



## ADAPTATION FUND

AFB/PPRC.37/13/Add.1  
16 March 2026

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty seventh Meeting  
Bonn, Germany, 7-8 April 2026

Agenda Item 5 (h)

### **PROJECT FORMULATION GRANT FOR PERU**

## I. Background

1. The Board at its eleventh meeting discussed the document "Funding for Project Formulation Costs" (AFB/11/6) and agreed, in its Decision B.11/18, that:

- i. project formulation grants (PFG) should be given once a project concept has been approved
- ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
- iii. a flat rate should be given for project formulation costs;
- iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
- v. the fate of funds if the final project document was rejected should be determined.

2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.

3. Following the discussion, the Board decided:

To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.

4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:

*(a) Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*

*(b) If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*

*(c) A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

*(Decision B.25/28)*

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

*“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the*

*secretariat, and subsequently reviewed by the PPRC. Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.*

## **II. The Project Formulation Grant Request**

7. This addendum to the document AFB/PPRC.37/13 “Proposal for Peru” includes a request for a Project Formulation Grant, requesting a budget of US\$ 150,000, which was received by the secretariat along with the concept for the project AF00000452 “Strengthening Resilience and Adaptation to Climate Change in Vulnerable Cities in Peru through Nature-Based Solutions with a Territorial Approach”. This proposal was submitted by Peruvian Trust Fund for National Parks and Protected Areas (Profonanpe), which is a National Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its forty-sixth Board meeting.

8. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support: Analysis of climate-related hazards, Social environmental risk Analysis including gender-related risks, Socio Economic baseline assessment, consultations and full proposal development.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regular-sized Project Concept

<b>Country/Region:</b>	Peru	
<b>Project Title:</b>	Strengthening resilience and adaptation to climate change in vulnerable cities in Peru through nature-based solutions with a territorial approach	
<b>Thematic Focal Area:</b>	Multisector	
<b>Implementing Entity:</b>	Peruvian Trust Fund for National Parks and Protected Areas (Profonanpe)	
<b>Executing Entities:</b>	Ministry of Environment of Peru (MINAM)	
<b>AF Project ID:</b>	AF00000452	
<b>IE Project ID:</b>		<b>Requested Financing from Adaptation Fund (US Dollars):</b> 10,000,000
<b>Reviewer and contact person:</b>	Alexandra Munoz	<b>Co-reviewer(s):</b>
<b>IE Contact Person:</b>		

<b>Technical Summary</b>	<p>The project “Strengthening resilience and adaptation to climate change in vulnerable cities in Peru through nature-based solutions with a territorial approach” aims to strengthen the adaptive capacity and climate resilience of Peruvian cities by effectively integrating climate change adaptation into governance, planning, financial management, and territorial action processes led by local governments. This will be done through the five components below:</p> <p><u>Component 1:</u> Governance and Planning for Climate Change Adaptation (USD 841,698);</p> <p><u>Component 2:</u> Financial Management for Climate Change Adaptation (USD 673,358);</p> <p><u>Component 3:</u> Pilot Interventions for Climate Resilience (USD 5,050,186);</p> <p><u>Component 4:</u> Diversification and Protection of Livelihoods (USD 1,010,037);</p> <p><u>Component 5:</u> Knowledge Management and M&amp;E in Adaptation (USD 841,698).</p> <p><u>Requested financing overview:</u> Project/Programme Execution Cost: USD 799,613</p>
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	<p>Total Project/Programme Cost: USD 9,216,590  Implementing Fee: USD 783,410  Financing Requested: USD 10,000,000</p> <p>The proposal includes a request for a project formulation grant and/or project formulation assistance grant of USD 150,000.</p> <p>The initial technical review raised some issues, such as the lack of an Initial Gender Assessment, limited quantification of proposed benefits, alignment with the Environmental and Social Policy, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The second review clears some of the issues raised in the initial review, but still raises some issues such as the direct and indirect beneficiaries, the theory of change, the Environmental and Social Policy and risk assessment, alignment with results framework, amendments to PFG request as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The third review clears some of the issues raised in the second review but still raises some issues such as the further clarification on the theory of change and the coordination plan to avoid duplication with Unidentified Sub-Projects (USPs) as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The fourth technical review raised some minor issues, such as expanding on the specific sectoral ministries and local governments that will compose the Project Technical Committee, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The fifth technical review raises a few CRs and CARs outstanding including, monitoring risk of USPs, correct, updating components financing table and the consistency of the titles of tables and figures throughout the CN, as is discussed in the remaining Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p>
Date:	February 18, 2026

Review Criteria	Questions	1st Review Comments August 04, 2025	2nd Technical Review Comments August 24, 2025	3rd Technical Review Comments November 10, 2025	4th Technical Review Comments February 3, 2026	5th Technical Review Comments February 3, 2026	6th Technical Review Comments February 18 2026
Country Eligibility	1. Is the country party to the Kyoto Protocol, and/or the Paris Agreement?	<p><b>Yes.</b></p> <p>The country has signed and ratified the Kyoto Protocol and the Paris Agreement.</p>	-	-	-	-	-
	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<p><b>Yes.</b></p> <p>The country is one of the most climate-vulnerable countries in Latin America and has been exposed to rising temperatures, more frequent and intense extreme weather events, glacial retreat, floods, prolonged droughts, and heatwaves, especially affecting urban and peri-urban populations in the last few decades.</p>	-	-	-	-	-

Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b>  As per the Endorsement letter dated July 17th, 2025	-	-	-	-	-
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes?	<b>No.</b>  The concept note is 66 pages including its annexes.  <b>CAR1:</b> Kindly reduce the proposal amount to no more than fifty pages including the annexes.	<b>CAR1: Not Cleared</b>  On page 1 (cover page), please uncheck “this is the first submission...” and check the box associated with “this concept has been submitted before”. Please also enter the latest submission date when re-submitting the proposal.  <b>General note: Kindly include page numbers and paragraph numbers and number all the tables in the concept for easier reference. Kindly delete the theory of change diagram in</b>	<b>CAR1: Cleared</b>  The “this is the first submission...” box has been unchecked and box associated with “this concept has been submitted before” checked and the latest submission date entered.  General note has also been cleared.	-	<b>CR(NEW1):</b>  1. There are two figures named “Figure 07”. First one is “Figure 07 – Theory of Change” and “Figure 07 – Scheme of the coordination mechanisms”. Please amend numbers in tables and figures titles.  2. Please update the latest submission date to the last submission date on the cover page.  At the project/programm	<b>CR1 (NEW) Cleared.</b>  Based on updated to figures, updated submission month and updated components financing table.

			Annex 3 as it is already included as figure 07.			e components and financing table please include the output level budget in the last column as well not only the total for component.	
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the	<b>Yes, but further information is needed.</b>  The project activities aim to improve coordination and territorial integration of climate change adaptation initiatives at both	<b>CR1: Not cleared</b>  Component 3 in the revised proposal states that the activities fall under AFs definition of USPs <i>'Partially unidentified: specific activity identified, location to be</i>	<b>CR1: Cleared</b> on page 19.  Component 3 outputs on page 19 have been harmonized with those in Table 02.  <b>CR(New1): Not cleared</b> on page 27 and 14.	<b>CR1: Cleared.</b>  As per information provided in pages 27-28, and Figure 7 (a new figure of the ToC has been		-

	<p>adverse effects of climate change and build in climate resilience?</p>	<p>the sectoral and multilevel governance with Peru's NDC and NAP, including public investment portfolios at the subnational level and implement nature based solutions for urban heat reduction. The proposed activities contribute to multisector perspective. However, the information provided is broad. It is not clear how these actions would lead to a substantial tangible outcome nor what AF strategic objectives are supported.</p> <p><b>CR1:</b></p> <ol style="list-style-type: none"> <li>1. Kindly revise the main projects outputs as, currently, they are writing as outcomes.</li> </ol>	<p><i>determined</i>'. Outputs are revised and measurable, relevant and specific on the budget on page 12 however, the outputs are not revised in the rest of the project document.</p> <p>Please ensure all the outputs are the same across the document.</p> <p><b>CR2: Cleared</b> on pages 27-28.</p> <p><b>However further explanation required.</b></p> <p>Figure 7 in the revised concept introduces a theory of change diagram, however the diagram is not clear on how the components, outputs and outcomes are linked and how they result in the impact.</p> <p><b>CR(New1):</b></p>	<p>The 00 on the amount of financing requested has also been deleted. The theory of change has a solid foundation but would benefit from greater specificity in the causal pathways, clearer articulation of intermediate outcomes, and more explicit linkages between components, assumptions, and expected results. A more detailed visual representation showing these connections would strengthen it considerably.</p> <p>Please clarify the following:</p> <ul style="list-style-type: none"> <li>• Kindly you provide more detail on the intermediate outcomes between project</li> </ul>	<p>added). The five components are parallel and independent from each other.</p> <p><b>CR1(NEW):</b> Please ensure that Figure 7 is displayed horizontally for better clarity.</p>	<p><b>CR1 (NEW): Cleared.</b> Figure 07 – Theory of Change diagram has been displayed horizontally.</p>	
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		<p>The concept note provides specific outputs, that depending on the new wording of the main outputs, could need a revise a rephrase. Please clearly outline the specific adaptation actions that the project will lead to, including their tangible outcomes and measurable impact.</p> <p>2. Please clarify if the project includes any USPs as per <a href="#">Guidance Document for</a></p>	<p>1. Please clarify whether the outcomes in the Theory of Change are derived solely from Output 3, as the current diagram shows connections only to this output. Kindly revise the diagram to ensure that the causal pathways are clearly illustrated, showing how activities lead to outputs, outputs to outcomes, and outcomes to impacts.</p> <p>2. At the Project/programme Components and Financing, please</p>	<p>activities and the ultimate impact?</p> <ul style="list-style-type: none"> <li>• How are the five components causally linked to each other? Are they sequential, parallel, or interdependent?</li> <li>• What are the specific mechanisms through which NbS implementation leads to livelihood diversification?</li> <li>• How will the project ensure that vulnerable populations actually benefit from the interventions?</li> <li>• What monitoring indicators will track progress along the causal pathway?</li> <li>• Are there feedback mechanisms built into the</li> </ul>			
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		<p><a href="#">Project/Programme with Unidentified Sub-Projects</a></p> <p><b>CR2:</b> Kindly revise the Theory of Change diagram to clearly illustrate how the proposed interventions will lead to the intended long-term change including the assumptions being made, the possible constraints, the required inputs and how they link to the various project components to support the 'IF' 'THEN' statements in Annex 3. Also, please include the Theory of Change under Part II: Project Justification in the main document and not only as an annex.</p> <p><b>CAR3:</b> Kindly indicate explicitly how the project</p>	<p>remove the decimal point and the 00 on the Amount of financing requested.</p> <p><b>CAR3: Cleared</b> on page 19. The revised proposal includes a section on the project's <i>'Alignment with the Strategic Objectives of the Adaptation Fund'</i></p>	<p>theory of change?</p>			
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		supports one or more of the Adaptation Fund Strategic Objectives at Part I B.					
	4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations , while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Unsure.</b></p> <p>The proposal outlines general economic, social and environmental benefits of the project but quantitative estimates of these benefits are not quantified. The project sites have been summarized in Table 01 (pages 10-11) alongside the number of exposed populations in each of the 14 target areas. However, the concept note does not clearly identify the specific vulnerable groups or demonstrate how benefits will be equitably distributed. On indigenous people, particular benefits</p>	<p><b>CR3: Cleared</b> on pages 29-30.</p> <p>Economic, social and environmental benefits have been quantified based on proxies from existing literature.</p> <p><b>CAR4: Cleared</b> on page 30.</p> <p>An initial gender analysis has been included. Table 1 has also been revised to disaggregate the population in the target areas by sex.</p> <p><b>CAR5: Not cleared</b></p> <p>Table 1 identifies the population exposed to various risks in the different target areas but the number of direct and indirect</p>	<p><b>CAR5: Cleared</b> on pages 9-11.</p> <p>The estimated direct and indirect beneficiaries in each target area have been included in Table 1.</p>	-	-	--

