSOs (GIZ SASCI+, Yayasan Kompas Peduli Hutan, Walhi SulTeng, AMAN, Evergreen, Mercy Corps, Karsa Institute 4. Academician ( Tadulako University) 5. Provincial Government (Bappeda of Central Sulawesi, Energy and Mineral Resources Agency of Central Sulawesi, BPDAS of Central Sulawesi, Lore National Park, Lindu National Park, KPH Kulawi)	Workshops and Group FGD Location: Sigi Regent's Office	There are inputs on the proposed program that has been planned, such as climate change adaptation policies that support adaptation measures need to be detailed in the technical documents and carried by a multistakeholder forum; for the showcasing, it suggested to highlight youth engagement through capacity building and eco-labeling as the product from Lore Lindu Biosphere Reserve.	Identifying village selection through agreed criteria and gathering insight for proposed program
2-6 May 2024	- Heads of youth organizations in 6 intervention villages - Youth representatives in the 6 intervention candidate villages	In-Depth Interview Location: - Pandere's village - Pakuli Utara's village - Simoro's village - Wisolo's village	Young people experience a clash between traditional local values and more urban influences, sparking identity struggles within their communities. Although villages may exhibit strong internal unity, this cohesion often diminishes at the district level. Additionally, lucrative mining opportunities pose a risk to local natural resources and threaten the long-term sustainability of their	Efforts to strengthen youth identity center on celebrating local culture and harnessing social and natural assets. Offering capacity-building programs—such as workshops and skill trainings—further empowers young people to pursue opportunities that do not undermine their cultural or environmental heritage.

			environment.	
11 September 2024	Sigi District Multi Stakeholder Forum: -Local Karsa Institute, Imunitas, Mercy Corps, ADRA, Islamic Relief -Bapperida, BPBD, DLH -Gampiri Lestari, Rubalang	FGD Location: Tomado Village, Lindu District	The stakeholder mapping was intended to strengthen sustainable development efforts by ensuring the involvement and contributions of relevant stakeholders in various ongoing and future initiatives.	The findings from the stakeholder mapping have been incorporated into the project design to ensure that sustainable development in Sigi District is carried out through a collaborative approach engaging multiple stakeholders.
23 December 2024	Sigi District Multi Stakeholder Forum: -Local CSOs: Karsa Institute, Imunitas, Adra, Mercy Corps, -Local government: Bapperida, DLH, DiskopUKM, Disperindag, Distanhortbun -Village officials -OFI, JB Cocoa -Gampiri Interaksi	FGD Location: Karsa Institute Office	The preparation process for the implementation of the Sigi Green Regulation (Perda Sigi Hijau), along with the formulation of a roadmap and strategic plan for KMP Sigi Hijau, aimed to ensure the realization of a multi-stakeholder action plan to support sustainable development in Sigi District. The meeting acts as a regular platform for stakeholder dialogue to discuss project progress, problem-solving measures, and the implementation of impactful joint actions.	The outcomes of this discussion have been incorporated into the project design to enhance the implementation of the Sigi Green Regulation (Perda Sigi Hijau) by formulating a roadmap and strategic plan that outlines a multi-stakeholder action plan.
Vulnerable Groups Identified: Elderly Level of Vulnerability: High				
2-6 May 2024	<ol style="list-style-type: none"> <li>Community leaders in Pandere, Pakuli, Simoro, Wisoloi Village</li> <li>Village heads in Pandere, Pakuli, Simoro, Wisolo</li> <li>Customary institutions in Pandere, Pakuli, Simoro, Wisolo</li> </ol>	In-Depth Interview Location: <ul style="list-style-type: none"> <li>Pandere's village</li> <li>Pakuli Utara's village</li> <li>Simoro's village</li> <li>Wisolo's village</li> </ul>	The elderly face similar connectivity challenges and limited financial options as their younger counterparts. With few banks or alternative financial services in these areas, they struggle to secure needed funds. Their employment prospects are also largely restricted to agriculture, offering limited income potential and social engagement.	Fostering intergenerational collaboration is crucial, as younger community members can assist with mobility, financial literacy, and advocacy efforts. Involving the elderly in community activities not only ensures their needs are met but also preserves local knowledge and reinforces a sense of belonging.



Figure 6. Sigi District Multi Stakeholder Forum 2024

118. To uphold the principle of inclusivity, the proposal development process included a targeted consultative approach involving vulnerable groups such as farmers, women, youth, and the elderly from villages across Sigi district. The farmers involved are mostly cocoa, vanilla, and coffee farmers, which are the main commodities in Sigi. The Elderly group includes village heads and community elders. The Women group refers to female micro, small, and medium enterprises (MSMEs) from the villages. The youth group includes young community members, art and culture communities, and young farmers. The discussions process was conducted with the target vulnerable groups throughout 2024. This process aimed to assess their vulnerability levels and gather insights from their experiences to design climate adaptation action plans that are suitable and responsive to their needs. Additionally, it sought to identify the specific resources and support required by these groups to ensure the effective implementation of climate adaptation actions, optimizing their capacity to adapt and building community resilience comprehensively.

Table 13. Consultative Process with Vulnerable Groups

Vulnerable Groups Identified	Key Issue	Engagement	Level of Vulnerability
Farmers	Poor smallholders in remote areas often face low connectivity, which hinders access to external markets and essential services. Compounding this challenge is low bankability, as formal financial institutions are scarce in these regions. Additionally, high transportation costs arise from the lack of decent roads connecting villages, limiting mobility and economic prospects.	Addressing these challenges involves prioritizing offline engagement methods, such as village gatherings and community meetings, to ensure everyone is included. Manual financial transactions (e.g., cash-based systems) can temporarily compensate for the absence of banks, while communal engagement helps build solidarity and pool resources for shared transport and market access.	High
Women	Women in villages whose main productive activities lie in the plantation and agricultural sectors generally have limited access to decision-making as well as to financing and financial services. As a result, efforts to improve women's welfare often face multiple layers of challenges due to a male-dominated culture.	As an alternative, activities that require the involvement of women's groups are more effective if they are adjusted to women's activities in the village, such as religious events, PKK (Family Welfare Program) activities, or community programs commonly organized by women's groups.	Medium

Youth	Young people experience a clash between traditional local values and more urban influences, sparking identity struggles within their communities. Although villages may exhibit strong internal unity, this cohesion often diminishes at the district level. Additionally, lucrative mining opportunities pose a risk to local natural resources and threaten the long-term sustainability of their environment.	Efforts to strengthen youth identity center on celebrating local culture and harnessing social and natural assets. Offering capacity-building programs—such as workshops and skill trainings—further empowers young people to pursue opportunities that do not undermine their cultural or environmental heritage.	Medium
Elderly	The elderly face similar connectivity challenges and limited financial options as their younger counterparts. With few banks or alternative financial services in these areas, they struggle to secure needed funds. Their employment prospects are also largely restricted to agriculture, offering limited income potential and social engagement.	Fostering intergenerational collaboration is crucial, as younger community members can assist with mobility, financial literacy, and advocacy efforts. Involving the elderly in community activities not only ensures their needs are met but also preserves local knowledge and reinforces a sense of belonging.	High

119. To ensure the effective implementation of the project, a consultative process was specifically conducted with local government agencies, CSOs, and academic institutions. This approach was crucial because these stakeholders play integral roles in development planning and implementation. Involving them ensures that the project aligns with government priorities, integrates local expertise, and leverages institutional knowledge. In November 2023, this consultation included key stakeholders such as Energy and Mineral Resources Agency of Central Sulawesi Province, Environmental Agency of Sigi District, Agricultural Agency of Sigi District, Social Affairs Agency of Sigi District, Development Planning Agency of Sigi District, Gumbasa, Palolo, and Marawola Barat sub-district governments, Palolo Sub-district Government, Advocacy Focal Point Mercy Corps Indonesia, Karsa Institute, and Kemitraan.

Table 14. Consultative Process with Local Government Agencies, CSOs, and Academic Institutions

Key Person	Input and Recommendation
Afit Lamakarate, ST.,M.Si. Head of Environmental Agency of Sigi District	The target beneficiaries for the climate change adaptation project are based on three main vulnerabilities: economic, social, and ecosystem (landscape). However, the priority sectors include food, energy, water, ecosystems, health, and disaster management, which will guide the selection of locations. When allocating financing, it is important to ensure that operational costs do not exceed the assistance provided to communities. The aim is to create a sustainable model for activities that can be continued and applied for community capacity development. There may be compromises in selecting beneficiaries, considering not only the most vulnerable but also areas that are underserved or less accessible, as they may also be eligible for support.
Irwan Agustian Advocacy Focal Point Mercy Corps Indonesia	There is a gap in understanding between the government and the public regarding climate change. The government has a deeper understanding, while the public tends to see it only as changes in weather patterns and planting cycles. To address this, there needs to be an effort to educate and raise awareness among the public about the broader impacts of climate change. Additionally, local governments (at the district, sub-district, and village levels) need to develop clear policies and action plans related to climate change adaptation and mitigation. Collaboration between the government and the public is essential to ensure that these policies are relevant, effective, and widely accepted.  Sigi District will have a vulnerability map to guide decision-making and interventions in the

	<p>Adaptation Fund proposal. After clarifying the threats, impacts, and adaptation measures related to climate change, the focus of the proposal will be on agriculture and plantation sectors, aiming to assess the impacts felt by farmers and related ecosystems. Climate change adaptation in this sector will use a nexus approach involving energy, water, and food.</p>
<p>Rahmad Iqbal Nurkhalish, B. Aly., SP., MP Head of Agricultural Agency of Sigi District</p>	<p>The changing climate situation significantly impacts the agriculture sector, especially regarding the capacity and empowerment of farmers. Farmers' preparedness for climate change is often lacking due to limited understanding. For instance, melon farmers have seen a decrease in yield due to extreme heat but don't know how to address this issue. Extreme climate conditions accelerate plant transpiration, increasing water demand, and worsening pest attacks. In Sigi District, rice plants are also affected by tungro pests. Climate change adaptation must be synergized from top to bottom.</p> <p>It is important to have climate vulnerability indicators in each area to guide discussions, as most regions in Sigi District are highly vulnerable. Three main aspects to address regarding the impacts of climate change are adaptation, mitigation, and anticipation. Research studies are needed for mitigation and anticipation strategies, but information from BMKG has not been sufficiently engaging for the public due to the lack of explanation. The hope is that the Adaptation Fund proposal will focus specifically on the agriculture sector to address climate change impacts.</p>
<p>Fadli, S.Hut Energy and Mineral Resources Agency of Central Sulawesi Province</p>	<p>According to the Energy and Mineral Resources Agency (ESDM), Sigi District has abundant hydropower potential, especially from the Lariang River region. One of the largest potentials is Lake Lindu, which has the Rawa River with a steady water flow throughout the year due to being within a conservation area. The hope is that Sigi District can become a source of energy or an energy reserve in the future, particularly due to the stable water flow of the Rawa River, which could support energy security during severe droughts.</p>
<p>Abimanyu S Aji Kemitraan</p>	<p>Understanding of climate change can vary, but the impacts and threats are generally agreed upon. For example, farmers experience the impacts of climate change, such as droughts reducing water availability and causing land degradation, while floods are still considered threats until they cause damage, which becomes the impact.</p> <p>Adaptation to climate change involves two main aspects: humans and ecosystems. Tackling the impacts of climate change can be done if both humans and ecosystems can adapt. For example, humans adapt by using generators during power outages, while ecosystems can adapt through rehabilitation and adaptive agriculture. Both aspects are crucial in the proposal formulation.</p> <p>Additionally, adaptation is closely tied to infrastructure, which plays a vital role in supporting the adaptation process while ensuring the welfare of farming communities. Ecosystem-based adaptation is also being promoted by the Ministry of Environment and Forestry (KLHK). Meanwhile, mitigation focuses on reducing emissions released into the atmosphere, which is distinct from adaptation. Adaptation involves humans, ecosystems, and infrastructure, while mitigation focuses on emission reduction.</p>

<p>Herry Gumbasa Sub-district Government</p>	<p>Gumbasa Sub-district has 7 villages directly bordering the Lore Lindu National Park (TNLL), and some villages have implemented climate change adaptation programs with the government and partners. Most people understand that climate change results from deforestation, especially due to forest encroachment that was prevalent from the 1980s to early 2000s. In 2004, Gumbasa was impacted by a flash flood that gained national attention. Forest rehabilitation programs in TNLL have not been fully effective because the provided seedlings are either timber trees or less popular crops.</p> <p>From 2000-2018, average incomes in Gumbasa declined, especially for those relying on cocoa, all of which are within the TNLL area. Cocoa farmers cannot participate in plantation intensification programs because their lands are part of the national park. Furthermore, water sources in Gumbasa are very limited. Therefore, there is a need for programs that create alternative livelihoods in livestock, fisheries, and agriculture as part of climate change adaptation.</p>
<p>Herman Palolo Sub-district Government</p>	<p>Overall, development in Sigi District is expected to integrate climate change into its development system. The climate change adaptation proposal is an important topic addressing the impacts of climate change. The understanding at the village level regarding climate change, once based on community predictions, has now become unpredictable. The impacts of climate change greatly affect the agriculture sector, including the reduced effectiveness of energy use. In Palolo Sub-district, farmers are also experiencing climate change impacts and are encouraged to switch land use to sustain their welfare. Climate change cannot be prevented but can be anticipated by preserving ecosystems. In general, climate change must be viewed from a long-term perspective, considering other potential solutions such as tourism in Palolo. This program is expected to involve the entire Sigi District, including Palolo, in climate change adaptation efforts.</p>
<p>Eben Marawola Barat Sub-district Government</p>	<p>The target beneficiaries of the climate change adaptation project are focused on three main vulnerabilities: economic, social life, and ecosystems (landscape). However, priority areas include six sectors: food, energy, water, ecosystems, health, and disaster management, which will guide the selection of project locations based on field conditions. When determining financing targets, it is essential to ensure that operational costs do not exceed the assistance provided to the community. The goal is to create a sustainable model for activities that can be continued and applied for community capacity development. There may be compromises in selecting beneficiaries, where the focus is not solely on the most vulnerable but also considers areas that are currently underserved or less accessible, as they may also be eligible for selection.</p>
<p>Yusak Pamei Karsa Institute</p>	<p>Specific interventions in agriculture are still insufficient if they only rely on public consultations. The program should be complemented with research to enrich the database and obtain accurate data to address the core issues and find appropriate solutions and interventions that maximize impact for both human welfare and the environment. One challenge is the differing understanding of climate change adaptation among the community. My proposal is that this program can make a significant contribution, especially for the younger generation, through co-curricular programs in schools, such as creating a supporting book on climate change knowledge, which will have a long-term educational impact.</p>
<p>Suherman Secretary of Social Affairs Agency of Sigi District</p>	<p>Our hope is that the program related to the impacts of climate change will contribute to improving the welfare and skills of nature-based communities. The main focus is to make the community more resilient to social vulnerabilities due to prolonged climate change. DTKS data can be used to identify vulnerabilities in each region and serve as an indicator for selecting appropriate locations, incorporating disaster vulnerability studies. This data can be integrated with information from relevant agencies like the Social Service, BAPPEDA, BPBD, Agriculture Office, and others to prioritize locations needing intervention.</p>
<p>Muhammad Jauhari, S.T., M.P.W. Development Planning Agency of Sigi District</p>	<p>It is important to understand the dominant issues or needs to formulate strategies that can become indicators for selecting the focus areas of the Adaptation Fund proposal. The consortium has focused on food, water, and energy sectors, which are the concepts accepted by the Adaptation Fund. National adaptation goals include addressing social, economic, livelihood, and ecosystem vulnerabilities.</p>

**I. Justification for Funding Requested**

120. The Implementing the Adaptation Fund (AF) project in Sigi District is crucial due to the significant climate vulnerabilities and socio-economic challenges faced by the local communities. Without the project, plantation areas in highly vulnerable villages remain susceptible to flood damage, reducing productivity and overall resilience. The absence of technical capacity in climate adaptation, water management, food, energy, and policy hinder communities from effectively coping with climate impacts. Additionally, the lack of a climate information system limits farmers' ability to make informed decisions, while local communities struggle to identify and access markets for their commodities. Adaptation strategies are fragmented and lack integration of community-based knowledge, leaving villages without formal engagement in climate resilience programs and minimal participation of women in adaptation processes. This situation underscores the urgent need for the AF project to enhance local capacities, develop climate information systems, and promote inclusive, community-based adaptation strategies to build resilience against climate impacts.

Table 15. Justification for Funding Requested

Without Project AF	With Project AF
Plantation areas in 6 villages with high vulnerability remain susceptible to flood damage, reducing productivity.	50 ha plantation area in 6 villages with high vulnerability have flood resilience, maintaining plantation productivity.
Communities lack technical capacity in climate adaptation, water management, food, energy, and policy.	Communities have enhanced capacity in climate adaptation technical aspects, water management, food, energy, and policy through the Water-Energy-Food Nexus.
No climate information system exists, limiting farmers' ability to make informed decisions.	Sigi District will have a climate information system providing climate and weather data beneficial for agricultural farmers.
Local communities have limited ability to identify and access markets for their commodities.	Local communities can map key commodities, markets, demands, and potential products for national/international markets such as cocoa, coconut, and other agricultural products.
Adaptation strategies are fragmented and lack community-based knowledge integration.	Water-Energy-Food nexus-based adaptation identified as community-based knowledge to tackle climate impact.
Villages lack formal engagement in climate resilience programs.	6 villages will be encouraged and participate in PROKLIM.
Women's participation in climate adaptation processes is minimal.	At least 30% women participants are involved in the planning, implementation, monitoring, and learning process of the Water-Energy-Food Nexus.

**J. Sustainability of The Project/Programme Outcomes**

121. The project exit strategy to ensure the sustainability of its outcomes focuses on building institutional capacity (OPDs) and creating adaptive management instruments for climate change adaptation in Sigi District. By training local stakeholders on the Water-Food-Energy (WFE) nexus and establishing a district-level working group, the project embeds climate adaptation knowledge and practices within existing local governance structures. This working group, supported by the district multistakeholder forum, will continue to spearhead adaptation efforts, ensuring that the enhanced capacity and awareness achieved through the project are maintained and further developed

beyond the project's lifespan.

122. To support the effective implementation of climate adaptation efforts, the project will develop and operationalize management instruments tailored to the needs of various stakeholders, including government agencies, farmers, universities, and schools. The creation of a one-stop web portal will provide a centralized platform for accessing climate data, management tools, and best practices, fostering ongoing learning and adaptation. The portal's development will be informed by comprehensive assessments and stakeholder consultations, ensuring that it meets local needs and can be sustainably managed by local authorities and institutions.
123. At the village level, the project's sustainability strategy involves developing participatory WFE nexus-based adaptation action plans that are integrated into local governance and funding mechanisms. By involving community members, village leaders, and local governments in the planning and implementation process, the project ensures that adaptation measures are locally relevant and community-owned. This approach not only enhances the resilience of village-level systems but also builds local capacity to continue these efforts independently, leveraging village funds and external resources such as the Adaptation Fund.
124. Economic, social, and livelihood resilience are critical components of the project's sustainability strategy. The project will conduct supply chain mapping and market demand analysis for leading commodities, developing plans to enhance their value through derivative products. By providing training and tools for producing value-added products, the project equips local farmers with the skills and resources needed to diversify and sustain their livelihoods. The establishment of village enterprises (BUMDes) and linkages with local government agencies will further support the continuity and scalability of these livelihood activities, ensuring long-term economic benefits for the community.
125. The creation of a center of excellence for climate change adaptation will serve as a hub for knowledge dissemination, capacity building, and best practice sharing. This center will develop and distribute learning and communication tools based on the project's monitoring, evaluation, and learning (MEL) outcomes, this platform will be maintained by Coaction Indonesia to ensure sustainability. By leveraging digital platforms for broader outreach and maintaining ongoing engagement with local and regional stakeholders, the center will ensure that the lessons learned and successful practices from the project are replicated and scaled up, contributing to sustained climate resilience in Sigi District and beyond.

#### **K. Environmental and Social Impacts and Risks**

126. The project is categorized as "Category B" under the Adaptation Fund's Risk Categorization. This classification is based on direct discussions, field surveys, and assessments in Sigi District involving key stakeholders and vulnerable groups. Based on these activities, the project's potential risks are localized, small-scale, reversible, and manageable. The project's components

such as adaptation policy formulation, WEF nexus implementation, and establishing center of excellence may cause environmental and social risks. Moreover, the six targeted villages (Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo) have distinct socio-physical characteristics, such as limited inclusive infrastructure and vulnerable populations potentially increasing risks. However, comprehensive mitigation strategies have been developed, ensuring that any potential risks during implementation are minimized. These strategies aim to uphold environmental and social safeguards, guaranteeing implement sustainable and inclusive adaptation actions.

127. To comply with the Adaptation Fund Environmental and Social Policy, a self-screening process was conducted to evaluate project activities against the established environmental and social safeguard principles. The self-screening identified one principle, Lands and Soil Conservation, as applicable and requiring further attention under this standard.

128. Since the self-screening process only identified one principle, a further assessment was conducted to identify additional potential environmental and social risks associated with the project. This further assessment revealed that the project may pose environmental and social impacts related to ~~three~~ six principles of the Adaptation Fund Environmental and Social Policy (see Table 16 below), namely:

- a. Compliance with the Law
- b. Marginalized and Vulnerable Groups
- ~~b-c.~~ Human Rights
- ~~c-d.~~ Gender Equality and Women's Empowerment
- ~~d-e.~~ Core Labour Rights
- ~~e-f.~~ Lands and Soil Conservation

Table 16. Checklist of Environmental and Social Principles (Further Assessment Result)

Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance
Compliance with the Law		<p><b>Low risk:</b> While the project is designed to ensure full compliance, there remains a possibility of changes in policies and regulations during implementation that could create misalignment with the planned interventions. To address this, the project will maintain close coordination with government authorities, continuously monitor regulatory developments, and adapt activities as needed to remain in line with evolving legal requirements. The project fully complies with Indonesia's legal frameworks on climate change, environmental protection, and development planning, and relevant national and regional regulations.</p> <p>Meskipun project memastikan fully comply dengan legal framework, tetap ada kemungkinan terjadi perubahan kebijakan dan regulasi yang mungkin bertentangan dengan intervensi yang dilakukan.</p>
Access and Equity	✓	<b>No risk:</b> There are no significant risks related to access and equity. The

Formatted Table

		project is designed to ensure equitable access for all, including vulnerable groups
Marginalized and Vulnerable Groups		<b>Low risk:</b> The project risks excluding meaningful participation from vulnerable groups, particularly individuals with disabilities in Sambo Village. This risk will be mitigated by monitoring of the Gender Action Plan that has been developed will be carried out, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.
Human Rights		<b>Low risk:</b> <u>The project has almost zero or insignificant of negative impacts on human rights as it is designed to enhance community resilience while upholding inclusivity and equity (minimum/almost zero). However, during project implementation potential conflicts related to benefit-sharing may arise particularly if certain groups perceive unequal access to project resources or opportunities. To mitigate this, the project will conduct community consultations to ensure that local voices, including those of marginalized groups are meaningfully integrated into decision-making processes. Additionally, a grievance redress mechanism will be established as an accessible and transparent platform for addressing concerns.</u> The project has minimal/zero potential negative impact on human rights. The project aims to enhance the resilience of communities in six villages. During implementation, the project ensures that human rights principles are upheld in all activities.
Gender Equality and Women's Empowerment		<b>Low risk:</b> Gender equality and women's empowerment are crucial issues from the district level to the village level and this is not limited to the project site. The risks include limited involvement of women because there are few women in leadership positions at the village level, low representation of women in leadership positions within village governments may limit their influence in decision-making processes, potentially hindering gender-inclusive implementation of the WEF nexus, women may face barriers to meaningful involvement in the establishment of the center of excellence due to a double burden of childcare and agricultural responsibilities. This risk will be mitigated by monitoring of the Gender Action Plan that has been developed will be conducted, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.
Core Labour Rights		<b>Low risk:</b> <u>The project has low potential risks to core labor rights. These risks include the creation of jobs that may not employ local workers and, if they do, may result in work accidents, particularly those related to the construction of WEF infrastructure and potensi terjadinya pekerja anak.</u> The project is committed to upholding core labour rights throughout its implementation. By promoting inclusive and responsible practices, the project ensures the protection of labour rights in every intervention. <u>Regular monitoring will be conducted to ensure full compliance with labour rights, including health and safety protections, proper employment benefits, and the strict prohibition of child labour.</u> The project poses minimal potential risks to core labor rights. Identified risks include the possibility that newly created jobs may not prioritize local workers and, if they do, could expose them to occupational accidents—particularly during the construction of WEF infrastructure—as well as the potential risk of child labor. To mitigate these risks, regular monitoring will be conducted to ensure strict compliance/law with labor rights, including adherence to health and safety standards, provision of appropriate employment benefits, and the absolute prohibition of child labor.

