



## ADAPTATION FUND

AFB/PPRC.37/16/Add.1  
16 March 2026

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty seventh Meeting  
Bonn, Germany, 7-8 April 2026

Agenda Item 5 (k)

### **PROJECT FORMULATION GRANT FOR CAMBODIA**

## I. Background

1. The Board at its eleventh meeting discussed the document “Funding for Project Formulation Costs” (AFB/11/6) and agreed, in its Decision B.11/18, that:

- i. project formulation grants (PFG) should be given once a project concept has been approved
- ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
- iii. a flat rate should be given for project formulation costs;
- iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
- v. the fate of funds if the final project document was rejected should be determined.

2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.

3. Following the discussion, the Board decided:

To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.

4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:

*(a) Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*

*(b) If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*

*(c) A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
- (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
- (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
- (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
- (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*

5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:

- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
- (b) Set a cap of US\$ 30 million for the programme;*
- (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
- (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*

*(Decision B.25/28)*

6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:

*“It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the*

*secretariat, and subsequently reviewed by the PPRC. Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.*

## **II. The Project Formulation Grant Request**

7. This addendum to the document AFB/PPRC.37/16 “Proposal for Cambodia” includes a request for a Project Formulation Grant, requesting a budget of US\$ 150,000, which was received by the secretariat along with the concept for the project AF00000470 “Enhancing Community-Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu, Cambodia”. This proposal was submitted by United Nations Human Settlement Programme (UN-Habitat), which is a Multilateral Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its forty-sixth Board meeting.

8. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support: field studies, consultative workshops, detailed analysis, gender assessment and development of the full proposal document.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



ADAPTATION FUND

## ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY:

**Country/Region:** Cambodia

**Project Title:** Enhancing Community-Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu, Cambodia

**Thematic Focal Area:**

**Implementing Entity:** United Nations Human Settlements Programme (UN-Habitat)

**Executing Entities:** Ministry of Environment

Local level - Kampong Speu Provincial Administration, NGOs and Communities

**AF Project ID:** AF00000470

**IE Project ID:**

**Requested Financing from Adaptation Fund (US Dollars):** 10,000,000

**Reviewer and contact person:** Lystra Fletcher-Paul

**Co-reviewer(s):**

**IE Contact Person:**

### Technical Summary

The project “Enhancing Community-Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu, Cambodia” aims to strengthen the long-term climate resilience of vulnerable communities and ecosystems in Aoral and Phnum Sruoch Districts by 2030 through inclusive governance systems, nature-based ecosystem restoration across at least 57 hectares, and the promotion of sustainable livelihoods for at least 100 households, contributing to biodiversity conservation, climate risk reduction, and sustainable development in protected areas.. This will be done through the four components below:

Component 1: Build capacity at the community and sub-national levels to strengthen inclusive climate governance and Protected Area Management (USD 910,000);

Component 2: Promoting Climate Resilient Livelihoods through Climate-Smart Agriculture and Eco-Economy Solutions (USD 860,000);

Component 3: Restoring Ecosystems and Building Climate Resilient Infrastructure in Protected and Eco-Tourism Landscapes (USD 6,300,000).

	<p><b>Component 4: Capturing, Sharing, and Scaling Adaptation Knowledge for Sustainability and Replication (USD 271,000).</b></p> <p><u>Requested financing overview:</u>  Project/Programme Execution Cost: USD 875,590  Total Project/Programme Cost: USD 9,216,590  Implementing Fee: USD 783,410  Financing Requested: USD10,000,000</p> <p>The proposal includes a request for a project formulation grant and/or project formulation assistance grant of USD 150,000.</p> <p>The initial technical review raises some issues, such as the lack of a theory of change, the alignment with AF's results framework and lack of clear evidence of gender issues from stakeholder consultations informing the project design, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.</p> <p>The second technical review found that most of the issues raised in the initial review have been addressed except the Theory of Change which was partially only addressed.</p> <p>The third technical review found that all of the remaining issues raised have been addressed.</p> <p><i>Please be advised that the findings of the AFB Secretariat's review of the funding proposal(s) do not reflect, indicate, or prejudge the outcome of the reaccreditation process currently underway. The Implementing Entity (IE) shall acknowledge that the funding proposal will not be approved by the Board if the IE's accreditation has expired, and reaccreditation has not been achieved at the time of the Board's decision. Notwithstanding this potential risk, the IE has elected to proceed with the development of the funding proposal.</i></p>
Date:	January 20, 2025