		<p>provided for this group is not clearly stated or calculated. The proposal does not provide an Initial Gender Analysis.</p> <p><b>CR3:</b> Kindly quantify the economic, social and environmental benefits in section II.B (page 31), whenever possible. In cases where exact figures are not available, please provide reasoned estimates or qualitative proxies to support the anticipated impact of the project. Also, provide a brief explanation of the method used for these calculations.</p> <p><b>CAR4:</b> Kindly include an Initial Gender Analysis in compliance with the Gender Policy requirements to determine the different needs, capabilities, roles and knowledge</p>	<p>beneficiaries have not been indicated.</p> <p>As the activities outlined under Component 3 have already been defined and a corresponding budget estimated, please indicate the anticipated number of direct beneficiaries as well as the projected number of indirect beneficiaries, notwithstanding that the specific implementation locations are yet to be determined.</p> <p><b>CR4: Cleared</b> on page 30.</p> <p>In the initial gender assessment, the revised concept states how gender considerations have been integrated into each of the five components.</p>				
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		<p>resources of women and men, and/or identify how changing gender dynamics might drive changes.</p> <p><b>CAR5:</b> Kindly indicate the estimated number of direct and indirect beneficiaries and gender-disaggregated for each of the 14 identified communities if possible. Also, please identify the specific vulnerable groups (e.g., smallholder farmers, women, indigenous peoples) who will benefit from the project.</p> <p><b>CR4:</b> Please describe how benefits will be equitably distributed, including how gender</p>					
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		considerations have been integrated.					
	5. Is the project / programme cost effective?	<p><b>Unsure.</b></p> <p>The proposal lists a broad explanation on how the project is cost effective in section II.C (pages 32-33), however, the selected scope and approach is not clearly stated and explained. Also, the sustainability point of view of the cost effectiveness of the project needs more details.</p> <p><b>CAR6:</b> Kindly revise the proposal to include a comparison of the listed approaches with other potential adaptation and resilience-building interventions including a “do-nothing” or business-as-usual</p>	<p><b>CAR6: Cleared</b> on page 32.</p> <p>A cost-benefit analysis of the 3<sup>rd</sup> component has been included, with proxy’s from literature used in comparison.</p>	-	-	-	-

		<p>scenario. Where possible, provide quantitative estimates through proxies or qualitative justifications to support the comparison. Additionally, please highlight the sustainability point of view of the proposed interventions.</p>					
	<p>6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of</p>	<p><b>Yes.</b></p> <p>As per information provided in section II. D (pages 33-37). The proposal clearly stated the details on how the project is aligned with Peru's national and subnational frameworks, as well as the contributions of the proposed program to these frameworks.</p>	-	-	-	-	-

	action and other relevant instruments?						
	7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	<p><b>Yes, but further information is needed.</b></p> <p>The proposed project includes alignment with relevant national standards and codes including the National Environmental Impact Assessment System (SEIA); building and infrastructure codes; standards on local development planning; and public financial management and public investment projects.</p> <p><b>CR5:</b> Kindly state how component 3 on NbS aligns with relevant national regulations including the laws on forestry and the Land Use Policy</p>	<p><b>CR5: Cleared</b> on page 36.</p> <p>A paragraph has been included on how component 3 aligns with relevant national regulations.</p> <p><b>CR6: Cleared</b> on pages 37-38.</p> <p>Additional information on how the project will align with the relevant national technical standards have been included.</p>	-	-	-	-

		<p>and Land Tenure Laws.</p> <p><b>CR6:</b> Please provide specific information on the compliance of the proposed project with each technical standard identified.</p>					
	<p>8. Is there duplication of project / programme with other funding sources?</p>	<p><b>Unsure.</b></p> <p>The proposal provides a broad list of related projects in Peru in Annex 1 (page 52). In addition, in section II.F, states that the project complements initiatives such as the GEF-6 Sustainable Cities Program, as well as readiness activities financed by the Green Climate Fund (GCF), the International Climate Initiative (IKI), and other funding sources. However, it does not clearly specify</p>	<p><b>CR7: Cleared</b> in annex 1:</p> <p><b>However further information is needed.</b></p> <p>The revised concept includes a column in annex 1 on how the project compliments and creates synergies with existing projects.</p> <p><b>CR(New2):</b> Please include a coordination plan in section F on how the project will ensure that there is no duplication in the implementation of the USPs in component three.</p>	<p><b>CR(New2): Not cleared</b> on page 37.</p> <p>The coordination plan outlined on page 37 to avoid duplication with Unidentified Sub-Projects (USPs) under Component 3 describes four complementary strategies involving intergovernmental working groups, technical coordination mechanisms, participatory monitoring, and engagement with multilateral and non-governmental organizations.</p>	<p><b>CR2(New):</b></p> <p>1. <b>Cleared</b></p> <p>As per information in Part II.F, page 38.</p> <p>2. <b>Not cleared.</b></p> <p>While the role of the PMU is clear, please expand on the specific sectoral ministries and local governments that will compose the Project Technical Committee. In addition, please</p>	<p><b>CR2(New):</b></p> <p>2. <b>Cleared.</b></p> <p>As per information provided in Figure 07 in Part II.F, and Governance in Part II.J. It is established that the Project Technical Committee will be fully defined during the Full Proposal stage.</p>	