Formatted Table

Formatted Table

Formatted: Font: Not Bold

		Akan ada upaya monitoring, supervise dan evaluasi terhadap isu-isu labor-right, terhadap tenaga kerja anak-anak, jaminan kesehatan dll.
Indigenous Peoples	✓	<b>No risk:</b> The project does not pose potential risks to indigenous peoples. This is because based on public consultation, field surveys, and in-depth interviews with village community representatives, it was identified that there were no indigenous peoples in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages.
Involuntary Resettlement	✓	<b>No risk:</b> The implementation of the project which includes the formulation of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence does not require significant land. Therefore, the project does not result in land use change, conversion of residential land to project land, or even involuntary resettlement.
Protection of Natural Habitats	✓	<b>No risk:</b> The project has no potential risks to the protection of natural habitats. It was identified that the 6 targeted villages as the project location does not overlap with protected areas.
Conservation of Biological Diversity	✓	<b>No risk:</b> The project does not pose any potential risks to the conservation of biological diversity. The implementation of key components will support and ensure the protection of biodiversity conservation.
Climate Change	✓	<b>No risk:</b> The project has no potential to cause climate change. This is because the implementation of this project does not release large amounts of GHG emissions that can worsen current climate change.
Pollution Prevention and Resource Efficiency	✓	<b>No risk:</b> The project is committed to minimizing potential risks to pollution prevention and resource efficiency. To ensure this, a pact of integrity will be established prior to the project's commencement. This pact will outline the project's strong commitment to preventing pollution and optimizing resource use during its implementation.
Public Health	✓	<b>No risk:</b> The project has no potential risks on public health. The project aims to enhance the resilience of communities in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. These activities will increase community health resilience to diseases caused by climate change.
Physical and Cultural Heritage	✓	<b>No risk:</b> The project does not pose any potential risks to physical and cultural heritage. Activities such as the development of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence will be carried out in a manner that respects and safeguards cultural and historical values
Lands and Soil Conservation		<b>Low risk:</b> The project may potentially disrupt lands and soil conservation. This is due to the project involving the construction of WEF infrastructures, such as the NbS knock-down levee (500 meters), ponds, mini nature-based water treatment plants with distribution pipes, household water and sanitation facilities, solar PV installment, and agriculture irrigation/drainage system. This risk will be mitigated by conducting screening test ( <i>uji penapisan</i> ) in accordance with Minister of Environment and Forestry Regulation No. 4/2021.

**129. Principle 1. Compliance with the Law**

The project is designed to be fully compliant with Indonesia's legal frameworks for climate change, environmental protection, and development planning, as well as with national and regional regulations. However, the possibility of policy and regulatory changes during implementation could create misalignment with the

~~planned interventions fully complies with Indonesia's legal frameworks on climate change, environmental protection, and development planning, as well as relevant national and regional regulations. While the project is designed to ensure full compliance, there remains a possibility of changes in policies and regulations during implementation that could create misalignment with the planned interventions. To address this, the project will maintain close coordination with government authorities, continuously monitor regulatory developments, and adapt activities as needed to remain in line with evolving legal requirements. The project fully complies with Indonesia's legal frameworks on climate change, environmental protection, and development planning, and relevant national and regional regulations. It also aligns with GEDSI laws by actively engaging vulnerable and marginalized groups.~~

Formatted: Font: 12 pt

Formatted: Normal, No bullets or numbering

#### 434-130. Principle 2. Access and Equity

Based on the assessment, there are no significant risks related to access and equity. The project is designed to ensure equitable access for all, including vulnerable groups, by facilitating collaboration with local stakeholders and implementing strategies that address potential barriers.

#### 432-131. Principle 3. Marginalized and Vulnerable Groups

The project risks excluding meaningful participation from vulnerable groups, particularly individuals with disabilities in Sambo Village. In this village, there are people with disabilities who face various barriers, such as the lack of guiding blocks for visually impaired people, limited availability of sign language interpreters for those with hearing impairments, and physically inaccessible venues (e.g., narrow entrances, no ramps). In addition, during project implementation, monitoring of the Gender Action Plan that has been developed will be carried out, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.

#### 433-132. Principle 4. Human Rights

~~The~~The project is designed to have an almost zero or insignificant negative impact on human rights, as its goal is to enhance community resilience and uphold inclusivity and equity. However, potential conflicts related to benefit-sharing may arise during implementation if specific groups feel they have unequal access to project resources or opportunities.  
~~project has almost zero or insignificant of negative impacts on human rights as it is designed to enhance community resilience while upholding inclusivity and equity. However, during project implementation potential conflicts related to benefit sharing may arise particularly if certain groups perceive unequal access to project resources or opportunities. To mitigate this, the project will conduct community consultations to ensure that local voices, including those of marginalized groups, are meaningfully integrated into decision-making processes. Additionally, a grievance redress mechanism will be established as an accessible and transparent platform for addressing any concerns will also be established. The project does not pose any potential negative impact on human rights. The project aims to enhance the resilience of communities in six villages, Panderu, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo, through adaptation policy~~

Formatted: Indent: Left: 1.48", Hanging: 0.02", No bullets or numbering

Formatted: Font: 12 pt

Formatted: Font: 12 pt

Formatted: Font: 12 pt

formulation, WEF nexus implementation, and the establishment of the center of excellence. During implementation, the project ensures that human rights principles are upheld in all activities.

#### 435.133. Principle 5. Gender Equality and Women's Empowerment

Gender equality and women's empowerment are crucial issues from the district level to the village level and this is not limited to the project site. This is due to the lack of awareness and understanding of gender equality which limits the opportunities provided to women. The risks include limited involvement of women because there are few women in leadership positions at the village level, low representation of women in leadership positions within village governments may limit their influence in decision-making processes, potentially hindering gender-inclusive implementation of the WEF nexus, women may face barriers to meaningful involvement in the establishment of the center of excellence due to a double burden of childcare and agricultural responsibilities. In addition, during project implementation, monitoring of the Gender Action Plan that has been developed will be conducted, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.

#### 436.134. Principle 6. Core Labour Rights

~~437. The project has a minimal risk of negatively impacting core labor rights. Identified risks include the possibility that new jobs may not prioritize local workers, as well as the potential for occupational accidents during the construction of WEF (water, energy, and food) infrastructure. There is also a risk of child labor. The project poses minimal potential risks to core labor rights. Identified risks include the possibility that newly created jobs may not prioritize local workers and, if they do, could expose them to occupational accidents—particularly during the construction of WEF infrastructure—as well as the potential risk of child labor. To address these risks, the project will implement regular monitoring to ensure strict compliance with labor laws. This includes: adherence to health and safety standards; the provision of appropriate employment benefits, and the absolute prohibition of child labor. To mitigate these risks, regular monitoring will be conducted to ensure strict compliance with labor rights, including adherence to health and safety standards, provision of appropriate employment benefits, and the absolute prohibition of child labor. The project is committed to upholding core labour rights throughout its implementation. All activities will be carried out with respect for fair treatment, safety, and the rights of workers. By promoting inclusive and responsible practices, the project ensures the protection of labour rights in every intervention.~~

Formatted: Indent: Left: 1.5", No bullets or numbering

#### 438.135. Principle 7. Indigenous Peoples

The project does not pose potential risks to indigenous peoples. This is because based on public consultation, field surveys, and in-depth interviews with village community representatives, it was identified that there were no indigenous peoples in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages.

#### 439.136. Principle 8. Involuntary Resettlement

The project does not pose a risk of involuntary resettlement. The implementation

of the project which includes the formulation of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence does not require significant land. Therefore, the project does not result in land use change, conversion of residential land to project land, or even involuntary resettlement.

**440-137. Principle 9. Protection of Natural Habitats**

The project has no potential risks to the protection of natural habitats. This is because based on public consultations, field surveys, and in-depth interviews with village community representatives, it was identified that the 6 targeted villages as the project location does not overlap with protected areas.

**441-138. Principle 10. Conservation of Biological Diversity**

The project does not pose any potential risks to the conservation of biological diversity. The implementation of key components including the formulation of adaptation policies, the application of the WEF nexus, and the establishment of a center of excellence will support and ensure the protection of biodiversity conservation.

**442-139. Principle 11. Climate Change**

The project has no potential to cause climate change. This is because the implementation of this project does not release large amounts of GHG emissions that can worsen current climate change.

**443-140. Principle 12. Pollution Prevention and Resource Efficiency**

The project is committed to minimizing potential risks to pollution prevention and resource efficiency. To ensure this, a pact of integrity will be established prior to the project's commencement. This pact will outline the project's strong commitment to preventing pollution and optimizing resource use during its implementation. Through this proactive measure, all project stakeholders will adhere to environmentally responsible practices, ensuring that activities are conducted sustainably and align with the pollution prevention and resource efficiency.

**444-141. Principle 13. Public Health**

The project has no potential risks on public health. The project aims to enhance the resilience of communities in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages, through the formulation of adaptation policies, implementation of the WEF nexus, and the establishment of the center of excellence. These activities will increase community health resilience to diseases caused by climate change.

**445-142. Principle 14. Physical and Cultural Heritage**

The project does not pose any potential risks to physical and cultural heritage. Activities such as the development of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence will be carried out in a manner that respects and safeguards cultural and historical values.

**446-143. Principle 15. Lands and Soil Conservation**

The project may potentially disrupt lands and soil conservation. This is due to the project involving the construction of WEF infrastructures, such as the NbS knock-down levee (500 meters), ponds, mini nature-based water treatment plants with distribution pipes, household water and sanitation facilities, solar PV installment, and agriculture irrigation/drainage system. These activities could lead to minor land use changes, such as converting green spaces into built-up areas. In addition, during project implementation, a screening test (*uji penapisan*) will be conducted in accordance with Minister of Environment and Forestry Regulation No. 4/2021.

~~447-144.~~ Since the project may pose environmental and social risks, an Environmental and Social Management Plan (ESMP) was developed and included in Annex 2. This annex also includes mitigating measures for the assessed significant potential environmental and social impacts. The measures will be implemented and utilized by the program to mitigate the potential risks and also ensure the compliance of program implementation to Adaptation Fund Environmental and Social Policy. From the beginning of the program period, the stakeholders will be informed on the potential risks associated with the program and the corresponding mitigation measures in place. This mitigation plan will be communicated to them, not only during the program preparation phase, but also throughout the program implementation to ensure all parties involved are aware of the risks and the appropriate mitigation measures.

~~448-145.~~ **Grievance Mechanism**

As part of the program implementation, the Project Management Unit (PMU) will also set up a grievance mechanism for the stakeholders involved. This mechanism is needed to ensure the program is always in line with AF's ESMP that promotes environmental and social safeguard and also ensures that it is always in line with the community's interest and meets their expectations.

~~449-146.~~ At the beginning of the program implementation, the PMU will establish a grievance mechanism by installing suggestion boxes in all intervention areas and utilizing various institutional channels, such as text messages, telephone calls, or social media platforms. Additionally, the PMU will form a Complaint Committee composed of senior PMU staff and experts, and assign designated officers or a team responsible for receiving and processing grievances. The grievance mechanism will only address complaints related to project activities.

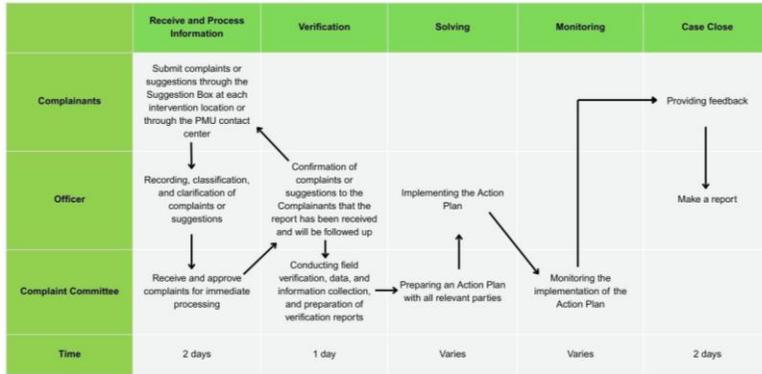


Figure 7. Grievance Flow Process

450-147. The grievance process will follow the steps outlined below (see Figure 7):

1. Receive and Process Information:
  - Stakeholders can submit complaints or suggestions via the Suggestion Box or the PMU's contact channels (e.g., SMS, telephone, or social media). A standard Complaint Form must be filled out and attached (see Figure 8).
  - Upon receipt, the grievance officer logs and records the complaint. The grievance is assessed and categorized based on urgency. Complaints will be classified into (i) non-strategic: administrative, procedural, or low-impact issues, resolved immediately and tactically by the PMU, and (ii) strategic: substantive or procedural concerns, requiring deliberation and decision-making by the Complaint Committee.
  - The Complaint Committee reviews the strategic complaints and approves them for formal processing.
2. Verification:
  - If the complaint requires further details, the officer contacts the complainant to confirm that the report has been received and is under review, and to request any additional necessary information.
  - The officer and relevant staff conduct field verification and gather supporting data to develop a detailed verification report.
3. Solving:
  - Based on the findings, the Complaint Committee along with other relevant parties prepares a resolution Action Plan.
  - The PMU implements the Action Plan in coordination with stakeholders.
4. Monitoring:
  - The Complaint Committee monitors the implementation progress to ensure the grievance is being addressed appropriately.
5. Case Close:
  - Once the Action Plan is fully implemented, the complainant will be

asked to provide feedback.

- If the complainant confirms that the issue has been resolved satisfactorily, a final report will be compiled and the case will be officially closed.

~~454-148.~~ The PMU (Executing Entity [EE]) provides a grievance mechanism as an initial step to handle complaints. If a consensus cannot be reached at this level, the complaint may be escalated to Kemitraan (Implementing Entity [IE]) using a formal complaint form. Complaints and related information must be submitted in writing, either via email, by letter, or delivered directly during a visit. Both the EE and IE are responsible for ensuring the confidentiality and integrity of the grievance process at all levels.

~~452-149.~~ The grievance procedures will be communicated to all stakeholders during the initial workshops at both the city and village levels, and will be reiterated during any training or workshops conducted by the PMU. Printed copies of the procedures will be made available at village offices and the PMU office to ensure that stakeholders who are unable to attend the initial sessions can still access and understand the program's grievance mechanism.

**Grievance Form**  
**Konsorsium Lingkungan Adaptif, Berketahanan, Inovatif, dan Partisipatif**  
**(KOLABORASI)**

**Filled by KOLABORASI**

<b>Grievance No.</b>		
<b>Name of registerer</b>		Date:
<b>Source</b>	email / phone / visit / others: .....*)	

\*) Circle the appropriate

**Filled by Complainant**

<b>Complainant Data</b>		
Name		
Address		
Phone No.		
Email		
<b>Grievance Information</b>		
Location		
Program		
Parties was reported		
Date of occurrence		
Detail grievance: (Completed with related evidence or documents. If this part is insufficient, then allowed to use additional paper)		
Complaint name and signature		Date:
Receiver name and signature		

Figure 8. Grievance Form

Complaints regarding projects/programmes can also be filed with the secretariat at the address provided below :

1. Coaction Indonesia

Jl. Abdul Majid Raya No.23, RT.4/RW.5, Cipete Selatan, Kec. Cilandak,  
Kota Jakarta Selatan, Daerah Khusus Ibukota Jakarta 12410. Tel:  
+622175811036. pengaduan@coaction.id

2. Kemitraan for Partnership Reform

Jl. Taman Margasatwa Raya No.26, RT.8/RW.1, Ragunan, Ps. Minggu,  
Kota Jakarta Selatan, Daerah Khusus Ibukota Jakarta 12550. Tel:  
+622122780580. info@kemitraan.or.id

3. Adaptation Fund Board secretariat Mail stop: MSN P-4-400

1818 H Street NW, Washington DC, 20433, USA. Tel: 001-202-478-7347.  
afbsec@adaptation-fund.org

## PART III: IMPLEMENTATION ARRANGEMENTS

### A. Arrangements for Project/Programme Implementation

453-150. Figure 9 illustrates the institutional structure for project implementation. Kemitraan serves as the National Implementing Entity (NIE), while Yayasan Koaksi Indonesia acts as the Executing Entity. The project also involves partners, including Lingkar Temu Kabupaten Lestari, Water Stewardship Indonesia, and the Earth Innovation Institute.

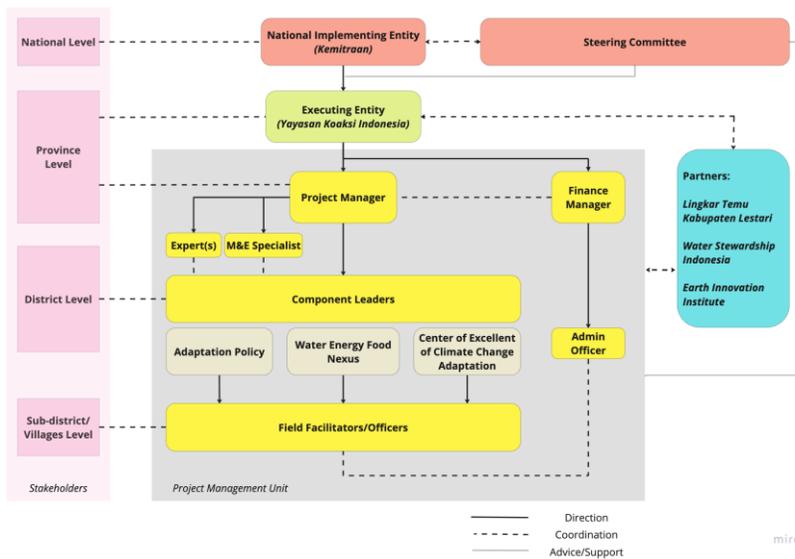


Figure 9. Institutional Structure of the Project

454-151. Konsorsium Lingkungan Adaptif, Berketahanan, Inovatif, dan Partisipatif (Kolaborasi) consists of 4 (four) organizations/partners: (1) Yayasan Koaksi Indonesia, as the Lead Organisation; (2) Lingkar Temu Kabupaten Lestari (LTKL); (3) Earth Innovation Institute (EII); and (4) Alliance for Water Stewardship Indonesia (AWS Indonesia) as Partners.

455-152. Yayasan Koaksi Indonesia or Coaction Indonesia is a non-profit organization that acts as a network and knowledge hub. Coaction's target is to realize sustainable development throughout the archipelago by accelerating the energy transition from fossil-based energy to renewable energy through three approaches: advocacy work, public campaigns, and strategic partnerships. Coaction collaborates with policymakers, the private sector, academia, community organizations, and youth activists in providing answers to the

challenges of the energy transition through policy breakthroughs, funding, technology, and human resources. Established on March 16, 2017, some of Coaction's flagships include: 1) Influence Indonesia's biofuel policy; 2) Encourage safeguards for strategic renewable energy technologies developed in Indonesia, 3) Open access to renewable energy to areas that are considered underdeveloped, frontier, and outermost regions; 4) Increase conversation and discourse on Green Jobs as a critical intervention for renewable energy to reach youth in the energy transition wave, 5) Amplify just climate action voices from local to national, 6) Develop learning exchange platforms for civil society organizations on climate and energy issues, 7) Deliver systemic change from strategic partnerships with several coalitions, alliances, and associations, such as Bersih Indonesia (with 30 civil society organizations), Voices for A Just Climate Actions (with 18 civil society organizations), and is a partner of the Sustainable District Platform (LTKL).

~~456~~153. Lingkar Temu Kabupaten Lestari (Sustainable District Association in English) is an association of district governments formed and managed by the district government in order to realize sustainable land-use at subnational level that protects the environment and improves community welfare through partnership and collaborations. LTKL was established in July 2017 as a caucus for sustainable development under the Association of Indonesian Regency Government (APKASI). Currently, LTKL has 9 active member districts, including Sigi District, in 6 provinces in Indonesia and works side by side with 21 multi-stakeholder partner networks. LTKL General Assembly in 2019 decided that sustainable commodities, including sustainable products utilizing natural resources, were a priority for LTKL members to achieve national targets to obtain quality investment, create jobs and prevent disaster risks. As a forum, LTKL functions as an aid for district members in developing implementation strategies, connecting with the right partners to increase capacity and acquire incentives for sustainable development efforts, and sharing the opportunities and challenges for sustainable development to the public.

~~467~~154. EII is a non-profit, applied research and policy institute with a mission to promote climate-friendly rural development through innovative, jurisdictional approaches to sustainable agriculture, forestry and food systems in tropical regions around the world. EII provides direct technical, strategic and convening support to governments, companies, indigenous peoples' organizations and farmers in support of jurisdictional REDD+ and low-emission, low-deforestation rural development. EII has established itself as an international organization working across several geographies to support tropical nations and states that are striving to lower their greenhouse gas emissions from land use as they conserve biodiversity and water resources, secure food and agricultural production systems, and strengthen traditional and indigenous claims on natural resources. EII helps lay the groundwork for a transition to sustainable, productive rural development by building multi-stakeholder consensus in support of this agenda, identifying opportunities within government programs and policies to foster good land management through proper planning and land classification, a plantation licensing process, environmental monitoring, and law enforcement.

~~458.155.~~ AWS Indonesia is a foundation established to grow and strengthen the leadership of stakeholders in Indonesia in caring for and managing water resources convincingly and reliably that preserve the implementation of the social, cultural, and economic values of water. Yayasan AWS Indonesia wants to inspire users and managers of water to actively participate in maintaining and taking care of water resources on the land of Indonesia. The foundation was established for humanitarian purposes, specifically in growing and strengthening the leadership of stakeholders in Indonesia in caring for and managing water resources convincingly and reliably that preserve the implementation of water values not only in the economic aspect but also in socio-cultural and environmental. AWS water stewardship approach is embodied in the International Water Stewardship Standard (AWS Standard). The AWS Standard is an ISEAL standard and a globally applicable framework that drives, recognizes, and rewards good water management practices. Since 2019, AWS Indonesia has been an active promoter of good water stewardship and become a partner of Alliance for Water Stewardship. AWS Indonesia also works together with Water Stewardship Asia Pacific to promote water stewardship.

