ADAPTATION FUND

AFB/PPRC.37/Inf.39
16 March 2026

Adaptation Fund Board
Project and Programme Review Committee
Thirty-seventh Meeting
Bonn, Germany, 7-8 April 2026

PROPOSAL FOR
GUINEA, KENYA, SAO TOME AND PRINCIPE

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ADAPTATION FUND BOARD SECRETARIAT TECHNICAL REVIEW OF PROJECT/PROGRAMME PROPOSAL

PROJECT/PROGRAMME CATEGORY: Regional Project Concept

Countries/Region: Guinea, Kenya, Sao Tome and Principe
Project Title: Building Climate-Resilient Health Systems in Africa
Thematic Focal Area: Disaster risk reduction and early warning systems
Implementing Entity: World Health Organization
Executing Entities: Ministries of Health in the three Countries
AF Project ID: AF00000384
IE Project ID:
Requested Financing from Adaptation Fund (US Dollars): 13,920,000
Reviewer and contact person: Alexandra Munoz
Co-reviewer(s):
IE Contact Person:

Technical Summary	The project "Building Climate-Resilient Health Systems in Africa" aims to build climate-resilient health systems in Sao Tome and Principe, Guinea and Kenya. This will be done through the three components below: Component 1: Climate-informed disease surveillance, early warning, and response systems (USD 3,000,000). Component 2: Climate-resilient Infrastructure and Technology (USD 6,500,000) Component 3: Capacity building and Institutional strengthening (USD 2,300,000). Requested financing overview: Project/Programme Execution Cost: USD 1,120,000 Total Project/Programme Cost: USD 12,920,000 Implementing Fee: USD 1,000,000 Financing Requested: USD 13,920,000 The proposal includes a request for a project formulation grant and/or project formulation assistance grant of USD 265,000.

	The initial technical review raised several issues, such as the lack of information provided to raise synergies or potential duplications, the need for an initial gender analysis, and a more comprehensive cost-effectiveness analysis, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Request (CAR) raised in the review. The second technical review raises several issues, such as the lack of an Initial Gender Analysis, or a more comprehensive list for standards to comply with and related regional and national projects, and the need for information regarding synergies and potential duplications, among others, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Request (CAR) raised in the review.
Date	February 2, 2026

Review Criteria	**Questions**	**First Technical Review Comments November 6, 2025**	**Second Technical Review Comments February 2, 2026**
Country Eligibility	1. Are all of the participating countries party to the Kyoto Protocol and/or the Paris Agreement?	**Yes.** The three countries have ratified both the Kyoto Protocol and the Paris Agreement.	**-**

	2. Are all of the participating countries developing countries particularly vulnerable to the adverse effects of climate change?	**Yes.** Guinea, Kenya, and São Tomé and Príncipe are among the most vulnerable countries to climate change in the African region. Rainfall patterns in **Guinea** have exhibited significant variability since 1970, with a general decline in annual precipitation in the northern and northeastern regions. Since 1970s, **Kenya** has experienced a significant warming trend, with average temperatures rising by approximately 1.0 to 1.5°C, exceeding the global average. Since 1970, **Sao Tome and Príncipe** have experienced a steady increase in average temperatures, consistent with global warming trends in the Gulf of Guinea.	**-**

Project Eligibility	(a) Have the designated government authorities for the Adaptation Fund from each of the participating countries endorsed the project/programme?	**Yes, for Guinea and Kenya.** **Guinea**: As per the Endorsement letter dated January 12, 2024. **Kenya**: As per the Endorsement letter dated January 12, 2024. **Sao Tome and Principe**: *The primary contact that the Adaptation Fund has is Ms. Sulisa Signo Born Jesus Quaresma. However, the Endorsement letter is signed by Victor Manuel do Sacramento Bonfim.* **CAR1:** As per DA nomination letter for Sao Tome and Principe the signing authority is *Ms. Sulisa Signo Born Jesus Quaresma* , please return the proposal with a signed letter by Ms. Quaresma so that the re-submission can be considered valid.	**CAR1: Cleared.** As per the Endorsement letter dated January 9, 2026, for Sao Tome and Principe. The Endorsement letter is signed by Ms. Sulisa Signo Bom Jesus Quaresma. **CR(NEW1):** Please ensure that all acronyms are introduced with their full term upon first mention. Afterward, use only the acronym consistently throughout the proposal.

	(b) Does the length of the proposal amount to no more than fifty (50) pages for the project/programme concept, including its annexes?	**Yes.** The concept note is 49 pages including its annexes. **CAR2:** On page 1 as well as in the PFG request form the names of the Ministries of Health in the three countries are not named. Please include the legal names of the three Ministries listing them on the cover page of the proposal. **CAR3:** On the cover page of the proposal the financing amount is listed as 13.92. Please do not use decimal points in the presentation of financial figures in the proposal. Please amend every occurrence of decimal points in the proposal.	**Yes.** The concept note is 50 pages including its annexes. **CAR2: Cleared.** While the legal names of the ministries of Health on the three countries were included in the CN, still some clarifications are needed. The PFG submission form indicates the PFG implementing entity and Executing Entity as the World Health Organization (WHO). **CAR3: Not cleared.** 1. Please amend the financial figures of the financing amount on the cover page and avoid using decimals. For example, instead of 13.92 million, use 13,900,000. 2. Please present the budget at the component financing table at the at the output level by splitting cells in the grant amount column.

	(c) Does the regional project / programme support concrete adaptation actions to assist the participating countries in addressing the adverse effects of climate change and build in climate resilience, and do so providing added value through the regional approach, compared to implementing similar activities in each country individually?	**Yes, but further information is required.** The narrative of the project is well-explained and clearly links climate change impacts in a general way for the three countries. The concept note describes well-aligned and concrete adaptation actions. In addition, the proposed project builds regional added value through economies of scale, faster learning and diffusion, standardization, among other features. The Regional Project contributes to the thematic focal area: *Disaster risk reduction and early warning systems.* However, more details are required regarding the Adaption Fund Strategic Objectives and the Theory of Change. **CR1:** Kindly add more details to the Theory of Change, in specific, the assumptions made, the enables in each country, and the specific set of measures to reach the outcomes stablished. **CR2:** Please, kindly add explicitly the alignment with the Adaptation Fund Strategic Objectives in Part II.A. **CR3**: Although the project describes broadly the overall objectives, the information under the barrier "Poor disease and disaster surveillance systems, hindering their preparedness	**CR1: Not Cleared.** Enablers in each country, as well as risks, outputs, outcomes, and impacts, are presented. Please also include the main problem this project aims to address. **CR2: Not Cleared.** While the proposed project is stated to be aligned with ERs 1, 2, 3, 4, and 5 of the AF Medium-term Strategy (2023–2027), the wording does not appear to be fully accurate. Please ensure that the wording exactly matches that used in the strategy.

		and response to health risks and losses associated with climate change" is very general and does not sustain the climate reasoning. There is need for further data and background information on the health risks exacerbated by climate change in the 3 countries. Please provide further information including context specific data on health risks and impacts the project is targeting (recent climate data and its correlation with health risks and impacts in the 3 countries). **CAR4**: 1. The added value through the regional approach under the proposed project is not fully justified. Under the Part I, please describe the benefits of the regional approach in addressing the impacts of climate change in the health sector in the 3 countries, including among others the opportunity for a regional approach to address the targeted issues compared to national approach. Is there an existing cooperation or partnership to support the proposed interventions? Consider strengthening the paragraph "Why a regional project and approach?" with	**CR3: Cleared.** The CN states that the intervention will be on health infrastructure (page 35) as well as subtitle 1.4.1.3, page 13, that provides the linkage between health systems and climate-sensitive diseases and vulnerabilities. **CR(NEW2):** Please consider including a general objective that encompasses all three specific objectives. **CAR4**: **1. Cleared.** As per information in "*Why a regional project and approach?" (page 33)* The regional approach aims to go beyond national efforts through cross-country learning by building added value to each country. **2. Cleared.** The following activities have been removed: 3.4.5, 3.2.2, and 3.2.6. **3. Not Cleared.** Please clarify briefly: a. How 2.3 is different from 3.3;

		information supporting the above. 2. Please amend the proposal to: a. remove 3.4.5 as PMU costs should be captured under the execution costs and not as a project component. When placing under execution costs please use the AF approved categories.[note that the full elaboration of EC costs is only required at fully-developed proposal stage] b. Remove 3.2.2 as AF does not fund NAP development c. 3.2.6 as AF only provides fundings for its events 3. Please clarify how: a. 2.3 is different from 3.3; b. 1.3.3. is different from 2.1.2; c. How 2.3 is different from 3.2.4	b. How 1.3.3. is different from 2.1.2; c. Please define the tangible outputs and outcomes, including measurable indicators where applicable.

	(d) Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy of the Fund?	**Yes, but further information is required.** Part II.C, pages 36-37. The concept note outlines the project's economic, social, and environmental benefits; and the relationship between activities and outcomes is well explained. The proposed project will include an Environmental and Social Risk Assessment during the design phase. However, more information about the beneficiaries from specific vulnerable groups is required. **CR4:** Kindly provide, when possible, with an estimation of the benefits to be quantified. **CAR5:** In addition to information in table 5, kindly provide information on the expected beneficiaries disaggregated by gender and age, when possible. In addition, please explain how the project will ensure the equitable distribution of benefits. **CAR7:** Please outline the benefits the program will provide specifically to marginalized and vulnerable groups and indigenous communities. **CAR8:** Kindly include an Initial Gender Analysis or assessment to determine the needs, capabilities, roles and knowledge resources of women and men, and how	**CR4: Not cleared.** Please include quantitative estimates (proxies) of the expected benefits generated by the proposed project. **CAR5: Not cleared.** The total number of expected beneficiaries is now included in the CN (approximately 1,000 health workers). However, this information is not disaggregated by gender and age, and no explanation is provided on how the project will ensure the equitable distribution of benefits. Please include beneficiary data disaggregated by gender and age, as well as a description of the measures that will ensure equitable distribution of benefits. **CAR7: Cleared.** As per information in Part II.C, page 38, the benefits are listed and include enhanced skills in climate-informed surveillance, strengthened institutional capacity to integrate climate data into health planning, among others.

		changing gender dynamics might drive lasting change ideally providing qualitative and quantitative data for gender roles, activities, and needs, including gender-specific cultural and/or legal context in which the project will operate.	**CAR8: Not cleared.** While some gender-related information is provided, please include a desktop-based Initial Gender Analysis to assess: (i) the needs, activities, and knowledge resources of women and men in the three countries; and (ii) the gender-specific cultural and/or legal context in which the project will operate in the three countries.