Review Criteria	Questions	1 <sup>st</sup> Technical Review Comments [November 11, 2025]	2 <sup>nd</sup> Technical Review Comments (December 17, 2025)	3 <sup>rd</sup> Technical Review Comments (January 20, 2026)
Country Eligibility	1. Is the country party to the Kyoto Protocol,	Yes.	-	-

	and/or the Paris Agreement?	The country ratified Kyoto Protocol in August 2002 and the Paris Agreement in February 2017		
	2. Is the country a developing country particularly vulnerable to the adverse effects of climate change?	<b>Yes.</b>  The country has experienced intensifying in the frequency and severity of extreme climate events to drought, floods and extreme heat. Some observed results include heat stress, forest fires, landslides, food and water insecurity.	-	-
Project Eligibility	1. Has the designated government authority for the Adaptation Fund endorsed the project/programme?	<b>Yes.</b>  As per the Endorsement letter dated August 06, 2025	-	-
	2. Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes?	<b>Yes.</b>  <b>CR1:</b> Kindly label all the tables for easier reference. Please also correct minimal grammatical errors included as appropriate. Kindly also ensure the annexes are referenced correctly in the text.  <b>CAR1:</b> Please note Part III: Implementation Arrangement is missing from the concept	<b>CR1: Not Cleared</b> All tables labelled, grammatical errors corrected and Annexes correctly referenced in the text. However, please update the stage of submission on the cover page of the proposal to include the last date of submission and to indicate	<b>CR1: Cleared</b> Submission date on cover page updated

		note. Please refer to original concept note template for completion.	that this proposal was previously submitted.	
			<b>CAR1. Cleared</b> Part III – Implementation Arrangement including the Result Framework Alignment Table added (pages 44 – 45)	-
	3. Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?	<b>Yes.</b>  However additional information is required. The concept addresses the issues of drought and floods through governance training on risk-informed adaptation actions in protected and climate-vulnerable landscapes; adoption diversified and climate-resilient livelihood strategies; and restoration of ecosystems and critical rural infrastructure in protected and eco-tourism zones. The project concept has very specific and measurable targets and timelines embedded in the project outputs.  Note Table 1 Demonstrates how the project/programme		

		<p>aligns with the Results Framework of the Adaptation Fund</p> <p><b>CAR2:</b> Kindly include a theory of change that shows the suitability of activities in responding to the threats posed by the likely climate scenarios.</p> <p><b>CR2:</b> Kindly list the activities to be implemented to achieve each output, if already defined.</p> <p><b>CAR3:</b> Please note Table 1 belongs to Part III of the concept note which is missing. Refer to CAR1 above. Please utilize the template and consult the updated guidance available at</p>	<p><b>CAR2: Not cleared</b> While the Theory of change narrative has been included, it would also be useful to also include a diagram to show the suitability of the activities in responding to the threats as well as the risks and assumptions. Please include a diagram depicting the Theory of Change.</p> <p><b>CR2: Cleared</b> Key activities under each output are described in PART II-A (pp.17-21). Detailed activities will be listed in the full proposal.</p> <p><b>CAR3: Cleared</b> Table 1 was moved to PART III (pages 44 and 45) and the Results framework Alignment Table completed accordingly.</p>	<p><b>CAR2: Cleared</b> Theory of change diagram has been added on page 20</p> <p>-</p> <p>-</p>
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		<ul style="list-style-type: none"> <li>• <a href="#">Results Framework Alignment Table</a> (Amended in November 2025) (77 kB, DOC)</li> </ul>		
	<p>4. Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?</p>	<p><b>Yes.</b></p> <p>The concepts summarise the economic, social and environmental benefits in the target sites. An estimated 26,700 persons are projected to benefit directly with substantial beneficiaries also expected downstream to various stakeholders. An estimated 30-50% of the livelihood beneficiaries are estimated to be women, with targeted support for women-led business and leadership in business and leadership. Inclusivity is also planned for youth, persons of disabilities, and caregivers. The restoration of approximately 57 hectares of degraded forests and watershed areas will regenerate critical ecosystem services. The project promotes climate-smart agriculture, NTFP value chains, and eco-tourism, creating sustainable income for 4,500 people.</p>	-	-

