READINESS PROGRAMME

ACCREDITATION AND PROJECT SUPPORT

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AFB Secretariat

Overview

- **Grants**

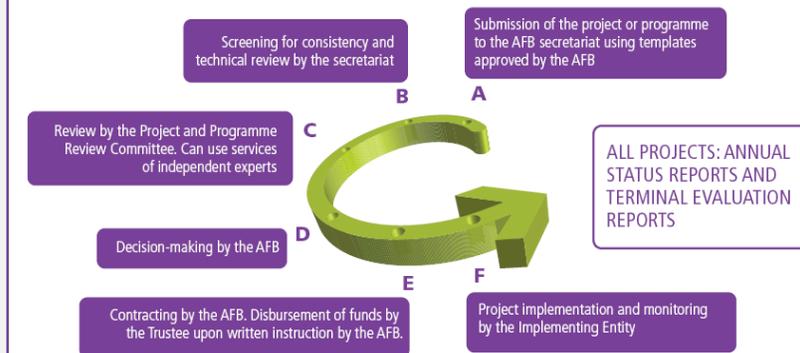- **Contributes to KM**

- **Visibility of the Fund**

- **Accreditation, project preparation & design**

Activities under the Readiness Programme

Implemented through 4 key components

- **Support to countries seeking accreditation**

- **Support to accredited IEs**

- **Cooperation/Partnership with climate finance readiness providers**

- **Knowledge management**

Types of readiness grants

US$

The Readiness Package Grant (RPG)

150,000

South-South peer support
For non-accredited entities

Project Scale-Up Grants

100,000

To support planning, assessment, capacity enhancement for developing scaling up pathways

Technical Assistance Grant for the Environmental and Social Policy and Gender Policy (TA-ESGP)

25,000

To address and manage environmental and social risks and gender issues

Technical Assistance Grant for the Gender Policy (TA-GP)

10,000

To address gender considerations

Approved Readiness Grants
50 readiness grants approved as of October 1, 2024

Types of Grants
- S-S
- TA-ESGP
- Readiness Package
- TA-ESP
- TA-GP
- Project scale-up

34 grants in Sub-Saharan Africa
- Benin
- Botswana
- Burkina Faso
- Burundi
- Cabo Verde
- Cameroon
- Chad
- Côte d'Ivoire
- Guinea
- Kenya
- Malawi
- Mali
- Mauritius
- Mozambique
- Namibia
- Niger
- Rwanda
- Senegal
- Sierra Leone
- South Africa
- Tanzania
- Togo
- Uganda
- Zambia
- Zimbabwe

8 grants in Latin America and the Caribbean
- Antigua & Barbuda
- Costa Rica
- Dominica
- Dominican Republic
- Mexico
- Panama
- Peru

4 grants in South Asia
- Afghanistan
- Bhutan
- India
- Maldives

2 grants in East Asia and Pacific
- Micronesia

1 grant in Middle East and North Africa
- Morocco

1 grant in Europe and Central Asia
- Armenia

READINESS ACTIVITIES RELATED TO ACCREDITATION

The Readiness Package Grant (RPG)

Grant supports accreditation through South-South Cooperation (SSC) and peer to peer support through a suite of tools (workshops, guidance docs, experts, assessments)

Grant Size

➢Up to US$ 150,000 per NIE

Eligibility Requirement For Countries:

➢All developing country Parties to the Kyoto Protocol and Paris Agreement under UNFCCC are eligible.

In addition, all NIE applicants should meet the following criteria:

➢The NIE candidate must be located in a developing country Party to the Kyoto Protocol or Paris Agreement;

➢The country of the NIE candidate must have a Designated Authority (DA) to the Adaptation Fund;

The Readiness Package Grant

Eligibility Requirement for intermediary:

➢All developing country Parties to the Kyoto Protocol and Paris Agreement under UNFCCC are eligible.