		<p>why the proposed project has no duplication with the identified projects in Annex 1. Also, the areas of synergy with these projects are not listed.</p> <p><b>CR7:</b> Kindly include a more comprehensive list of projects that are or have been implemented in Peru and are related to the proposed project. For all projects, please include details such as main project interventions, timeline, target population, and specific location within the country, and complementarity and synergies with proposed project.</p> <p><b>CR8:</b> The lack of overlap should be clearly justified (e.g. by indicating the</p>	<p><b>CR8: Cleared</b> in annex 1.</p> <p>Annex 1 indicates the geographical location of each related project.</p>	<p>Please include additional details on how this coordination plan will be operationalized, including:</p> <ol style="list-style-type: none"> <li>1. How information about ongoing and planned interventions will be managed and shared among stakeholders to identify potential overlaps</li> <li>2. Roles, responsibilities, and decision-making processes within the proposed coordination mechanisms</li> <li>3. How coordination outcomes and agreements will be documented and monitored</li> <li>4. Procedures for addressing situations where potential</li> </ol>	<p>include a scheme of the coordination mechanisms.</p> <p><b>3. Cleared</b></p> <p>As per information in Part II.F, page 38.</p> <p><b>4. Cleared</b></p> <p>As per information in Part II.F, page 38. It is established that when potential duplication is identified, the PMU will organize a Technical Review Session with key stakeholders to examine the issue, explore complementarities, and define corrective actions.</p>	<p>The Committee will include representatives from: (i) the Ministry of Production, (ii) the Ministry of Women and Vulnerable Populations, (iii) the Ministry of Foreign Trade and Tourism, and (iv) the Ministry of Labor and Employment Promotion. In addition, the CN presents a scheme outlining the relationships and roles of each type of institution, alongside a table (Figure 07) that explains each type of meeting that is expected for the proposed project.</p>	
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		distinct geographic locations and/or types of interventions) for each related project identified.		duplication or overlap is identified during project implementation			
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<p><b>Yes, but further information is needed.</b></p> <p>The proposed project includes learning and knowledge management activities under component 5, in section II.G (pages 40-41).</p> <p><b>CR9:</b> Kindly explain in section II.G, how, who and when will be tracking the experiences gained as well as for the periodical analysis.</p>	<p><b>CR9: Cleared</b> on pages 40-41.</p> <p>A section on how, who and when the tracking of experiences gained was included in the revised concept.</p>	-	-	-	--
	10. Has a consultative process taken place, and has it involved all key stakeholders,	<p><b>Yes, but further information is needed.</b></p> <p>The concept note provides broad information about</p>	<p><b>CR10: Cleared</b> in response sheet.</p> <p>Initial consultations did not include local stakeholders; however, this will be</p>	-	-	-	-

	<p>and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p>the consultative process in section II.H, and details of each meeting under Annex 2. Technical and institutional consultation were undertaken with different government institutions and government officials at the national and subnational levels. The ministries represented included Ministry of Women and Vulnerable Populations (MIMP). However, no consultations were done with the affected communities, indigenous communities, marginalized and vulnerable groups.</p> <p><b>CR10:</b> While the proposal clearly states the participants for each meeting, further explanation is</p>	<p>done before the development of the full proposal.</p>				
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		needed on the gender considerations discussed and what interests were considered. Please clarify if it was documented elsewhere.					
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>Unsure.</b></p> <p>The adaptation fund operates on the full cost of adaptation reasoning. Part II Section I and in particular the following statement "In conclusion, the funding requested covers the incremental costs associated with making planning, investment, ecosystems, livelihoods, and knowledge management climate-resilient, particularly in contexts where such approaches are currently absent</p>	<p><b>CAR7: Cleared</b> on page 42.</p> <p>Revised concept states that the funding requested will cover the full cost of implementing the proposed climate change adaptation measures.</p>	-	-	-	-

		<p>or only incorporated in a limited and fragmented manner, without a territorial perspective.”, contradicts this reasoning.</p> <p><b>CAR7:</b> Please revise the section to reflect a “full cost of adaptation reasoning”.</p>					
	<p>12. Is the project / program aligned with AF’s results framework?</p>	<p><b>Yes.</b></p> <p><b>However amendment is required.</b></p> <p>As per information provided in section III.A (pages 47-49). The concept note includes a mapping of the proposed project’s results and products to the Adaptation Fund Strategic Results Framework.</p> <p><b>CAR8:</b> <u>Please ensure that for each outcome/output</u></p>	<p><b>CAR8: Not cleared</b> on pages 47-49.</p> <p>1. Please place “AF Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies “in the row aligned with outcome 8; and merge the blank row under Project objective 4 so that the alignment of the</p>	<p><b>CAR8: Not cleared</b> on pages 45-49.</p> <p>1. The “AF Outcome 8” has been placed in its own row, with the budget included, however, the project objective and indicator it is aligned to was not included.</p> <p>2. While USD 303,011 has been added to the first part of table 7 on page 45 to make the total add up, it</p>	<p><b>CAR8:</b></p> <p><b>1. Not cleared.</b></p> <p>Although a dedicated line for AF Outcome 8 has been included in Table 7 to align with the project objectives and indicators, AF Outcome 8 is currently duplicated.</p>	<p><b>1. Cleared.</b></p> <p>Duplication of AF Outcome 8 has been removed from Table 07 in Part III.</p> <p><b>3. Cleared.</b></p> <p>As per information provided in Table</p>	