	(e) Is the project / programme cost-effective and does the regional approach support cost-effectiveness?	**Yes, but further information is required.** Part II.D, pages 37-38. The concept note outlines a broad but logical explanation of the cost-effectiveness of the proposed project. However, it does not state the selected scope and approach, nor demonstrate the cost-effectiveness from a sustainability point of view. **CAR9:** Please provide a sound justification for the cost-effectiveness of the project and selected measures, from a sustainability point of view. For this, the proposal should explain alternative options to the proposed measures and include some quantitative estimates when possible. Kindly consider using a comparative table showing cost effectiveness of each measure compare to alternative option or BAU. **CR5:** Kindly include the scope and approach to calculate the cost-effectiveness of the proposed project. **CR6**: considering the regional approach adopted, please provide information on similar experience by other countries or other benchmark to support the proposed project cost effectiveness.	**CAR9: Not cleared.** As per information in Part II.D, pages 39-40, a first draft and exercise of the cost-effectiveness of the proposed project is presented. The brief analysis considers a BAU scenario to do the comparisons for each measure. However, please include a sound justification and vertical logic narrative to demonstrate the cost-effectiveness of the project and selected measures, from a sustainability point of view. **CR5: Cleared.** As per information in Part II.D, pages 39-40. Information on the scope and approach is included. **CR6**: **Not cleared.** Please clarify whether there are similar experiences in other countries or other benchmarks to support the proposed project's cost-effectiveness.

	(f) Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments? If applicable, it is also possible to refer to regional plans and strategies where they exist.	**Yes, but further information is required.** The concept note outlines relevant plans and strategies for the proposed project in the three countries and at the regional level; however, more comprehensive information is needed. **CR7:** Kindly specify the direct contribution of the project's activities to the national plans and strategies identified. The explanation should clearly demonstrate how the proposed project aligns with and supports specific goals, measures, or actions outlined in those plans and strategies.	**CR7: Not cleared.** Please specify how the project's activities contribute to the identified plans and strategies, by indicating their alignment with the specific goals, measures, or actions outlined in those plans and strategies.

	(g) Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?	**Unsure.** The concept note states broadly relevant national technical standards to comply with in Guinea, Kenya, and Sao Tome and Principe. However, it does not specify which standards are directly relevant to the proposed project, nor does it clarify the current level of compliance with these standards. **CAR10**: Kindly provide a comprehensive table listing of all specific national/local technical standards applicable to the proposed project (related to healthcare, solar power, energy infrastructure, among others). The columns in the table should include: (i) specific name/number of the standard/regulation, (ii) goals/objectives, and (iii) how it is related to the proposed project and the project's compliance status. This should be presented in a logical manner. In the case that compliance is required, please include the steps taken to comply with it and authorization/clearance granted for the implementation of the project. **CR8**: Kindly also include the relevant gender laws that the project will be	**CAR10: Not cleared.** Please amend Table 9 in Part II.F, to ensure the following: (i) The list is comprehensive listing of all specific national/local technical standards applicable to the proposed project. (ii) specific name/number of the standard/regulation (*one line per regulation*), (iii) goals/objectives, and (iv) how it is related to the proposed project and the project's compliance status. This should be presented in a logical manner. Please include the steps taken to comply with it and authorization/clearance granted for the implementation of the project. **CR8: Not cleared.** Please include in Table 9 under Part II.F all relevant gender laws with

		aligned with in ensuring inclusion of vulnerable communities to in Part II of the proposal.	which the project will be aligned. If no relevant gender laws are identified, please include clarification under Part II.F.

	(h) Is there duplication of project / programme with other funding sources?	**Unsure.** Part II.G, pages 40-41. Although relevant ongoing projects are outlined in Table 8 for each country, more specific details are required to clearly identify all potential overlapping areas or synergies with the proposed project. **CAR11:** Kindly include a comprehensive table listing all national and regional projects that are or have been implemented in Guinea/Kenya/Sao Tome and Principe and are related to the proposed project. For each, please include the following details: (i) Project title, (ii) Main project interventions, (iii) Timeline and specific location within the country, (iv) Target population, (v) Implementing entity, (vi) Lessons learned (if applicable) (vii) Overlaps and synergies with the proposed project. The lack of overlap should be clearly justified (e.g. by indicating the distinct geographic locations and/or types of interventions) for each related project identified.	**CAR11: Not cleared.** While additional information has been included in Table 10, Part II.G, the is still need for more details. Please ensure: (i) The table listing is comprehensive (ii) It includes for <u>each identified project:</u> Project title, Main project interventions, Timeline and specific location within the country, funding source, Target population, and Implementing entity, (iii) When corresponds, it should include: Lessons learned, Overlaps and synergies with the proposed project. (iv) The lack of overlap should be clearly justified <u>for each related project identified</u>.

	(i) Does the project / programme have a learning and knowledge management component to capture and feedback lessons?	**Yes, but further information is required.** Part II.H, page 41. The proposed project includes all activities related to knowledge management under Component 3. It is stated that four key activities will be carried out: (i) impact evaluations; (ii) case documentation; (iii) tailored communication; and (iv) regional learning exchanges and virtual communities of practice to promote South-South learning. However, the information provided is broad and more details are needed. **CR9:** Please ensure the proposed project reflects the specific components where the Knowledge Management and Learning activities are located (*we understand they are under Component 3*). Kindly clarify or include details to respond to the following: • specific activities for Learning and Knowledge Management of the proposed project. • who will be responsible for tracking the experiences gained, how this will be done, and when the tracking will take place. • what type of information will be collected throughout this process and what and how will be disseminated.	**CR9: Not cleared.** Please clarify or include the following: • specific activities for Learning and Knowledge Management of the proposed project. • What entity will be responsible for tracking the experiences gained, how this will be done, and when the tracking will take place. • how the knowledge generated will be sustained after the project concludes. • what resources will be needed to sustain lessons learned after the project ends.

		• how the knowledge generated will be sustained after the project concludes. • what resources will be needed to sustain lessons learned after the project ends.	

	(j) Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	**Yes, but further information is required.** Part II.I, page 42. The proposed project conducted in-country missions in Guinea and Sao Tome and Principe, as well as remote online consultations in Kenya. The consultations and missions were focused on national stakeholders, including the Ministries of Health; Ministries of Environment; Energy Sector services Agencies; WASH sector representatives; Academic and research institutions involved in climate-health work. While the concept note states that sex-disaggregated data collection, gender-sensitive indicators, and equitable participation in governance structures will be included, it is not clear how gender considerations were addressed in the consultative process. In addition, there is a lack of information regarding the inclusion of vulnerable groups and indigenous peoples in the consultation process. **CAR12:** Kindly provide a table listing covering at least the following elements: (i) the participants of each meeting, including the total number of attendees disaggregated by sex, (ii) date and location for each meeting,	**CAR12: Not cleared.** Please include a table listing covering at least the following for each consultation: (i) the participants, including the total number of attendees disaggregated by sex, (ii) date and location, (iii) summary of the subjects discussed, and any agreements reached,

		(iii) brief summary of the subjects discussed, and any agreements reached, where applicable, (iv) explanation of the gender considerations (and those related to other vulnerable groups) addressed during the process, including how their interests were reflected in the proposed project. Please also clarify whether this information has been documented elsewhere. **CAR13:** Kindly include a comprehensive, gender-responsive consultative process as part of the stakeholder consultations, including vulnerable groups if applicable. Please revise the implementation arrangements to ensure all stakeholders' views are taken into consideration.	(iv) explanation of the gender considerations (and those related to other vulnerable groups) addressed during the process, including how their interests were reflected in the proposed project. **CAR13: Not cleared.** Please include a gender-responsive consultation process within the stakeholder consultations, involving vulnerable groups where relevant. Revise the implementation arrangements to ensure that all stakeholders' perspectives are considered.
	(k) Is the requested financing justified on the basis of full cost of adaptation reasoning?	**Yes, but further information is required.** The concept note presents broad arguments to support how the proposed project will enable the three African countries to move beyond business-as-usual health investments and to focus on climate resilience. However, the demonstration of how the project will address its adaptation objective is not fully clear. In addition, the proposed	

		project does not provide information about additional funding. **CR10:** Please provide information about whether this project will meet its outcomes and outputs solely with the resources of the Adaptation Fund. **CR11:** Kindly provide a sound explanation, using a vertical logic manner, on how the proposed project's objective will be achieved in regards adaptation. **CR12**: 1. Please confirm that there is no co-financing expected and that AF funding will be able to deliver its outcomes and outputs regardless of the success of any other leverage or co-financing. 2. Please amend the value of the proposal at Part II section J which indicates the value of the proposal as US$14M.	**CR10: Not cleared.** Please indicate explicitly in the CN whether this project will meet its outcomes and outputs solely with the resources of the Adaptation Fund. It may be written down as: *"the proposed project will meet its outcomes and outputs solely with the Adaptation Fund resources".* **CR11: Not cleared.** Kindly provide a sound explanation, using a vertical logic manner, on how the proposed project's objective will be achieved in regards adaptation. **CR12**: **Not cleared.** Please confirm whether: (i) no co-financing is expected, and (ii) CR10.
	(I) Is the project / program aligned with AF's results framework?	**Yes, but amendments are required.** Table 10, pages 46-47. The concept note delivers information at the outcome and output level, between the proposed project and the AF's results framework. However, the number of the Fund outcome and output indicators are not included.	