In addition, all applicants should meet the following criteria:

The country must be a developing country Party to the Kyoto Protocol or Paris Agreement;

The NIE candidate must be located in a developing country Party to the Kyoto Protocol or Paris Agreement that does not have an NIE accredited with the Fund;

The country of the NIE candidate must have a Designated Authority (DA) to the Adaptation Fund;

The Readiness Support Package Process

Legend:
- Flow of non-financial resources (black arrow)
- Flow of finance (red arrow)

AFB Secretariat
- Tools, Guidance documents
- Workshops with DAs/ Countries/ AF Stakeholders
- Grants → Intermediary (Accredited NIE/IE)

Intermediary (Accredited NIE/IE)
- Experts for technical support
- Workshops with NIE candidates
- Continuous dialogue + engagement → Candidate NIE

Community in Direct Access, Readiness and Capacity-building

Official Use Only

Eligible Activities for Funding

Support in one or more of the following:

In-country support by the intermediary to an NIE candidate for the preparation of an application for accreditation.

Technical support through experts to develop and/or put in place policies, procedures and other institutional arrangements to support the accreditation process with the Fund.

Organization of local, national or regional consultations/workshops to assist in the preparation of applications for accreditations from several NIE candidates.

Following consultations between the intermediary and NIE candidate

Application Form

ADAPTATION FUND

READINESS PACKAGE GRANT APPLICATION FORM TEMPLATE

Application for a Grant to support NIE accreditation through the readiness package

Submission Date:

Adaptation Fund Grant ID:
Country receiving support:
Institution to navigate accreditation process, if already identified:
Name of Implementing Entity delivering support:
Type of Implementing Entity delivering support (NIE/RIE/MIE):

A. Timeframe of Activity

Expected start date of support	
Completion date of support	

B. Experience participating in, organizing support to, or advising other NIE candidates

(i) Describe the support provided for accreditation through readiness grants from the Adaptation Fund to developing countries and/or entities seeking to use the Fund's Direct Access modality.

Year support started	Year support ended	Climate Fund (source of grant)	Type of support provided	Outcome of the support	Country/institution supported

(ii) Describe any other type of support provided outside the grants from the Adaptation Fund to other national, sub-national and/or local entities relevant to the AF accreditation process.

Year support started	Year support ended	Climate Fund (source of grant)	Type of support provided	Outcome of the support	Country/institution supported

C. Proposed activities to support NIE accreditation

i) Describe the initial exchange that took place with the candidate entity and with the DA e.g., state with who (director, committee, DA etc.). Also state when the discussion took place and state what conclusions were arrived at. e.g., briefly state what issues the identified candidate NIE(s) is likely to face considering its experience managing project finance, its institutional capacity and experience implementing and managing the full climate change or development finance project life cycle, and its competency for transparency, self-investigative powers and anti-corruption measures.

ii) Describe results of the self-assessment done by the candidate NIE or assessment done by intermediary on suitability of the candidate/nominated NIE to meet the accreditation criteria. Confirm whether any candidate NIE staff took the AF online course on accreditation and explain how the learning outcome from taking this course has been incorporated into the proposal. (The AF accreditation course can be found here).

iii) Briefly justify why the nominated NIE candidate is best suited to meet the accreditation criteria.

(iv) Provide a list in chronological order of occurrence, of the main components/steps that would be implemented to address the NIE candidate gaps/challenges, the activities to be undertaken, and the requested budget to support accreditation of the NIE candidate. An example is provided within the table in italics

Component	Proposed support activities to address Gap/Challenge	Expected Output of the Activities	Tentative completion date	Requested budget for component (USD)
Developing polices and manuals	*Updating environmental and social policy*	*Updated E&S policy*	*April 2021*	*00,000*
	Developing management operational manual for	*Manual for project quality at entry review*	*May 2021*	
	Developing policy and procedures for internal control	*Policy outlining the institution internal control framework*	*July 2021*	

Implementing entity fee				
Total Grant Requested (USD)*				

*****Please provide a detailed budget (*with budget notes including a note of how the management fee will be used*) attached as an annex to the application**

D. Justification of project activities

Provide a description of each identified NIE candidate gap/challenge and explain the status core, current processes and procedures within the NIE candidate regarding the identified gap/challenge and explain how the activities to be undertaken would address the identified gaps/challenges to advance accreditation of the NIE candidate. For new policies, procedures and institutional structures that need to be newly established, also provide a timeframe for demonstrating their effective operation and submission of evidence to the Accreditation Panel (AP), including responding to feedback from the AP. (*for missing policies, manuals and institutional structures, please list and explain each one individually*)

E. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address

F. Record of request of support on behalf of the government

Provide the name and position of the government official who is the Designated Authority of the Adaptation Fund in the NIE candidate country and indicate date of endorsement. The letter of endorsement from the Designated Authority should be attached as an annex to the application.