		An initial gender analysis is summarised on page 10 identifying changing gender dynamics at the national level and at the project sites		
	5. Is the project / programme cost effective?	<p><b>Yes.</b> However additional information is required.</p> <p>The concept uses nature-based approach rather than reactive and conventional engineering solutions because of the low-cost investment and high returns. The project outlines other cost-effective approaches to be undertaken in pages 24-26.</p> <p>The concept provides the estimated beneficiaries of each output with a preliminary figure representing the cost effectiveness as per Table 3. However, it is unclear how the figures were derived or how to interpret them.</p> <p><b>CR3:</b> Kindly explain the figures in table 3 on cost effectiveness as it is not clear how the figures obtained or what exactly they represent.</p>	<p><b>CR3: Cleared</b> Explanation is provided on pages 23 – 24. Table 4 presents the unit cost per direct beneficiary for each project output. This figure</p>	-

			<p>is calculated by dividing the total estimated cost of each output by the projected number of direct beneficiaries.</p> <p>The number of beneficiaries was determined using (i) commune population data from the National Institute of Statistics, (ii) the number of households directly using the infrastructure (ponds, canals, eco-trails, WASH facilities), (iii) CPA membership lists, and (iv) consultations with local authorities and community groups.</p> <p>All cost estimates for outputs include materials, labour, community contracting, supervision, and environmental safeguards. The unit cost, therefore, reflects the total direct investment per person benefiting from that output. Actual cost-effectiveness is higher than indicated because indirect beneficiaries—</p>	
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			including downstream communities	
	6. Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?	<p><b>Yes.</b></p> <p>The project is aligned to both national and subnational strategies, such as the National Adaptation Plan (NAP); Cambodia's Updated Nationally Determined Contribution (NDC, 2020) and upcoming NDC 3.0, Rectangular Strategy Phase IV (2023–2028); Green Destination Framework; Cambodia Climate Change Strategic Plan (CCCSP) 2024–2033; among other several frameworks and poverty reduction strategies outlined in pages 24-28.</p>	-	-
	7. Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	<p><b>Yes.</b></p> <p>The concept lists national environmental and legal safeguards; relevant standards including building codes, water quality regulations, rural Infrastructure, safeguards on labour, safety, and community contracting. Table 7 shows the compliance of each output with national technical standards, rules,</p>	-	-

		regulations and procedures, and ESP principles.		
	8. Is there duplication of project / programme with other funding sources?	<p><b>No.</b></p> <p>The concept lists several government, CSO and private sector funded projects; however some projects are not included. The projects are outlined in table 8.</p> <p><b>CAR4:</b> Please provide a comprehensive list including those from GCF, GEF, EU etc</p>	<p><b>CAR4: Cleared</b></p> <p>The following five relevant ongoing/pipeline projects have been added on pages 32-33:</p> <ul style="list-style-type: none"> <li>- Local Governments and Climate Change III (LGCC-3), funded by GCF</li> <li>- Climate Adaptive Irrigation and Sustainable Agriculture for Resilience (CAISAR) in Cambodia, funded by GCF</li> <li>- Additional Financing for the Cambodia Sustainable Landscape and Ecotourism Project, funded by GEF</li> <li>- Promoting Climate-Resilient Livelihoods in Rice-Based</li> </ul>	-

			Communities in the Tonle Sap Region, funded by GEF - Aspire Agricultural Services for Resilience (ASPIRE-AT), funded by the EU and co-financed by IFAD	
	9. Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	<b>Yes.</b>  Component 4 of the project focuses on Monitoring and evaluation with two outputs: Output 4.1 focuses on dissemination of 'Project lessons and technical knowledge among non-literate and marginalized populations', and Output 4.2 on 'integration of lessons into Commune Investment Plans, National Adaptation Plan, and Environment Strategy 2023–2033'.	-	-
	10. Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and	<b>Yes.</b>  However additional information is required. A consultative process has taken place and detailed in Annex 1. The dates of the consultations are included and disaggregated by gender. While the consultations included		