		CAR14: 1. At table 10 1st row should only contain the main project objective straddling all 5 columns. In this case use the aim as it seems to be the more overarching statement as indicated on page 9 paragraph 4. 2. Since this in an alignment table please remove the AF core indicators from column 2. The indicators in column 2 should be the project's own generated by the IE. 3. From row 2 start identifying at column 1 the 1st project outcome should be indicated in column 2 the WHO generated project outcome indicator and column 3 and 4 respectively AF out outcome and AF outcome indicator. Please use the same outcome and outcome indicators listed in the bottom of the table at the top of the table. 4. Use the same approach for the bottom of the table for the output section. Please note that a results framework is not required at the concept note stage.	**CAR14: Not cleared.** 1. All project's outputs and outcomes indicators are SMART. 2. Please ensure consistency between the AF outcome, outcome indicators, output and output indicators according to the Adaptation Fund Strategic Results Framework outlined in the updated AF Results Framework (nov 2025). 3. Update the table using the format provided in the updated AF Results Framework, and complete it following the instructions in the same document. The bottom part of the Table should include the information on the project's outcomes; therefore, the grant amounts should correspond to each component. 4. Please use the complete form of the numbers. In other words, use "3,000,000" instead of "3M". 5. Please use the same outcome and outcome indicators listed in the bottom of the table at the top of the table.

			6. Use the same approach for the bottom of the table for the output section. **CAR1 (NEW):** Only the alignment table is required at CN stage at Part III. Please delete the narrative.
	(m) Has the sustainability of the project/programme outcomes been taken into account when designing the project?	**Yes, but further information is required.** Part II.K. The proposed project states 6 types of project's sustainability: (i) Technical and Infrastructure; (ii) Institutional and Human Resource; (iii) Financial; (iv) Environmental; (v) Social; and (vi) Policy and Knowledge. However, it would be beneficial to mention the regulations and resources needed for sustainability, including a clear statement about how replication and scaling up will be achieved. **CR13**: There is little information about the project O&M, in particular related to infrastructure for monitoring and EWS. Kindly describe the O&M including involvement of beneficiaries and other stakeholders. **CR14:** Kindly explain the arrangements required, in each country, for the project's benefits sustainability after the project ends, including regulatory and financial resources.	**CR13: Cleared.** As per information provided in Part II.K, page 47, the Monitoring and Evaluation of the proposed project will be developed at the full proposal stage. **CR14: Not cleared.** While the CN indicates that knowledge exchange will continue through the institutionalization of best practices and platforms, it does not specify the arrangements needed to ensure sustainability. Please describe the resources required <u>in each country</u> to sustain the project's benefits after completion. This may include financial resources (What entity will finance this? What sources? Will there be regional responsible?), regulatory and planning

		CR15: Please explain how replication and scaling up of the project's activities and benefits will be achieved in each country as well as the regional approach.	arrangements (Are there any previous arrangements between the involving countries?), among others. **CR15: Not cleared.** Please expand on how replication and scaling up of the project's activities and benefits will be achieved in <u>each country</u> and at the regional level.
	(n) Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?	**No.** The concept note assesses all 15 principles from the Adaptation Fund's Environmental and Social Policy against the proposed project activities. For each one, it is stated some risk description. However, more details are needed to classify the risks. In addition, project classification (A, B or C) from the screening and an Initial Gender Assessment are not included. **CAR15:** Kindly state the project classification from the screening (Category A, B or C) in Part II. K. **CR16:** Please review Table 9 and state and expand, when it corresponds, all potential impacts (direct, indirect, transboundary and cumulative), including how it is planned to mitigate and manage each risk. For more	**CAR15: Not cleared.** Kindly state the project classification from the screening (Category A, B or C) in Part II. L. Currently, the CN indicates B or C, with no definition between either. **CR16: Not cleared.** Please include in Table 11 all potential impacts (<u>direct, indirect, transboundary and cumulative</u>),

		information, please visit: AF's ESP guidance. **CAR16:** Please note that Adaptation Fund Principles 1, 4 and 6 always apply. For more information, please visit: AF's ESP guidance. Please amend Table 9 to reflect no check mark at column to associated with principles 1, 4 and 6. **CAR17:** Please review Table 9 and state and expand, where relevant, all potential impacts (direct, indirect, transboundary and cumulative), including how it is planned to mitigate and manage each risk. Such risks should be described in the third column along with the corresponding mitigation measures. In addition, please consider the following guidance: (i) If no risk is identified for a given principle, a clear justification must be provided. (ii) Whether the second or third column is marked depends on whether further assessment for compliance is required. Only one of the two columns should be marked. If third column is marked, further assessment and management is required for compliance. It should include the risk level and description (starting by "There is a risk (…)").	including adequate mitigation and management measures for each risk. Such risks should be described in the third column. For more information, please visit: AF's ESP guidance. **CAR16: Cleared.** As per information in Table 11, principles 1, 4 and 6 reflect correctly a no check mark. **CAR17: Not cleared.** Please review Table 11 and ensure: (a) If a risk is identified, provide a proper explanation alongside with the "risk level" (low, intermediate, high) regarding the proposed project in column 3. (b) If no risk is identified for a given principle, clear and sound justification must be provided in the third column. (c) risk level and description *(starting with "There is a risk (…) that may impact (…)").* The relationship to the project must be clearly and specifically described (avoiding generic descriptions), ideally indicating

		Otherwise, if column 2 is marked, it should be accompanied by a sound justification as indicated in (i). **CR17:** Please ensure consistency of the listed risks and measures with those mentioned in Part III.C. Specifically, the risk related to marginalized and vulnerable groups. **CAR18**: 1. Kindly confirm if all infrastructures and other adaptation actions have been identified including location and nature. If not, please consider using USP approach and ensure compliance with AF ESP and gender policies on USP. USP guidance is available at • Guidance Document for Project/Programme with Unidentified Sub-Projects 2. Please include at Section L a statement on how the UPSs will be addressed in the ESMP and risk framework of the project.	the outcome, output, or activity that the risk may impact. **CR17: Not cleared.** Please ensure consistency of the listed risks and measures to mitigate those risks to marginalized and vulnerable groups. **CAR18**: **Not cleared.** There is no clear and specific mention referring to USP. Please confirm whether the proposed project includes USP. If so, kindly ensure compliance with AF ESP and gender policies on USP, in line with the relevant USP guidance.
	(d) Does the project promote new and innovative solutions to climate change adaptation, such as new approaches, technologies and mechanisms?	**Yes, but further information is required.** The proposed project states itself as pioneering a transformative approach to climate change adaptation, aiming to	

		strengthen public health surveillance systems by shifting from reactive to proactive responses. However, it would benefit from including specific cases or contexts where this approach has been successfully implemented. **CR18:** Kindly include specific cases or contexts where this approach has been successfully implemented in Part II.B.	**CR18: Cleared.** As per information in Part II.B, page 37. A brief statement with examples in Ethiopia and Accra has been provided.
Resource Availability	1. Is the requested project / programme funding within the funding windows of the regional projects/programmes?	**Yes.**	**-**
	2. Are the administrative costs (Implementing Entity Management Fee and Project/ Programme Execution Costs) at or below 10 per cent of the project/programme for implementing entity (IE) fees and at or below 10 per cent of the project/programme cost for the execution costs?	**Yes, but some amendments are required.** Table 3: Project outputs and expected outcomes (pages 25-26). The figures are rounded to a whole number throughout the document. Project Execution costs are listed as 8,7% and the Implementing Entities Fees as 7,7%. **CAR19:** Please revise that the figures add up across the document, including all tables in the concept note. As an example of the indicated, Table 3 states *USD 2,300,000* for the component 3 while Table 10 states *USD 2,500,000* for the same component. **CAR20:**	**CAR(NEW1):** Please write out all numbers in full throughout the CN. For example, instead of "3 million" or "3M," please use "3,000,000." **CAR(NEW2):** Please break down the main activities associated with

		1. WHO accessed US$20,000 in PFG for the Pre-CN stage of this proposal. The total amount accessible for a 3 country regional PFG is 165,000. The initial US$20,000 must be deducted from this amount leaving a balance to be accessed of US$, 145, 000. Please amend the PFG amount on the cover page and in the PFG request form accordingly (request amount there is 160,000). 2. In the PFG request form at the national stakeholder line item the total in column 2 is presented at US$55,000, however, in column 3 the summation is US$54,900. Also not consistent with the explanatory note below the table. Please amend. 3. In the PFG form at line item 2 EIA and feasibility studies based on description is column 3 is ≈ US$69,000 and not ≈ US$105,000 as presented in column 2. Also not consistent with the explanatory note below the table. Please amend. 4. Please include the IE fees as a line item in the PFG form. 5. Please confirm if the Ministries of health in the countries will be executing PFG as well. If WHO	each PFG output, providing more detailed information. **CAR19: Cleared.** All figures add up cross the document. **CAR20:** **1. Cleared.** Considering the PFG for the Pre-CN stage of this proposal, the PFG has been amended to a total of USD 145,000. **2. Cleared.** Amount of national consultation has been amended to USD 15,000 per country. USD 45,000 in total. **3. Cleared.** Amount of EIA and Feasibility studies have been amended to USD 30,000 per country. USD 90,000 in total. **4. Cleared.** The PFG includes IE fees of around 7.7% (USD 10,000). **5. Cleared.** WHO will be the Executing Entity of the PFG. **6. Cleared.** PFG start date was amended to June 2026.

		intents to execute the PFG please make the change in the PFG form. 6. Please amend the proposed start date of the PFG.	
Eligibility of IE	1. Is the project/programme submitted through an eligible Multilateral or Regional Implementing Entity that has been accredited by the Board?	**Yes, however clarification in needed.** World Health Organization (WHO) is an accredited MIE. Accreditation Expiration Date: November 24th, 2028. **CAR21:** In the re-submission please provide written evidence that VILLALOBOS PRATS, Elena WHO Headquarters, has authorized Jeremiah MUSHOSHO, WHO AFRO, to sign the proposal.	**CAR21: Cleared.** As per email from Elena Villalobos dated 15 January 2026.
Implementation Arrangements	1. Is there adequate arrangement for project / programme management at the regional and national level, including coordination arrangements within countries and among them? Has the potential to partner with national institutions, and when possible, national implementing entities (NIEs), been considered, and included in the management arrangements?	n/a at concept stage	

	2. Are there measures for financial and project/programme risk management?	n/a at concept stage	
	3. Are there measures in place for the management of for environmental and social risks, in line with the Environmental and Social Policy and Gender Policy of the Fund? Proponents are encouraged to refer to the Guidance document for Implementing Entities on compliance with the Adaptation Fund Environmental and Social Policy, for details.	n/a at concept stage	
	4. Is a budget on the Implementing Entity Management Fee use included?	n/a at concept stage	
	5. Is an explanation and a breakdown of the execution costs included?	n/a at concept stage	
	6. Is a detailed budget including budget notes included?	n/a at concept stage	
	7. Are arrangements for monitoring and evaluation clearly defined, including budgeted M&E plans and sex-disaggregated data, targets and indicators, in compliance with the Gender Policy of the Fund?	n/a at concept stage	

	8. Does the M&E Framework include a break-down of how implementing entity IE fees will be utilized in the supervision of the M&E function?	n/a at concept stage	
	9. Does the project/programme's results framework align with the AF's results framework? Does it include at least one core outcome indicator from the Fund's results framework?	n/a at concept stage	
	10. Is a disbursement schedule with time-bound milestones included?	n/a at concept stage	

ADAPTATION FUND

CONCEPT NOTE FOR REGIONAL PROJECT/PROGRAMME

PART I: PROJECT/PROGRAMME INFORMATION

Title of Project/Programme: Nature-based adaptation in urban and riverine areas of Cuba and the Dominican Republic.