~~459.156.~~ The PMU will be established with the main responsibility of managing and implementing different components under the proposed project. The PMU will ensure the implementation is in line with the project frameworks, including its targeted components, outcomes, and outputs. At the national level, the PMU will operate under Yayasan Koaksi Indonesia and coordinate with the Kemitraan (NIE) and Steering Committee.

Yayasan Koaksi Indonesia, as the lead Executing Entity, will have personnel directly involved in project execution and will represent the PMU at the national level. The PMU is led by the Project Manager and will have the authority to run the project on a day-to-day basis and report to the Executing Entity. Component Leaders responsible for Adaptation Policy, Water-Energy-Food Nexus, and the Center of Excellence will support the Project Manager's daily activities, ensuring the project components are implemented as planned and achieving the targeted outcomes and outputs within the specified constraints of time and cost.

Additionally, Component Leaders will be supported by Field Facilitators/Officers in carrying out daily and technical tasks. The Project Manager will also coordinate with the Finance Manager and will be responsible for financial issues in the overall project activity, while the Admin Officer will manage administrative tasks at the district, sub-district, and village levels.

The Executing Entity will optimize project delivery and results through the involvement of M&E Specialist and Expert(s). The M&E Specialist will be responsible for developing the project's M&E strategy and plan, ensuring compliance with the ESMP, and alignment with national and sub-national regulations. Expert(s) will provide knowledge-based inputs, technical assistance, and strategic advice related to key issues and components addressed in the project.

460-157. The Steering Committee (SC) will oversee the whole program implementation to ensure that the means and mechanisms are in place to run the program effectively to be able to achieve the targeted outcomes, while also representing the voice of stakeholders that do not directly sit on the committee. They will provide high level technical and management guidance to the NIE and the PMU for program implementation. Members of the SC will encompass representatives from national, provincial, district, sub-district, village governments, civil society organizations, and academia.

**B. Financial and Project/Programme Risk Management**

464-158. To mitigate potential risks across institutional, financial, social, and political aspects, the PMU and consortium will implement strategic measures such as continuous capacity-building and structured onboarding to address personnel changes, regular performance monitoring and evaluations to ensure project outcomes are met, and adaptive strategies to manage compressed schedules. Financial risks, including currency fluctuations and disbursement delays, will be addressed through active coordination with the implementing entity, adherence to financial procedures, and prioritization of low-cost activities like virtual coordination and communication. Social risks related to inclusivity and stakeholder engagement will be mitigated by fostering strong relationships with local governments and communities, establishing inclusive groups at the village level, and utilizing training and workshops for better understanding. Additionally, the PMU will establish and activate a multi-stakeholder forum with regular meetings and an online communication platform to ensure alignment with climate adaptation efforts. Political risks due to leadership changes will be managed through proactive engagement with new officials, orientation sessions, and stakeholder engagement plans to maintain project momentum and ensure smooth transitions.

Table 17. Financial and Project/Programme Risk Management

Categories	Potential Risk	Level	Mitigation Strategy	Cost Estimate	Timeline
Institutional	Different knowledge in the team and changes in personnel	Low	The PMU address team knowledge gaps and personnel changes by providing continuous capacity-building, maintaining comprehensive documentation of project processes, and establishing a structured onboarding system to ensure smooth transitions and knowledge continuity	The mitigation measure cost will be integrated in project execution cost: -Project Manager	-Q1 to Q8
	The targeted program's outcome and output are not met by the end of the grant cycle & Multiple compressed schedules due to some overlapped activities	Low	The PMU and consortium will mitigate the risk of unmet outcomes and outputs by implementing regular performance monitoring, conducting periodic evaluations, and adjusting strategies as needed to stay on track with project goals within the grant cycle	The mitigation measure cost will be integrated in project execution cost: -M&E Specialist	-Q1 to Q8

Financial	Changes in currency exchange rate lead to changes in the proposed budget items and the impact on the budget proposed for activities in the work plan	Medium	The PMU will mitigate the impact of currency exchange rate fluctuations by regularly coordinating with IE, monitoring exchange rates, adjusting budget allocations accordingly, and prioritizing critical activities	The mitigation measure cost will be integrated in project execution cost: -Finance Manager -IE	-Q1 to Q8
	Delays in disbursement will hinder the process of implementation and its impact on the outputs' achievement	Medium	The PMU and consortium will mitigate delays by maintaining active communication with IE, adhering to financial procedures, and prioritizing low-cost activities such as virtual coordination, communication, and initial assessments while ensuring timely disbursement.	The mitigation measure cost will be integrated in project execution cost: -Finance Manager -IE	-Q1 to Q8
Social	The involvement of communities in several activities does not refer to gender equality and inclusive manners	Low	<ul style="list-style-type: none"> <li>• Building good relationships with local government (village level), community and the community leaders (direct beneficiaries), including women and vulnerable groups before the project starts</li> <li>• The formation of groups at the village level can gather all people/levels that are in target community</li> <li>• Utilization of activities in the form of training/workshops/group discussions to provide understanding of the project</li> </ul>	The mitigation measure cost will be integrated in project execution cost: -Project Manager -IE	-Q1 to Q8
	No channels play the role of a multistakeholder forum to accelerate climate change adaptation efforts	Low	The PMU will increase and activate a multi-stakeholder forum with regular meetings, develop an online communication platform for continuous engagement, provide capacity-building activities, and leverage existing networks to ensure inclusive participation and alignment with climate adaptation efforts.	The mitigation measure cost will be integrated in project execution cost: -Project Manager	-Q1 to Q8
Political	Change in leadership due to the election results in 2024	Low	The Project Management Unit (PMU) and consortium will proactively engage with newly elected officials through regular briefings and orientation sessions to ensure alignment with project objectives. Development of a stakeholder engagement plan to facilitate smooth transitions and maintain project momentum despite political changes.	The mitigation measure cost will be integrated in project execution cost: -Project Manager	-Q1 to Q8

**C. Environmental and Social Risk Management**

462-159. The project preparation has identified environmental and social risks requiring the proposal to include environmental and social management plans

Formatted: Font color: Custom Color(RGB(238,0,0))

that outline necessary actions to avoid, minimize, or mitigate potential risks. Table 189 presents a further assessment of compliance with the Adaptation Fund Environmental and Social Policy and the corresponding mitigation measures for environmental and social risk management.

~~163.~~160. The assessment identifies that the project may pose environmental and social risks related to ~~three-six~~ principles, including Compliance with the Law, Marginalized and Vulnerable Groups, Human Rights, Gender Equality and Women's Empowerment, Core Labour Rights, Lands and Soil Conservation~~Marginalized and Vulnerable Groups, Gender Equality and Women's Empowerment, and Lands and Soil Conservation~~. Details on identified risk, measures to minimize or mitigate, monitoring indicators, assessment of significance, period, oversight officer, cost remarks, opportunities consultation and adaptive management can be found in Annex 2.

161. Compliance with the Law: Mitigation measures include continuous monitoring of regulatory developments at national and local levels; engagement with government stakeholders to anticipate and adapt to regulatory changes.

162. **Marginalized and Vulnerable Groups**: Mitigation measures include identify and anticipate any possible barriers that will prevent participation of the vulnerable groups, gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed, development, training, and mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines.

~~164.~~163. Human Rights: Mitigation measures include conduct inclusive community consultations; establish and operationalize the grievance redress mechanism.

164. **Gender Equality and Women's Empowerment**: Mitigation measures include identify and anticipate any possible barriers that will prevent participation of the vulnerable groups, gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed, development, training, and mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines.

165. ~~Core Labour Rights~~ Core Labour Rights: Mitigation measures include adopt and apply existing national labor standards (UU No. 13/2003) within project operations by prioritizing local workers, with transparent recruitment processes; integrate a zero-tolerance policy on child labor and conduct regular monitoring; set up an accessible and transparent the grievance redress mechanism to raise concerns related to labor rights violations.

166. **Lands and Soil Conservation**: Mitigation measures include notify/inform the community in advance about construction schedules and potential land impacts, implement Nature-Based Solutions (NbS) to enhance sustainable water management.

Formatted: Font: Bold, Font color: Custom Color(238,0,0)

Formatted: Font color: Custom Color(238,0,0)

Formatted: Font: Not Bold, Font color: Custom Color(238,0,0)

Formatted: List Paragraph, Left, Right: 0", No bullets or numbering

Formatted: List Paragraph, Left, Right: 0", No bullets or numbering

Formatted: Font: Bold, Font color: Custom Color(238,0,0)

Formatted: Font color: Custom Color(238,0,0)

Formatted: List Paragraph, Left, Right: 0"

Formatted: Font: 12 pt, Font color: Custom Color(238,0,0)

Formatted: List Paragraph, Left, Right: 0", No bullets or numbering

Formatted: Font: 12 pt, Bold

Formatted: Font: 12 pt, Bold

Formatted: Font: 12 pt

Formatted: Font: 12 pt

Table 18. Environmental and Social Risk Management

Risk and Risk Rating	Mitigation Measures	Monitoring Indicators	Oversight Officer
<b>Compliance with the Law</b>			
<p>The project complies with Indonesia's sustainable development commitments, such as the Nationally Determined Contributions (NDC) and the National Action Plans for Climate Change Adaptation (RAN-API) along with Ministry of Environment and Forestry Regulation No. 33/2016 on Climate Change Adaptation. The project aligns with Presidential Regulation No. 98/2021, supporting carbon credit implementation to reduce GHG emissions and achieve NDC targets, while enhancing ecological resilience through WEF nexus interventions in Sigi District. <b>(Risk: Negligible)</b></p> <p>In synergy with the National Long-Term Development Plan (Rencana Pembangunan Jangka Panjang Nasional [RPJPN]) 2025–2045 and the Sigi District's Medium-Term Development Plan (Rencana Pembangunan Jangka Menengah Daerah [RPJMD]) 2021–2026, the project supports ecological resilience and sustainable agriculture addressing climate change impacts. Regionally, the project aligns with Regional Regulation 4/2019 on Green Sigi specifically Article 16 which mandates climate adaptation action plans. The project also follows Law No. 32/2009 and Government Regulation No. 22/2021 ensuring environmental protection and sustainable resource use during implementation. <b>(Risk: Negligible)</b></p> <p>The project complies with gender equality, disability and social inclusion (GEDSI) laws, such as Presidential Instruction 9/2000 on Gender Mainstreaming and Law No. 8/2016 on People with Disabilities by engaging vulnerable groups, including farmers, women, youth, elderly, and people with disabilities. <b>(Risk: Negligible)</b></p> <p>The project further complies with Law No. 11/2020 on Job Creation promoting sustainable livelihoods and Government Regulation No.12/2019 on Regional Financial Management ensuring efficient regional financial management for project activities. <b>(Risk: Negligible)</b></p> <p><u>Therefore, the project has almost zero or insignificant negative impacts on compliance with the law. However, there remains a possibility of changes in policies and regulations during implementation that could create misalignment with the planned interventions. (Risk: Low)</u></p>	<p><u>-Continuous monitoring of regulatory developments at national and local levels</u></p> <p><u>-Engagement with government stakeholders to anticipate and adapt to regulatory changes</u></p> <p>None</p>	<p><u>-Number of regulatory monitoring reports produced</u></p> <p><u>-Number of coordination meetings with government stakeholders</u></p> <p>None</p>	<p><u>-Project Manager</u></p> <p><u>-M&amp;E Specialist</u></p> <p>None</p>
<b>Access and Equity</b>			
<p>Based on the assessment, there are no significant risks related to access and equity. Potential challenges, such as infrastructure accessibility and the distribution of resources within the community, can be effectively managed through well-planned stakeholder engagement, capacity-building efforts, and inclusive distribution mechanisms. The project</p>	None	None	None

Formatted Table

Formatted: Font color: Custom Color(238,0,0)

Formatted: Font: Not Bold, Not Italic, Font color: Custom Color(238,0,0)

is designed to ensure equitable access for all, including vulnerable groups, by facilitating collaboration with local stakeholders and implementing strategies that address potential barriers. <b>(Risk: Negligible)</b>			
<b>Marginalized and Vulnerable Groups</b>			
The project risks excluding meaningful participation from vulnerable groups, particularly individuals with disabilities in Sambo Village. In this village, there are people with disabilities who face various barriers, such as the lack of guiding blocks for visually impaired people, limited availability of sign language interpreters for those with hearing impairments, and physically inaccessible venues (e.g., narrow entrances, no ramps). <b>(Risk: Low)</b>	-Identify and anticipate any possible barriers that will prevent participation of the vulnerable groups. -Gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed. -Development, training, and mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines.	-Number of persons from marginalized and vulnerable groups (e.g., persons with disabilities) participated in project activities -Number of gender sensitive/responsive and inclusive stakeholder mapping guidelines developed -Number of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines developed	-Project Manager -Gender Specialist -M&E Specialist
<b>Human Rights</b>			
<u>The project has almost zero or insignificant of negative impacts on human rights as it is designed to enhance community resilience while upholding inclusivity and equity. The project does not pose any potential negative impact on human rights. The project aims to enhance the resilience of communities in six villages—Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo—through adaptation policy formulation, WEF nexus implementation, and the establishment of the center of excellence. During implementation, the project ensures that human rights principles are upheld in all activities. However, during project implementation potential conflicts related to benefit-sharing may arise particularly if certain groups perceive unequal access to project resources or opportunities. Therefore, the project will strengthen the human rights and capacities of the villagers. <b>(Risk: Negligible/Low)</b></u>	<u>-Conduct inclusive community consultations</u> <u>-Establish and operationalize the grievance redress mechanism</u> None	<u>-Number of consultations conducted with community members (disaggregated by sex, age, disability)</u> <u>-Number of grievances received and resolved through the grievance redress mechanism</u> None	<u>-Project Manager</u> <u>-Gender Specialist</u> <u>-M&amp;E Specialist</u> None
<b>Gender Equality and Women's Empowerment</b>			
Gender equality and women's empowerment are crucial issues from the district level to the village level and this is not limited to the project site. This is due to the lack of awareness and understanding of gender equality which limits the opportunities provided to women. The risks include limited involvement of women because there are few women in leadership positions at the village level, low representation of women in leadership positions within village governments may limit their influence in decision-making processes, potentially hindering gender-inclusive implementation of the WEF nexus, women may face barriers to meaningful involvement in the establishment of the center of excellence due to a double burden of childcare and agricultural responsibilities. <b>(Risk: Low)</b>	-Identify and anticipate any possible barriers that will prevent participation of the vulnerable groups. -Gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed. -Development, training, and mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and	-Number of women participated in project activities -Number of gender sensitive/responsive and inclusive stakeholder mapping guidelines developed -Number of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines developed	-Project Manager -Gender Specialist -M&E Specialist

Formatted Table

	vulnerability assessment guidelines.		
<b>Core Labour Rights</b>			
The project poses minimal potential risks to core labour rights. Identified risks include the possibility that newly created jobs may not prioritize local workers and, if they do, could expose them to occupational accidents—particularly during the construction of WEF infrastructure—as well as the potential risk of child labor. <b>(Risk: Low)</b> The project does not pose any potential risks to core labour rights. The project aims to enhance the resilience of communities in six villages—Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo—through the formulation of climate adaptation policies, the implementation of the WEF nexus approach, and the establishment of a center of excellence. Throughout its implementation, the project ensures compliance with national labour laws, including fair wages, safe working conditions, and non-discrimination. By involving local workers in project activities under decent and inclusive conditions, the project actively contributes to the promotion and protection of labour rights. <b>(Risk: Negligible)</b>	-Adopt and apply existing national labor standards (UU No. 13/2003) within project operations by prioritizing local workers, with transparent recruitment processes -Integrate a zero-tolerance policy on child labor and conduct regular monitoring -Set up an accessible and transparent the grievance redress mechanism to raise concerns related to labor rights violations None	-Number of compliances with national labor standards (UU No. 13/2003) -Number of reported child labor cases (should be 0) -Number of grievances received, processed, and resolved within a defined timeframe  None	-Project Manager -M&E Specialist None
<b>Indigenous Peoples</b>			
The project does not pose potential risks to indigenous peoples. This is because based on public consultation, field surveys, and in-depth interviews with village community representatives, it was identified that there were no indigenous peoples in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. <b>(Risk: Negligible)</b>	None	None	None
<b>Involuntary Resettlement</b>			
The project does not pose a risk of involuntary resettlement. The implementation of the project which includes the formulation of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence does not require significant land. Therefore, the project does not result in land use change, conversion of residential land to project land, or even involuntary resettlement. <b>(Risk: Negligible)</b>	None	None	None
<b>Protection of Natural Habitats</b>			
The project has no potential risks to the protection of natural habitats. This is because based on public consultations, field surveys, and in-depth interviews with village community representatives, it was identified that the 6 targeted villages as the project location does not overlap	None	None	None

Formatted: Font color: Text 1

Formatted Table

Formatted: Font color: Custom Color(238,0,0)

Formatted: Font: Bold

Formatted: Font color: Custom Color(238,0,0)

with protected areas. <b>(Risk: Negligible)</b>			
<b>Conservation of Biological Diversity</b>			
The project does not pose any potential risks to the conservation of biological diversity. The implementation of key components including the formulation of adaptation policies, the application of the WEF nexus, and the establishment of a center of excellence will support and ensure the protection of biodiversity conservation. <b>(Risk: Negligible)</b>	None	None	None
<b>Climate Change</b>			
The project has no potential to cause climate change. This is because the implementation of this project does not release large amounts of GHG emissions that can worsen current climate change. <b>(Risk: Negligible)</b>	None	None	None
<b>Pollution Prevention and Resource Efficiency</b>			
The project is committed to minimizing potential risks to pollution prevention and resource efficiency. To ensure this, a pact of integrity will be established prior to the project's commencement. This pact will outline the project's strong commitment to preventing pollution and optimizing resource use during its implementation. Through this proactive measure, all project stakeholders will adhere to environmentally responsible practices, ensuring that activities are conducted sustainably and align with the pollution prevention and resource efficiency. <b>(Risk: Negligible)</b>	None	None	None
<b>Public Health</b>			
The project has no potential risks on public health. The project aims to enhance the resilience of communities in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages, through the formulation of adaptation policies, implementation of the WEF nexus, and the establishment of the center of excellence. These activities will increase community health resilience to diseases caused by climate change. <b>(Risk: Negligible)</b>	None	None	None
<b>Physical and Cultural Heritage</b>			
The project does not pose any potential risks to physical and cultural heritage. Activities such as the development of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence will be carried out in a manner that respects and safeguards cultural and historical values. <b>(Risk: Negligible)</b>	None	None	None
<b>Lands and Soil Conservation</b>			
The project may potentially disrupt lands and soil conservation. This is due to the project involving the construction of WEF infrastructures, such as the NbS knock-down levee (500 meters), ponds, mini nature-based water treatment plants with distribution pipes, household water and sanitation facilities, solar PV installment, and agriculture irrigation/drainage system. These activities	-Notify/inform the community in advance about construction schedules and potential land impacts. -Implement Nature-Based Solutions (NbS) to	-Number of consultations conducted with the community -Number and type of NbS implemented.	-Project Manager -M&E Specialist

could lead to minor land use changes, such as converting green spaces into built-up areas. (Risk: Low)	enhance sustainable water management.		
--	---------------------------------------	--	--

**D. Monitoring and Evaluation Arrangements**

167. Monitoring and Evaluation of Climate Change Adaptation (M&E) consist of these components (1) Strategy and objectives; (2) Achievement Indicators; (3) Implementation of Activities; (4) Financial Use, which refer to:
- a. Compliance (compliance); whether the project implementation follows existing standards and procedures
  - b. Examination (auditing); whether the resources and services intended for certain parties (target audience/beneficiaries) are accountable
  - c. Reports (accounting); generating information or proof of evidence to measure the social changes based on the financial traceability
  - d. Explanation (narrative); generating storytelling to justify that the project implementation is consistent with the planning and below or beyond the target.
168. M&E is carried out throughout the planning, implementation, and reporting stages based on 1) KAP (Knowledge, Attitude, and Practice) surveys, 2) Quarterly/Progress Reports, 3) Joint Monitoring, 4) Annual Reports, and 5) Final Report.
- a. KAP surveys (baseline survey): KAP surveys will be conducted to measure immediate impacts of the project. These surveys include baseline, mid, and endline
  - b. Monthly Reports: The PMU will prepare monthly activity reports to provide regular updates on project implementation. These reports will track progress, highlight achievements and challenges, and provide evidence of fund utilization for each activity.
  - c. Quarterly Reports: The consortium members will report every three months to summarize achieved activities and output levels that contribute to the expected results.
  - d. Joint Monitoring: The project will conduct regular joint monitoring participated by project's stakeholders. This monitoring will be conducted every six months of project implementation, to review project progress, compliance, quality, and identify any systemic issues as well as to document recommendations for corrective actions.
  - e. Annual Reports & Project Performance Reports: Annual reports consist of progress and achievements within a year of implementation and indicate whether the project has succeeded in achieving the planned outcomes. This also includes the preparation of the Annual PPR.
  - f. Final Project Review & Project Completion Summary: The Final Report is intended to summarize the project's outcomes and serves as the final descriptive document of the [Kolaborasi-p](#)Project. Meanwhile, the Project Completion Summary will follow the Adaptation Fund's reporting standards, which are intended to cover the entire project lifecycle in a reader-friendly format by compiling the submitted single-year PPRs.
  - g. Independent Evaluator: The final evaluation will be conducted by an external independent evaluator. This evaluation is conducted after the

program has been completed to provide evidence-based insights and strategic recommendations to enhance the sustainability and impact of the project outcomes.

- h. Audits: The project will incorporate external audits, which will consist of two main components, namely an independent external audit and a financial report analysis. These audits will be conducted at the end of the project.
- i. M&E Specialist: The M&E Specialist, with a budget of \$12,800 under Project Execution Costs, will develop comprehensive monitoring and evaluation plans and ensure their effective implementation. This includes preparing quarterly, biannual, annual, and final reports to track progress and evaluate project outcomes.

169. The M&E budget totals USD 46,031 covering both Project Execution Costs and Implementing Entity Fees. M&E component budget of the project is as follows:

169. Table 19. Monitoring and Evaluation Component Budget

M&E Activities	Methods & Tools	Responsible	Target/Deliverables	Cost (USD)	Source	Time
Baseline Survey	- Field survey - FGD, interviews with targeted beneficiaries - Participatory observation	- Project Manager - M&E Specialist	Baseline Data Report	7,500	Implementing Entity Fee	Within first 3 months upon sign of agreement
Midterm Report	- FGD/meeting - Interviews with PMU - Field Visit (interview with beneficiaries, observation) - ESMP Monitoring - Supporting document review - Financial analysis - Supervisions of annual project - Provision of office facilities and equipment	- IE - EE (Project Manager, M&E Specialist)	- Annual Project Report - Annual PPR - Annual Financial Report - Quarterly Reports (6x) - Quarterly Financial Reports (6x) - PMU's monthly reports	12,193	Implementing Entity Fee	- Monthly (every month) - Monthly report: Every month - Quarterly report: every 3 months - Annual: By the end of the first-year project dates (12 <sup>th</sup> month)
Final Project Review & Project Completion Summary	- FGD/meeting/workshop - Interviews with PMU - Field Visit (interview with beneficiaries, observation) - ESMP evaluation - Supporting document review - Financial report analysis - Project Report Review - External audit - Supervisions of final report	- IE - EE (Project Manager, M&E Specialist)	- Project Completion Report - PPR - Final Project Financial Report - Independent Evaluation Report - Audit Financial	26,338	Project execution cost and Implementing Entity Fee	- Project Completion Report: 6 months after closing - PPR: 6 months after closing - Independent Evaluator Report: 6 months after

Formatted: Justified, Outline numbered + Level: 1 + Numbering Style: 1, 2, 3, ... + Start at: 1 + Alignment: Left + Aligned at: 1.25" + Indent at: 1.5"

			Report			closing - Audit Financial Report: 6 months after closing
<b>Total M&amp;E Cost</b>				46,031		

**E. Results Framework**

170. The Results Framework for the project in Sigi District is structured into three main components.

**Project goal:** Increased resiliency of communities (especially vulnerable groups and farmers) through effective and direct adaptation against climate-related disaster (floods and droughts)

**Component 1** focuses on strengthening the enabling environment to support adaptation policy implementation, aiming to improve the institutional capacity of local stakeholders through training, establishing working groups, and developing climate change vulnerability assessments and technical capacity building.

