



ADAPTATION FUND

AFB/PPRC.36/14/Add.1
15 September 2025

Adaptation Fund Board
Project and Programme Review Committee
Thirty sixth Meeting
Bonn, Germany, 7-8 October 2025

Agenda Item 4(I)

PROJECT FORMULATION GRANT FOR COTE D'IVOIRE

I. Background

1. The Board at its eleventh meeting discussed the document "Funding for Project Formulation Costs" (AFB/11/6) and agreed, in its Decision B.11/18, that:

- i. project formulation grants (PFG) should be given once a project concept has been approved
- ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
- iii. a flat rate should be given for project formulation costs;
- iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
- v. the fate of funds if the final project document was rejected should be determined.

2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.

3. Following the discussion, the Board decided:

To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.

4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:

(a) Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;

(b) If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;

(c) A PFG form, reproduced in Annex V, should be submitted;

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

(Decision B.25/28)

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the

secretariat, and subsequently reviewed by the PPRC. Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.

II. The Project Formulation Grant Request

7. This addendum to the document, AFB/PPRC.36/14 “Proposal for Côte d'Ivoire” includes a request for a Project Formulation Grant, requesting a budget of US\$ 150,000, which was received by the secretariat along with the concept for the project AF00000418 “*Strengthening Access to Drinking Water for Rural Communities in the Central Zone of Côte d'Ivoire to Reduce their Vulnerability to the Effects of Climate Change*”. This proposal was submitted by The Interprofessional Fund for Agricultural Research (FIRCA), which is a National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its forty-fifth Board meeting.

8. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support development of the full proposal, and comprehensive stakeholder engagement.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



ADAPTATION FUND

Revised PFG Submission Form¹
Project Formulation Grant (PFG)

Submission Date: 10th January 2025

Adaptation Fund Project ID:

Country/ies: Côte d'Ivoire

Title of Project/Programme: Strengthening access to drinking water for rural communities in the central zone of Côte d'Ivoire to reduce their vulnerability to the effects of climate change.

Type of IE (NIE/RIE/MIE) : NIE

Implementing Entity : FIRCA (Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles)

Executing Entity/ies : FIRCA

A. Project Preparation Timeframe

Start date of PFG	1 st June 2025
Completion date of PFG	31 st May 2026

B. Proposed Project Preparation Activities (\$)

List of Proposed Project Preparation Activities	Output of the PFG Activities	US\$ Amount	Budget note ²
Field visits to the project area to validate the project design and obtain information for a complete project proposal.	Validated project design	20,000	Expert missions (hydraulics, gender, environment, project set-up) in the 5 target regions.
Development of the environmental and social management framework (ESMF)	ESMF report	5,650	Mobilization of environmental study experts for ESMF drafting
Initial gender analysis	Gender Action Plan	4,800	Mobilization of gender experts for the drafting of the Gender Action Plan
Geophysical survey for borehole location	Localities validated and sites identified for drilling and pump installation in each selected locality	56,800	Mobilization of geophysical experts for the study

¹ As presented in AFB/PPRC.33/40 Annex 1.

² The proposal should include a detailed budget with budget notes indicating the break-down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

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Revised PFG Submission Form¹
Project Formulation Grant (PFG)

Submission Date: 2nd July 2025

Adaptation Fund Project ID:

Country/ies: Côte d'Ivoire

Title of Project/Programme: Strengthening access to drinking water for rural communities in the central zone of Côte d'Ivoire to reduce their vulnerability to the effects of climate change.

Type of IE (NIE/RIE/MIE) : NIE

Implementing Entity : FIRCA (Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles)

Executing Entity/ies: FIRCA

A. Project Preparation Timeframe

Start date of PFG	1 st January 2026
Completion date of PFG	31 st December 2026

B. Proposed Project Preparation Activities (\$)

List of Proposed Project Preparation Activities	Output of the PFG Activities	US\$ Amount	Budget note ²
Field visits to the project area to validate the project design and obtain information for a complete project proposal.	Validated project design	20,000	Expert missions (hydraulics, gender, environment, project set-up) in the 5 target regions.
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Workshops to develop a complete project proposal and take into account the Adaptation Fund Secretariat's comments on the proposal before approval (Detailed analysis of project components; Development of project log frame and results framework; Detailed project budget development)	Well described and detailed Project components Detailed Project Logframe and Results Framework developed. Detailed and concrete project budget Full Project Proposal developed	30,000	3 workshops planned: Preparation of the full proposal Consideration of comments from the first AF review Consideration of comments from the second review of the AF
Stakeholders' workshops for validating the project design and inputs for full proposal development	Workshop reports, validated project design, improved design, inputs to the design process	20,000	Final stakeholder consultation workshop
FIRCA Management fees	PFG execution report	12,750	Supervision of the preparation of the full proposal Reporting on the implementation of the PFG
Total Project Formulation Grant		150,000	