Countries: Cuba and the Dominican Republic

Thematic Focal Area[1]: Innovation in adaptation finance, Food Security and Disaster risk reduction and early warning systems

Type of Implementing Entity: Multilateral Implementing Entity

Implementing Entity: United Nations Environment Programme (UNEP)

Executing Entities: Cuban National Institute of Territorial Planning and Urbanism (INOTU) and the Dominican Republic Ministry of Environment and Natural Resources

Amount of Financing Requested: 30,000,000 (in U.S Dollars Equivalent)

Project Formulation Grant Request: Yes ☒ No ☐

Amount of Requested financing for PFG: 150,000 (in U.S Dollars Equivalent)

Letters of Endorsement (LOE) signed for all countries: Yes ☒ No ☐

NOTE: LOEs should be signed by the Designated Authority (DA). The signatory DA must be on file with the Adaptation Fund. To find the DA currently on file check this page: https://www.adaptation-fund.org/apply-funding/designated-authorities

Stage of Submission:

This proposal has been submitted before including at a different stage (pre-concept, concept) ☒

☐ This is the first submission ever of the proposal at any stage

In case of a resubmission, please indicate the last submission date: 9 January 2026

[1] Thematic areas are: Food security; Disaster risk reduction and early warning systems; Transboundary water management; Innovation in adaptation finance.

1. Project Background and Context

Socioeconomic context in Cuba and the Dominican Republic

1**. The Republic of Cuba** (Cuba) consists of the island of Cuba, the Isle of Youth, and more than 1,600 adjacent cays and small islands. It has a land area of 109,884 km [2] and an estimated 9.7 million inhabitants[2], of which 77.1% is urban and 22.9% is rural[3].

Cuba is one of the leading sugar producers in the Caribbean, although its main economic activity in terms of foreign exchange is tourism[4], followed by services, rum production, among others. The main crops grown are sugarcane, rice, citrus fruits, potatoes, plantains and bananas, cassava, tomatoes, corn, and tobacco. In 2018, Cuba had 2.9 million hectares of arable land (28% of the total land area), 650,000 hectares under permanent crops (6.3%) and 560,000 hectares under irrigated land (5.4%) (Cuba Research Institute, 2024).

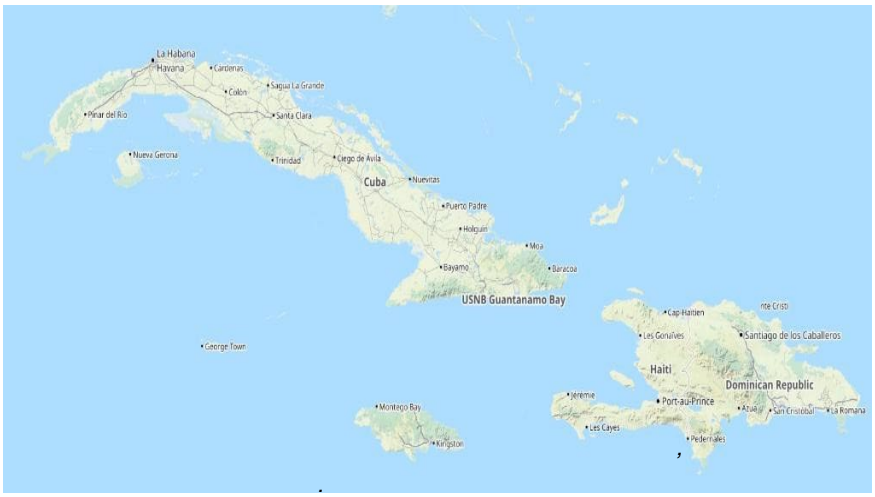

Figure 1.Map of Cuba (West) and the island of Hispaniola (East), where the Dominican Republic is located

Although the Cuban government owns most of the means of production, recent reforms include labour autonomy and the transfer of land in usufruct to stimulate agricultural production. In 2021, the government legalized small and medium-sized private enterprises, together with Credit and Services Cooperatives (CCS) and Agricultural Production Cooperatives (CPA). In 2023, more than 8,300 businesses had been established in Cuba, and around 35% of the island's workforce became employed in the private sector[5]. The island is currently experiencing one of the most acute economic crises since the early 1990s. The economy fell 10.9 percent in 2020 amid the coronavirus pandemic and the collapse of the tourism industry. The country is currently experiencing hyperinflation and a rising cost of living, exacerbated by the US economic, financial, and trade embargo.

2**. The Dominican Republic** (DR) is an island nation in the Caribbean located on the island of Hispaniola, which it shares with the Republic of Haiti. It covers an area of 48,670 km2 (about five-eighths of Hispaniola), making it the second-largest nation in the Caribbean, with approximately 10.5 million inhabitants[6], 80 percent of whom live in urban areas and the remainder in rural areas. The main economic activities are concentrated in the service sector (tourism and commerce), manufacturing, construction, and agriculture. However, labour informality remains a challenge, with approximately 58% of workers in the informal sector[7]. The Northern Region, or Cibao, is the most productive and economically important area in the DR, after the National District and the province of Santo Domingo. Agriculture, especially rice, plantains, bananas, and cacao, is of great importance. Cibao contributes 74% of the national cacao production. Livestock farming and fishing in this area are also important sources of income for the country's economy.

Climatic Context

3. Located in the western Caribbean, Cuba and the DR have a warm tropical climate with seasonally humid conditions. In Cuba, the mean annual temperature ranges from 26°C in the plains to 24°C in coastal and mountain areas. The average maximum temperature ranges between 27°C and 32°C, and the average minimum temperature between 17°C and 23°C. The rainy period takes place between May to October, whereas the dry season extends from November to April[7]. In the DR, seasonal temperatures range from 20-25˚C in the cooler months of December to February, to 25-27˚C in the warmer months of June to November. The rainy season takes place between May and November whereas the dry season occurs between December and April[8].

[2] According to the National Statistics and Information Office
[3] https://cri.fiu.edu/_assets/docs/cuba-country-profile.pdf
[4] https://rcc.cimh.edu.bb/files/2018/06/Country-Profile-Cuba.pdf
[5] https://cri.fiu.edu/_assets/docs/cuba-country-profile.pdf
[6] https://www.one.gob.do/datos-y-estadisticas/temas/estadisticas-demograficas/estimaciones-y-proyecciones-demograficas/ [7] https://www.bancentral.gov.do/a/d/2541-encuesta-continua-encft
[7] https://climateknowledgeportal.worldbank.org/country/cuba/climate-data-historical
[8] https://climateknowledgeportal.worldbank.org/country/dominican-republic/climate-data-historical

4.In the Caribbean, Inter-annual variability is strongly influenced by El Niño Southern Oscillation (ENSO); which comprises episodes (every two to seven years) of either warmer and drier conditions (El Niño) or episodes of colder and wetter than usual conditions (La Niña). Both countries are located on the Atlantic hurricane belt, with strong winds and hurricanes occurring between August and October. These phenomena are said to be strongly linked to ENSO, with more frequent hurricane events during La Niña season, and less frequent events during El Niño period[9].

Observed climate trends

5. Both Cuba and the DR have experienced an increase in temperatures since 1900. The IPCC Working Group I Interactive Atlas shows an average annual rainfall in the Caribbean of 2.4 mm/day over the past five decades[10]. However, in recent years, both above- and below-normal rainfall has been recorded in parts of Cuba and the DR (WMO, 2023; WMO, 2024). Both countries have been exposed to storms, floods, and droughts because of these trends.

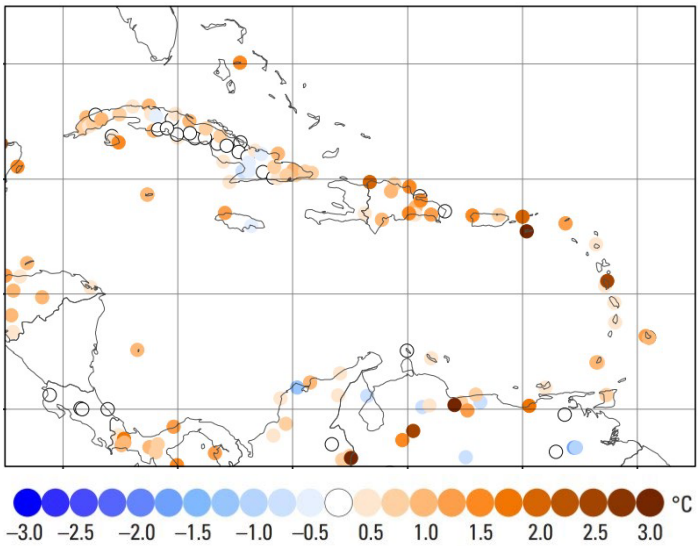

Figure 2. In situ mean air temperature anomalies for 2023 (relative to 1991–2020) in the Caribbean (WMO, 2024).