**Component 2** applies the Water-Energy-Food (WEF) nexus approach to enhance the effectiveness of the district’s Climate Change Adaptation Action Plan at the village level. This involves developing participatory adaptation action plans, vulnerability assessments, and implementing adaptive water, agriculture, and renewable energy management strategies.

**Component 3** establishes a Center of Excellence for climate change adaptation at the district level. This component focuses on developing and disseminating learning and communication tools for replication, creating digital platforms to share knowledge, lessons learned, and best practices from the adaptation projects, ensuring wider stakeholder engagement and public access.

Table 20. Results Framework

Outcome/ Output	Indicator	Target (Est)				Source of Verification	Risk and Assumption	Activity	PIC
		Baseline	Number of areas with improved resiliency (ha)	Number of direct beneficiaries (Total People)	Number of direct beneficiaries (Women)				
Project goal: Increased resiliency of communities (especially vulnerable groups and farmers) through effective and direct adaptation against climate- related disaster (floods and droughts)	<b>Number of hectares with improved resiliency – (AF Core Impact Indicator: Natural Assets Protected or Rehabilitated)</b>	0	50 ha (40 ha plantation areas (flood) and 10 ha plantation areas (drought))	-	-	Program reports, Field survey, GIS mapping	Assumption: Local authorities and communities will maintain and protect rehabilitated areas.  Risk: Extreme climate events (e.g., floods, droughts) may damage interventions before results are measured.	-	-Project Manager - Component Leaders
	<b>Total number of direct beneficiaries (of which women) - (AF Core Impact Indicator: Number of Beneficiaries)</b>	0	-	1543	552	Program reports	Assumption: Gender mainstreaming measures ensure equitable participation and benefit-sharing.  Risk: Unequal access to project benefits between men and women.	-	-Project Manager - Component Leaders
	<b>Total number of indirect beneficiaries (of which women) - (AF Core Impact Indicator: Number of Beneficiaries)</b>	0	-	10869	4973	Program reports	Assumption: Knowledge and good practices spread beyond direct beneficiaries through community networks and local institutions.  Risk: Indirect beneficiaries may not adopt practices if benefits are not visible in the short term.	-	-Project Manager - Component Leaders

Objective 1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District	Number of targeted institutions with increased capacity to minimize exposure to climate variability risks (of which women), disaggregated by sex and age	0	6 targeted institutions with increased capacity to minimize exposure to climate variability risks	215	79	Activity reports, documentation	Assumption: Institutions are open for capacity building  Risk: Limited budget or shifting priorities of local government	-	-Project Manager - Component Leader Adaptation Policy	
Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation	Number of district-level stakeholders trained on WEF nexus-based climate change adaptation (of which women), disaggregated by sex and age	The institutional capacity of local stakeholders in Sigi District for planning and implementing climate change adaptation measures is unknown and capacity improvement has not been conducted	6 district-level stakeholders	140	39	Assessment reports, activity reports, decision letters, documentation	Assumption: Sigi district government is committed to improved its understanding and capacity on climate change adaptation  Risks: Capacity building might successful at individual level but not institutional/systemic level	-	-Project Manager - Component Leader Adaptation Policy	
Output 1.1.1. Awareness and understanding of key stakeholders at district level on climate change adaptation based on WEF nexus strengthened	Number of climate change adaptation champions identified and appointed for each relevant stakeholders (of which women), disaggregated by sex and age	0	10 champions from 6 relevant stakeholders (OPD & academics)	45	19	Appointment letter from the agencies	Assumption: Key stakeholders willing to nominate their champions  Risks: Staff rotation	1.1.1.1	Establish comprehensive understanding of current institutional awareness on WEF Nexus-based climate change adaptation	-Project Manager - Component Leader Adaptation Policy
								1.1.1.2	Develop a detailed awareness programme on WEF Nexus-based Climate Change Adaptation	-Project Manager - Component Leader Adaptation Policy
								1.1.1.3	Workshop on climate change adaptation - WEF approach	-Project Manager - Component Leader Adaptation Policy
								1.1.1.4	Awareness Video/Photo/Poster competition among relevant district-level stakeholders	-Project Manager - Component

									and for public on WEF Nexus-based Climate Change Adaptation	Leader Adaptation Policy
Output 1.1.2. A district-level working group for climate change adaptation established under the district multistakeholder forum	Number of district-level working group for climate change adaptation established for spearheading climate change adaptation efforts in the district (of which women), disaggregated by sex and age	0	1 district-level working group for climate change adaptation established and operating	45	10	Activity report, documentation, Team development decision letter (Decree for the team formation or Letter of Assignment)	Assumption: Head of District supports the establishment of working group under the district multistakeholder forum  Risks: SK for working group not issued/takes time to be issued	1.1.2.1	Brainstorming on the idea of working group establishment (role, function, workplan of draft working group)	-Project Manager - Component Leader Adaptation Policy
								1.1.2.2	Drafting decision letter (SK) of Working Group	-Project Manager - Component Leader Adaptation Policy
								1.1.2.3	Launching working group (discuss and agree on working group work plan)	-Project Manager - Component Leader Adaptation Policy
Output 1.1.3. Climate change vulnerability assessment using district level data, indicators, and climate modeling based on WEF nexus approach conducted	Availability of vulnerability assessment report on climate change adaptation	0	1 district-wide climate change vulnerability assessment report	-	-	Copy of assessment report	Assumption: There is a high interest from the Sigi District Government to understand the critical vulnerability of the district against climate change  Risk: Limited information (inputs) for the management instrument (database management)	1.1.3.1	Climate change vulnerability assessment through secondary data and FGD	-Project Manager - Component Leader Adaptation Policy
								1.1.3.2	Develop climate change vulnerability assessment report	-Project Manager - Component Leader Adaptation Policy
Output 1.1.4. Tailored technical capacity building on climate change adaptation for relevant stakeholders facilitated	Number of representatives of relevant stakeholders participating in technical capacity building (of which women), disaggregated by sex and age	0	50 representatives	50	10	Modules, documentation, training certificate	Assumption: Willingness to participate is high  Risks: Number of representatives from relevant stakeholders is low	1.1.4.1	Conducting need Assessment on Climate Change Adaptation Preparedness	-Project Manager - Component Leader Adaptation Policy
								1.1.4.2	Develop a detailed training program and set of modules of technical training, including women and vulnerable groups	-Project Manager - Component Leader Adaptation Policy

								1.1.4.3	Workshop and technical training on climate change adaptation, including women and vulnerable groups	-Project Manager - Component Leader Adaptation Policy
								1.1.4.4	Technical assistance and facilitation for background study for Climate Change Adaptation Action Plan (RAD-API)	-Project Manager - Component Leader Adaptation Policy
Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized	Number of users (OPD, farmers, university, public) (of which women), disaggregated by sex and age	Non-existing	5 OPD, 5 Farmers group, 5 Universities, 10 Senior High School	75	40	Management instrument engagement statistic report (website analytics report)	Assumption: There is a need for OPD, farmers, university and public to have management instruments  Risk: 1. Limited information (inputs) for the management instrument (database management) 2. Limited internet access	-		-Project Manager - Component Leader Adaptation Policy
Output 1.2.1. Need assessment analysis of effective management instrument conducted	Availability of need assessment report on management instrument	0	1 Assessment report	-	-	Assessment document	Assumption: There is a high demand on management instrument to help in making-decision  Risk: Limited information (inputs) for the management instrument (database management)	1.2.1.1	Initial assessment with interview and desk-analysis	-Project Manager - Component Leader Adaptation Policy
								1.2.1.2	Consultation with Focus group discussions on management instruments with multi-stakeholders platform	-Project Manager - Component Leader Adaptation Policy
Output 1.2.2. Climate change adaptation management instrument developed	Number of climate change adaptation management instruments (of which women), disaggregated by sex and age	0	3 management instruments	75	40	One stop web portal	Assumption: There is a high demand on management instrument to help in making-decision  Risk: Limited information (inputs) for the	1.2.2.1	Design management instrument	-Project Manager - Component Leader Adaptation Policy
								1.2.2.2	Development of management instruments	-Project Manager -

							management instrument (database management)			Component Leader Adaptation Policy
								1.2.2.3	User trial test of management instruments	-Project Manager - Component Leader Adaptation Policy
								1.2.2.4	Dissemination & training of management instrument	-Project Manager - Component Leader Adaptation Policy
								1.2.2.5	Climate awareness goes to schools (trainings)	-Project Manager - Component Leader Adaptation Policy
Output 1.2.3. Target villages facilitated to prepare PROKLIM registration	Number of villages registered under PROKLIM	0	up to 100 climate communities	-	-	PROKLIM registration documents, reports from facilitators	Assumption: Community and local authorities are willing to participate and cooperate  Risk: Lack of awareness or understanding about PROKLIM; potential resistance from local authorities or communities	1.2.3.1	Workshop, training, and socialization PROKLIM at village level	-Project Manager - Component Leader Adaptation Policy
								1.2.3.2	PROKLIM registry assistance with enumerators	-Project Manager - Component Leader Adaptation Policy
Objective 2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan	-Number and type of development sector services modified to respond to new conditions resulting from climate variability and change (of which women), disaggregated by sex and age  -Percentage of local community	-0 -0	-3 development sector services modified to respond to new conditions resulting from climate variability and change -10% of local community reporting increased income and productivity	1130	385	Activity reports, sectoral planning documents	Assumption: Local community and service providers willing to adopt WEF Nexus approach  Risk: Climate shocks may reduce productivity despite interventions	-		-Project Manager - Component Leader WEF Nexus

	reporting increased income and productivity (of which women), disaggregated by sex and age									
Outcome 2.1. Participatory WEF nexus-based adaptation action plan developed & applied at village level	Number of villages developing WEF nexus based adaptation action plans (of which women), disaggregated by sex and age	0	6 villages	1010	325	WEF Nexus-based Adaptation Action plan, report, feedback	Assumption: There is a high demand on from village to develop WEF Nexus-based Local Adaptation Plan  Risk: Limited data & information (inputs) to develop action plan	-	-Project Manager - Component Leader WEF Nexus	
Output 2.1.1. Village level climate change risks and vulnerability assessment conducted	Availability of village level climate change risks and vulnerability assessment	0	6 assessment reports	200	80	MoM of FGDs, assessment reports	Assumption: There is a high demand on from village to develop WEF Nexus-based Local Adaptation Plan  Risk: Limited data & information (inputs) to develop action plan	2.1.1.1	Focus group discussions (preparedness and awareness on climate change risk and vulnerability assessment)	-Project Manager - Component Leader WEF Nexus
								2.1.1.2	Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups	-Project Manager - Component Leader WEF Nexus
								2.1.1.3	Disseminate result of rapid assessment to all village stakeholders	-Project Manager - Component Leader WEF Nexus
Output 2.1.2. WEF nexus-based adaptation options identified by target group (vulnerable groups, farmers, village government staffs)	Number adaptation options identified (of which women), disaggregated by sex and age	0	2 options on adaptive water management	180	110	FGDs reports IEC (information, education, and communication) materials of options	Assumption: There is a high demand on adaptation options from village Village already has adaptation options (local wisdom)  Risk: Adaptation options are not suitable for village context	2.1.2.1	Develop pre-material on adaptation options	-Project Manager - Component Leader WEF Nexus
			2 options on adaptive food/agriculture management					2.1.2.2	Facilitate FGDs on adaptation options	-Project Manager - Component Leader WEF Nexus
			2 options on adaptive renewable energy management					2.1.2.3	Develop IEC materials of identified adaption options (WEF Nexus)	-Project Manager - Component

										Leader WEF Nexus
								2.1.2.4	Strategic review and reflection within stakeholders	-Project Manager - Component Leader WEF Nexus
Output 2.1.3. Village WEF nexus-based adaptation action plan developed	Number of action plan	0	6 village action plan	100	50	Action plans and Report	Assumption: There is a high demand on from village to develop WEF Nexus-based Local Adaptation Plan  Risk: Limited data & information (inputs) to develop action plan	2.1.3.1	Develop action plan	-Project Manager - Component Leader WEF Nexus
								2.1.3.2	Support village to propose identified actions to be financed by village fund and by Adaptation Fund	-Project Manager - Component Leader WEF Nexus
								2.1.3.3	Workshop on village climate adaptation plan	-Project Manager - Component Leader WEF Nexus
Output 2.1.4. Village-based adaptive water management and physical infrastructure developed	Number of household with improved resiliency against flood and improved resiliency on safe access to water and sanitation during drought/dry season (of which women), disaggregated by sex and age	0	150 household with improved resilience against floods or drought	530	85	Construction reports, field survey reports	Assumption: Village community and village staff willing to contribute and involved in the construction as part of the on-the-job-training for capacity building of the community  Risk: 1. Community reluctant to be involved 2. Safety for community and all people involved in physical construction	2.1.4.1	Strengthen water adaptive management village task force	-Project Manager - Component Leader WEF Nexus
								2.1.4.2	WASH household-based e-Survey	-Project Manager - Component Leader WEF Nexus
								2.1.4.3	Conduct public consultation and develop adaptive water management plan	-Project Manager - Component Leader WEF Nexus
								2.1.4.4	Evidence-based planning and budgeting for village WASH program	-Project Manager - Component Leader WEF Nexus

								2.1.4.5	Physical construction of adaptive water infrastructure (NbS knock-down levee along the river 500 meter)	-Project Manager - Component Leader WEF Nexus
								2.1.4.6	Physical construction of adaptive water infrastructure (ponds)	-Project Manager - Component Leader WEF Nexus
								2.1.4.7	Physical construction of adaptive water infrastructure (mini nature-based water treatment plant & distribution pipe)	-Project Manager - Component Leader WEF Nexus
								2.1.4.8	Physical construction of adaptive water infrastructure (household water and sanitation facility)	-Project Manager - Component Leader WEF Nexus
Output 2.1.5. Village-based adaptive agriculture management and physical infrastructure developed	-Number of plantation areas with improved resiliency against flood -Number of plantation areas with sustainable irrigation during drought/long dry season	-0 -0	-40 hectares plantation areas (flood) -10 hectares plantation areas (drought)	-	-	Construction reports, field survey reports	Assumption: Village community and village staff willing to contribute and involved in the construction as part of the on-the-job-training for capacity building of the community  Risk: 1. Community reluctant to be involved 2. Safety for community and all people involved in physical construction	2.1.5.1	Strengthen adaptive Agriculture management village task force (Kelompok Tani)	-Project Manager - Component Leader WEF Nexus
								2.1.5.2	Survey and identify of flood prone on agriculture areas (physical) and cultural heritage (social)	-Project Manager - Component Leader WEF Nexus
								2.1.5.3	Develop activity plan for adaptive agriculture management & physical infrastructure	-Project Manager - Component Leader WEF Nexus
								2.1.5.4	Build demonstration plot nursery to produce seedlings for the establishment of plantations	-Project Manager - Component Leader WEF Nexus
								2.1.5.5	Improvement/construction of agriculture irrigation/drainage system with implemented safety protocols	-Project Manager - Component Leader WEF Nexus

								2.1.5.6	Biogeographic assessment for natural food plain development and restoration	-Project Manager - Component Leader WEF Nexus
								2.1.5.7	Retention well construction in flood prone areas of plantations while safeguarding local wisdom/value	-Project Manager - Component Leader WEF Nexus
Output 2.1.6. Village-based adaptive renewable energy management implemented	Number of climate information system that rely on renewable energy system	0	1 climate information system that rely on renewable energy system			Module documentation, installation reports, user feedback, attendance records, certificates	Assumption: Continued support from local authorities and stakeholders  Risk: 1. Community reluctance to participate 2. Unforeseen logistical and environmental challenges affecting project implementation	2.1.6.1	Development module of efficiency and energy management	-Project Manager - Component Leader WEF Nexus
								2.1.6.2	Solar PV installment for climate impact information	-Project Manager - Component Leader WEF Nexus
								2.1.6.3	Climate IoT tools and software development for supporting sustainable agriculture	-Project Manager - Component Leader WEF Nexus
								2.1.6.4	Improving internet access for climate resilience information	-Project Manager - Component Leader WEF Nexus
								2.1.6.5	Capacity building to build the technical skills related to the installation and use of solar PV systems and climate IoT tools	-Project Manager - Component Leader WEF Nexus
								2.1.6.6	Conducting FGD for Community-driven climate resilience information sharing	-Project Manager - Component Leader WEF Nexus
								2.1.6.7	Conducting for Workshop Community awareness on energy efficiency and management	-Project Manager - Component Leader WEF Nexus

Outcome 2.2. Increased economic, social, livelihood, resilience in the local community	Number of farmers with increased income due to project intervention (of which women), disaggregated by sex and age	0	120 farmers	120	60	Assessment reports, activity reports, documentation	Assumption: There is a high willingness from farmers to revisit the business model of their commodities and its derivative products  Risk: Farmers reluctant to develop derivatives products	-	-Project Manager - Component Leader WEF Nexus	
Output 2.2.1. Supply chain mapping of leading commodities and commodities development plan designed	Availability of supply chain and market demand on leading commodities and derivative products	Non-existing	1 Supply chain and market demand analysis for leading commodities, derivative products, non forestry timber products is available			Analysis report, sustainable commodities development plan	Assumption: High demand on the leading commodities and derivative products  Risk: Low demand on the leading commodities and derivative products	2.2.1.1	Conduct supply chain analysis on leading commodities	-Project Manager - Component Leader WEF Nexus
								2.2.1.2	Conduct market demand and distribution analysis on leading commodities and derivative products	-Project Manager - Component Leader WEF Nexus
								2.2.1.3	Develop sustainable commodities development plan	-Project Manager - Component Leader WEF Nexus
Output 2.2.2. Options to improve leading commodities value through its derivative products identified and implemented by farmers	-Number of options to improve commodities values -Number of derivatives products with higher value to be added as additional products of farmers -Availability of analysis of efficient commodities distribution	Non-existing	1 document about options to improve commodities value			Activity report, Documentation	Assumption: High demand on the leading commodities and derivative products  Risk: Distribution of the leading commodities and derivative products might still be too expensive	2.2.2.1	Identification of preferable derivative products to be further developed to increase income of farmers	-Project Manager - Component Leader WEF Nexus
			1 document about derivatives products  1 analysis of efficient commodities distribution					2.2.2.2	Conduct identified derivative products distribution analysis to ensure product reaching the right market at the right time (including identifying buyers)	-Project Manager - Component Leader WEF Nexus
Output 2.2.3. Technical capacity and tools/machinery for farmers to produce value-	-Number of farmers with technical capacity to produce value-added products (of which	Non-existing	60 farmers with technical capacity to produce derivative products	120	60	Activity report, Documentation	Assumption: High demand on the leading commodities and derivative products	2.2.3.1	Training of trainers for farmers on value-added commodities production	-Project Manager - Component Leader WEF Nexus

added products developed and strengthened	women), disaggregated by sex and age -Number of farmers with access to tools/machineries to produce derivative products (of which women), disaggregated by sex and age -Availability of tools/machinery to produce derivative products		60 farmers with access to tools/machinery to produce derivative products  1 tools/machinery to produce derivative products available				Risk: Tools/machinery is not easy to operate	2.2.3.2	Developing appropriate Processing Tools/Machinery/Technology for the farmers (to be granted to Village enterprise/BUMDes)	-Project Manager - Component Leader WEF Nexus
								2.2.3.3	Training for village enterprises to develop business model e.g. market, distribution, and Return of Investment (RoI)	-Project Manager - Component Leader WEF Nexus
								2.2.3.4	Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)	-Project Manager - Component Leader WEF Nexus
Objective 3. Center of excellence of climate change adaptation at district level	-Number of IEC materials developed and used for community awareness on climate adaptation -Number of knowledge products and tools shared with local stakeholders for replication (of which women), disaggregated by sex and age	-0 -0	-3 IEC materials developed and disseminated -3 knowledge products/tools shared with stakeholders	198	88	IEC materials, knowledge product repository, dissemination reports	Assumption: Local stakeholders willing to replicate tools and knowledge  Risk: Limited uptake or technical capacity of local institutions	-		-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process	Availability of guideline for replication (of which women), disaggregated by sex and age	Non-existing	1 guideline for project replication	98	40	Guideline report, Documentation	Assumption: Guideline report are easily accessible through online  Risk: Online access to guideline report is limited	-		-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
Output 3.1.1. IEC materials and tools design based on local context developed	Availability of IEC materials and tools design based on local context (of which women),	Non-existing	1 IEC material and tool design based on local context developed	98	40	Activity report, documentation	Assumption: Local experts to provide insights on the local context are available  Risk:	3.1.1.1	Identify local context on adaptation efforts for designing IEC materials and tools	-Project Manager - Component Leader Center of Excellent of

	disaggregated by sex and age						Local context wisdom/value is not supporting climate change adaptation principle			Climate Change Adaptation
								3.1.1.2	Developing IEC materials and tools design based on local context and lessons learned from the project	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.1.1.3	Public consultation on the IEC materials and tools	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.1.1.4	Finalization of IEC materials and tools design based on local context	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.1.1.5	Development of project lessons learned	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.1.1.6	Develop communication strategy	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation

								3.1.1.7	Create short documentary about community-based climate adaptation	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.1.1.8	Dissemination of communication product (short documentary)	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
Outcome 3.2. Disseminated knowledge lesson learned and best practices for further replication by District	Availability of knowledge, lessons learned and best practices of the adaptation projects that is accessible by publics (of which women), disaggregated by sex and age	Non-existing	1 Knowledge, lessons learned and best practices of the adaptation projects that is accessible by publics is available	100	48	Activity report, Documentation	Assumption: Centre of excellence digital platform is preferred as effective and efficient tools for dissemination to wider stakeholders  Risk: Dissemination efforts frequency is limited Other adaptation projects knowledge, lessons learned and best practices are not submitted to the same centre of excellence platform	-		-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
Output 3.2.1 Enhanced knowledge, lessons learned, and best practices through the Center of Excellence digital platform.	Availability of centre of excellence on climate change adaptation in Sigi District (of which women), disaggregated by sex and age	Non-existing	1 Center of Excellence digital platform available and launched	100	48	Activity report, Documentation	Assumption: Centre of excellence digital platform is preferred as effective and efficient tools for dissemination to wider stakeholders  Risk: Dissemination efforts frequency is limited Other adaptation projects knowledge, lessons learned and best practices are	3.2.1.1	Design centre of excellence digital platform	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.2.1.2	User trial test of the centre of excellence digital platform	-Project Manager - Component Leader Center of

							not submitted to the same centre of excellence platform			Excellent of Climate Change Adaptation
								3.2.1.3	Sub national policy dialogue for identification learning and sharing climate adaptation action plan (district and province)	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation
								3.2.1.4	Launching of Sigi District Climate Change Adaptation Centre of Excellence (back-to-back with Closing Ceremony of the Projects)	-Project Manager - Component Leader Center of Excellent of Climate Change Adaptation

171. For AF Core Impact Indicator: Number of Beneficiaries, the project's direct beneficiaries comprise 552 women and 125 youth. For the AF Core Impact Indicator: Natural Assets Protected or Rehabilitated, the relevant natural assets consist of two plantation areas (flood and drought resilience) with a total area of 50 hectares.