	Gender Policy of the Fund?	<p>women and the project design mentions recognising the presence of Indigenous Suoy communities, women-headed households, youth, and persons with disabilities; the results of the consultations however do not indicate any gender considerations that are to be integrated into the project design.</p> <p><b>CAR5:</b> Kindly included any gendered implications that were discussed during the stakeholder consultations to inform a gendered approach to the concept design, especially also for the indigenous people.</p> <p><b>CAR6:</b> Kindly ensure that the national gender machinery, along with women associations are part of the conversations, especially during the Project Formulation Grant Phase.</p>	<p><b>CAR5: Cleared</b> Page 37. The first inserted paragraph includes the findings of the stakeholder consultations and provides information on gender differentiated climate vulnerabilities particularly among the Suoy women.</p> <p><b>CAR6: Cleared</b> The second inserted paragraph on page 37 states that during the PFG phase, the project will hold targeted consultations with the Ministry of Women's Affairs (national gender machinery), as well as gender-focused NGOs and women's</p>	<p>-</p> <p>-</p>
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			associations, to ensure that gender and social inclusion are fully integrated into the full proposal and that adaptation benefits are equitably distributed.”	
	11. Is the requested financing justified on the basis of full cost of adaptation reasoning?	<p><b>Yes.</b> However additional information is required.</p> <p>While the activities in the concept are not yet well defined, the project outputs are relevant in addressing the adaptation objectives. However, the project involves co-financing by community-based savings cooperatives.</p> <p><b>CAR7:</b> Kindly clarify if the project will be able to deliver its outcomes without the co-financing.</p>	<p><b>CAR7: Cleared</b> UN-Habitat ensures the implementation of all proposed outputs without co-financing. However, communities will contribute in-kind support and labour, reducing operational costs for infrastructure (e.g., water systems, eco-trails).</p>	-
	12. Is the project / program aligned with AF's results framework?	<p><b>No.</b> <i>'Table 1 Demonstrates how the project/programme aligns</i></p>		

		<p><i>with the Results Framework of the Adaptation Fund'</i> is not as per the required concept note template.</p> <p><a href="https://www.adaptation-fund.org/document/template-for-concept-note-for-single-country-proposal/">https://www.adaptation-fund.org/document/template-for-concept-note-for-single-country-proposal/</a> .</p> <p><b>CAR8:</b> Please utilize the template and consult the updated guidance available at</p> <ul style="list-style-type: none"> <li>• <a href="#">Results Framework Alignment Table</a> (Amended in November 2025) (77 kB, DOC)</li> </ul>	<p><b>CAR8: Cleared</b> Results Framework Alignment Table correctly completed (pages 44 and 45)</p>	-
	<p>13. Has the sustainability of the project/programme outcomes been taken into account when designing the project?</p>	<p><b>Yes.</b></p> <p>The project logically outlines the sustainability of the project after its end, addressing all key areas such as economic, social, environmental, institutional, and financial sustainability. Activities ensuring sustainability go beyond capacity building and training. Financial sustainability is to be maintained by embed the outcomes into local</p>	-	-

		governance and the O&M into government financial planning. Community-level and revolving funds is integrated into the project operation for local ownership and long-term sustainability.		
	14. Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	<p><b>Yes.</b></p> <p>However additional information is required.</p> <p>The project is identified as category B. Potential environmental and social impacts and risks are outlined in table 11 and a checklist completed which describes the risks.</p> <p><b>CR4:</b> The project includes re-forestation activities in protected areas but assumes no risk of unplanned settlements that might require re-settlement.</p>	<p><b>CR4: Cleared</b></p> <p>ESP 8 in Table 13 on page 42 was adjusted as follows: "Low risk. No land acquisition or physical displacement. However, reforestation or firebreaks could inadvertently restrict access if poorly sited. Mitigation: Undertake land-use verification; prepare reforestation plan, ensure restoration occurs on public/communal lands only; conduct access</p>	-