Temperature

6. According to the World Meteorological Organisation (WMO, 2023) the Caribbean sub-region has shown an anomaly of 0.71°C between 1991-2020. In Latin America and the Caribbean, 2023 was 0.82°C above the 1991–2020 average, the warmest year to date (WMO, 2024). Compared with previous 30-year periods, the 1991–2023 period had the highest warming trend (around 0.2°C or more per decade) since 1900 in the Latin America and the Caribbean region (WMO, 2024). In the Dominican Republic, positive temperature anomalies of +1°C to +2°C have been recorded in 2022 (WMO, 2023).

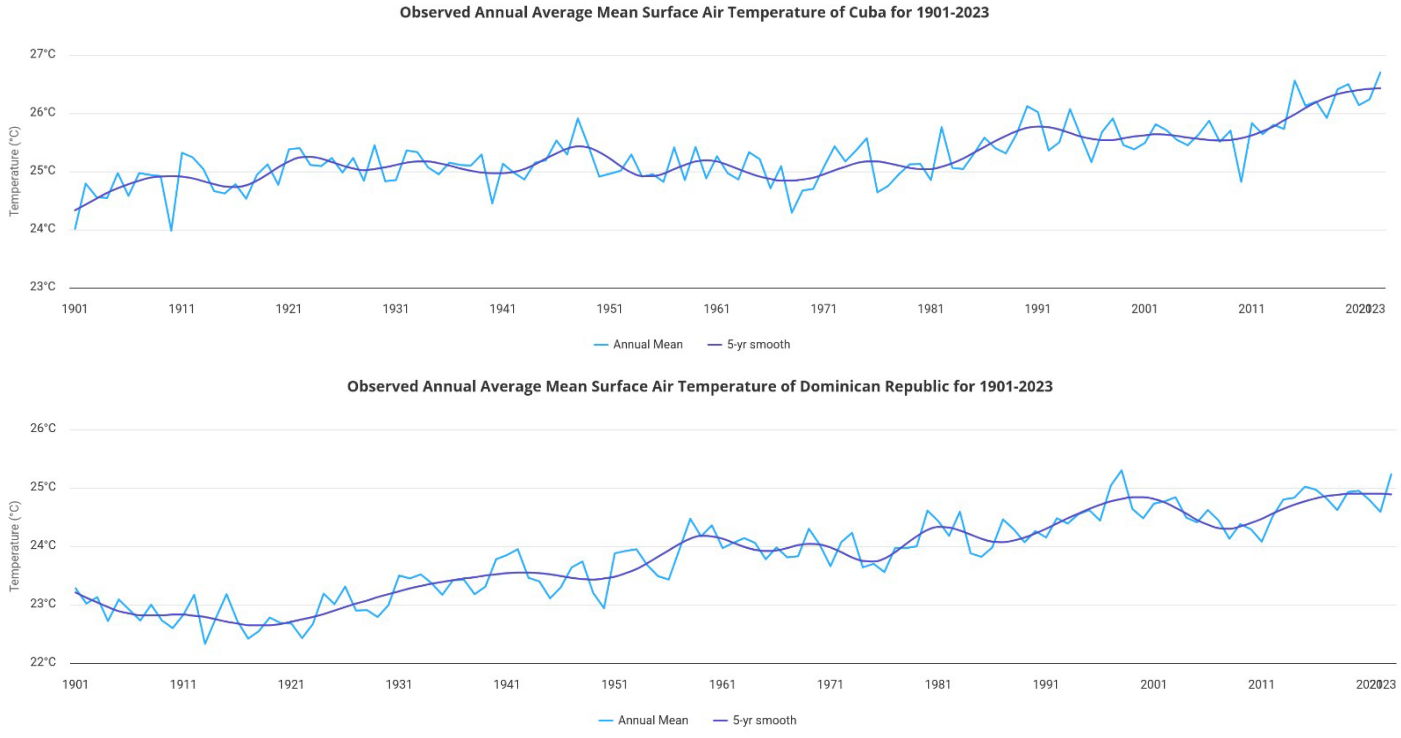

Figure 3. Average annual temperature (1901-2023) in Cuba and the DR. Source: World Bank (2023).

[9] https://climateknowledgeportal.worldbank.org/country/dominican-republic/climate-data-historical
[10] https://interactive-atlas.ipcc.ch/

Dry spells:

7. Increasing trends in drought are evident in the Caribbean (Mycoo et al., 2022). Both countries have experienced a reduction in total precipitation with increased dry spells in recent years. The drought that occurred between 2013-2016 was the most severe event (Mycoo et al., 2022) since 1950 until that point in the Caribbean region (Mycoo et al., 2022). In addition, the La Niña and El Niño events that occurred during 2023 contributed to above average temperatures, dry spells, and heatwaves affecting several countries in the Caribbean, moderate droughts in Cuba, Dominican Republic and Haiti (WMO, 2023). For example, in the Dominican Republic, abnormal droughts with serious agricultural losses have occurred in the years 1938, 1939, 1941, 1944, 1947, 1949, 1957, 1959, 1967, 1975 and 1977 (UNDP,2016). The figure below shows the extent of the 2023 droughts in the Caribbean, the Integrated Drought Index (IDI) monitoring categories.

Precipitation:

8. The IPCC WGI interactive Atlas shows a median annual precipitation in the Caribbean of 2.4mm/day for the last five decades[11] However, above normal and below normal rainfall has been recorded in areas of Cuba and the Dominican Republic in recent years (WMO, 2023; WMO, 2024). Figure 4 shows annual rainfall anomalies in 2023 (relative to the 1991–2020 climatological standard normal). In 2024, the Atlantic hurricane season showed above-average activity, with eighteen named storms 2024 (winds greater than 62km/h). Eleven of those were hurricanes (winds greater than 120km/h) and five intensified into major hurricanes (winds greater than 178km/h) (NOAA, 2024).[12]

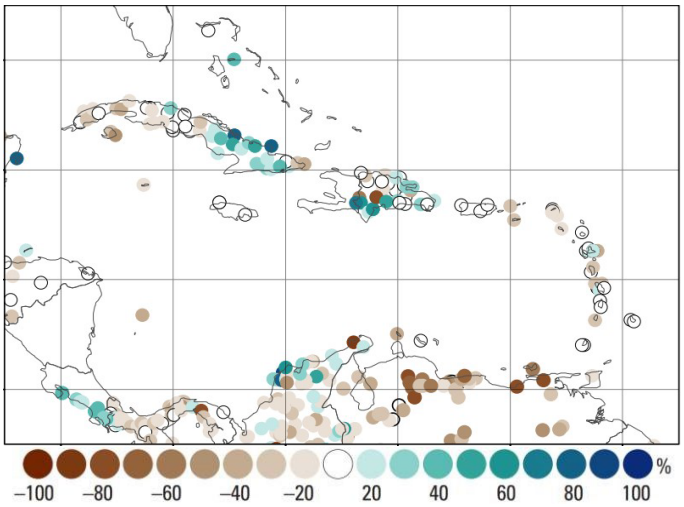

Figure 4. Precipitation anomalies for 2023 (percentage relative to the 1991–2020 reference period) in the Caribbean. (WMO, 2024).

Storms, Hurricanes, and Extreme Precipitation:

9. In Cuba, between October and November 2024, and amid a nationwide power emergency, two hurricanes hit the country: Oscar and Rafael. Hurricane Oscar impacted mostly the eastern part of the island on October 20, with winds above 130 km/h and severe rainfall, causing flooding in areas usually affected by drought. This event affected nearly half a million people, of whom some 150,000 suffered critical damage. Eight deaths were reported. Another hurricane, Category 3, hit the western part of the island on November 6, affecting more than 800,000 people[13]. Also, in March 2024, Cuba suffered intense rains and thunderstorms that caused damage to homes, crops and infrastructures with blackouts affecting more than 270,000 people[15]. No fewer than four hurricanes occur in the DR every decade (UNDP, 2016), affecting infrastructure, agricultural production, and water quality. The number of events impacting the DR has steadily increased over the past 50 years. Between 1961 and 2014, 93% of the events impacting the DR were of hydrometeorological origin; storms were responsible for 96% of the economic damage[14].

10.Historically, the project region in the DR (the Yaque del Norte basin) has faced various hazards stemming from anthropic alterations of its basins. The greatest risk is related to the location of homes, crops, and infrastructure in floodplains. For example, during the November 2016 events, most of the damage occurred in the natural expansion zones of the Yaque River or in meandering areas (UNDP, 2016). The following table presents a chronology of the most significant climatic events that have occurred in the Yaque del Norte River basin: floods, droughts, and landslides.