Table 21. AF Core Impact Indicator: Number of Beneficiaries

Adaptation Fund Core Impact Indicator "Number of Beneficiaries"				
	Baseline	Target at project approval	Adjusted target first year of implementation	Actual at completion
<i>Direct beneficiaries supported by the project</i>				
Female direct beneficiaries	0	552		
Youth direct beneficiaries	0	125		
<i>Indirect beneficiaries supported by the project</i>				
Female indirect beneficiaries	0	4,973		
Youth indirect beneficiaries	0	450		

Table 22. AF Core Impact Indicator: Natural Assets Protected or Rehabilitated

Adaptation Fund Core Impact Indicator "Natural Assets Protected or Rehabilitated"				
	Baseline	Target at project approval	Adjusted target first year of implementation	Actual at completion
<b>Natural Asset or Ecosystem</b> <i>(type)</i>	0	-Plantation areas (flood-resilient) -Plantation areas (sustainable irrigation during drought)		
<b>Change in state</b> <i>Ha or km Protected/rehabilitated, or Effectiveness of protection/rehabilitation - Scale (1-5)</i>	0	-40 ha -10 ha		
<b>Total number of natural assets or ecosystems protected/rehabilitated</b>	0	-2 plantation areas (flood & drought) -50 ha		

#### F. Project/Programme Aligns With The Results Framework of The Adaptation Fund

172. The project objectives are aligned with the Adaptation Fund Results Framework through several key outcomes. The project aims to increased resiliency of communities (especially vulnerable groups and farmers) through

effective and direct adaptation against climate-related disaster (floods and droughts), which corresponds to the following outcomes of the Adaptation Fund Results Framework: strengthened institutional capacity (Outcome 2), increased adaptive capacity (Outcome 4), diversified and strengthened livelihoods and sources of income (Outcome 6), and strengthened awareness and ownership of adaptation and climate risk reduction processes (Outcome 3).

Table 23. Project Objective Aligns With The Results Framework of The Adaptation Fund

Project Objective(s)	Project Objective Indicator(s)	Fund Outcome	Fund Outcome Indicator	Grant Amount (USD)
<b>Objective 1.</b> Strengthened enabling environment to support adaptation policy implementation in Sigi District	Number of targeted institutions with increased capacity to minimize exposure to climate variability risks	Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses	2.1. Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased	278,583
<b>Objective 2.</b> WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan	Number and type of development sector services modified to respond to new conditions resulting from climate variability and change	Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets	4.1. Responsiveness of development sector services to evolving needs from changing and variable climate	448,360
	Percentage of local community reporting increased income and productivity	Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas	6.1 Percentage of households and communities having more secure access to livelihood assets	
<b>Objective 3.</b> Center of excellence of climate change adaptation at district level	Number of IEC materials developed and used for community awareness on climate adaptation	Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	3.1. Percentage of targeted population aware of predicted adverse impacts of climate change, and of appropriate responses	106,216
	Number of knowledge products and tools shared with local stakeholders for replication		3.2. Percentage of targeted population applying appropriate adaptation responses	
Project Outcome(s)	Project Outcome Indicator(s)	Fund Output	Fund Output Indicator	Grant Amount (USD)
Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation	Number of district-level stakeholders trained on WEF nexus-based climate change adaptation	Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events	2.1.2 No. of targeted institutions with increased capacity to minimize exposure to climate variability risks (by type, sector and scale)	230,909
Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized	Number of users (OPD, farmers, university, public) disaggregated by sex	Output 2.1: Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events	2.1.1. No. of staff trained to respond to, and mitigate impacts of, climate-related events (by gender)	47,674
Outcome 2.1. Participatory WEF nexus-based adaptation	Number of villages developing WEF nexus based adaptation	Output 4: Vulnerable development sector services	4.1.1. No. and type of development sector services	381,193

action plan developed & applied at village level	action plans	and infrastructure assets strengthened in response to climate change impacts, including variability	modified to respond to new conditions resulting from climate variability and change (by sector and scale)	
Outcome 2.2. Increased economic, social, livelihood, resilience in the local community	Number of farmers with increased income due to project intervention disaggregated by sex	Output 6: Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability	6.1.1. No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies	67,167
Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process	Availability of IEC materials and tools	Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities	3.1.1 No. of news outlets in the local press and media that have covered the topic	82,831
Outcome 3.2. Disseminated knowledge lesson learned and best practices for further replication by District	Availability of knowledge, lessons learned and best practices of the adaptation projects that is accessible by publics	Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning	3.2.2 No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders	23,385

### G. Detailed Budget

173. The project has a total budget allocation of \$833,159. The budget is divided among three main components. Component 1 Strengthened enabling environment to support Adaptation policy implementation in Sigi District has a budget of \$278,583. This includes enhancing institutional capacity and developing management instruments. Component 2 WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan has a budget of \$448,360, focusing on developing and applying adaptation action plans at the village level. Lastly, Component 3 Center of excellence of climate change adaptation at district level is allocated \$106,216 for creating a center of excellence and disseminating knowledge and best practices.

Table 24. Detailed Budget

Outcome/Output	Activity		Budget (US\$)	Total Budget (US\$)
Component 1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District				278,583
Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation				230,909
Output 1.1.1. Awareness and understanding of key stakeholders at district level on climate change adaptation based on WEF nexus strengthened	1.1.1.1	Establish comprehensive understanding of current institutional awareness on WEF Nexus-based climate change adaptation	26,745	44,787
	1.1.1.2	Develop a detailed awareness programme on WEF Nexus-based Climate Change Adaptation	4,400	
	1.1.1.3	Workshop on climate change adaptation - WEF	7,610	

		approach		
	1.1.1.4	Awareness Video/Photo/Poster competition among relevant district-level stakeholders and for public on WEF Nexus-based Climate Change Adaptation	6,032	
Output 1.1.2. A district-level working group for climate change adaptation established under the district multistakeholder forum	1.1.2.1	Brainstorming on the idea of working group establishment (role, function, workplan of draft working group)	7,650	10,882
	1.1.2.2	Drafting decision letter (SK) of Working Group	1,616	
	1.1.2.3	Launching working group (discuss and agree on working group work plan)	1,616	
Output 1.1.3. Climate change vulnerability assessment using district level data, indicators, and climate modeling based on WEF nexus approach conducted	1.1.3.1	Climate change vulnerability assessment through secondary data and FGD	2,949	4,782
	1.1.3.2	Develop climate change vulnerability assessment report	1,833	
Output 1.1.4. Tailored technical capacity building on climate change adaptation for relevant stakeholders facilitated	1.1.4.1	Conducting need Assessment on Climate Change Adaptation Preparedness	3,476	170,458
	1.1.4.2	Develop a detailed training program and set of modules of technical training, including women and vulnerable groups	16,932	
	1.1.4.3	Workshop and technical training on climate change adaptation, including women and vulnerable groups	47,913	
	1.1.4.4	Technical assistance and facilitation for background study for Climate Change Adaptation Action Plan (RAD-API)	102,137	
Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized				47,674
Output 1.2.1. Need assessment analysis of effective management instrument conducted	1.2.1.1	Initial assessment with interview and desk-analysis	2,233	3,949
	1.2.1.2	Consultation with Focus group discussions on management instruments with multi-stakeholders platform	1,716	
Output 1.2.2. Climate change adaptation management instrument developed	1.2.2.1	Design management instrument	10,284	22,843
	1.2.2.2	Development of management instruments	1,716	
	1.2.2.3	User trial test of management instruments	900	
	1.2.2.4	Dissemination & training of management instrument	1,783	
	1.2.2.5	Climate awareness goes to schools (trainings)	8,160	
Output 1.2.3. Target villages facilitated to prepare PROKLIM registration	1.2.3.1	Workshop, training, and socialization PROKLIM at village level	10,301	20,882
	1.2.3.2	PROKLIM registry assistance with enumerators	10,581	
Outcome/Output	Activity	Budget (US\$)	Total Budget (US\$)	
Component 2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan				448,360
Outcome 2.1. Participatory WEF nexus-based adaptation action plan developed				381,193

& applied at village level				
Output 2.1.1. Village level climate change risks and vulnerability assessment conducted	2.1.1.1	Focus group discussions (preparedness and awareness on climate change risk and vulnerability assessment)	5,519	20,852
	2.1.1.2	Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups	11,200	
	2.1.1.3	Disseminate result of rapid assessment to all village stakeholders	4,133	
Output 2.1.2. WEF nexus-based adaptation options indentified by target group (vulnerable groups, farmers, village government staffs)	2.1.2.1	Develop pre-material on adaptation options	8,568	40,062
	2.1.2.2	Facilitate FGDs on adaptation options	6,814	
	2.1.2.3	Develop IEC materials of identified adaptation options (WEF Nexus)	6,567	
	2.1.2.4	Strategic review and reflection within stakeholders	18,113	
Output 2.1.3. Village WEF nexus-based adaptation action plan developed	2.1.3.1	Develop action plan	16,000	42,033
	2.1.3.2	Support village to propose identified actions to be financed by village fund and by Adaptation Fund	22,000	
	2.1.3.3	Workshop on village climate adaptation plan	4,033	
Output 2.1.4. Village-based adaptive water management and physical infrastructure developed	2.1.4.1	Strengthen water adaptive management village task force	10,320	121,860
	2.1.4.2	WASH household-based e-Survey	20,310	
	2.1.4.3	Conduct public consultation and develop adaptive water management plan	19,295	
	2.1.4.4	Evidence-based planning and budgeting for village WASH program	4,360	
	2.1.4.5	Physical construction of adaptive water infrastructure (NbS knock-down levee along the river 500 meter)	29,031	
	2.1.4.6	Physical construction of adaptive water infrastructure (ponds)	14,170	
	2.1.4.7	Physical construction of adaptive water infrastructure (mini nature-based water treatment plant & distribution pipe)	10,453	
	2.1.4.8	Physical construction of adaptive water infrastructure (household water and sanitation facility)	13,921	
Output 2.1.5. Village-based adaptive agriculture management and physical infrastructure developed	2.1.5.1	Strengthen adaptive Agriculture management village task force (Kelompok Tani)	9,572	115,020
	2.1.5.2	Survey and identify of flood prone on agriculture areas (physical) and cultural heritage (social)	19,494	
	2.1.5.3	Develop activity plan for adaptive agriculture management & physical infrastructure	1,227	
	2.1.5.4	Build demonstration plot nursery to produce seedlings for the establishment of plantations	31,927	
	2.1.5.5	Improvement/construction of agriculture irrigation/drainage system with implemented safety protocols	17,400	
	2.1.5.6	Biogeographic assessment for natural food plain development and restoration	20,000	

	2.1.5.7	Retention well construction in flood prone areas of plantations while safeguarding local wisdom/value	15,400	
Output 2.1.6. Village-based adaptive renewable energy management implemented	2.1.6.1	Development module of efficiency and energy management	1,667	41,366
	2.1.6.2	Solar PV installment for climate impact information	6,667	
	2.1.6.3	Climate IoT tools and software development for supporting sustainable agriculture	15,000	
	2.1.6.4	Improving internet access for climate resilience information	4,986	
	2.1.6.5	Capacity building to build the technical skills related to the installation and use of solar PV systems and climate IoT tools	4,793	
	2.1.6.6	Conducting FGD for Community-driven climate resilience information sharing	3,460	
	2.1.6.7	Conducting for Workshop Community awareness on energy efficiency and management	4,793	
Outcome 2.2. Increased economic, social, livelihood, resilience in the local community				67,167
Output 2.2.1. Supply chain mapping of leading commodities and commodities development plan designed	2.2.1.1	Conduct supply chain analysis on leading commodities	7,180	11,185
	2.2.1.2	Conduct market demand and distribution analysis on leading commodities and derivative products	2,194	
	2.2.1.3	Develop sustainable commodities development plan	1,811	
Output 2.2.2. Options to improve leading commodities value through its derivative products identified and implemented by farmers	2.2.2.1	Identification of preferable derivative products to be further developed to increase income of farmers	3,984	5,792
	2.2.2.2	Conduct identified derivative products distribution analysis to ensure product reaching the right market at the right time (including identifying buyers)	1,808	
Output 2.2.3. Technical capacity and tools/machinery for farmers to produce value-added products developed and strengthened	2.2.3.1	Training of trainers for farmers on value-added commodities production	9,196	50,190
	2.2.3.2	Developing appropriate Processing Tools/Machinery/Technology for the farmers (to be granted to Village enterprise/BUMDes)	36,666	
	2.2.3.3	Training for village enterprises to develop business model e.g. market, distribution, and Return of Investment (RoI)	3,345	
	2.2.3.4	Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)	983	
Outcome/Output	Activity	Budget (US\$)	Total Budget (US\$)	
Component 3. Center of excellence of climate change adaptation at district level				106,216
Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process				82,831

Output 3.1.1. IEC materials and tools design based on local context developed	3.1.1.1	Identify local context on adaptation efforts for designing IEC materials and tools	2,986	82,831
	3.1.1.2	Developing IEC materials and tools design based on local context and lessons learned from the project	5,585	
	3.1.1.3	Public consultation on the IEC materials and tools	8,545	
	3.1.1.4	Finalization of IEC materials and tools design based on local context	6,431	
	3.1.1.5	Development of project lessons learned	20,008	
	3.1.1.6	Develop communication strategy	3,333	
	3.1.1.7	Create short documentary about community-based climate adaptation	12,000	
	3.1.1.8	Dissemination of communication product (short documentary)	23,943	
Outcome 3.2. Disseminated knowledge lesson learned and best practices for further replication by District				23,385
Output 3.2.1 Enhanced knowledge, lessons learned, and best practices through the Center of Excellence digital platform	3.2.1.1	Design centre of excellence digital platform	14,145	23,385
	3.2.1.2	User trial test of the centre of excellence digital platform	4,454	
	3.2.1.3	Sub national policy dialogue for identification learning and sharing climate adaptation action plan (district and province)	2,027	
	3.2.1.4	Launching of Sigi District Climate Change Adaptation Centre of Excellence (back-to-back with Closing Ceremony of the Projects)	2,759	
<b>Project Activities Cost [IE] (A)</b>				<b>833,159</b>
<b>Project Execution Cost: 9.5% (B)</b>				<b>87,457</b>
Project Manager		24 months		40,000
Finance Manager		24 months		24,000
M&E Specialist		24 months		12,800
Office supplies and utilities		24 months		3,200
Local Admin Staff		24 months		4,800
External Audit (partial)		<b>External Audit (Partial)</b>		2,657
<b>Implementing Entity Fee: 8.5% (C)</b>				<b>78,252</b>
Project identification and Development		This activity is scheduled to be conducted during the initial three months of the project		3,913
Project Implementation and Supervision		This activity is scheduled to be conducted on monthly basis for the duration of the project, including Travels, dan meetings		28,308
Monitoring and Evaluation		Baseline Survey \$7,500, Mid Term Report \$12,193 (the budget consists of Monthly review, Quarterly report, Annual Report), Terminal Evaluation \$26,338 (the budget consists of Final project review, project completion summary, independent evaluator,		46,031

		supervision, external audit partial)		
Total Project Cost (A+B+C)				998,868

**H. Disbursement Schedule**

174. The scheduled dates mark critical phases of the project: November 2025 for initiation and November 2026 for annual report. The project funds comprise \$998,868 which includes program cost, project execution cost, and Implementing Entity fee.

Table 25. Disbursement Schedule

	Upon signature of Agreement	One Year after Project Start	Total
Scheduled date	November 2025	November 2026	
Project Funds	493,997	426,619	920,616
Implementing Entity Fees	40,039	38,213	78,252
Total	534,036	464,832	998,868



<b>Johansyah Halman, ST</b> <b>Secretary of Sigi District's Disaster Management Agency</b> <b>(Implementing Department)</b>	Date: July 6, 2022
---	--------------------

**B. Implementing Entity certification<sup>45</sup>**

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (President Decree No. 16/2015; P.13/MENLHK/Setjen/OTL.0/1/2016; P.33/MENLHK/Setjen/Kum.1/3/2016; Indonesia Intended Nationally Determined Contribution/INDC; COP 21; Paris Agreement signed by Government of Indonesia; Book and Map of Information System of Vulnerability Index Data (SIDIK); Climate Change Adaptation National Action Plan) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

 Laode M Syarif Executive Director of Kemitraan Implementing Entity Coordinator	
Date: July 15, 2022	Tel. and email: +62-21-2278-0580 Laode.syarif@kemitraan.or.id
Project Contact Person: Eka Melisa	
Tel. And Email: +62-818-764-746	; <a href="mailto:eka.melisa@kemitraan.or.id">eka.melisa@kemitraan.or.id</a>

<sup>45</sup>Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities



**KEMENTERIAN LINGKUNGAN HIDUP DAN KEHUTANAN**  
**DIREKTORAT JENDERAL PENGENDALIAN PERUBAHAN IKLIM**

Alamat : Gedung Manggala Wanabakti, Blok VII Lantai 12, Jl. Gatot Subroto – Jakarta 10270  
Telp. : +62 21 5730144 Fax. : +62 21 5720194

Website : <http://ditjenppi.menlhk.go.id>

email : [tusetditppi@gmail.com](mailto:tusetditppi@gmail.com)

Nomor : S. 201/PP1/AP1/PP1.018/2022  
Sifat : Biasa  
Lampiran : 1 berkas  
Hal : **Dukungan untuk Proposal Inovasi AF dan Perubahan Implementasi Proyek di Pekalongan**

5 Agustus 2022

Yth. Direktur Eksekutif Kemitraan  
di -  
tempat

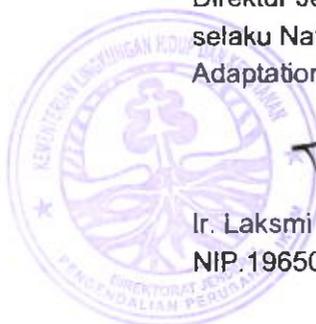
Merujuk surat Saudara nomor K344/SKB/SGS/Agu/2022 tanggal 1 Agustus 2022 Hal Permohonan Surat Dukungan untuk 10 Concept Note Terpilih Proyek Adaptation Fund Batch II, disampaikan beberapa hal sebagai berikut:

1. Pada dasarnya kami selaku *National Designated Authority* Adaptation Fund dapat menyetujui 10 concept note AF Batch II dengan catatan sebagai berikut:
  - Setiap concept note yang telah terseleksi harus mendukung pencapaian target ketahanan dalam NDC dan sejalan dengan Rencana Strategi Kementerian LHK 2020-2024, serta mempunyai target capaian kuantitatif agar terukur
  - Setiap *concept note* yang terseleksi agar diarahkan untuk mendukung pencapaian target 20.000 Program Kampung Iklim (Proklim) tahun 2024. Oleh karena itu, setiap *concept note* yang terseleksi dapat diberi target registrasi Proklim sebanyak 100 lokasi
2. Kriteria tersebut agar menjadi catatan pada saat pendetilan *concept note* menjadi proposal kegiatan
3. Terlampir disampaikan *endorsement letter* untuk 10 *concept note* proyek AF batch II.

Demikian disampaikan, atas perhatian dan kerjasamanya diucapkan terima kasih.

Direktur Jenderal  
selaku National Designated Authority  
Adaptation Fund Indonesia

Ir. Laksmi Dhevanthi, MA., IPU.  
NIP.196503231992032001





MINISTRY OF ENVIRONMENT AND FORESTRY  
DIRECTORATE GENERAL OF CLIMATE CHANGE

Manggala Wanabakti Building Block VII 12<sup>th</sup> Floor, Jalan Gatot Subroto – Senayan, Jakarta 10270  
Phone +62 21 5730144 Fax. : +62 21 5720194

Website : <http://ditjenppi.menlhk.go.id>

email : [tusetditppi@gmail.com](mailto:tusetditppi@gmail.com);

Our Ref. : *S. 282/PP1/ARI/PP1.0/8/2022*  
Attachments :  
Subject : **Letter of endorsement**

Jakarta, 5 August 2022

To:  
**The Adaptation Fund Board**  
c/o Global Environment Facility  
Mail stop: N 7-700  
1818 H Street NW  
Washington DC 20433, USA

Dear Board Member,

Directorate General of Climate Change Ministry of Environment and Forestry as the National Designated Authority of Adaptation Fund in Indonesia through *Kemitraan* – Partnership for Governance Reform as the National Implementing Entity, have received and appraised 37 incoming concept notes.

After a thorough assessment process of the incoming concept notes, we come to the decision that the following 10 (ten) concept notes from 10 (ten) different organizations have met and are in accordance with the national priorities in the implementation of adaptation programs and activities to increase adaptive capacity and to reduce the impact and risks of climate change in vulnerable regions in Indonesia:

1. Yapeka; *Ecosystem-based Adaptation to Support Climate Resilience in Coastal and Small Islands of Rote Ndao and Sabu Raijua Districts in the Savu Sea*
2. TLKM; *Sustainable Landscape Governance; Towards Climate Resilience of Community in Tempe Lake Ecosystem*
3. KAPASITAS; *Adaptation to climate change through integrated forest management and sericulture business to achieve ecosystem resilience to food security for the Lake Tempe Catchment Area Community*
4. Garis Biru; *Strengthening the Adaptive Capacity of Coastal Village Communities in Supporting Food Security as a Response to Climate Change Through Stakeholder Elaboration Actions in West Sulawesi Province*
5. Sajogyo Institute; *Collaboration for the Conservation of Cimandiri Watershed Landscapes through the Potential of Silvopasture and Community Agroforestry*
6. KOAKSI; *Building Climate Resilient District in Indonesia: Case of Sigi District*
7. KEMITRAAN; *Village Based Coastal Adaptation and Resilience in Lombok Province of West Nusa Tenggara*
8. HUMA; *Change Climate and Adaptation in the Buffer Area of the New National Capital*
9. Mitra Aksi; *Increasing the resilience of smallholders from climate impacts through Smart Agriculture based on Livelihood Diversification in Indonesia*
10. KUAT (KARSA); *Strengthening Community Adaptation toward Climate Change through ProKlim in Ecoregion Neck of Sulawesi Island*

With this consideration, and in my capacity as the National Designated Authority of Adaptation Fund in Indonesia, I recommend the above proposals be granted support from the Adaptation Fund Board. All those programs will be executed by each of the submitting entities under the supervision of *Kemitraan* – Partnership for Governance Reform.