		<p><b>CR5:</b> In the second column of table 11, kindly leave the rows that do not require further assessment blank and place a checkmark on the rows that do.</p> <p><b>CAR9:</b> Further assessment for compliance is always required for ESPs 1, 4, and 6. Please amend column to next to ESP6.</p> <p><b>CAR10:</b> The third column highlights various projects risks. Please indicate the risk level whether no risk, low risk, medium risk or high risk. Be sure to expand risk description during PFG phase, especially given risks to indigenous people and resettlement as mentioned in CR8.</p>	<p>mapping; avoid any restriction of customary use.”</p> <p><b>CR5: Cleared</b> Second column of Table adjusted accordingly</p> <p><b>CAR9: Cleared</b> Table amended accordingly</p> <p><b>CAR10. Cleared</b> The risk category of each ESP has been added (p. 41). Detailed risk descriptions will be added in the full proposal, through PFG phase.</p>	-
Resource Availability	1. Is the requested project / programme funding within the cap of the country?	<b>Yes.</b>	-	-
	2. Is the Implementing Entity Management	<b>Yes.</b>		

	Fee at or below 8.5 per cent of the total project/programme budget before the fee?	<p><b>CAR11:</b></p> <ol style="list-style-type: none"> <li><i>Please confirm if Ministry of Environment, Cambodia will be executing the PFG or if UN-Habitat will be doing so. If the PFG will be executed by UN-Habitat please amend the PFG request form.</i></li> <li><i>Please amend the start and end dates for the PFG.</i></li> </ol>	<p><b>CAR11: Cleared</b></p> <ol style="list-style-type: none"> <li>UN-Habitat will execute the PFG in cooperation with the Ministry of Environment (MoE) due to time constraints and the long process to approve the Agreement of Cooperation (AoC) with the MoE. UN-Habitat revised the PFG form and replaced.</li> <li>The start and end dates for the PFG were amended.</li> </ol>	-
	3. Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?	<b>Yes.</b>	-	-
Eligibility of IE	1. Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?	<p><b>No.</b></p> <p>Accreditation Expiration Date: 01 October 2025 and is currently under re-accreditation.</p> <p><i>Please be advised that the findings of the AFB Secretariat's review of the funding proposal(s) do not</i></p>	<p><b>No.</b></p> <p><i>Please be advised that the findings of the AFB Secretariat's review of the funding proposal(s) do not reflect, indicate, or prejudice the outcome of the reaccreditation process currently underway. The</i></p>	<p><b>No.</b></p> <p><i>Please be advised that the findings of the AFB Secretariat's review of the funding proposal(s) do not reflect, indicate, or prejudice the outcome of the reaccreditation process currently underway.</i></p>

		<i>reflect, indicate, or prejudge the outcome of the reaccreditation process currently underway. The Implementing Entity (IE) shall acknowledge that the funding proposal will not be approved by the Board if the IE's accreditation has expired, and reaccreditation has not been achieved at the time of the Board's decision. Notwithstanding this potential risk, the IE has elected to proceed with the development of the funding proposal.</i>	<i>Implementing Entity (IE) shall acknowledge that the funding proposal will not be approved by the Board if the IE's accreditation has expired, and reaccreditation has not been achieved at the time of the Board's decision. Notwithstanding this potential risk, the IE has elected to proceed with the development of the funding proposal.</i>	<i>The Implementing Entity (IE) shall acknowledge that the funding proposal will not be approved by the Board if the IE's accreditation has expired, and reaccreditation has not been achieved at the time of the Board's decision. Notwithstanding this potential risk, the IE has elected to proceed with the development of the funding proposal.</i>
Implementation Arrangements	1. Is there adequate arrangement for project / programme management, in compliance with the Gender Policy of the Fund?	n/a at concept stage		
	2. Are there measures for financial and project/programme risk management?	n/a at concept stage		
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and	n/a at concept stage		

	Social Policy and Gender Policy of the Fund?			
	4. Is a budget on the Implementing Entity Management Fee use included?	n/a at concept stage		
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage		
	6. Is a detailed budget including budget notes included?	n/a at concept stage		
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	n/a at concept stage		
	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage		
	9. Does the project/programme's results framework align with the AF's	n/a at concept stage		

	<p>results framework? Does it include at least one core outcome indicator from the Fund's results framework?</p>			
	<p>10. Is a disbursement schedule with time-bound milestones included?</p>	<p>n/a at concept stage</p>		

**A. Record of endorsement on behalf of the government (Please find the official endorsement letter from Ministry of Environment [below](#).)**

**B. Implementing Entity certification**

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (Cambodia Climate Change Strategic Plan and updated Nationally Determined Contribution) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.