[11] https://interactive-atlas.ipcc.ch/

[12] https://www.noaa.gov/news-release/atlantic-hurricane-season-races-to-finish-within-range-of-predicted-number-of-named-storms

[13] [14]https://reliefweb.int/report/cuba/plan-action-united-nations-system-cuba-disaster-response-hurricanes-oscar-rafael-earthquakes-november-2024 [15] https://reliefweb.int/report/cuba/cuba-floods-dref-operation-mdrcu009

[14] More info at: https://mepyd.gob.do/wp-content/uploads/drive/Banco%20Mundial/Documento%20Gestion%20Financiera.pdf

Table 1 Most significant climatic events in the Yaque del Norte River basin in recent years

Year	Type of event	Summary of impacts	Impacted area	Impacted sector
1988	Flooding	Hurricane Gilbert generated intense rainfall that caused the river to overflow its banks, causing damage to agricultural and urban areas throughout its basin[17].	Santiago, Monte Cristi, Valverde	Agriculture, Housing, Infrastructure
1998	Flooding	Hurricane Georges caused torrential rains that caused the Yaque del Norte River to overflow its banks, severely affecting Santiago and other towns[18].	Santiago, Mao, Monte Cristi	Agriculture, Housing, Infrastructure
2003	Drought	A prolonged drought significantly reduced the river's flow, affecting the supply of water for irrigation and human consumption in the region[19].	Santiago, La Vega, Valverde	Agriculture, Water supply
2007	Flooding	The flooding of the Yaque del Norte River interrupted traffic between Dajabón and Monte Cristi, affecting more than twenty towns[15].	Valverde, Monte Cristi Dajabón,	Transport, Housing, Commerce
2016	Storm	In October and November 2016, heavy rains affected over 30,100 residents, causing damage to public and private property and services totalling more than 4.39 billion Dominican pesos. Nearly 50,000 people were evacuated. An estimated 1,322 homes, 12 educational institutions, 25 road infrastructure projects, and nearly 40 waterworks were damaged (UNDP, 2016).		Agriculture, Housing, Infrastructure, Water supply
2017	Flooding	Flooding of the Yaque del Norte and Yuna rivers displaced thousands of families in the Northwest and Northeast regions[16].	Noroeste, Nordeste	Agriculture, Water supply, Housing
2019	Drought	Prolonged drought reduced the flow of the Yaque del Norte River from 37 to 3 cubic meters per second, affecting agricultural producers in the region[17].	Santiago, Monte Cristi, Valverde.	Agriculture, Water supply, Industry.
2003	Flooding	Heavy rainfall event lasting 24 hours at the Santiago-Isa station with 228.8 mm and Jarabacoa with 181.4 mm, causing flooding with a high socioeconomic and environmental impact[20].		Agriculture, Housing, Infrastructure
2004	Flooding	Tropical storms caused the river to overflow its banks, causing flooding in riverside communities and losses in agricultural crops[18].	Santiago, Monte Cristi, Valverde	Agriculture, Housing, Infrastructure

Projected climate trends and impacts

11.The IPCC predicts high confidence in increases in mean surface temperature and extreme heat in the Caribbean region, with an upward trend. There is also high confidence in a decrease in mean precipitation in the future, with an increase in agricultural and ecological drought. There is high confidence in an increase in coastal flooding and erosion in the region[19].

Temperature

12.Across the Caribbean, the IPCC predicts an increase in the mean annual temperature from 27°C to 27.6°C in the medium term reaching 28.1°C in the long term, under an SSP2-4.5 scenario. However, under an SSP5-8.5 scenario, the IPCC predicts that the mean annual temperature will reach 27.9°C in the medium term and 29.6°C in the long term (2100)[20]. More specifically, in Cuba and the Dominican Republic, the annual mean temperature is expected to increase by 2°C in the medium term (2041-2070). While the RCP4.5 scenario predicts a stabilisation at 2°C in the long term (2071-2100), the RCP8.5 scenario predicts an increase to 4°C in the same period.[21]

[15] https://listindiario.com/la-republica/2007/12/17/41064/aguas-del-yaque-del-norte-siguen-inundando-pueblos.html
[16] https://listindiario.com/la-republica/2017/09/25/483833/rio-yaque-del-norte-y-yuna-ocasionan-desastre.html
[17] https://www.diariolibre.com/actualidad/medioambiente/baja-caudal-rio-yaque-norte-a-3-metros-por-segundo-XD757550
[18] https://www.diariolibre.com/actualidad/jeanne-ser-recordada-como-la-tormenta-ms-daina-HCDL54696
[19] https://interactive-atlas.ipcc.ch/regional-synthesis
[20] https://interactive-atlas.ipcc.ch/regional-information
[21] https://climateinformation.org/

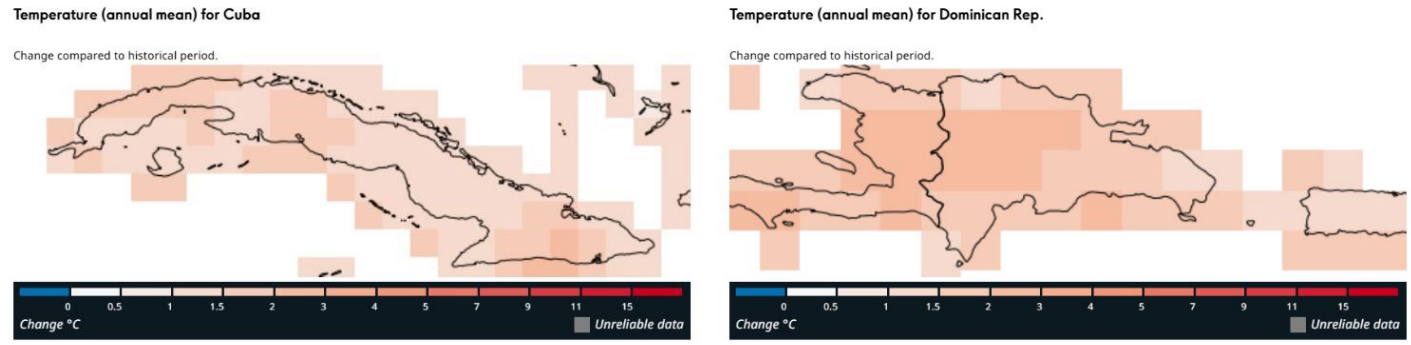

Figure 5. Temperature (annual mean), Time period: 2041–2070, Historical period: 1981–2010, Model: CORDEX Central America Ensemble Mean, Model results for Cuba and the Dominican Republic. Retrieved from: https://climateinformation.org (2025-02-11)

Dry spells:

13. The figures below show the number of dry periods for more than 5 days for a 30 year-period. This index is given as a relative change to the reported 1981–2010 historical period. The figures demonstrate that both countries are projected to be vastly affected by dry spells to 2070, with a considerable number of areas experiencing more than 10 dry spells annually.

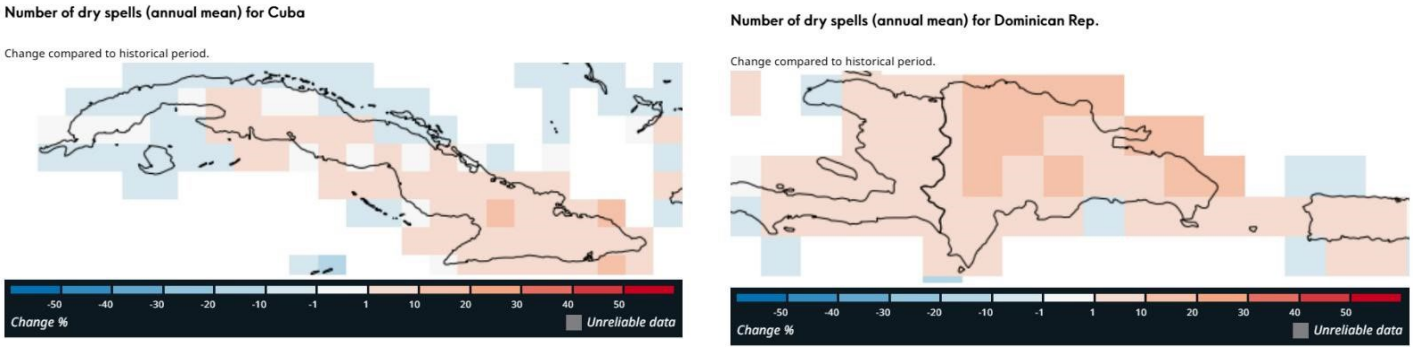

Figure 6. Number of dry spells (annual mean), Time period: 2041–2070, Historical period: 1981–2010, Model: CORDEX Central America Ensemble Mean, Model results for Cuba[22].

Precipitation:

14. Across the Caribbean, the IPCC predicts a decrease in precipitation to a median of 2.3 mm/day in the medium term (20412060) and to 2.2 mm/day in the long term (2081-2100) using an SSP2-4.5 scenario. However, under an extreme SSP5-8.5 scenario, the IPCC predicts a precipitation decrease to a median of 2.1 mm/day in the medium term (2041-2060) and 1.8 mm/day in the long term (2081-2100)[29]. In Cuba, more specifically, the annual mean precipitation is predicted to decrease by 3% in the medium term and by 9% in the long term. In the DR, annual mean precipitation is predicted to decrease by 11% in the medium term and by 19% in the long term[23].

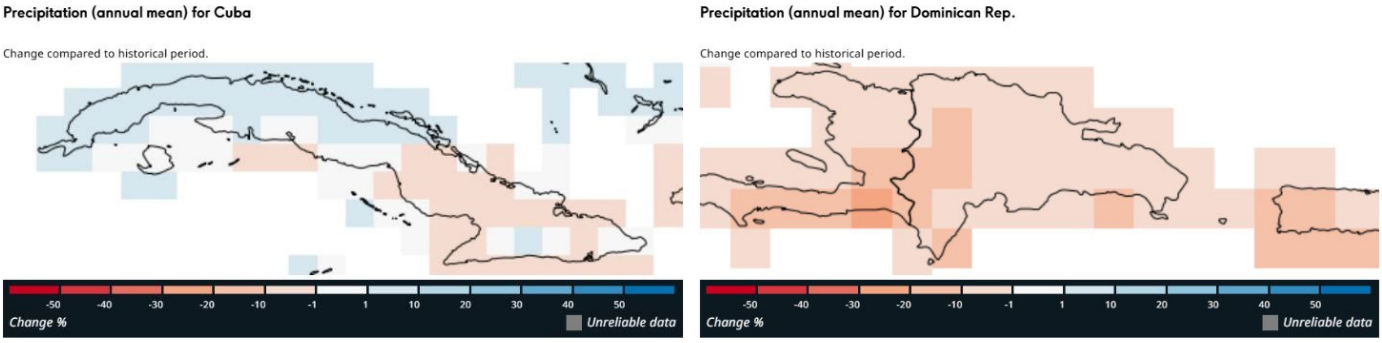

Figure 7. Precipitation (annual mean), Time period: 2041–2070, Historical period: 1981–2010, Model: CORDEX Central America Ensemble Mean, Model results for Cuba and the Dominican Republic. Retrieved from https://climateinformation.org (2025-02-11)

[22] Grossmann-Matheson, G., Young, I.R., Meucci, A. et al. Global changes in extreme tropical cyclone wave heights under projected future climate conditions. Sci Rep 14, 31797 (2024). https://doi.org/10.1038/s41598-024-82892-9 [29] https://interactive-atlas.ipcc.ch/
[23] https://climateinformation.org/

Storms, Hurricanes, and Extreme Precipitation:

15. While hurricane trends are uncertain, the intensity of these events is expected to increase, especially in wind speeds[24]. Tropical cyclones are expected to remain the main driver of (rarer) flooding in the Caribbean Sea (Mycoo et al., 2022).