Sincerely Yours,



**Laksmi Dhewanthi**  
Director General of Climate Change  
Ministry of Environment and Forestry  
as Indonesia Designated Authority of Adaptation Fund

Copy to:  
*Kemitraan* (Partnership Governance Reform in Indonesia)

## ANNEX 1

### Gender Analysis - Building Resilience District: Case of Sigi District

#### Project Background

Sigi District, based on the Index and Vulnerability Data Information System (Sistem Informasi Data Indeks Kerentanan/SIDIK), is an area that is quite vulnerable to the impacts of climate change. Based on SIDIK, 66% of the villages are considered to have a moderate vulnerability to climate change. Villages located further from the district capital in the Sigi Biromaru sub-district tend to have a higher vulnerability to climate change.

According to the head of Sigi District Agency for Disaster Management, almost all area of Sigi District is vulnerable to disaster, mainly flood and landslide. Based on the result of the rainfall analysis in the past 37 years, there is an increasing trend in the number of rainy days >50 mm/day (extreme) per year. This indicates that there is a threat of increasing rains with extreme intensity in the future, which would cause floods and landslides that could submerge houses and agricultural land, and damage other public infrastructure, such as roads, fresh water, and electricity.

Sigi District has developed its disaster risk assessment in 2020. The assessment has not covered many types of disasters and will need to be detailed down to be able to provide critical recommendations for mitigation and adaptation strategy. Mitigation and adaptation are the two strategies for addressing climate change. Mitigation is an intervention to reduce the emissions sources or enhance the sinks of greenhouse gasses. Adaptation is an adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities<sup>46</sup>.

As climate risks are increasing, the Sigi government should be aware of which risks can be mitigated and which risks are not possible and will need to be approached through an adaptation framework. In terms of adaptation, there are several basic elements as the basis of developing a comprehensive adaptation strategy, which are water and air. Due to the intensive climate variability occurrences in the region, the water cycle in many regions is changing drastically. These changes are impacting the catchment water balance, which further affects the irrigation regime, energy production through hydropower dams, distribution of goods and services through the river networks, and other economic and development activities. In addition, the increasing occurrences of floods and long periods of droughts would be more threatening to the livelihoods of local communities, and business and economic continuity in the region.

Gumbasa	Dolo Selatan
Floods	Floods
Cocoa, coconut, candlenut	Cocoa, coconut

<sup>46</sup>Bruno Locatelli, Climate Change and Forests in the Congo Basin: Synergies between Adaptation and Mitigation: <https://www.cifor.org/fileadmin/fileupload/cobam/ENGLISH-Definitions&ConceptualFramework.pdf>

Based on the context above, this proposal is focused on building a climate resilient district through water-energy-food nexus with Sigi District as the pilot.

### **Context and relevance**

Sigi District faces heightened vulnerability to extreme hydrometeorological events, particularly floods. Deforestation for agriculture and mining exacerbates this vulnerability. The significant 2018 earthquake, causing soil liquefaction, resulted in 289 fatalities, 116 missing persons, and 807 injuries. Thirteen out of 15 sub-districts experienced severe damage, affecting homes, schools, health facilities, roads, bridges, power grids, irrigation, and telecommunication networks. The Disaster Risk Study (2017-2021) indicated Sigi's overall low preparedness for extreme weather events and other disaster risks.

The earthquake and the COVID-19 pandemic (2020 – 2022) led to widespread unemployment in Sigi, prompting residents to seek work in sectors like mining. Many women became heads of households due to spousal loss, and numerous youths dropped out of school for uncertain jobs. The economic instability also contributed to a rapid increase in the number of persons with disabilities (PWDs). PWDs faced exacerbated challenges during the pandemic, with limited government support and difficulties accessing information. Main income sources for PWDs include subsistence farming, small-scale trading, construction, and sewing. Stigma and limited access to education hinder stable employment for PWDs.

In Sigi, women primarily work in agriculture or labour-intensive jobs. Financial dependence on the main breadwinner limits women's economic engagement. The absence of educational opportunities for women results in minimal participation in socio-economic development, with women often confined to meeting participation quotas. Inter-community conflicts, surprisingly given shared ethnic and religious backgrounds, stem from trivial personal disputes escalating into territorial identity conflicts. Historical records indicate 60 documented conflict incidents in Sigi in 2012, attributed to various factors such as historical settlements, intergenerational traditions, low demands, low employment participation, and misinformation distortion.

Sigi confronts a multifaceted array of challenges, encompassing natural disasters, economic instability, marginalization of vulnerable groups, and inter-community conflicts. Implementing the project requires a holistic, sustainable approach, integrating community empowerment, economic development, and conflict resolution strategies.

### **Assessment objectives**

The objective of gender assessment is to:

- a. identify gender differences and providing empirical evidence in the form of qualitative and quantitative data.
- b. analyse gender roles, activities, needs, and available opportunities and challenges or risks for men and women within the project context.

### **Field Survey**

Field survey was conducted in 13 villages (Pandere, Pakuli Utara, Simoro, Bakubakulu, Bobo, Bunga, Kurnia, Bangga, Sambo, Wisolo, Lewara, Dombu and Wayu). Based on the survey data, an initial gender assessment has been done to identify gender inequalities and the challenges and opportunities to improve gender equality.

### **Gender Assessment framework: The Social Relation Approach<sup>47</sup>**

The Social Relations Approach is a method of analysing existing gender inequalities in the distribution of resources, responsibilities, and power, and for designing policies and programs which enable women to be agents of their own development. The framework uses concepts rather than tools to concentrate on the relationships between people and their relationship to resources and activities - and how these are re-worked through 'institutions' such as the state or the market.

Social relations describe the structural relationships that create and reproduce systemic differences in the positioning of different groups of people: These relationships determine who we are, what our roles and responsibilities are, and what claims we can make; they determine our rights, and the control that we have over our own lives and those of others. Social relations produce cross-cutting inequalities, which ascribe everyone a position in the structure and hierarchy of their society. Gender relations are one type of social relation; others include those of class, race, ethnicity, and so on.

Institutional analysis is required: The underlying causes of gender inequality are not confined to the household and family but are reproduced across a range of institutions, including the international community, the state, and the marketplace. Institutions ensure the production, reinforcement, and reproduction of social relations and thereby create and perpetuate social difference and social inequality. It is useful to think of four key institutional realms - the state, the market, the community, and family.

Social relation approach uses these 5 elements to assist in gender assessment:

1. Rules (How things get done): Institutional behaviour is governed by rules, which may be official and written down. Ask: What is done? How is it done? By whom is it done? Who will benefit?
2. Activities (What is done?): Institutions do things; they try to achieve goals by following their own rules. These activities can be productive, distributive, or regulative. Ask: Who does what? Who gets what? Who can claim what?
3. Resources (What is used and produced?): Institutions also mobilize and distribute resources. These may be human resources (for example, labour, education, and skills), material ones (food, assets, land, or money), or intangible ones (information, political, clout, goodwill, or contacts).
4. People (Who is in, who is out, who does what?): Institutions deal with people and are selective about: who they allow in and whom they exclude; who is assigned various resources, tasks, and responsibilities; and who is positioned where in the hierarchy.
5. Power (Who decides, and whose interests are served?): Institutions embody relations of authority and control. Few institutions are egalitarian, even if they profess to be so. The unequal distribution of resources and responsibilities, together with the official and unofficial rules which promote and legitimize this distribution, ensures that some institutional actors have authority and control over others.

---

<sup>47</sup><https://www.equilo.io/gender-analysis-framework-social>

## Gender Assessment in Sigi and Pilot Villages

### Power relation, rules, resources and roles

In many villages in Sigi, the power relation between male and female is highly influenced by the local customary law. While in some villages, such as Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo, gender equality mainstreaming is taking place, the customary law still has high influence over several social aspects. Aspect such as early marriage, family inheritance, land tenure, economic decision within household, access to education and employment are among the most influenced aspects. In general, the male has more power over these aspects.

For example, a woman cannot determine the amount of dowry in a marriage as it must be decided by the head of the tribe or from the male's family<sup>48</sup>. Early marriage is still practiced caused by, among others, the decision of parents to be free of the economic burden and religious reasoning. Another example is the access to land tenure. Based on the survey in 12 villages, in average 30% of woman can own land, but not for their own benefits but rather for the benefit of the family or children. Public participation is also limited due to the general assumption that women cannot contribute much to the discussion. This assumption is built due to the generations of social practice where women are not encouraged to pursue higher education due to their embedded role as the caretaker of domestic matters.

With limited access to knowledge, embedded social role and the influence of the environment, the power relation between male and female in most of the villages, especially those villages that still in pure rural area, has been unequal. In principle, most of the time, women do not have the same access to education, resources, public meetings, land tenure and employment. In several villages such as Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo, women do not have the chance to assume leadership position and their voices are unheard of.

In the six villages of Sigi Regency—Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo—GEDSI issues reveal a strong influence of religion and cultural customs on defining social roles for men and women, particularly evident in Pandere, Pakuli Utara, and Simoro. In Bangga, Sambo, and Wisolo, cultural norms significantly limit women's roles in decision-making during traditional events.

### People with disabilities

There are not many people with disability (PWD) in the pilot village (only Dombu village has 3 PWD and Karunia village has none). Official data on people with disabilities and their livelihoods are limited in Sigi Regency and its sub-districts. Many people with disabilities do not even have regular employment. The main obstacles are social stigma and a lack of access to education and vocational training. This is not only due to physical access barriers. Multi-inequalities are often faced by women with disability or children with disability. Their disadvantages as women and children when coupled with disability has deprived them in severe way from basic access.

Inclusion of PWD needs to be started by raising the awareness of the community leaders that everyone has a voice that must be heard. Being part of vulnerable group should not limit the equal access to many aspects of social life. Access to employment, public participation, decision-making process, and so forth must be provided to the most needed. A change of mindset of the community leaders will be a great help to the change of the status quo. Working with local

---

<sup>48</sup><https://kemitraan.or.id/en/publication/talkhow-hari-ham-2023-perempuan-adat-dan-hak-kelola-tanah/>

organizations that has expertise on the inclusion of PWD will help the project to implement its GEDSI interventions in the most effective and efficient way.

#### **Women and environmental – socio – economic risks**

In Sigi Regency, women predominantly work in agriculture or as manual labourers. However, in most families, there is significant financial dependence on the primary breadwinner. With a lack of educational opportunities and programs to encourage women's involvement in the environmental – socio - economy, women, so far, play a minor role in the environmental-socio-economic development of their communities.

Active participation by women in the planning and decision-making processes in their village regarding the environmental and social issues is also lacking. Their involvement is mostly limited to meeting participation quotas in strategic forums and is not recognized as a fundamental element in achieving community development goals. Women's contribution simply not recognized due to embedded social assumption that women lack of understanding of the environmental-socio-economic issues. Consequently, political planning outcomes and decision-making processes often fail to meet the genuine needs of the target groups. On the other side, women's lack of education and information have led women less eager to participate in public participation and to hand over it to their spouse. This situation will further worsen the inequalities if not addressed.

Women's role in agriculture as farmers or manual labourers actually allow women to understand issues from their own perspectives and therefore their own proposed solutions. The main barrier to voice out their perspectives and solutions basically centred around the low self-willingness, reluctance and social acceptance. Here the local customary law, social perception and possible religious law increase the challenges to maintaining the status quo.

#### **Gender responsive actions**

In order to break the cycle of inequalities, there are several initial steps that could be taken:

- a. **Raise awareness on environmental-socio-economic issues and opportunities.** Awareness leads to understanding, understanding leads to options, options lead to results. It is important for women and vulnerable group to understand the issues and how they think about the issues.
- b. **Ensuring that all voices are heard of.** Environmental and social issues and impact should be discussed with everyone. If the situation is not possible using public participation, individual approach, or segregated meetings for male and female can be organized at the suitable time for each group.
- c. **Negotiating power relation.** Basic life access such as education, training and employment, health, and land tenure are to be promoted to the social system. Strategic approach to those who have the power to change the rule of the game must be developed and implemented.
- d. **Showcasing lessons from other communities.** Other communities often speak the same frequency with other communities from another village in the same region. Best practices from other villages nearby can help to increase the probability of acceptance by local leaders and the community. However, this step is not possible if the conflict between village is high, which is the case in several regions of Sigi District.

In the case of the pilot villages–Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo–, it is important to take the first two steps (a & b) to show to the communities and key stakeholder that hearing everyone's voice and inputs will help to provide better understanding of situation

related to the environmental-socio-economic issues and also the alternative solutions from different perspectives. These alternative solutions can be considered as options that could lead to results. The more options the more possibility of results. This is better than having only the same options over and over again.

The first to steps (a & b) shall not be conducted without proper mapping of the vulnerable groups. Vulnerable groups must be identified based on sex, age, accessibility, race and other category that could help for information sharing without any concern. Once identified, the method to facilitate discussion can be arranged.

As each individual in each category has his/her own interests and thinking, it is suggested to start the facilitation by identifying shared risks, goals and opportunity to bring the group together. This is always the most effective way to guide the discussion away from contentious discussions and more to a collaborative discussion.

Table 1. Gender Assessment and Analysis

Project Components	Gender Risks	Proposed Risk Mitigations	Gender Mainstreaming Expected Results	Benefit
<b>Component 1.</b> Strengthened enabling environment to support Adaptation policy implementation in Sigi District	Data and indicators are not disaggregated or disaggregated in a very limited way	Disaggregated data and indicators to be used in the vulnerability assessment and climate modeling	Disaggregated data and indicators and climate modeling is gender responsive	Provides a data-driven foundation for informed decision-making, ensuring targeted and effective adaptation measures.
	Number of vulnerable group representation does not meet the requirement (at least 30%)	Identify and anticipate any possible barriers that will prevent participation of the vulnerable groups	Representation of vulnerable groups (women and people with special needs) in the training sessions	Enhances the skills and knowledge of stakeholders, empowering them to implement adaptation strategies effectively and sustainably.
	Climate change adaptation management instrument is not gender sensitive/responsive by design	1. Develop and apply gender sensitive/responsive and inclusive management instrument development guideline 2. Develop a gender sensitive/responsive and inclusive checklist to ensure management instrument is developed	Gender responsive climate change adaptation management instrument	Equips the district with structured tools and frameworks to monitor, evaluate, and improve climate adaptation efforts over time.
<b>Component 2.</b> WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan	Climate change risks and vulnerability assessment are not gender sensitive/responsive by design		Gender sensitive/responsive climate change risks and vulnerability assessment	Strengthens local resilience by identifying specific risks and vulnerabilities, allowing for targeted adaptation strategies at the village level.
	Technical capacity assistance and developed tools/machinery is not gender sensitive/responsive and inclusive	1. The design of all processes in delivering a technical capacity assistance must be reviewed and approved by gender specialist 2. Tools/machinery development design must be reviewed and receive approval from gender specialist before finalised and fully	Gender sensitive/responsive technical capacity and tools/machinery for all groups (especially vulnerable groups)	Increases economic opportunities for farmers by enabling value-added production, enhancing livelihoods, and promoting sustainable agricultural practices.

		developed		
<b>Component 3.</b> Center of excellence of climate change adaptation at district level	Developed IEC materials are not gender sensitive/responsive and inclusive by design	Both IEC materials development design and CoE development must be reviewed and receive approval from gender specialist before finalised and fully developed	Gender sensitive/responsive IEC materials and tools design based on local context developed	Ensures effective dissemination of climate adaptation knowledge tailored to local needs, enhancing public awareness and engagement.
	Developed Centre of Excellence digital platform is not gender sensitive/responsive and inclusive by design		Gender sensitive/responsive and inclusive Centre of Excellence digital platform	Provides a centralized knowledge hub to facilitate continuous learning, collaboration, and replication of best practices across different communities.

## GENDER ACTION PLAN

### Impact Statement:

Increased WEF security for vulnerable groups in the project through a better access to the planning, budgeting and monitoring process related to WEF climate-resilience adaptation programming.

### Outcome Statement:

30% identified vulnerable groups in Sigi District increased their adaptive capacity and resiliency to the WEF climate change impact.

### Output Statement:

All vulnerable groups understand and have the rights of access to participate in the planning, budgeting and monitoring process related to WEF climate-resilience adaptation programming.

Table 2. Gender Action Plan

No	Activities	Indicators	Target	Timeline	Responsibilities	Costs	Aligned with Project Budget
1	Conduct inclusive mapping and participatory identification of barriers faced by women and vulnerable groups in project activities	Availability of gender barriers mapping  <i>Align with result framework in:</i> -Component 2 -Output 2.1.1	<b>Year 1:</b> 1 document finalized and validated  <b>Project Finalization:</b> 1 document finalized and validated	By year 1	Executing entity	\$11,200	2.1.1.2 Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups
2	Facilitate inclusive workshops to co-develop solution pathways for gender barriers identified in project implementation	Availability of solution options and mainstreamed into activities  <i>Align with result framework in:</i> -Component 2 -Output 2.1.2	<b>Year 1:</b> 1 list integrated into community activities and planning  <b>Project Finalization:</b> 1 list integrated into community activities and planning	By year 1	Executing entity	\$6,814	2.1.2.2 Facilitate FGDs on adaptation options
3	Promote the active engagement of women and marginalized groups in all planning, training, and implementation activities	Number of women/vulnerable groups participating (30% of women/vulnerable groups)  <i>Align with result framework in:</i> -Component 1 -Output 1.1.4	<b>Year 1:</b> 90 women/vulnerable groups are participated  <b>Project Finalization:</b> 166 women/vulnerable groups are participated	By year 2	Executing entity	\$47,913	1.1.4.3 Workshop and technical training on climate change adaptation, including women and vulnerable groups

4	Support institutional arrangement and adaptive agriculture management to strengthen the role of women and vulnerable groups especially farmers group in local organizations	Number of community groups restructured  <i>Align with result framework in: -Component 2 -Output 2.1.5</i>	<b>Year 1:</b> 3 community groups strengthened in each targeted village  <b>Project Finalization:</b> 6 community groups strengthened in each targeted village	By year 2	Executing entity	\$9,572	2.1.5.1 Strengthen adaptive Agriculture management village task force (Kelompok Tani)
5	Encourage inclusive representation in multi-stakeholder dialogues and coordination meetings	Number of women participating in multi-stakeholder platforms (30% of women)  <i>Align with result framework in: -Component 2 -Output 2.1.2</i>	<b>Year 1:</b> 90 women/vulnerable groups are participated  <b>Project Finalization:</b> 166 women/vulnerable groups are participated	By year 2	Executing entity	\$18,113	2.1.2.4 Strategic review and reflection within stakeholders
6	Develop and apply safeguards/ guideline to ensure gender mainstreaming is integrated in all project components	Number of safeguards and expert guidance documents produced  <i>Align with result framework in: -Component 1 -Output 1.1.4</i>	<b>Year 1:</b> 1 safeguards document finalized and validated  <b>Project Finalization:</b> 1 safeguards document & 1 expert report gender-sensitive/responsive risk and vulnerability assessment guideline finalized and validated	By year 2	Gender Specialist/ Executing entity	\$16,932	1.1.4.2 Develop a detailed training program and set of modules of technical training, including women and vulnerable groups
7	Identify and build leadership of gender focal points in target villages to support community-level gender mainstreaming	Number of focal points established and active in local governance  <i>Align with result framework in: -Component 2 -Output 2.2.3</i>	<b>Year 1:</b> -  <b>Project Finalization:</b> 1 gender focal points established	By year 2	Executing entity	\$983	2.2.3.4 Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)
8	Deliver capacity building sessions on gender-responsive livelihoods and climate adaptation	Number of men and women trained (gender balance achieved)  <i>Align with result framework in: -Component 2 -Output 2.2.3</i>	<b>Year 1:</b> 83 of men and 83 of women are trained  <b>Project Finalization:</b> 166 of men and 166 of women are trained	By year 2	Executing entity	\$4,033	2.1.3.3 Workshop on village climate adaptation plan

## ANNEX 2

### ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN (ESMP)

#### Building Climate Resilient District in Indonesia: Case of Sigi District

##### Introduction

[Yayasan Koaksi Indonesia as the lead](#) ~~with~~ [The Consortium KOLABORASI Kolaborasi](#) is leading the preparation and implementation of the project titled "Building Climate Resilient Districts in Indonesia: Case of Sigi District," which has been proposed for funding through the Adaptation Fund. As part of the funding requirements, all projects must align with the Adaptation Fund Environmental and Social Policy to ensure that environmental and social risks are effectively managed. This policy serves as a framework to guide project implementers in identifying potential risks and impacts, setting clear principles, guidelines, and procedures to assess, prevent, minimize, mitigate, and, where necessary, offset any adverse environmental and social consequences. Additionally, the policy aims to maximize the project's positive contributions and opportunities for the targeted communities.

Formatted: Font color: Custom Color(238,0,0)

The project's overarching goal is to enhance the resilience of the Sigi District—both economically, socially, and environmentally—against the negative impacts of climate change. Given the district's vulnerability to climate-induced challenges such as extreme weather events, resource scarcity, and ecosystem degradation, the project focuses on three key components: (1) formulating climate adaptation policies that align with national and local development strategies; (2) implementing the Water-Energy-Food (WEF) nexus approach, ensuring sustainable resource management and interconnectivity between these sectors; and (3) establishing a Center of Excellence, which will serve as a knowledge hub for climate resilience best practices and capacity-building initiatives.

To comply with the Adaptation Fund Environmental and Social Policy, a self-screening process was conducted to evaluate project activities against established environmental and social safeguard principles. This screening aims to proactively identify risks, ensuring that adequate safeguard instruments are in place to address potential environmental and social challenges. The process takes into consideration various criteria, such as environmental sustainability, social inclusion, human rights, gender equality, and stakeholder engagement. These principles guide the project's design and implementation to ensure that all activities contribute positively while avoiding or minimizing any negative effects. The screening results indicated that one of the Adaptation Fund's environmental and social principles is particularly relevant to the project and requires further attention: Principle 15. Lands and Soil Conservation.