**Raf Tuts**  
Director, Global Solutions Division,  
UN-Habitat

Date: August 28th 2025 Tel. +254-20-762-3736 and email: raf.tuts@un.org

Project Contact Person: Odicea Angelo Barrios, Programme Management Officer, Human Settlements, UN-Habitat Regional Office for Asia and the Pacific

Tel. (81-92) 724-7121 And Email: odicea.angelobarrios1@un.org



KINGDOM OF CAMBODIA  
Nation Religion King

Phnom Penh, 06 August 2025

To: The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: Secretariat@Adaptation-Fund.org  
Fax: 202 522 3240/5

Subject: Endorsement for a Single Country Concept Note on Enhancing Community-Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu Province, Cambodia.

In my capacity as designated authority for the Adaptation Fund in Cambodia, I confirm that the above national project proposal is in accordance with the government's national priorities, especially with the specific commitments to the Cambodia Climate Change Strategic Plan (2024-2033), in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Cambodia.

Accordingly, I am pleased to endorse the above Single Country Concept Note with support from the Adaptation Fund. If approved, the project will be implemented by United Nations Human Settlements Programme (UN-Habitat) and executed by the General Directorate of Policy and Strategy (GDPS), Ministry of Environment in Cambodia and Sub-National Authority of Kampong Speu Province. Several other line ministries/departments, identified sub-national authorities and non-governmental organizations will also be involved in the implementation of this project.

The Project Concept Note builds on the long-standing collaboration between the Ministry of Environment and UN-Habitat. Hence, we are grateful for direct support in this regard.

I sincerely hope that this concept note will be considered favorably by the Adaptation Fund.

Sincerely yours,  
  
H.E. Chuop Paris  
Secretary of State  
Ministry of Environment



Ministry of Environment

N<sup>o</sup> : 6468 / 10825 MoE

**KINGDOM OF CAMBODIA**  
**Nation Religion King**

Phnom Penh, 06 August 2025

To: The Adaptation Fund Board  
c/o Adaptation Fund Board Secretariat  
Email: Secretariat@Adaptation-Fund.org  
Fax: 202 522 3240/5

**Subject:** Endorsement for a Single Country Concept Note on Enhancing Community- Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu Province, Cambodia.

In my capacity as designated authority for the Adaptation Fund in Cambodia, I confirm that the above national project proposal is in accordance with the government's national priorities, especially with the specific commitments to the Cambodia Climate Change Strategic Plan (2024-2033), in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Cambodia.

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The Project Concept Note builds on the long-standing collaboration between the Ministry of Environment and UN-Habitat. Hence, we are grateful for direct support in this regard.

I sincerely hope that this concept note will be considered favorably by the Adaptation Fund.

Sincerely yours,



H.E. Chuop Paris  
Secretary of State  
Ministry of Environment

## B. Implementing Entity certification

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans (Cambodia Climate Change Strategic Plan and updated Nationally Determined Contribution) and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.



**Raf Tuts**

Director, Global Solutions Division,  
UN-Habitat

Date: August 28th 2025

Tel. +254-20-762-3736 and email: raf.tuts@un.org

Project Contact Person: Odicea Angelo Barrios, Programme Management Officer, Human Settlements, UN-Habitat Regional Office for Asia and the Pacific

Tel. (81-92) 724-7121 And Email: odicea.angelobarrios1@un.org



**Revised PFG Submission Form<sup>1</sup> (additions in red)**

**Project Formulation Grant (PFG)**

**Submission Date:** August 6<sup>th</sup>, 2025

**Adaptation Fund Project ID:**

**Country/ies:** Cambodia

**Title of Project/Programme:** Enhancing Community-Based Adaptation and Ecosystem Resilience in Protected Areas through Green Destination in Kampong Speu, Cambodia