		<p><u>indicator the costs are separated in the final column of the table.</u></p>	<p>AF outcome 8 is clear.</p> <p>2. Please ensure that the amount selected for the Fund Outcome indicators is the same amount reflected for the fund output indicators. The figures in the top part and the bottom part of the table should be the same. Both the top and the bottom should add up to \$8,416,977,</p>	<p>does not align with any of the project objectives.</p> <p>3. Please utilize the updated guidance and template to update this table available at <a href="#">Results Framework Alignment Table</a> (Amended in November 2025) (77 kB, DOC)</p>	<p><b>2. Cleared.</b></p> <p>A dedicated line for AF Outcome 8 has been included in Table 7 to align with Project Objective 4 and the amount of USD 303,011.</p> <p><b>3. Not cleared.</b></p> <p>Please ensure consistency in the numbering and wording of the AF outputs, outcomes, and indicators between <a href="#">Results Framework Alignment Table</a> and Table 7. For example, Table</p>	<p>07, Part III. The AF outputs, outcomes, and indicators between <a href="#">Results Framework Alignment Table</a> and Table 07 are consistent.</p>
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					7 lists “ <b>AF Output 5.1:</b> Ecosystem services and natural assets maintained or improved under climate change and variability-induced stress”, but in the <a href="#">Results Framework Alignment Table</a> , <b>Output 5.1</b> is described as “No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)”.		
	13. Has the sustainability of the project/programme outcomes	<b>Yes, but further information is needed.</b> The proposed project focuses on	<b>CR11: Cleared</b> on page 30. Revised proposal included an initial thinking on	-	-	-	-

	<p>been taken into account when designing the project?</p>	<p>the institutionalization of climate change adaptation interventions into planning which ensures sustainability. In components 2, the focus is to achieve financial sustainability. The participatory nature of component 3 and 4 and linking them to public private partnerships also supports sustainability. In component 5 a knowledge management system, participatory monitoring, and partnerships with academic institutions is done to ensure sustainability and replication.</p> <p><b>CR11:</b> Kindly explain the arrangements required for the</p>	<p>economic, social, environmental, institutional, and financial sustainability.</p>				
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		project's benefits sustainability, including regulatory and financial resources. Please explain clearly how replication and scaling up of the proposed project activities and benefits will be achieved.					
	14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Unsure.</b></p> <p>The concept note assesses all 15 principles from the Adaptation Fund ESP against the proposed project activities in section II.K (pages 45-47). For each one, the proposed project provides potential impacts and risks (no risk and low) and whether further assessment is required for compliance. However, the project classification (A, B</p>	<p><b>CAR9: Not Cleared</b> on page 45.</p> <p><b>However further information is required.</b></p> <p>The concept has been revised the possible risks identified for the 15 AF principles identified.</p> <p>1. However, on the principal of involuntary resettlement, the risk identified states that <i>'without careful site selection,</i></p>	<p><b>CAR9: Not Cleared</b> on page 44.</p> <p>The risks on table 4 on the principal of involuntary resettlement and on Lands and Soil Conservation have been revised from 'No risk' to 'Low risk'. The "X" associated with ESPs 1, 4 and 6 have been removed. In column 2, the "-" associated with the ESPs that have identified risks have been removed. However, on the notation related to ESPs 1 and 6, please indicate the mitigation action that</p>	<p><b>CAR9: Cleared.</b></p> <p>As per information in Table 6. Mitigation measures have been added for ESPs 1 and 6.</p> <p>For ESP1, the PMU will promptly notify the Executing Entity's Environmental and Social Safeguards Officer to carry out a legal and</p>	<p><b>CAR 9: re-opened.</b> At Part II Section K, please indicate in the first paragraph that the USPs will also be subject to the ESMP to manage any risks associated.</p>	<p><b>CAR9: Cleared.</b></p> <p>Based on amendment to Section K at opening paragraph.</p>

	<p>or C) is not included.</p> <p><b>CAR9:</b> Please review table in section II.K and state and expand, when corresponds, all potential impacts (direct, indirect, transboundary and cumulative), including how it is planned to mitigate and manage each risk. For more information, please visit: AF's ESP guidance: <a href="https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance-Revised-in-June-2016-Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf">https://www.adaptation-fund.org/wp-content/uploads/2016/07/ESP-Guidance-Revised-in-June-2016-Guidance-document-for-Implementing-Entities-on-compliance-with-the-Adaptation-Fund-Environmental-and-Social-Policy.pdf</a>. Such impacts or risks should be</p>	<p><i>there could be potential risk in cases of unplanned settlement in forest areas.</i>;</p> <p>2. Similarly, on the principal of Lands and Soil Conservation the risk identified states that <i>'without adequate design, interventions could cause localized disturbances</i> '. The corresponding mitigation measures indicated for each of the risks but they are both categorized as 'no risk'.</p> <p>3. In column 2, please note that further assessment will always</p>	<p>will be taken during the course of project implementation IF such a risk arises.</p>	<p>institutional review and implement corrective actions. For ESP6, all stakeholders will actively engage in planning and implementing project interventions to ensure compliance.</p>		
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		<p>described in the third column along with mitigation measures.</p> <p>i) in the same third column, risks should be stated for all relevant principles. E.g.: instead of saying "An inclusive approach will be applied to ensure territorial and social equity in access to the project's benefits" you may say "There is a risk of unequal access to the project's benefits across different</p>	<p>apply for ESPs 1, 4 and 6, please remove the "X" associated with those.</p> <p>4. In column 2, please remove the "-" associated with the ESPs that have identified risks.</p> <p>5. Kindly adjust the risk level on the principal of Involuntary Resettlement and Lands and Soil Conservation to reflect the risk identified in the revised concept.</p> <p><b>CAR10: Cleared</b> on page 45.</p> <p>The project has been classified as Category B.</p>				
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		<p>social groups”.</p> <p>ii) the project also assumes no risk of involuntary resettlement , yet has activities on reforestation as part of the NbS.</p> <p>ii) kindly include possible risks that could result from unplanned settlement in forest areas to involuntary resettlement .</p> <p><b>CAR10:</b> Kindly state the category in which the screening process has classified the project (Category A, B or C) in section</p>					
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		II.K. Please see the <a href="#">Environmental and Social Policy</a> of the Adaptation Fund and its <a href="#">guidance document</a> .					
Resource Availability	1. Is the requested project / programme funding within the cap of the country?	<b>Yes.</b>	-	-	-	-	-
	2. Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<b>Yes, but further information is needed.</b>  The proposed project includes the financing for each component, and the implementing entity management fee is correct in size. PFG requested is correct in size, with a total amount of 150,000 USD as well as the PFG fee. However, figures are not rounded to a whole number, and the total project cost	<b>CR12: Cleared.</b>  The figures have been rounded up to whole numbers, the budget figures punctuated correctly and the total project cost amended.  <b>CR13: Not Cleared</b> on the PFG form.  Only PROFONANPE will execute the PFG. However, at line item 6 and the IE fee, please remove the cents. Also, within the whole table please remove	<b>CR13: Cleared</b> on the PFG form.  The figures have been rounded up on line item 6 and the IE fee.  <b>CAR(New):</b> Kindly revise the start date of the PFG.	<b>CAR1(New): Cleared.</b>  As per information in the PFG form. The new start date is January 2026.	-	-