(Enter Name, Position, Ministry)	Date: *(Month, day, year)*

Support for Accreditation- The Readiness Package Grant

Key considerations:

Initial assessment and dialogue to nominate a suitable NIE candidate to navigate the accreditation process of the Fund.

In-country support to an NIE candidate, identification of gaps

Technical support – Articulate main components/steps to address the NIE candidate gaps/challenges, including through experts (activity → output → timeframe)

Justify chosen components/steps - explain status core, current processes and procedures within the NIE candidate , plus implementation timeframe for new policies

Support to address and respond to feedback provided by the Accreditation Panel

Support for project development

process
process
process

guidelines

The AFB secretariat: Helps clarify review criteria and process: afbsec@adaptation-fund.org (the secretariat cannot pre-screen proposals before submission)

Technical assistance and scale-up grants: meant to provide support for project development

Non-grant activities

Technical Assistance (TA) Grants (TA-ESGP)

❖**TA Grant for the Environmental and Social Policy and Gender Policy – US $25,000**

Aimed at strengthening NIE capacity to identify, screen, address and manage environmental and social risks as well as gender related issues in their projects and programs in line with the Fund's Environmental and Social Policy and Gender Policy.

Eligibility Requirement:

➡ All accredited NIEs of the Fund that have not previously received a technical assistance grant are eligible to apply.

Support in one or more of the following:

➡ Developing procedures/manuals/guidelines for screening projects for ES risks as well as gender-related risks;

➡ Developing procedures/manuals/guidelines for undertaking ES risk assessments and formulating risk management plans that are gender responsive

➡ Developing Policies for public disclosure and consultation that are gender- responsive

➡ Developing transparent, accessible, fair and effective complaint handling mechanisms

➡ Training of staff

Technical Assistance (TA) Grants (TA-GP)

❖ **TA Grant for the Gender Policy – US $10,000**

Meant for NIEs that already have robust environmental and social policies to put in place measures to avoid, minimize and/or mitigate adverse gender impacts in accordance with the Adaptation Fund's Gender Policy.

Eligibility Requirement:

➤ All accredited NIEs that have not previously received a technical assistance grant are eligible to apply.

Support in one or more of the following:

➤ Updating of existing procedures/manuals/guidelines

➤ Development of procedures for undertaking gender assessments

➤ Development of a policy/avenues for public disclosure and consultation that are gender responsive

➤ Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints

➤ Training of staff for gender mainstreaming

Timeline for Submission of Readiness Grant Requests

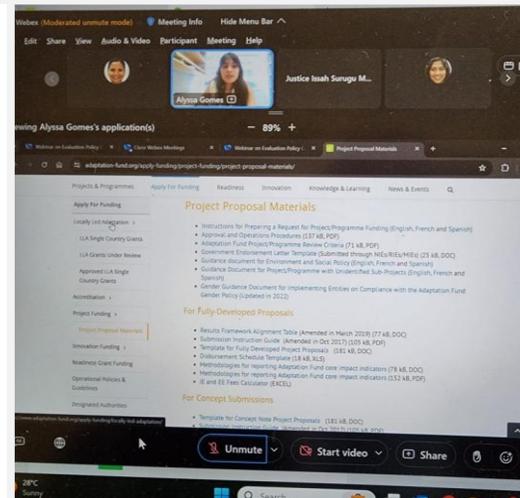| Readiness Package Grant + Technical Assistance Grants |
|---|
| 1 February to 31 March and from 1 July to 31 August every year. |

Non-grant support available to NIEs

South-South Country **Exchange Visits**

Regional **Workshops**

Annual NIE seminar for accredited NIEs

Readiness **webinars**