Projected impacts on ecosystems and settlements

16. Rising temperatures and tropical cyclone intensity, combined with decreasing total rainfall, will put pressure on coastal and freshwater ecosystems and the ecosystem services that support the economies of Caribbean Small Island Development States (SIDS).

17. The region faces a severe loss of marine and coastal biodiversity, with coral bleaching being the most visible symptom of the impact of climate change[25]. Even under low-emission scenarios, coral loss will be significant, severely affecting crucial ecosystem services for Caribbean communities and with direct consequences for protection against extreme weather events and the provision of fisheries resources[26]. Seagrass and mangrove ecosystems will also be affected due to sea level rise and human activity. The region has experienced massive Sargassum blooms, possibly linked to climate variability and river pollution discharges[27], which damage coastal habitats and negatively affect local communities[28]. The degradation of these coastal ecosystems in the Caribbean has a direct impact on the ecosystem services that support the life and economy of the islands, including fisheries, agriculture, tourism, among others. The loss of reefs, mangroves, and seagrass beds reduces the protection of low-lying areas against storms and coastal erosion, increasing their vulnerability to extreme weather events.

18. Also, the increasing intensity of tropical cyclones, together with sea-level rise, cause massive coastal flooding and saline intrusion into aquifers, accelerating freshwater sources contamination by storm surges and heavy rainfall, contributing to water insecurity in Caribbean SIDS[29]. Furthermore, the figures above show that both countries are projected to be severely affected by dry spells until 2070, with a significant number of areas experiencing more than 10 annual dry spells. An additional 1.0°C of warming could result in a predominantly drier region (approximately 15% less rainfall than today). These trends will result in increased impacts on agricultural production and a 60% increase in the number of people projected to experience severe water stress between 2043 and 2071[30]. They also pose a threat to Caribbean biodiversity, with the potential for mass extinction of some endemic species by 2100, particularly if global warming exceeds 3°C[31].

19. About 22 million people in the Caribbean live in low-lying coastal zones, defined as areas below 6 meters in elevation[32][33], making them extremely vulnerable to flooding and storm surges. The region's population and infrastructure face rising risks due to extreme events such as tropical cyclones and sea level rise. The growth of informal settlements in urban and peri-urban areas increases the vulnerability of Caribbean populations to climate hazards. These areas, often with poor planning and difficulties in enforcing building regulations, are particularly susceptible to coastal and riverine flooding, as well as the

[24] https://ssr.climateinformation.org/

[25] Mycoo, M., M. Wairiu, D. Campbell, V. Duvat, Y. Golbuu, S. Maharaj, J. Nalau, P. Nunn, J. Pinnegar, and O. Warrick, 2022: Small Islands. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2043–2121, doi:10.1017/9781009325844.017

[26] Ferrario, F., et al., 2014: The effectiveness of coral reefs for coastal hazard risk reduction and adaptation. Nat. Commun., 5, 3794, doi:10.1038/ncomms4794.

[27] Saintilan, N., et al., 2020: Thresholds of mangrove survival under rapid sea level rise. Science, 368, 1118–1121, doi:10.1126/science.aba2656.

[28] Van Tussenbroek, B., et al., 2017: Severe impacts of brown tides caused by Sargassum spp. on near-shore Caribbean seagrass communities. Mar. Pollut. Bull., 122(1-2), 272–281, doi:10.1016/j.marpolbul.2017.06.057.

[29] Mycoo, M., M. Wairiu, D. Campbell, V. Duvat, Y. Golbuu, S. Maharaj, J. Nalau, P. Nunn, J. Pinnegar, and O. Warrick, 2022: Small Islands. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2043–2121, doi:10.1017/9781009325844.017 [36] https://www.eumed.net/rev/caribe/2016/09/yara.html

[30] Mycoo, M., M. Wairiu, D. Campbell, V. Duvat, Y. Golbuu, S. Maharaj, J. Nalau, P. Nunn, J. Pinnegar, and O. Warrick, 2022: Small Islands. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2043–2121, doi:10.1017/9781009325844.017.

[31] Ibid.

[32] Cashman, A., Nagdee, M.R. (2017) Impacts of Climate Change on Settlements and Infrastructure in the Coastal and Marine Environments of Caribbean Small Island Developing States (SIDS), Caribbean Marine Climate Change Report Card: Science Review 2017, pp 155-17

[33] Although Cuba classifies coastal settlements as those located below 1 m above sea level and at a distance of 1,000 m from the coastline.

impacts of cyclones and storm surges[34]. Future cyclone intensification and sea level rise will exacerbate these risks, with projections indicating significant loss and damage to transportation infrastructure.

2. Vulnerabilities in the project area

20. The following project areas were selected because of their strategic significance: Yara and Jibacoa River basin (Cuba) and Yaque del Norte Basin (DR). Cities in both basins have been identified as highly vulnerable in national adaptation documents (Manzanillo in Cuba's Tarea Vida National Policy, and Santiago de Los Caballeros and Monte Cristi in DR's National Adaptation Plan). Also, UNEP's Nature4Cities (N4C) project's outputs helped raise awareness and knowledge base for NbS and identified NbS sites in Manzanillo and Santiago. This Adaptation Fund project will further the work of N4C to implement adaptation measures at multiple scales, including these two and other settlements within the respective river basins, in order to address interrelated climate change impacts (e.g., hazards generated upstream may have impacts in downstream settlements). Last, some settlements and landscapes in these basins had been deprioritised from national investment programmes to instead focus on coastal areas, often considered areas of greatest national interest.

i) Cuba: Yara and Jibacoa River Basin

21. The Yara and Jibacoa river basins are located within the province of Granma, in the East/Southeast of the country. The Yara River originates in the municipalities of Buey Arriba and Bartolomé Masó, in the Sierra Maestra mountains near Joaquín Peak. It flows north through the municipality of Yara, then northwest until it empties north of the city of Manzanillo, the second largest city in Granma. Its total length is approximately 90 km. Its flow is low, since its main water source is rain, so in times of severe drought it decreases considerably, with the presence of abundant meanders along its course from south to north[35]. The Jibacoa River also originates in the Sierra Maestra mountains and flows almost parallel to the Yara River, 7-10 km southwest of it, until it joins the Guá River, shortly before flowing into the sea, 15 km northeast of Manzanillo. This region is predominantly agricultural, with fertile lands in the upper, middle, and lower basins. Along the Yara River and other minor waterways there are numerous dams for irrigating crops and partially supplying water to the population.

Figure 8. Map of Rivers Yara and Jibacoa basin, Granma Province, Cuba.

22. Historically, the upper part of the basin has been known for its coffee crops; however, these have declined significantly in recent years due to climate variability (increased drought and high temperatures) and changes in socioeconomic conditions, particularly rural-urban migration. The cyclical processes of deforestation, burning and growing on slopes in this area have contributed to erosion and eventual landslides. The middle-lower basin, between Bartolomé Masó and Yara, is flatter. This region is traditionally known for sugarcane plantations. However, due to droughts, these crops have been affected by fires. Also, urban and suburban areas of Yara have recently been affected by flashfloods. Due to the lack of sewage treatment and the common use of latrines, flooding has led to significant public health problems.

Table 2 Municipalities in the Yara and Jibacoa River Basin and climate impacts affecting them

Town	Characteristics	Climatic impacts

[34] Mycoo, M., M. Wairiu, D. Campbell, V. Duvat, Y. Golbuu, S. Maharaj, J. Nalau, P. Nunn, J. Pinnegar, and O. Warrick, 2022: Small Islands. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2043–2121, doi:10.1017/9781009325844.017

[35] https://www.eumed.net/rev/caribe/2016/09/yara.html

Upper basin: Bartolomé Maso	This area has a total population of 47,828 inhabitants, and a population density of 75 inhabitants/km². The urban population is about 13,502 people. The economy is primarily based on agriculture, with 39% of commercial production coming from the sugar industry. The agricultural area is 32,308.99 hectares (50.65%). The non agricultural area is 31,483.82 hectares (49.35%), with 42% forested area, mainly natural forests. Bartolomé Masó's urban area does not have an effective drainage system to evacuate water.	**Flooding:** Due to the more extreme rainfall and the lack of adequate drainage systems in urban areas or frequently clogged ditches, heavy rains cause flooding.[36]. **Soil and ecosystem degradation:** Droughts, along with land use changes and agricultural practices not adapted to climate change (e.g., land use on slopes steeper than 45 degrees, indiscriminate logging) cause degradation of soil and forest ecosystems[44]. **Water security:** The municipality is experiencing a severe drought, affecting the water supply for the population and agriculture, despite having multiple water sources. The lack of storage and the heavy dependence on the aqueduct exacerbate the situation[45].
Middle basin: Yara	The municipality has 55,789 inhabitants and seven urban centres (11,805 urban inhabitants). Of the municipality's 56,028 hectares, 78% is agricultural land [37].	**Floods:** Due to a lack of effective drainage system or low slope of the terrain, together with heavy rains, cause floods of great impact[47]. When rainfall exceeds 80 mm in one day, flooding occurs in at least 12 key points of the city[48].
Lower basin: Manzanillo	Manzanillo is the second largest city in the province of Granma. The municipality of Manzanillo has a total population of 127,167, of which 103,562 are urban 23,605 are rural. Of the total population, 48.7% are male and 51.3% are female. The city's main economic activity is fishing, and agriculture is the main activity at the municipal level[38]. The predominant land use in the municipality is agricultural, occupying a total area of 37,523.74 ha (75.2%) of which 54% is cultivated with 20,226.231 ha, the remaining 17,297.509 ha which represents 46% is not cultivated, they are idle lands, covered by the invasive woody species known as marabú[39]. and	**Coastal and river flooding:** Increased urban populations, the construction of new human settlements in floodplain and other high-hazard areas, and changes in land use (urbanization, deforestation of hillsides in shallow basins), along with increased storm frequency, cause pluvial flooding. **Ecosystem degradation:** Increased river flooding affects the municipality's farming areas and coastal mangroves due to high sedimentation[40]. **Water security:** Similarly, in the lower basin the groundwater is of very low quality with a salinity in the water table of 88.9% with significant limitations for human consumption[41]. **Landslides:** Rainfall and flooding cause landslides, which are aggravated by inappropriate land use and ecosystem degradation, especially those located in the municipality's natural ravines.