		<p>needs an amendment.</p> <p><b>CR12:</b> Kindly adjust the following:</p> <ol style="list-style-type: none"> <li>i. Round up the figures to a whole number, no decimals.</li> <li>ii. Re-write the figures correctly and replace the decimal with a comma to represent thousands and millions. For example, '\$673.358' should be '\$673,358'.</li> <li>iii. The total project cost should be 9,216,589.8</li> </ol> <p>4. Please see, for further information, the definitions in <a href="https://www.">https://www.</a></p>	<p>the decimal points which signify cents on the figures.</p>				
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		<p><a href="https://www.adaptation-fund.org/generic/costs-and-fees/">adaptation-fund.org/generic/costs-and-fees/</a> and IE and EE Fees Calculator <a href="https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/">https://www.adaptation-fund.org/document/ie-and-ee-fees-calculator/</a>.</p> <p><b>CR13:</b> Please confirm if MINAM will also execute the PFG. If this is the case, then no change in the PFG request form if required. If PROFONANPE intends to also execute the PFG, please amend the PFG request form.</p>					
	3. Are the Project/Programme Execution Costs at or below 9.5 per	<b>Yes.</b> <b>As per information provided in Project/Programme Components and</b>	<b>CR14: Cleared</b> on pages 12-14.  The numbers have been rounded off	-	-	-	-

	cent of the total project/programme budget (including the fee)?	<b>Financing Table (pages 13-15).</b> <b>CR14:</b> Please adjust the figures to a whole number, no decimals (see CR18).	and punctuated correctly.				
Eligibility of IE	1. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<b>Yes.</b> Profonanpe is an accredited NIE. Accreditation Expiration Date: 18 June 2026.	- Accreditation Expiration Date: 18 June 2026	-	-	-	-
Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	n/a at concept stage					
	2. Are there measures for financial and project/programme risk management?	n/a at concept stage					

	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund?	n/a at concept stage					
	4. Is a budget on the Implementing Entity Management Fee use included?	n/a at concept stage					
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage					
	6. Is a detailed budget including budget notes included?	n/a at concept stage					
	7. Are arrangements for monitoring	n/a at concept stage					

	and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?						
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage					
	9. Does the project/programme's results framework align with the AF's results framework?	n/a at concept stage					

	Does it include at least one core outcome indicator from the Fund's results framework?						
	10. Is a disbursement schedule with time-bound milestones included?	n/a at concept stage					

## CERTIFICATION BY THE IMPLEMENTING ENTITY

### A. Record of endorsement on behalf of the government<sup>1</sup>

*Provide the name and position of the government official and indicate date of endorsement. If this is a regional project/programme, list the endorsing officials all the participating countries. The endorsement letter(s) should be attached as an annex to the project/programme proposal. Please attach the endorsement letter(s) with this template; add as many participating governments if a regional project/programme:*

Name: Romina Caminada Vallejo  Position: Deputy Minister (Vice Minister) of Strategic Development of Natural Resources  Ministry: Ministry of Environment of Peru	Date: November, 17, 2025
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### B. Implementing Entity certification *Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address*

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (National Adaptation Plan and National Contributions) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy and the Gender Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

Anton Willems Delanoy	
Implementing Entity Coordinator	
Date: (November, 17, 2025)	Tel. and email: (511) 218 1097 awillems@profonanpe.org.pe
Project Contact Person: Claudia Godfrey Ruiz	
Tel. And Email: (511) 218 1097 cgodfrey@profonanpe.org.pe	

### **ANNEX 1 - List of projects**

Project / Period	Target area Target population	Measures	Outcomes	Financing	Synergies
Adapting urban water resources management (GIZ) 2014–2019	Chillón, Rímac y Lurin, Lima River Basin Lima's urban population, water companies, local governments, private operators	Water observatory, public-private partnerships (PPPs), greywater reuse	Operational observatory, 6 PPP initiatives, parks irrigated with treated water	IKI-GIZ: €2.5 M	Experience in water governance and PPP models for urban water; synergy with C1 and C2.
Adaptation of urban water management (GIZ) 2019–2020	Lima Local governments, water operators, decisions makers	Urban water adaptation study and planning	Diagnosis with multisectoral participation	GIZ: €54,587	Provides a technical diagnosis and planning framework that can inform the detailed design of the proposed project