**Type of IE (NIE/RIE/MIE):** Multilateral Implementing Entity

**Implementing Entity:** United Nations Human Settlements Programme (UN-Habitat)

**Executing Entity/ies:** UN-Habitat ~~Ministry of Environment, Cambodia~~

**A. Project Preparation Timeframe**

<b>Start date of PFG</b>	<u>April 2026</u> <del>November 2025</del>
<b>Completion date of PFG</b>	<u>October</u> <del>April</del> 2026

**B. Proposed Project Preparation Activities (\$)**

Describe the PFG activities and justifications:

The US\$150,000 Project Formulation Grant (PFG) will be used to formulate a single country full proposal through extensive local stakeholder consultations, feasibility/ESS and gender assessments, and technical studies. Key activities include community consultations with different stakeholders, translation of key documents, travel expenses, and professional services for proposal development. Additionally, the PFG will support a project consolidation workshop, and a write-shop to finalize the proposal in the proposed target location in Kampong Speu in cooperation with the Department of Climate Change, Ministry of Environment.

<b>List of Proposed Project Preparation Activities</b>	<b>Output of the PFG Activities</b>	<b>US\$ Amount</b>	<b>Budget note<sup>2</sup></b>
Stakeholders' workshops for validating the project design, implementation arrangement, and inputs for full proposal	Workshop report, validated project design, inputs to the design process	13,249	Project design workshops with different stakeholders in Kampong Speu with the support from Ministry of Environment.

<sup>1</sup> As presented in AFB/PPRC.33/40 Annex 1.

<sup>2</sup> The proposal should include a detailed budget with budget notes indicating the break-down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

Field visits in the project areas for validating project design, institutional capacity assessment of local stakeholders, and obtaining inputs for full proposal development	Field mission report and validated project design	20,000	UN-Habitat and MoE teams will conduct field visits to the project locations and potential beneficiaries of the project in Kampong Speu.
Detailed analysis of the project components, outputs, and activities	Well described and detailed project components, outputs, and activities	20,000	Desk work with experts to define the project's components, outputs, and activities.
Development of project Log-frame, results framework and budget	Detailed project Log-frame, results framework and budget formulated	15,000	Expert's work for the definition of the project log-frame, results framework, and budget.
Gender assessment	Gender analysis report	15,000	Gender expert for ground activities and survey to produce the gender analysis for the project.
Environmental Impact Assessment (EIA) and gender assessment of the proposed project	EIA report and gender analysis report	25,000	ESS and gender experts for ground activities and survey for the final EIA and gender reports.
Full project proposal development and consultation	Full project proposal developed and workshop report	30,000	Consolidate and finalize full project proposal for submission.
<b>Sub-Total</b>		<b>138,249</b>	
Implementing Entity's Management Fee (8.5%)		11,751	Follow and supervision of NEI for the activities for the full proposal development.
<b>Total Project Formulation Grant</b>		<b>150,000</b>	

Please describe below each of the PFG activities and provide justifications for their needs and for the amount of funding required:

- **Consultative workshops** to review the concept note and prepare a full proposal formulation. The stakeholders' workshops for validating the project design and gathering inputs for full proposal development are critical ~~for to~~ ensuring ~~that~~ the project aligns with local needs and conditions. These workshops will involve a diverse group of stakeholders, including community members, local government officials, NGOs, experts, and other relevant stakeholders. The activities in these workshops include presenting the initial project design, discussing its goals, methods, and expected outcomes, feasible implementation arrangements, and soliciting feedback and suggestions. This participatory approach ensures that the project benefits from local knowledge, addresses actual vulnerabilities, and enhances community buy-in and ownership. The feedback collected is then used

to refine the project proposal, making it more robust, context-specific, and likely to succeed in achieving its adaptation goals.