Although the self-screening process identifies only one principle with potential risk, it serves as an initial review and may not capture the full scope of possible issues. Recognizing the project's complexity and the context-specific nature of its activities, a more comprehensive further assessment was undertaken. This assessment explored potential environmental and social impacts across all 15 principles outlined in the Adaptation Fund's Environmental and Social Policy. The purpose of this assessment is to ensure that even indirect or less obvious risks are adequately identified and addressed through appropriate mitigation strategies. The further

assessment revealed that the project may generate environmental and social impacts related to ~~three~~ six principles of the Adaptation Fund Environmental and Social Policy (see Table 1), namely:

- ~~1. Marginalized and Vulnerable Groups~~
- ~~2. Gender Equality and Women's Empowerment~~
- ~~1. Lands and Soil Conservation~~ Compliance with the Law
- ~~2. Marginalized and Vulnerable Groups~~
- ~~3. Human Rights~~
- ~~4. Gender Equality and Women's Empowerment~~
- ~~5. Core Labour Rights~~
- ~~3-6. Lands and Soil Conservation~~

Table 1. Checklist of Environmental and Social Principles  
(Further Assessment Result)

Checklist of environmental and social principles	No further assessment required for compliance	Potential impacts and risks – further assessment and management required for compliance	
Compliance with the Law	✓	✓	<u>Low risk: While the project is designed to ensure full compliance, there remains a possibility of changes in policies and regulations during implementation that could create misalignment with the planned interventions. To address this, the project will maintain close coordination with government authorities, continuously monitor regulatory developments, and adapt activities as needed to remain in line with evolving legal requirements.</u>
Access and Equity	✓		<u>No risk: There are no significant risks related to access and equity. The project is designed to ensure equitable access for all, including vulnerable groups</u>
Marginalized and Vulnerable Groups		✓	<u>Low risk: The project risks excluding meaningful participation from vulnerable groups, particularly individuals with disabilities in Sambo Village. This risk will be mitigated by monitoring of the Gender Action Plan that has been developed will be carried out, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.</u>
Human Rights	✓	✓	<u>Low risk: The project has almost zero or insignificant of negative impacts on human rights as it is designed to enhance community resilience while upholding inclusivity and equity. However, during project implementation potential conflicts related to benefit-sharing may arise particularly if certain groups perceive unequal access to project resources or opportunities. To mitigate this, the project will conduct community consultations to ensure that local voices, including those of marginalized groups are meaningfully integrated into decision-making processes. Additionally, a grievance redress mechanism will be established as an accessible and transparent platform for addressing concerns.</u>

Formatted Table

Formatted: Centered

Formatted: Left

Formatted: Left

Formatted: Left

Formatted: Left

Gender Equality and Women's Empowerment		✓	<u><a href="#">Low risk: Gender equality and women's empowerment are crucial issues from the district level to the village level and this is not limited to the project site. The risks include limited involvement of women because there are few women in leadership positions at the village level, low representation of women in leadership positions within village governments may limit their influence in decision-making processes, potentially hindering gender-inclusive implementation of the WEF nexus, women may face barriers to meaningful involvement in the establishment of the center of excellence due to a double burden of childcare and agricultural responsibilities. This risk will be mitigated by monitoring of the Gender Action Plan that has been developed will be conducted, including ensuring compliance with Minister of Public Works and Public Housing Regulation No. 8/PRT/M/2018.</a></u>
Core Labour Rights	✓	✓	<u><a href="#">Low risk: The project poses minimal potential risks to core labor rights. Identified risks include the possibility that newly created jobs may not prioritize local workers and, if they do, could expose them to occupational accidents—particularly during the construction of WEF infrastructure—as well as the potential risk of child labor. To mitigate these risks, regular monitoring will be conducted to ensure strict compliance/law with labor rights, including adherence to health and safety standards, provision of appropriate employment benefits, and the absolute prohibition of child labor.</a></u>
Indigenous Peoples	✓		<u><a href="#">No risk: The project does not pose potential risks to indigenous peoples. This is because based on public consultation, field surveys, and in-depth interviews with village community representatives, it was identified that there were no indigenous peoples in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages.</a></u>
Involuntary Resettlement	✓		<u><a href="#">No risk: The implementation of the project which includes the formulation of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence does not require significant land. Therefore, the project does not result in land use change, conversion of residential land to project land, or even involuntary resettlement.</a></u>
Protection of Natural Habitats	✓		<u><a href="#">No risk: The project has no potential risks to the protection of natural habitats. It was identified that the 6 targeted villages as the project location does not overlap with protected areas.</a></u>
Conservation of Biological Diversity	✓		<u><a href="#">No risk: The project does not pose any potential risks to the conservation of biological diversity. The implementation of key components will support and ensure the protection of biodiversity conservation.</a></u>
Climate Change	✓		<u><a href="#">No risk: The project has no potential to cause climate change. This is because the implementation of this project does not release large amounts of GHG emissions that can</a></u>

Formatted: Left

			worsen current climate change.
Pollution Prevention and Resource Efficiency	✓		<b>No risk:</b> The project is committed to minimizing potential risks to pollution prevention and resource efficiency. To ensure this, a pact of integrity will be established prior to the project's commencement. This pact will outline the project's strong commitment to preventing pollution and optimizing resource use during its implementation.
Public Health	✓		<b>No risk:</b> The project has no potential risks on public health. The project aims to enhance the resilience of communities in Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo villages. These activities will increase community health resilience to diseases caused by climate change.
Physical and Cultural Heritage	✓		<b>No risk:</b> The project does not pose any potential risks to physical and cultural heritage. Activities such as the development of adaptation policies, the implementation of the WEF nexus, and the establishment of the center of excellence will be carried out in a manner that respects and safeguards cultural and historical values
Lands and Soil Conservation		✓	<b>Low risk:</b> The project may potentially disrupt lands and soil conservation. This is due to the project involving the construction of WEF infrastructures, such as the NbS knock-down levee (500 meters), ponds, mini nature-based water treatment plants with distribution pipes, household water and sanitation facilities, solar PV installment, and agriculture irrigation/drainage system. This risk will be mitigated by conducting screening test ( <i>uji penapisan</i> ) in accordance with Minister of Environment and Forestry Regulation No. 4/2021.

Formatted: Left

Formatted: Left

Formatted: Left

Formatted: Left

These findings underscore the importance of a comprehensive safeguard strategy that includes risk assessments, impact mitigation measures, and contingency planning. The project team will work closely with local stakeholders, including government agencies, civil society organizations, and community representatives, to develop and implement targeted safeguards that align with local context and priorities.

The implementation of robust environmental and social safeguards is crucial to achieving the project's objectives while ensuring long-term sustainability and inclusivity. [Yayasan Koaksi Indonesia as the lead the Consortium Kolaborasi](#) ~~The Consortium KOLABORASI~~ remains committed to integrating best practices in climate adaptation, fostering local ownership, and strengthening institutional capacities to build a climate-resilient Sigi District. By aligning with the Adaptation Fund's policies, the project aims to serve as a model for other climate resilience initiatives in Indonesia, contributing to national and global efforts in addressing climate change challenges.

Based on its anticipated impacts, the project is categorized as **Category B** under the Adaptation Fund's Risk Categorization. This classification is based on direct discussions, field surveys, and assessments in Sigi District involving key stakeholders and vulnerable groups. Based on these activities, the project's potential risks are localized, small-scale, reversible, and manageable. The project's components such as adaptation policy formulation, WEF nexus implementation, and

establishing center of excellence may cause environmental and social risks. Moreover, the six targeted villages (Pandere, Pakuli Utara, Simoro, Bangga, Sambo, and Wisolo) have distinct socio-physical characteristics, such as limited inclusive infrastructure and vulnerable populations potentially increasing risks. However, comprehensive mitigation strategies have been developed, ensuring that any potential risks during implementation are minimized. These strategies aim to uphold environmental and social safeguards, guaranteeing implement sustainable and inclusive adaptation actions.

The table below describes fully assessment of the project using the Environmental and Social Management Plan, including identified risks, measures to minimize or mitigate them, monitoring indicators, significance assessment, implementation period, oversight officer, cost remarks, and opportunities for consultation and adaptive management:

Table 2. Environmental and Social Management Plan

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
Compliance with the Law	The project has almost zero or insignificant negative impacts on compliance with the law. However, there remains a possibility of changes in policies and regulations during implementation that could create misalignment with the planned interventions.	-Continuous monitoring of regulatory developments at national and local levels -Engagement with government stakeholders to anticipate and adapt to regulatory changes.	-Number of regulatory monitoring reports produced -Number of coordination meetings with government stakeholders.	None	-Q1 to Q8 None -Q1 to Q8	-Project Manager -M&E Specialist None	The mitigation measure cost will be Integrated in project activities: None -1.1.1.1 (Establish comprehensive understanding of current institutional awareness on WEF Nexus-based climate change adaptation): \$26,745 -1.1.2.3 (Launching working group (discuss and agree on working group work plan): \$1,616.	-Regular meeting of ESMP monitoring -Coordination with government.
Access and Equity	None	None	None	None	None	None	None	None
Marginalized and Vulnerable Groups	The project risks excluding meaningful participation from vulnerable groups, particularly individuals with disabilities in Sambo Village. In this village, there are people with disabilities who face various barriers, such as the lack of guiding blocks for visually	-Identify and anticipate any possible barriers that will prevent participation of the vulnerable groups. -Gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed. -Development, training, and	-Number of persons from marginalized and vulnerable groups (e.g., persons with disabilities) participated in project activities -Number of gender sensitive/responsive and inclusive stakeholder mapping guidelines developed -Number of gender sensitive/responsive and inclusive	Low	-Q1 to Q4 -Q1 to Q4 -Q1 to Q8	-Project Manager -Gender Specialist -M&E Specialist	The mitigation measure cost will be Integrated in project activities: -2.1.1.2 (Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups): \$11,200 -1.1.4.2 (Develop a detailed training program and set of modules of technical training, including women and vulnerable groups): \$16,932	-Regular meeting of ESMP monitoring -FPIC

Formatted: Font color: Custom Color(238,0,0)

Formatted Table

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
	impaired people, limited availability of sign language interpreters for those with hearing impairments, and physically inaccessible venues (e.g., narrow entrances, no ramps).	mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines.	management instrument and risk and vulnerability assessment guidelines developed				-2.2.3.4 (Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)): \$983	
Human Rights	<a href="#">The project has almost zero or insignificant of negative impacts on human rights as it is designed to enhance community resilience while upholding inclusivity and equity. However, during project implementation potential conflicts related to benefit-sharing may arise particularly if certain groups perceive unequal access to project resources or opportunities(very small). None</a>	<a href="#">-Conduct inclusive community consultations</a> <a href="#">-Establish and operationalize the grievance redress mechanism. None</a>	<a href="#">-Number of consultations conducted with community members (disaggregated by sex, age, disability)</a> <a href="#">-Number of grievances received and resolved through the grievance redress mechanism. None</a>	LowNone	<a href="#">-Q1 to Q4</a> <a href="#">None</a> <a href="#">-Q1 to Q8</a>	<a href="#">-Project Manager</a> <a href="#">-Gender Specialist</a> <a href="#">-M&amp;E Specialist</a> <a href="#">None</a>	<a href="#">The mitigation measure cost will be Integrated in project activities:</a> <a href="#">-2.1.1.2 (Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups): \$11,200</a> <a href="#">-2.2.3.4 (Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)): \$983</a> <a href="#">None</a>	<a href="#">-Regular meeting of ESMP</a> <a href="#">-FPIC</a> <a href="#">None</a>

Formatted: Font color: Custom Color(238,0,0)

Formatted: Font color: Custom Color(238,0,0)

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
Gender Equality and Women's Empowerment	Gender equality and women's empowerment are crucial issues from the district level to the village level and this is not limited to the project site. This is due to the lack of awareness and understanding of gender equality which limits the opportunities provided to women. The risks include limited involvement of women because there are few women in leadership positions at the village level, low representation of women in leadership positions within village governments may limit their influence in decision-making processes, potentially hindering gender-inclusive implementation of	-Identify and anticipate any possible barriers that will prevent participation of the vulnerable groups. -Gender sensitive/responsive and inclusive stakeholder mapping guidelines to be developed, trained and mainstreamed. -Development, training, and mainstreaming of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines.	-Number of women participated in project activities -Number of gender sensitive/responsive and inclusive stakeholder mapping guidelines developed -Number of gender sensitive/responsive and inclusive management instrument and risk and vulnerability assessment guidelines developed	Low	-Q1 to Q4  -Q1 to Q4  -Q1 to Q8	-Project Manager -Gender Specialist -M&E Specialist	The mitigation measure cost will be Integrated in project activities: -2.1.1.2 (Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups): \$11,200 -1.1.4.2 (Develop a detailed training program and set of modules of technical training, including women and vulnerable groups): \$16,932 -2.2.3.4 (Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)): \$983	Regular meeting of ESMP monitoring

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
	the WEF nexus, women may face barriers to meaningful involvement in the establishment of the center of excellence due to a double burden of childcare and agricultural responsibilities.							
Core Labour Rights	The project poses minimal potential risks to core labor rights. Identified risks include the possibility that newly created jobs may not prioritize local workers and, if they do, could expose them to occupational accidents—particularly during the construction of WEF infrastructure—as well as the potential risk of child labor(Pekerja Anak). None	-Adopt and apply existing national labor standards (UU No. 13/2003) within project operations by prioritizing local workers, with transparent recruitment processes -Integrate a zero-tolerance policy on child labor and conduct regular monitoring -Set up an accessible and transparent the grievance redress mechanism to raise concerns related to labor rights violations. None	-Number of compliances with national labor standards (UU No. 13/2003) -Number of reported child labor cases (should be 0) -Number of grievances received, processed, and resolved within a defined timeframe None	LowNone	-Q3 to Q7 -Q3 to Q7 -Q1 to Q8None	-Project Manager -M&E Specialist None	The mitigation measure cost will be integrated in project activities: -1.2.1.2 (Consultation with Focus group discussions on management instruments with multistakeholders platform): \$1,716 -2.1.1.1 (Focus grup discussions [preparedness and awareness on climate change risk and vulnerability assessment]): \$5,519 -2.1.2.4 (Strategic review and reflection within stakeholders): \$18,113 None	-Regular meeting of ESMP monitoringNone
Indigenous Peoples	None	None	None	None	None	None	None	None

- Formatted: Font color: Custom Color(RGB(238,0,0))

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
Involuntary Resettlement	None	None	None	None	None	None	None	None
Protection of Natural Habitats	None	None	None	None	None	None	None	None
Conservation of Biological Diversity	None	None	None	None	None	None	None	None
Climate Change	None	None	None	None	None	None	None	None
Pollution Prevention and Resource Efficiency	None	None	None	None	None	None	None	None
Public Health	None	None	None	None	None	None	None	None
Physical and Cultural Heritage	None	None	None	None	None	None	None	None
Lands and Soil Conservation	The project may potentially disrupt lands and soil conservation. This is due to the project involving the construction of WEF infrastructures, such as the NbS knock-down levee (500 meters), ponds, mini nature-based	-Notify/inform the community in advance about construction schedules and potential land impacts. -Implement Nature-Based Solutions (NbS) to enhance sustainable water management.	-Number of consultation conducted with the community -Number and type of NbS implemented.	Low	-Q2 to Q5  -Q2 to Q5	-Project Manager -M&E Specialist	The mitigation measure cost will be Integrated in project activities: -2.1.4.3 (Conduct public consultation and develop adaptive water management plan): \$19,295 -2.1.4.5 (Physical construction of adaptive water infrastructure (NbS knock-down levee along the river 500 meter)): \$29,031	-Regular meeting of ESMP monitoring -Consultative process with parties (e.g., experts, communities, government)

Environmental and Social Principles	Identified Risk/Impact	Measures to Minimize or Mitigate	Monitoring Indicators	Assessment of Significance	Period	Oversight Officer	Cost Remarks	Opportunities Consultation and Adaptive Management
	water treatment plants with distribution pipes, household water and sanitation facilities, solar PV installment, and agriculture irrigation/drainage system. These activities could lead to minor land use changes, such as converting green spaces into built-up areas.							

## ANNEX 3

### Budget Notes

Outcome/Output	Activity	Budget (US\$)	Budget Notes
Component 1. Strengthened enabling environment to support Adaptation policy implementation in Sigi District			
Outcome 1.1. Improved institutional capacity of local stakeholders at district-level on WEF nexus-based climate change adaptation			
Output 1.1.1. Awareness and understanding of key stakeholders at district level on climate change adaptation based on WEF nexus strengthened	1.1.1.1 Establish comprehensive understanding of current institutional awareness on WEF Nexus-based climate change adaptation	26,745	The total budget for establishing a comprehensive assessment (include procurement electronic devices), (4,000.00 USD), hiring 6 enumerators for 3 villages (900.00 USD), providing local transportation for 6 enumerators in 3 villages (120.00 USD), engaging 1 safeguard specialist - consultant for 3 time periods (1,925.00 USD), and employing 1 administrative consultant for 24 months (19,800.00 USD).
	1.1.1.2 Develop a detailed awareness programme on WEF Nexus-based Climate Change Adaptation	4,400	The total budget for developing a detailed awareness program on WEF Nexus-based Climate Change Adaptation is 4,400.00 USD, which includes 1 package for research and content development (667.00 USD), 1 package for designing and producing educational materials (1,000.00 USD), facilitator fees for 10 persons over 1 day (667.00 USD), 1 package of stationery for the awareness program (33.00 USD), 1 package for meeting arrangements with 100 participants (2,000.00 USD), and 1 package for creating flyers and posters (33.00 USD).
	1.1.1.3 Workshop on climate change adaptation - WEF approach	7,610	The total budget for the workshop on climate change adaptation using the WEF approach is 7,610.00 USD, covering 1 package for an FGD meeting (2,000.00 USD), 1 package for participant transportation (667.00 USD), facilitator fees for 10 persons over 1 time (667.00 USD), note-taker fees for 1 day (50.00 USD), 1 package of stationery (33.00 USD), and travel to palu for 6 persons for 1 trip (4.026 USD)
	1.1.1.4 Awareness Video/Photo/Poster competition among relevant district-level stakeholders and for	6,032	The budget for the Awareness Video/Photo/Poster competition among district-level stakeholders and the public amounts to 6,032.00 USD, including 1 package of prizes (1,333.00 USD), 1 meeting package for 100 persons (2,000.00 USD), judging panel fees for 4

		public on WEF Nexus-based Climate Change Adaptation		persons for 1 month (1,333.00 USD), 1 set of sound system and lighting (1,000.00 USD), 1 set of stage equipment (243.00 USD), 1 package of backdrop design (40.00 USD), 1 package of screen projector usage (50.00 USD), and 1 package of flyers and posters (33.00 USD), each conducted 1 time
Output 1.1.2. A district-level working group for climate change adaptation established under the district multistakeholder forum	1.1.2.1	Brainstorming on the idea of working group establishment (role, function, workplan of draft working group)	7,650	The budget for brainstorming on the idea of working group establishment, including roles, functions, and workplan drafting, amounts to 7,650.00 USD, comprising 1 package for Climate Change Working Group consultant fees (1,333.00 USD), 2 facilitators (200.00 USD), 1 note-taker for 1 day (50.00 USD), 1 package of stationery (33.00 USD), 50-person meeting package for brainstorming (1,000.00 USD), 1 package for participant transport (327.00 USD), and 6 persons' travel to Palu (4,707 USD), each conducted 1 time.
	1.1.2.2	Drafting decision letter (SK) of Working Group	1,616	The budget for drafting the decision letter (SK) of the Working Group amounts to 1,616.00 USD, including 2 facilitators for 1 time (200.00 USD), 1 note-taker for 1 day (50.00 USD), 1 package of stationery for 1 time (33.00 USD), 50-person meeting package for 1 time (1,000.00 USD), and transport for 50 participants for 1 time (333.00 USD).
	1.1.2.3	Launching working group (discuss and agree on working group work plan)	1,616	The budget for launching working group (discuss and agree on working group work plan) amounts to 1,616 USD which covers 2 facilitators (200 USD), a note taker fee (50 USD), stationery costs for 1 package (33 USD), a meeting package for 50 participants (1,000 USD), transport for 50 participants (333 USD).
Output 1.1.3. Climate change vulnerability assessment using district level data, indicators, and climate modeling based on WEF nexus approach conducted	1.1.3.1	Climate change vulnerability assessment through secondary data and FGD	2,949	The total budget for conducting a climate change vulnerability assessment through secondary data and FGD is 2,949 USD. This includes a consultant fee for assessment and report writing (1,333 USD), facilitator fees for 2 facilitators (200 USD), note taker fee (50 USD), stationery (33 USD), meeting package for 50 participants (1,000 USD), and transportation for participants (333 USD).
	1.1.3.2	Develop climate change vulnerability assessment report	1,833	The total budget for developing a climate change vulnerability assessment report is 1,833 USD, which covers the design and layout of the module (1,333 USD) and printing of 50 copies (500 USD).
Output 1.1.4. Tailored technical capacity building on climate change adaptation for relevant stakeholders facilitated	1.1.4.1	Conducting need Assessment on Climate Change Adaptation Preparedness	3,476	The total budget for conducting the need assessment on Climate Change Adaptation Preparedness is 3,476 USD. This includes the consultant fee for the need assessment (1,333 USD), local transportation for 6 persons across 6 villages (523 USD), enumerator fees for 6 persons in 6 villages (1,320 USD), and merchandise for participants (300 USD)
	1.1.4.2	Develop a detailed training program and set of modules of technical training, including women	16,932	The total budget allocated for developing a detailed training program and modules is 12,265 USD. This includes consultant fees for water-food-energy adaptation (1,333 USD), climate hazard and environmental risk (1,333 USD), gender specialist

		and vulnerable groups		(10,267 USD), policy development (1,333 USD), socio-economic resilience (1,333 USD), and youth communication for climate action (1,333 USD).
	1.1.4.3	Workshop and technical training on climate change adaptation, including women and vulnerable groups	47,913	The total budget for workshops and technical training is 47,913 USD. This includes printing modules for 70 participants (1,400 USD), resource person fees for 3 persons over 2 sessions (1,800 USD), meeting packages (5,600 USD), transport for participants (2,800 USD), meeting kits (840 USD), stationery (200 USD), note taker fees (300 USD), moderator fees (600 USD), Travel to Palu for xx persons ( 5,920 USD) , banners (160 USD), module design and layout (1,333 USD), and consultant fees for an Environment Advisor (17,600 USD) and an Adaptation Expert Specialist (9,360 USD).
	1.1.4.4	Technical assistance and facilitation for background study for Climate Change Adaptation Action Plan (RAD-API)	102,137	The total budget for providing technical assistance and facilitation for the background study is 106,804 USD. This includes consultant fees for Adaptation Action Plan (17,041 USD), WEF Nexus/Community Development Specialist (10,267 USD), Climate Adaptation Officer (10,267 USD), District Facilitator (8,067 USD), Village Facilitators for 3 persons (22,000 USD), Travel to Palu for 3 persons (17,600 USD) , consultant fees for Administration (11,000 USD), Technical Assistant (14,000 USD), and Adaptation Expert Specialist (9,360 USD).
Outcome 1.2. Management instruments to support effective implementation of climate change adaptation efforts at Sigi District developed and operationalized				
Output 1.2.1. Need assessment analysis of effective management instrument conducted	1.2.1.1	Initial assessment with interview and desk-analysis	2,233	The total budget for conducting an initial assessment through interviews and desk analysis is 2,233 USD, which includes a consultant fee for developing questionnaires, analyzing data, and report writing (1,333 USD), enumerator fees for 3 persons over 5 days (750 USD), and transportation for data collection (150 USD).
	1.2.1.2	Consultation with Focus group discussions on management instruments with multistakeholders platform	1,716	The total budget for conducting FGDs is 1,716 USD, which includes facilitator fees for 2 persons (200 USD), note taker fees (50 USD), stationery (33 USD), meeting kits for 50 participants (100 USD), meeting packages for 50 participants (1,000 USD), and participant transport costs (333 USD).
Output 1.2.2. Climate change adaptation management instrument developed	1.2.2.1	Design management instrument	10,284	The total budget for designing a management instrument is 10,284 USD, which includes consultant fees for designing and developing management instruments and curriculum (4,667 USD), facilitator fees for 2 persons (200 USD), note taker fees (50 USD), stationery (33 USD), meeting packages for 50 participants (1,000 USD), and travel to Palu for 5 persons (4,334 USD)