Field visits to the project area to validate the project design and obtain information for the preparation of full proposal: Field visits to the project area are essential to gather additional data and information to finalize the project design. These visits will mobilize a multi-disciplinary team of experts including gender, environmental, hydraulic and project management specialists. During these visits, consultations with local communities, administrative authorities and other stakeholders will be carried out to deepen the exchanges initiated during the elaboration of the concept note. Activities during this mission will focus on (i) data collection on the vulnerability of populations to the effects of climate change, (ii) the division of tasks in the supply of water to communities, (iii) the monitoring of local natural resources, (iv) the collection of environmental and social data, and so on.

Preparation of the environmental and social management framework for the full proposal. Based on the data collected during field missions, this phase will consist of drawing up the project's Environmental and Social Management Framework document.

Initial gender analysis: Based on data collected during field missions, this phase will involve drawing up the project's Gender Action Plan.

Geophysical survey: Expert geophysicists will be mobilized to carry out preliminary studies, enabling us to identify localities with groundwater resources capable of ensuring water supply in each area targeted by the project. In each locality, potential drilling sites and pump locations will also be identified. This study will make it possible to identify potential localities to be equipped with permanent drinking water supply systems.

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Preparation of the full proposal: This will take the form of three technical workshops, bringing together all the project's technical experts to consolidate the results of the various consultations. The first of these three workshops will consist of a quality review, consolidating and integrating all the data collected and the results of the studies into the final technical proposal to be submitted to the stakeholders for validation at the validation workshop. The next two workshops will involve taking into account the various observations made by the Adaptation Fund Secretariat during the two technical reviews that are carried out before the complete proposal is submitted to the AF Board.

Workshop to validate the full proposal. This workshop brings together all project stakeholders to validate the final technical proposal and the institutional arrangement for implementing the project before its first submission to the Adaptation Fund Secretariat.

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
N'DIAYE Omar N'Gor		10th January 2025	AYEMOU Djatin Edmond	+225 0707880380	ayemou@firca.ci

Preparation of the full proposal: This will take the form of three technical workshops, bringing together all the project's technical experts to consolidate the results of the various consultations. The first of these three workshops will consist of a quality review, consolidating and integrating all the data collected and the results of the studies into the final technical proposal to be submitted to the stakeholders for validation at the validation workshop. The next two workshops will involve taking into account the various observations made by the Adaptation Fund Secretariat during the two technical reviews that are carried out before the complete proposal is submitted to the AF Board.

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Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
N'DIAYE Oumar N'Gor		2 nd July 2025	AYEMOU Djatin Edmond	+225 0707880380	ayemou@firca.ci

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MINISTRY OF ENVIRONMENT,
OF SUSTAINABLE DEVELOPMENT
AND ECOLOGICAL TRANSITION

DIRECTORATE OF INTERNATIONAL
COOPERATION AND FUNDING
MOBILIZATION

№ 0011/MINEDTE/CAB/DCIMF/Id

REPUBLIQUE OF COTE D'IVOIRE
Union - Discipline - Work



Abidjan, the 02 JUL 2025



ADAPTATION FUND

Letter of Endorsement by Government

To: **Adaptation Fund Board**
1818 H Street, NW
Washington, DC 20433 USA
Tel : 202.522.3240/3245
Email : afbsec@adaptation-fund.org

Subject: Endorsement of the concept note for the project "Strengthening access to drinking water for rural communities in the central zone of Côte d'Ivoire to reduce their vulnerability to the effects of climate change".

In my capacity as Director of International Cooperation and Financing Mobilisation, the designated entity for the Adaptation Fund for the Republic of Côte d'Ivoire, I confirm that the above national project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Côte d'Ivoire.

Indeed, the present project is fully in line with the National Adaptation Plan, which identifies water resources as one of the sectors most vulnerable to the effects of climate change. The project, which is part of the country allocation, aims to improve access to drinking water for rural communities in the central zone of Côte d'Ivoire, in order to reduce their vulnerability to the effects of climate change.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by Fonds Interprofessionnel pour la Recherche et le Conseil Agricoles (FIRCA) and executed by the National Climate Change Program (PNCC).

Sincerely



Colonel YAO MARCEL
Director of International Cooperation and Financing
Mobilisation
Entity designated by the Adaptation Fund
Tel: +225 07 07 79 13 04
Email: ersamarcel@yahoo.fr

Directorate of Environment, of Sustainable Development and Ecological Transition
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