- **Field studies in the project areas** for validating [the](#) project design and obtaining inputs for full proposal development. The field visits in the project area are essential for validating the project design and gathering inputs for the concept note formulation. These visits will involve on-site evaluations and interactions with local communities, stakeholders, and environmental conditions. The activities will include assessing the physical and social landscape, identifying climate vulnerabilities, understanding local adaptation needs, and the institutional capacity of planned local stakeholders. Meetings and discussions with community members, local leaders, and experts will help gather firsthand information and feedback on the proposed project design. These interactions ensure that the project is context-specific, addresses actual and future climate risks, and incorporates local knowledge and practices. The insights gained from these field visits will be crucial for refining the project concept note, making it more effective and sustainable in enhancing climate resilience.
- **Conduct detailed analysis of project components, outputs and activities.** A detailed analysis of project components, outputs, and activities will involve several important steps. Project components are detailed, encompassing inputs such as resources (funding, expert knowledge), activities (events, research, capacity building), and intended outcomes. This analysis uses a logical framework (log-frame) to summarize core elements, ensuring clarity and coherence in the project's design. Outputs refer to the direct results of project activities, such as the development of climate-resilient infrastructure. Activities will include the specific actions taken to achieve these outputs.
- **Development of [the](#) project log frame, results framework, and budget.** The project log frame and results framework will involve defining the project's goal and objectives, developing a logical framework matrix capturing the hierarchy of results (impact, outcomes, outputs, activities, inputs), establishing indicators and means of verification, identifying assumptions and risks, visually representing the logical linkages between project components, engaging stakeholders for validation, and implementing a monitoring and evaluation plan to track progress and make necessary adjustments, ensuring the project is well designed, effectively managed, and capable of delivering sustainable benefits to vulnerable communities. Developing a detailed project budget will involve several key steps to ensure comprehensive financial planning and resource allocation. First, this activity will detail and categorize all necessary components of the project, such as baseline data collection, capacity building, community engagement, infrastructure development, monitoring, and evaluation. Each category will be broken down into specific activities, with estimated costs for personnel, materials, equipment, travel, and administrative expenses.
- **Gender assessment:** The gender assessment will involve evaluating the different impacts of climate change on men and women and ensuring gender-responsive strategies. This activity will start with collecting gender-disaggregated data to understand the specific vulnerabilities and needs of women and men in the project areas. The assessment will identify gender-specific barriers to adaptation and propose measures to overcome them, such as enhancing youth and women's access to resources, information, and decision-making processes. It will also examine how the proposed adaptation strategies might affect gender dynamics, aiming to avoid reinforcing existing inequalities. Furthermore, the assessment will develop indicators to monitor and evaluate gender outcomes throughout the project. Engaging both women and men in the planning and implementation stages ensures that the adaptation efforts are inclusive and equitable.
- **Environmental Impact Assessment (EIA) of the proposed project.** The Environmental Impact Assessment (EIA) will involve evaluating the potential environmental impacts of the project to ensure it does not adversely affect the environment or hinder its resilience. This process will include screening to determine the necessity of an EIA, scoping to identify key environmental concerns, assessing potential impacts on natural resources and ecosystems, proposing mitigation strategies to address negative effects, consulting stakeholders to gather feedback, and documenting the findings

in a comprehensive report. Moreover, it will involve monitoring the project's environmental effects to ensure compliance with mitigation measures and to adapt strategies as needed for unforeseen impacts.

- **Full project proposal development.** Developing the comprehensive full project proposal will involve several key components. Initially, the proposal will define the specific climate change impacts and vulnerabilities the project aims to address, using data and assessments to justify the need for adaptation. The objectives will be clearly articulated, with detailed activities designed to achieve these goals. Engaging stakeholders, including local communities, ensures that the project is designed taking into account the actual needs and constraints of the target population. The proposal will outline a detailed budget, specifying how funds will be allocated to various activities, and include a timeline for implementation. Furthermore, a robust monitoring and evaluation plan will be included because it is essential to track progress, assess outcomes, and make necessary adjustments. Risk management strategies will also be detailed to address potential challenges that might arise during the project lifecycle. Finally, the proposal will align with the requirements of the Adaptation Fund to enhance its chances of approval.

### C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board’s procedures and meets the Adaptation Fund’s criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Raf Tuts		<u>29/08/2025</u>	Odicea Angelo Barrios	(81-92) 724-7121	odicea.angelobarrios1@un.org