	1.2.2.2	Development of management instruments	1,716	The total budget for developing management instruments is 1,716 USD, covering facilitator fees for 2 persons (200 USD), note taker fees (50 USD), meeting kits for 50 participants (100 USD), stationery (33 USD), meeting packages (1,000 USD), and participant transport costs (333 USD).
	1.2.2.3	User trial test of management instruments	900	The total budget for user trial tests of management instruments is 900 USD, which includes enumerator fees for 3 persons over 5 days (750 USD) and transportation for data collection (150 USD).
	1.2.2.4	Dissemination & training of management instrument	1,783	The total budget for dissemination and training is 1,783 USD, covering printing and distribution of the final instrument (500 USD), facilitator fees (200 USD), note taker fees (50 USD), stationery (33 USD), and meeting packages for dissemination and training (1,000 USD).
	1.2.2.5	Climate awareness goes to schools (trainings)	8,160	The total budget for climate awareness training is 8,160 USD, including trainer fees (600 USD), transportation costs (360 USD), facilitator fees for 6 persons (3,600 USD), and meeting packages for 30 participants (3,600 USD).
Output 1.2.3. Target villages facilitated to prepare PROKLIM registration	1.2.3.1	Workshop, training, and socialization PROKLIM at village level	10,301	The total budget for conducting PROKLIM workshops and training is 10,301 USD, which includes facilitator fees (400 USD), note taker fees (100 USD), training kits for 100 participants (400 USD), stationery (67 USD), meeting packages for 100 participants (4,000 USD), participant transport costs (1,333 USD), and travel to Palu for 5 persons (4,001 USD)
	1.2.3.2	PROKLIM registry assistance with enumerators	10,581	The total budget for PROKLIM registry assistance is 10,581 USD, including consultant fees for PROKLIM registry (1,562 USD), enumerator fees for 20 persons (3,333 USD), transportation for PROKLIM registry (1,000 USD), travel to palu for 2 persons (986 USD), facilitator fees (400 USD), note taker fees (100 USD), and meeting packages for 80 participants (3,200 USD).
Outcome/Output	Activity	Budget (US\$)	Budget Notes	
Component 2. WEF nexus approach applied to improve the effectiveness of District's Climate Change Adaptation Action Plan				
Outcome 2.1. Participatory WEF nexus-based adaptation action plan developed & applied at village level				
Output 2.1.1. Village level climate change risks and vulnerability assessment conducted	2.1.1.1	Focus grup discussions (preparedness and awareness on climate change risk and vulnerability)	5,519	The total budget for conducting focus group discussions (FGDs) is 5,519 USD, which includes meeting packages for 15 participants across 6 villages (1,800 USD), participant transportation (600 USD), facilitator fees (600 USD), stationery (200 USD), travel to Palu for 2 persons (986 USD), and hiring a consultant for climate change

		assessment)		risks assessment (1,333 USD).
	2.1.1.2	Develop rapid assessment on climate change risks and vulnerability, including women and vulnerable groups	11,200	The total budget for developing a rapid assessment is 11,200 USD, which includes consultant fees for assessment across 6 villages (8,000 USD), meeting packages for 15 participants (1,800 USD), participant transportation (600 USD), facilitator fees (600 USD), and stationery (200 USD).
	2.1.1.3	Diseminate result of rapid assessment to all village stakeholders	4,133	The total budget for disseminating assessment results is 4,133 USD, which includes meeting packages for 15 participants in 3 villages (1,800 USD), participant transportation (600 USD), facilitator fees (200 USD), note taker fees (200 USD), and consultant fees for assessment (1,333 USD).
Output 2.1.2. WEF nexus-based adaptation options identified by target group (vulnerable groups, farmers, village government staffs)	2.1.2.1	Develop pre-material on adaptation options	8,568	The total budget for developing pre-materials is 8,568 USD, including consultant fees for pre-material development (6,000 USD), local transportation for 6 people across 6 villages (262 USD), enumerator fees (1,320 USD), and travel to Palu fo 2 persons (986 USD).
	2.1.2.2	Facilitate FGDs on adaptation options	6,814	The total budget for facilitating FGDs is 6,814 USD, which includes meeting packages for 20 participants (2,400 USD), facilitator fees (600 USD), note taker fees (600 USD), moderator fees (600 USD), and travel to palu for 4 persons (2,614 USD)
	2.1.2.3	Develop IEC materials of identified adaption options (WEF Nexus)	6,567	The total budget for developing IEC materials is 6,567 USD, which includes fees for IEC designers (667 USD), poster production (2,500 USD), calendar production (1,500 USD), and merchandise production (1,900 USD).
	2.1.2.4	Strategic review and reflection within stakeholders	18,113	The total budget for strategic review and reflection within stakeholders is 18,113 USD. This includes travels cost (6,390 USD), two workshops on climate adaptation action package (6,666 USD), participatory assessment involving woman, farmers, and vulnerable groups (5,057 USD)
Output 2.1.3. Village WEF nexus-based adaptation action plan developed	2.1.3.1	Develop action plan	16,000	The total budget for developing the climate action plan is 16,000 USD, which is allocated entirely for consultant fees for action plan development across 6 villages (16,000 USD).
	2.1.3.2	Support village to propose identified actions to be financed by village fund and by Adaptation Fund	22,000	The total budget is 22,000 USD, which includes consultant fees for identifying financing schemes and adaptation options (8,000 USD) and technical assistant consultants for 12 months (14,000 USD).
	2.1.3.3	Workshop on viillage climate adaptation plan	4,033	The total budget for workshops is 4,033 USD, including meeting packages for 15 participants (1,800 USD), participant transportation (600 USD), facilitator fees (200 USD), note taker fees (100 USD), and consultant fees for action plan development (1,333 USD).

Output 2.1.4. Village-based adaptive water management and physical infrastructure developed	2.1.4.1	Strengthen water adaptive management village task force	10,320	The total budget for strengthening the water adaptive management village task force is 10,320 USD, which includes water and climate consultants to facilitate task force establishment (4,800 USD), meals for FGDs (900 USD), participant transportation (900 USD), facilitator fees (600 USD), note taker fees (400 USD), venue rental (400 USD), and travel to Palu for 2 consultants 2 times (2,320 USD)
	2.1.4.2	WASH household-based e-Survey	20,310	The total budget for conducting the WASH household-based e-survey is 20,310 USD, including hiring 2 senior consultants for training and analysis in 3 villages over 2 sessions (4,800.00 USD), engaging 2 e-survey assistants in 3 villages (1,200.00 USD), employing 1 programmer for 6 villages (2,400.00 USD), providing meals for 15 participants during the WASH workshop and e-survey training in 6 villages (450.00 USD), participant transportation for 15 people in 6 villages over 2 sessions (900.00 USD), facilitator fee for 1 person across 6 villages and 2 sessions (600.00 USD), note taker fee for 1 person across 6 villages and 2 sessions (400.00 USD), operational support for the e-survey implementation across 6 villages (8,000.00 USD), venue rental across 6 villages over 2 sessions (400.00 USD), per diem for 2 people over 14 nights (560.00 USD), airfare for 2 consultants from Jakarta to Sigi (return) for 1 trip (533.00 USD), and local transportation in Jakarta and the local city for 2 people for 1 trip (67.00 USD).
	2.1.4.3	Conduct public consultation and develop adaptive water management plan	19,295	The total budget for conducting public consultation and developing an adaptive water management plan is 19,295.00 USD. This includes engaging two senior consultants for Water & Climate Adaptation in three villages, two times (8,000.00 USD), providing meals for 15 participants in six villages over two sessions (900.00 USD), participant transportation for 15 people in six villages (900.00 USD), facilitator fee for one person in six villages (600.00 USD), note taker fee for one person in six villages (400.00 USD), venue rental for six villages (400.00 USD), per diem for two people over 14 nights for two sessions (1,120.00 USD), airfare for two consultants from Jakarta to Sigi (return) over two trips (1,067.00 USD), local transportation in Jakarta and the local city for two people over two trips (133.00 USD), and hiring a safeguard specialist - consultant three time periods in three villages (5,775.00 USD).
	2.1.4.4	Evidence-based planning and budgeting for village WASH program	4,360	The total budget for evidence-based planning and budgeting for village WASH programs is 4,360 USD, which includes meals for workshops (900 USD), participant transportation (900 USD), facilitator fees (600 USD), note taker fees (400 USD), venue rental (400 USD), travel to Palu for 2 consultants (1,160 USD)
	2.1.4.5	Physical construction of	29,031	The total budget for constructing NbS knock-down levees is 29,031 USD, including civil engineer consultant

		adaptive water infrastructure (NbS knock-down levee along the river 500 meter)		fees (2,667 USD), landscape architect consultant fees (2,667 USD), community labor (933 USD), construction materials (6,667 USD), material mobilization (533 USD), meeting packages (1,200 USD), participant transportation (400 USD), facilitator fees (133 USD), note taker fees (100 USD), resource person fees (167 USD), travel to Palu for 2 consultants (2,320 USD), consultant fees for monitoring and evaluation (3,850 USD), and audit costs (7,394 USD).
	2.1.4.6	Physical construction of adaptive water infrastructure (ponds)	14,170	The total budget for constructing adaptive water infrastructure (ponds) is 14,170 USD, including community labor (933 USD), construction materials (6,667 USD), material mobilization (400 USD), travel to Palu for 2 consultants 2 times (2,320 USD), and consultant fees for monitoring and evaluation (3,850 USD).
	2.1.4.7	Physical construction of adaptive water infrastructure (mini nature-based water treatment plant & distribution pipe)	10,453	The total budget for constructing a mini nature-based water treatment plant and distribution pipe is 10,453 USD, including community labor (933 USD), construction materials (6,667 USD), material mobilization (533 USD), and travel to Palu for 2 consultants 2 times (2,320 USD)
	2.1.4.8	Physical construction of adaptive water infrastructure (household water and sanitation facility)	13,921	The total budget for constructing household water and sanitation facilities is 13,921 USD, including community labor (933 USD), construction materials (6,667 USD), material mobilization (667 USD), meeting packages (2,200 USD), participant transportation (667 USD), banner production (27 USD), facilitator fees (167 USD), note taker fees (100 USD), resource person fees (173 USD), and travel to Palu for 2 consultants 2 times (2,320 USD)
Output 2.1.5. Village-based adaptive agriculture management and physical infrastructure developed	2.1.5.1	Strengthen adaptive Agriculture management village task force (Kelompok Tani)	9,572	The total budget for strengthening adaptive agriculture management village task forces (Kelompok Tani) is 9,572.00 USD, including trainer fees for 3 people across 6 villages over 4 sessions (7,200.00 USD), procurement of training materials for 1 time in 6 villages (372.00 USD), venue rental for 5 days across 6 villages (1,000.00 USD), participant transport for 10 people in 6 villages (400.00 USD), and provision of meals for 10 people in 6 villages (600.00 USD).
	2.1.5.2	Survey and identify of flood prone on agriculture areas (physical) and cultural heritage (social)	19,494	The total budget for conducting a survey and identification of flood-prone agricultural areas (physical) and cultural heritage (social) is 19,494.00 USD, including fees for 3 field surveyors over 10 days (7,000.00 USD), consultant fee for village-based adaptive agriculture management (10,667.00 USD), car rental for 10 days (1,000.00 USD), drafting and finalization of the report (333.00 USD), airfare for 1 person round-trip Jakarta–Palu (267.00 USD), airport transfer in Jakarta and Palu (67.00 USD), per diem for 1 person over 3 nights (60.00 USD), and accommodation in Sigi for 1 person over 3 nights (100.00 USD).
	2.1.5.3	Develop activity plan	1,227	The total budget for developing an activity plan is 1,227

		for adaptive agriculture management & physical infrastructure		USD, which includes venue rental for 1 day (33 USD), transportation for 30 participants (200 USD), meals for 30 participants (300 USD), facilitator fees (100 USD), note taker fees for 2 persons (100 USD), and travel to Palu for 1 person (494 USD)
	2.1.5.4	Build demonstration plot nursery to produce seedlings for the establishment of plantations	31,927	The budget for building demonstration plot nurseries is 31,927 USD, consisting of consultant fees for nursery development plans (1,000 USD), nursery development for coffee (3,000 seeds) (9,027 USD), nursery development for cocoa (3,000 seeds) (9,927 USD), and nursery development for coconut (2,000 seeds) (11,973 USD).
	2.1.5.5	Improvement/construction of agriculture irrigation/drainage system with implemented safety protocols	17,400	The total budget allocated for irrigation and drainage system improvements is 17,400 USD, including planning and design for 3 sites (5,000 USD), community labor costs (1,400 USD), construction materials (10,000 USD), and mobilization of materials (1,000 USD).
	2.1.5.6	Biogeographic assessment for natural food plain development and restoration	20,000	The budget for conducting a biogeographic assessment is 20,000 USD, covering environmental assessments for 3 sites (4,000 USD), materials for permeable barriers (10,000 USD), and planting and vegetation restoration efforts (6,000 USD).
	2.1.5.7	Retention well construction in flood prone areas of plantations while safeguarding local wisdom/value	15,400	The total budget for constructing retention wells is 15,400 USD, which includes feasibility study costs (3,000 USD), community labor fees (1,400 USD), construction materials (10,000 USD), and material mobilization (1,000 USD).
Output 2.1.6. Village-based adaptive renewable energy management implemented	2.1.6.1	Development module of efficiency and energy management	1,667	The total budget for developing an efficiency and energy management module is 1,667 USD, which covers the consultant fee for developing the module in one package for a one-time engagement (1,667 USD).
	2.1.6.2	Solar PV installment for climate impact information	6,667	The total budget allocated for the installation of solar PV systems for climate impact information is 6,667 USD, which includes the complete installation package for one time (6,667 USD).
	2.1.6.3	Climate IoT tools and software development for supporting sustainable agriculture	15,000	The total budget for Climate IoT tools and software development is 15,000 USD, comprising the installation of climate-weather tools for sustainable agriculture (8,333 USD) and the development of digital infrastructure to support climate IoT tools (6,667 USD).
	2.1.6.4	Improving internet access for climate resilience information	4,986	The total budget for improving internet access is 4,986 USD, which includes the installation of rural internet access infrastructure (2,400 USD), providing internet access for climate monitoring models over 24 months (1,600 USD), and travel to Palu for 2 persons (986 USD)
	2.1.6.5	Capacity building to build the technical skills related to the installation and use of solar PV systems	4,793	The total budget allocated for capacity building is 4,793 USD, including the trainer fee for the rural community (1,333 USD), meals for 25 participants across two sessions (500 USD), transport for participants (333 USD), facilitator fee (200 USD), venue rental (67 USD),

		and climate IoT tools		Travel to Palu for 3 persons 2 times (2,360 USD)
	2.1.6.6	Conducting FGD for Community-driven climate resilience information sharing	3,460	The total budget for conducting focus group discussions (FGD) is 3,460 USD, which includes meals for 25 participants over two sessions (500 USD), facilitator fee (200 USD), venue rental (67 USD), transport for participants (333 USD), travel to Palu for 3 persons 2 times (2,360 USD)
	2.1.6.7	Conducting for Workshop Community awareness on energy efficiency and management	4,793	The total budget for conducting workshops is 4,793 USD, which consists of consultant fees for developing the energy efficiency and management module (1,333 USD), meals for 25 participants across two sessions (500 USD), facilitator fees (200 USD), venue rental (67 USD), transport for participants (333 USD), travel to Palu for 3 persons 2 times (2,360 USD)
Outcome 2.2. Increased economic, social, livelihood, resilience in the local community				
Output 2.2.1. Supply chain mapping of leading commodities and commodities development plan designed	2.2.1.1	Conduct supply chain analysis on leading commodities	7,180	The total budget for conducting a supply chain analysis on leading commodities is 7,180 USD, which includes consultant fees for supply chain analysis (4,000 USD), surveyor fees for 3 people (700 USD), external review (667 USD), drafting and finalization (667 USD), and travel to Palu for 2 persons (1,146 USD)
	2.2.1.2	Conduct market demand and distribution analysis on leading commodities and derivative products	2,194	The total budget for conducting market demand and distribution analysis is 2,194 USD, covering surveyor fees for 3 persons (700 USD), external review (667 USD), drafting and finalization (667 USD), and local transport for 4 persons over 3 days (160 USD).
	2.2.1.3	Develop sustainable commodities development plan	1,811	The total budget for developing a sustainable commodities development plan is 1,811 USD, which includes an external review fee (667 USD), venue rental (200 USD), participant transportation for 15 people (100 USD), meals for 15 participants (150 USD), facilitator fee (100 USD), note taker fee for 2 persons (100 USD), and travel to Palu for 1 person (494 USD)
Output 2.2.2. Options to improve leading commodities value through its derivative products identified and implemented by farmers	2.2.2.1	Identification of preferable derivative products to be further developed to increase income of farmers	3,984	The total budget for identifying preferable derivative products is 3,984 USD, covering consultant fees for sustainable commodities (2,667 USD), external review (667 USD), venue rental (200 USD), transport for 15 participants (100 USD), meals for 15 participants (150 USD), facilitator fee (100 USD), and note taker fee (100 USD).
	2.2.2.2	Conduct identified derivative products distribution analysis to ensure product reaching the right market at the right time (including identifying buyers)	1,808	The total budget for conducting an analysis of derivative product distribution is 1,808 USD, which includes an external review fee (667 USD), participant transportation for 15 people (100 USD), meals for 26 participants (347 USD), facilitator fee (100 USD), note taker fee (100 USD), and travel to Palu for 1 person (494 USD)

Output 2.2.3. Technical capacity and tools/machinery for farmers to produce value-added products developed and strengthened	2.2.3.1	Training of trainers for farmers on value-added commodities production	9,196	The total budget for training of trainers is 9,196 USD, including consultant fees for technical capacity development (5,333 USD), trainer fees (467 USD), venue rental (200 USD), seminar kits for 50 participants (100 USD), stationery (27 USD), screen projector rental (44 USD), meeting package for 25 participants (333 USD), printing and distribution of materials (250 USD), participant transportation for 25 people (167 USD), and travel to Palu for 3 persons (2,275 USD)
	2.2.3.2	Developing appropriate Processing Tools/Machinery/Technology for the farmers (to be granted to Village enterprise/BUMDes)	36,666	The total budget for developing processing tools/machinery is 36,666 USD, covering the purchase of a Suton cocoa/coffee sorting machine (19,667 USD), fermentation ovens (3,000 USD), fermentation boxes (3,000 USD), pod breaker/pulper machine (2,333 USD), cocoa/coffee roasting machine (1,333 USD), solar domes for 2 villages (4,000 USD), and a huller machine (3,333 USD).
	2.2.3.3	Training for village enterprises to develop business model e.g. market, distribution, and Return of Investment (RoI)	3,345	The total budget for training village enterprises is 3,345 USD, which includes trainer fees (267 USD), training equipment and supplies (167 USD), meeting package for 25 participants (500 USD), printing and distribution of materials (250 USD), participant transportation for 25 people (167 USD), Travel to Palu for 3 Persons (1,994 USD)
	2.2.3.4	Workshop on empowering women and vulnerable groups' resilience (economic, social, livelihood)	983	The total budget for conducting the workshop is 983 USD, covering facilitator fees (233 USD), note taker fees (50 USD), stationery (33 USD), meeting package for 25 participants (500 USD), and participant transportation for 25 people (167 USD).
<b>Outcome/Output</b>	<b>Activity</b>	<b>Budget (US\$)</b>	<b>Budget Notes</b>	
Component 3. Center of excellence of climate change adaptation at district level				
Outcome 3.1. Learning and Communication Tools targeted for replication developed based on Monitoring, Evaluation & Learning (MEL) throughout the process				

Output 3.1.1. IEC materials and tools design based on local context developed	3.1.1.1	Identify local context on adaptation efforts for designing IEC materials and tools	2,986	The total budget for identifying the local context on adaptation efforts is 2,986 USD, which includes the consultant fee for local adaptation context identification (2,000 USD), and travel to Palu for 2 persons (986 USD).
	3.1.1.2	Developing IEC materials and tools design based on local context and lessons learned from the project	5,585	The total budget for developing IEC materials and tools design is 5,585 USD, covering the consultant fee for developing the IEC materials (3,333 USD) and KML expert services for 17 days (2,252 USD).
	3.1.1.3	Public consultation on the IEC materials and tools	8,545	The total budget for conducting public consultation sessions is 8,545 USD, which includes the cost of meals for 50 participants over 2 sessions (3,333 USD), facilitator fees (200 USD), venue rental for 2 sessions (67 USD), transportation for 25 participants (333 USD), and travel to Palu for 3 persons (2,360 USD) , and KML expert services for 17 days (2,252 USD).
	3.1.1.4	Finalization of IEC materials and tools design based on local context	6,431	The total budget for the finalization of IEC materials and tools design is 6,431 USD, including the consultant fee for finalizing IEC materials (4,179 USD) and KML expert services for 17 days (2,252 USD).
	3.1.1.5	Development of project lessons learned	20,008	The total budget for developing lessons learned from the project is 20,008 USD, which includes consultant fees for knowledge management and community best practices over 22 months (11,000 USD) and KML expert services for 68 days (9,008 USD).
	3.1.1.6	Develop communication strategy	3,333	The total budget for developing the communication strategy is 3,333 USD, which includes the communication specialist fee for creating a climate awareness strategy (3,333 USD).
	3.1.1.7	Create short documentary about community based climate adaptation	12,000	The total budget for creating a short documentary is 12,000 USD, which covers the production house fee for creating the short movie (10,000 USD), and travel to Palu for 3 persons (2,000 USD)
	3.1.1.8	Dissemination of communication product (short documentary)	23,943	The total budget for the dissemination of communication products is 23,943 USD, which includes meeting package costs (3,000 USD), design and production of educational materials (1,000 USD), meeting package for detailed awareness programs (1,333 USD), flyers and posters (33 USD), travel to Palu for 7 persons (4,509 USD) , media outreach (6,674 USD), and audit costs (7,394 USD).
Outcome 3.2. Disseminated knowledge lesson learned and best practices for further				

replication by District				
Output 3.2.1 Enhanced knowledge, lessons learned, and best practices through the Center of Excellence digital platform	3.2.1.1	Design centre of excellence digital platform	14,145	The total budget for designing the digital platform is 14,145 USD, which includes consultant fees for developing the digital platform (10,000 USD), meeting packages (800 USD), facilitator fees (267 USD), travel to Palu for 2 persons (826 USD), and KML expert services for 17 days (2,252 USD).
	3.2.1.2	User trial test of the centre of excellence digital platform	4,454	The total budget for conducting the user trial test is 4,454 USD, covering meeting packages (2,400 USD), facilitator fees (400 USD), and travel to Palu for 2 persons (1,654 USD).
	3.2.1.3	Sub national policy dialogue for identification learning and sharing climate adaptation action plan (district and province)	2,027	The total budget for the sub-national policy dialogue is 2,027 USD, covering venue rental (1,000 USD), resource person fees (333 USD), facilitator fees (100 USD), note taker fees (100 USD) and travel to Palu for 1 person (494 USD)
	3.2.1.4	Launcing of Sigi District Climate Change Adaptation Centre of Excellence (back-to-back with Closing Ceremony of the Projects)	2,759	The total budget for the launching event is 2,759 USD, which includes meeting package costs for 70 participants (1,400 USD), facilitator fees (200 USD), resource person fees (333 USD), and travel to palu for 2 persons (826 USD)
<b>Project Execution Cost</b>				
		Project Manager	40,000	24 Months
		Finance Manager	24,000	24 Months
		M&E Specialist	12,800	24 Months
		Local Admin Staff	4,800	24 Months
		Office supplies and utilities	3,200	24 Months
		External Audit	2,657	External Audit (partial)
<b>Implementing Entity Fee</b>				
		Project identification and Development	3,913	This activity is scheduled to be conducted during the initial three months of the project.
		Project Implementation and Supervision	28,308	This activity is scheduled to be conducted on a monthly basis for the duration of the project, Including Travels, and meetings
		Monitoring and Evaluation	46,031	Baseline Survey \$7,500, Mid Term Report \$12,193 (the budget consists of Monthly review, Quarterly report, Annual Report), Terminal Evaluation \$26,338 (the budget consists of Final project review, project completion summary, independent evaluator, supervision, audit partial)