<sup>1</sup> Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities



PERÚ

Ministerio  
del Ambiente

Viceministerio de  
Desarrollo Estratégico de  
los Recursos Naturales

Dirección General  
de Cambio Climático  
y Desertificación

"Decenio de la Igualdad de Oportunidades para mujeres y hombres"  
"Año de la recuperación y consolidación de la economía peruana"

Lima, 01 de diciembre del 2025

**LETTER N° 00188-2025-MINAM/VMDERN/DGCCD**

Messrs.  
The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat Washington  
United States  
Email: Secretariat@adaptation-fund.org  
Fax: 202 522 3240/5

Subject : Endorsement of the project "Strengthening resilience and adaptation to climate change in vulnerable cities in Peru through nature-based solutions with a territorial approach"

The Ministry of the Environment of Peru is the national authority on climate change and is responsible for coordinating the implementation of the National Strategy on Climate Change and the National Adaptation Plan to Climate Change. It also the entity responsible for reporting, on behalf of the Peruvian State, on the progress of the Nationally Determined Contributions (NDCs) to the United Nations Framework Convention on Climate Change (UNFCCC).

In this context, we have reviewed the Concept Note entitled "Strengthening resilience and adaptation to climate change in vulnerable cities in Peru through nature-based solutions with a territorial approach", presented by Profonanpe in its capacity as an accredited implementing entity before the Adaptation Fund, and prepared by the Directorate of Climate Change Adaptation and Desertification of the Ministry of Environment.

This proposal will make a significant contribution to strengthening the adaptive capacity and resilience of Peruvian cities by helping to integrate climate change adaptation into local governance, planning, financial management, and action, in line with our national adaptation priorities.

Therefore, I am pleased to express the Ministry of the Environment's support for this Concept Note, and we kindly request that it be considered for funding by the Adaptation Fund.

If approved, the Ministry of the Environment, as the executing entity, will ensure that the project is aligned with the country's adaptation objectives and will be implemented in coordination with Profonanpe, in its role as implementing entity.

Sincerely,

**CRISTINA RODRIGUEZ VALLADARES**

General Director for Climate Change and Desertification Directorate  
Ministry of the Environment – Republic of Peru

File number: 2025096875

Sede Central  
Av. Antonio Miró Quesada 425,  
Magdalena del Mar 15076  
Central Telefónica: (511) 611-6000  
[www.gob.pe/minam](http://www.gob.pe/minam)

This is a printable, authentic copy of a document archived at the Ministry of the Environment, in accordance with Article 25 of Supreme Decree No. 070-2013-PCM and the Third Final Complementary Provision of Supreme Decree No. 026-2016-PCM. Its authenticity and integrity can be verified at the following website: <https://ecodoc.minam.gob.pe/verifica/view> by entering the following code.: **dd0exg**



**Revised PFG Submission Form<sup>1</sup>**  
**Project Formulation Grant (PFG)**

**Submission Date:** July 11th, 2025

**Adaptation Fund Project ID:**

**Country/ies:** Peru

**Title of Project/Programme:** Strengthening resilience and adaptation to climate change in vulnerable cities in Peru through nature-based solutions with a territorial approach

**Type of IE (NIE/RIE/MIE):** NIE

**Implementing Entity:** Profonampe

**Executing Entity/ies:** Profonampe

<b>Start date of PFG</b>	January 2026
<b>Completion date of PFG</b>	June 2026

**B. Proposed Project Preparation Activities (\$)**

<b>List of Proposed Project Preparation Activities</b>	<b>Output of the PFG Activities</b>	<b>US\$ Amount</b>	<b>Budget note<sup>2</sup></b>
1- Analysis of climate-related causes, barriers and risks, with emphasis on natural disaster-related hazards and local vulnerabilities. Includes selection of intervention districts and identification of beneficiaries.	1.1 Assessment report validating geographic scope of intervention and beneficiaries	20,000	Lump-sum consultancy for approximately 2 specialists (climate and territorial experts) over 2 months.
2- Social environmental risk Analysis including gender-related risks, aligned with AF standards, and formulation of Environmental and Social Management Plan, including Gender and Indigenous Action Plans	2.1 Social environmental and gender -related risk analysis report 2.2 Environmental and Social Safeguards System (ESMS) proposal and risk analysis aligned with AF standards 2.3 Environmental and Social Management Plan, including Gender and Indigenous Action Plans.	35,000	Lump-sum consultancy of safeguard and gender/inclusion specialist for over 2 months

<sup>1</sup> As presented in AFB/PPRC.33/40 Annex 1.

<sup>2</sup> The proposal should include a detailed budget with budget notes indicating the break- down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

3- Socio Economic baseline to assess livelihoods, planning status, and policy coherence at national and subnational levels	3.1 Assessment report of livelihoods, value chains and institutional planning status for project prioritization	10,500	Two national consultants (livelihoods, value chains and institutional planning experts) for approx. 3 weeks each.
4. Full project proposal formulation	4.1 Full proposal developed	33,000	Lump-sum expert consultancy for preparing the full proposal (2 months).
5 - Workshops and field visits for local consultations and technical validation of project design	5.1 Workshops and field visit reports. 5.2 Project design validated	35,000	Lump-sum consultancy for a national coordination team and field facilitators (approximately 3 rounds of visits, 14 workshops)
6- Translation of project documents	6.1 Documents translated	4,749	Lump-sum contract for professional translator
Implementing Entity's Management Fee		11,751	8,5% Fee
<b>Total Project Formulation Grant</b>		<b>150,000</b>	

**Please describe below each of the PFG activities and provide justifications for their need and for the amount of funding required:**

**1. Analysis of climate-related causes, barriers and risks, with emphasis on natural disaster-related hazards and local vulnerabilities. Includes selection of intervention districts and identification of beneficiaries.**

This activity will include a comprehensive diagnostic and assessment of climate-related issues across the 14 prioritized cities, identifying key structural barriers, environmental conditions, and vulnerability patterns. This will also include hazard mapping, exposure, and vulnerability assessment to determine where and how climate change impacts occur. Given Peru's multisectoral and multi-hazard reality (e.g., floods, droughts, heat), this analysis is essential for identifying priority intervention zones. It ensures context-specific project design, especially considering the environmental and urban diversity across Peru's coast, highlands, and rainforest.

A socio-economic analysis will also be carried out to pinpoint the most vulnerable groups—such as women, older adults, and youth—and to identify the districts and communities most in need of support. The assessment will consider factors such as poverty incidence, climate-related risks, limited access to essential services, and the level of reliance on natural resources.

It will focus and validate the geographic scope and intended beneficiaries of the project based on differentiated local contexts.

**Justification:** Requires local data review, existing climate data, Geospatial analysis tools (GIS), climate models, expert analysis, risk map with prioritization of the most vulnerable areas and contextual differentiation.

## **2. Social environmental risk Analysis including gender-related risks, aligned with AF standards, and formulation of Environmental and Social Management Plan, including Gender and Indigenous Action Plans**

The social environmental and gender-related risks analysis will assess potential project environmental effects to prevent negative impacts, while also examining how climate change differently affects men and women, ensuring that the project adopts gender-responsive strategies. A report will be prepared identifying the main social environmental and gender-based risks in the intervention areas and proposing appropriate mitigation measures.

An Environmental and Social Management System (ESMS) framework aligned with Adaptation Fund standards will also be prepared, including environmental and social risk categorization.

Consequently, an Environmental and Social Management Plan (ESMP) will be formulated in order to monitor the project's social environmental impacts, define mitigation measures, and outline responsive actions to address unforeseen effects. Also, a Gender and Indigenous Peoples Action Plans will be prepared outlining measures to overcome gender-related barriers to adaptation, and to enhance women's older adults and youth's access to resources, information, and decision-making processes within the project. Likewise, a plan will be developed to ensure the inclusion and active participation of Indigenous Peoples, taking into account their rights, needs, and traditional knowledge in the implementation of project activities.

**Justification:** Essential for risk classification and inclusive project design. May involve fieldwork and stakeholder validation.

## **3. Socioeconomic baseline to assess livelihoods, planning status, and policy coherence at national and subnational levels**

This activity will help to understand local livelihoods and value chains, institutional gaps, and the coherence of national and local policies on sustainability. It supports the selection of priority sectors per city and provides the foundation for indicators and monitoring.

The assessment report will provide an integrated analysis of livelihoods, sectoral value chains, and the status of public planning and policy implementation in each of the prioritized cities, to support project prioritization.

**Justification:** Involves data collection, stakeholder interviews, national/local policy review and multisectoral analysis.

## **4. Full project proposal formulation**

This activity entails the development of a comprehensive and technically sound project proposal aligned with the Adaptation Fund's criteria and national priorities. It demands specialized technical knowledge, inclusive stakeholder participation, and close coordination with national counterparts. The allocated funding ensures the proposal is both high-quality and contextually appropriate.

It will include the refinement and development of a detailed logical framework and theory of change, description of project activities, detailed budget development, Monitoring and evaluation plan, among other sections required for the proposal.

**Justification:** Requires multidisciplinary coordination and full proposal drafting aligned with AF criteria.

## **5. Workshops and field visits for local consultations and technical validation of project design**

The Workshops and field visit reports will synthesize findings from participatory workshops and field visits conducted in each of the 14 prioritized cities, including feedback on the proposed activities and local validation of project design. These spaces will engage multiple stakeholders, from local populations and government institutions to NGOs, technical experts, and other involved parties.

Workshops will be designed to present the draft Theory of Change, project components, and proposed interventions, validate climate risk assessments, priority areas, and selected adaptation measures and collect final feedback from local stakeholders to strengthen the relevance and legitimacy of the proposal. Each validation workshop will provide a structured space for dialogue and consensus-building, ensuring that the proposal reflects local knowledge, institutional needs, and culturally appropriate approaches.

Field visits will be essential for gaining a deep, contextual understanding of climate vulnerabilities, adaptation needs, and local opportunities in each of the 14 prioritized cities. The collected inputs will serve to refine the full proposal.

**Justification:** Workshops: Conducting 14 inception workshops and 14 validation workshops across Peru’s coast, highlands, and rainforest entails significant logistical planning, facilitation costs, stakeholder mobilization, venue rentals, and workshop materials. The activity also includes the development of detailed Terms of Reference, agendas, and communication materials, as well as the preparation of a technical report per city summarizing outcomes and contributions. This process ensures strong territorial ownership and early alignment with local priorities, which is fundamental for the success of a multi-sited climate adaptation project. Field visits: The scope and frequency of these visits demand detailed logistical planning and coordination, including travel arrangements, engagement with local stakeholders, and the development of tailored site agendas and data collection protocols. This activity will ensure that the project design reflects grounded territorial realities and meaningful stakeholder input.

## 6. Translation of project documents

Bilingual translation of key technical documents including the full proposal and its annexes, between Spanish and English.

**Justification:** Ensures bilingual availability for national stakeholders and AF compliance.

## C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board’s procedures and meets the Adaptation Fund’s criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Anton Willems		07/11/2025	Claudia Godfrey	(511) 218 1097	cgodfrey@prof onanpe.org.pe