[36] Plan General de Ordenamiento Territorial, Municipio de Bartolomé Masó, 2020

[37]

[38] Manuel Winograd y Michiel van Eupen (n.d.) Análisis de vulnerabilidad y riesgos climáticos para la ciudad de Manzanillo. Informe de proyecto: Cuba. Nature4Cities.

[39] Id.

[40] Plan de Ordenamiento Urbano. Ciudad de Manzanillo. 2010.

[41] Manuel Winograd y Michiel van Eupen (n.d.) Análisis de vulnerabilidad y riesgos climáticos para la ciudad de Manzanillo. Informe de proyecto: Cuba. Nature4Cities

ii) Dominican Republic: Yaque del Norte Basin

23. The Yaque del Norte River basin is located between the regions of central Cibao (Jarabacoa, Santiago) and northwestern Cibao (Monte Cristi) of the Dominican Republic. The river originates in the Cordillera Central at approximately 2,580 meters and flows 296 kilometres to its mouth in Monte Cristi. The basin covers an area of approximately 7,000 km² (Ministry of Environment, 2003). Along its course, there are five hydroelectric dams with a capacity of 114 MW. The upper basin extends from its source to Jarabacoa (42 km), where a highly diverse ecosystem of great importance to the country exists, with 12 protected areas, but enormous pressure on forest resources. The middle basin extends from Jarabacoa to Santiago (85 km), with varied vegetation ranging from conifers to dry forest, coffee and rice crops, and ten protected areas (44.86% of the province's land area). This stretch suffers from high deforestation and the substitution of forests for agricultural uses. The lower basin extends from Santiago to its mouth at Monte Cristi (169 km), across flat, meandering terrain with little rainfall but high flooding potential. This area is characterized by banana farming and fishing[42].

Figure 9. Map of the Yaque del Norte River basin. Dominican Republic.

24. The Yaque del Norte River basin faces various environmental problems that have been the subject of recent study. A water quality analysis revealed an average Water Quality Index (WQI) of 52, indicating moderate quality with significant variations among the different rivers in the basin[43]. In response to these challenges, ecological restoration initiatives have been implemented, such as the project led by ACAP and Apedi in the Northern Cordillera, which focuses on protecting catchment areas and increasing forest cover. In parallel, water governance and conservation are promoted in the lower basin, with the collaboration of the Ministry of Economy, Planning and Development and the NGO CONORYAQUE, seeking to establish financial mechanisms to support water recharge programs and improve resource management.

Table 3 Municipalities in the Yaque del Norte River Basin and climate impacts affecting them

Town	Characteristics	Climatic impacts
Upper basin: Jarabacoa	According to the latest census in 2022, Jarabacoa has a total population of 96,979, distributed across three towns: Jarabacoa, with 64,672 residents; Buena Vista with 23,663; and Manabao with 8,644. The population density is 96 inhabitants/km2. In 2018, 52.4% of households in Jarabacoa were living in general poverty and 18.4% in extreme poverty. Poverty primarily affects rural areas of the municipality and households headed by women[44]. Deforestation and soil erosion are environmental problems that impact the quality of natural resources and agricultural productivity.	**Floods**: The lack of vegetation cover in some areas of the municipality increases vulnerability to floods and drought[45]. **Droughts**: The lack of vegetation cover in some areas of the municipality increases vulnerability to floods and drought.[46] **Impacts on Agriculture:** Changes in temperature and precipitation patterns affect agricultural productivity, especially coffee, vegetable, and flower production.

[42] https://ambiente.gob.do/app/uploads/2016/11/Yaque-del-Norte-Division-Politico-Administrativa.pdf
[43] https://revistas.intec.edu.do/index.php/cienacli/article/view/3411/3940
[44] Plan Municipal Desarrollo de Jarabacoa 2023-2033.
[45] Ministerio de Medio Ambiente y Recursos Naturales: Estudio de uso y cobertura de suelo 2012. Santo Domingo, R.D.
[58] Id
[46] Id

Middle basin: Santiago de los Caballeros	Santiago has an urban population of around 783,800 (total 1,074,680 including rural population), making it the second most populous city in the Dominican Republic. The majority of the population is young, with 47% under the age of 24. Santiago contributes between 15% and 18% of the Dominican Republic's Gross Domestic Product (GDP). In 2019, 32% of Santiago's population was living in poverty, while 4.8% lived in extreme poverty. Poverty primarily affects rural areas and female-headed households. The city is home to a variety of industries, including textile manufacturing, pharmaceuticals, food and beverages, and tobacco production. Rapid urbanization and land-use change have led to a reduction in surface permeability and a reduction in the productive capacity of soils[47]. The Northern Mountain Range, located in the municipality of Santiago, has experienced a significant reduction in vegetation cover in recent years. Over an eight-year period, 13% of the vegetation has been lost, equivalent to 12.9 square kilometres. This loss has reduced vegetation cover from 107.3 square kilometres to 98 square kilometres.	**Flooding:** The greatest risk is due to land use changes, especially the location of homes, infrastructure, and crops in floodplains. During the November 2016 events, most damage occurred in the natural floodplains of the Yaque River or in the meandering areas (UNDP, 2016). **Landslides:** Precipitation and flooding cause landslides, aggravated by land use changes, urban sprawl, and ecosystem degradation. 63,717 inhabitants are located in landslide zones, 85% (54,014) in the city of Santiago and the remaining 15% (9,703) in districts and municipalities of the metropolitan area[60]. **Droughts:** Droughts in the Cibao Region are projected to increase. This results in a gradual loss of soil moisture for agricultural and livestock activities[48].
Lower basin: Monte Cristi	The municipality of Monte Cristi has 65,290 inhabitants in urban areas and 58,230 in rural areas. It has an area of 517 km2 and a population density of 47.63 inhabitants/km2. 63.3% of households in Monte Cristi are living in poverty, and 13.5% in extreme poverty. Poverty primarily affects rural areas and households headed by women[49]. Land use in Monte Cristi is dominated by agriculture, with extensive areas dedicated to the cultivation of various crops. Salt production also occupies a significant portion of the territory, with more than 300 salt mines in operation. The province has a wide variety of tourist attractions, including El Morro, wetlands, beaches, and protected areas, which represent potential for tourism development.	**Floods:** Due to the more extreme rainfall, combined with the lack of adequate drainage systems in urban areas or frequently clogged ditches, heavy rains can cause flooding[50]. **Droughts:** Monte Cristi is one of the driest provinces in the country[51]. The province is the largest rice producer and also has significant areas for livestock and banana cultivation, which have been affected by droughts in recent years[52].

iii) Context and gender vulnerabilities

25. Both Cuba and the DR have strong legal frameworks and institutions dedicated to promoting gender equality. The Republic of Cuba's Constitution enshrines equal rights for women, and the Federation of Cuban Women (FMC) plays a central role in advancing their rights and incorporating a gender perspective into public policies. The Cuban National Statistics Office (ONEI) also contributes by collecting and monitoring gender-disaggregated data. The DR's Constitution enshrines equal rights for women, guaranteeing the protection of their fundamental rights and prohibiting any type of gender discrimination (Article 39). In DR, the Ministry of Women leads the formulation and implementation of gender equality policies and programs. Furthermore, since 2019, public institutions have established internal Gender Equality Units to ensure the incorporation of a gender perspective into projects and budgets. The DR's National Statistics Office (ONE) has also produced data on "Gender and Special Population Groups"[53] and "Environment and Climate Change".[54]

26. Despite advances in women's political participation, their role in local planning and decision-making on climate change and natural resource management remains limited. The cities selected for this project lack gender-sensitive planning tools

[47] CDES. Plan Estratégico Santiago 2030: Actualización [60]
Idem.
[48] Idem
[49] Plan Municipal de Desarrollo Monte Cristi 2020-2024
[50] Idem
[51] Ministerio de Medio Ambiente y Recursos Naturales: Estudio de uso y cobertura de suelo 2012. Santo Domingo, R.D
[52] https://reliefweb.int/report/dominican-republic/piden-declarar-montecristi-en-estado-de-emergencia-por-la-sequ
[53] ONE-Estadísticas Género
[54] ONE- Estadísticas Ambientales y Cambio Climático

and processes. Santiago de Los Caballeros stands out for its history of participatory planning, with the participation of Neighbourhood Association Federations in the municipal participatory budget and civil society observation in the 2030 Strategic Plan[55]. In Cuba, the Federation of Cuban Women is present in all districts and acts as an observer in the development of local policies and strategies. At the community level, time constraints due to unpaid domestic work, unequal access to natural and financial resources and adequate services, and gender norms and stereotypes limit their role in decision-making and planning[56].

27. In both countries, women face disparities in the labour market and economic opportunities. Although they have higher levels of tertiary education, their labour force participation remains lower than that of men, with 77% of men in Cuba and less than 50% of women in the DR engaged in paid work. This disparity is related to the unequal burden of unpaid domestic and care work, which consumes a significantly larger share of women's time compared to men[57]. In Cuba, women spend 21.28% of their daily time on unpaid care work, while men spend 12.51%, and in the Dominican Republic, the figures are 25.5% for women and 10.9% for men. These inequalities are sometimes exacerbated by poverty and informal work, which disproportionately affect women[58].

28. Women's access to and control over natural resources, particularly land, remains unequal in both countries. In Cuba, only 22.6% of agricultural landowners are women[59], while in the Dominican Republic, the figure is 25%[60]. This limited access to land restricts women's economic opportunities and decision-making power in agriculture and natural resource management. Furthermore, climate change intensifies these inequalities by increasing the burden on women to secure scarce resources such as water and firewood, further limiting their time and options for income generation and participation in community affairs[61]. Likewise, gender-based violence is amplified in the context of climate change. The Dominican Republic, in particular, has a high rate of femicides with 2.7 per 100,000 women[62]. Climate-related stressors, such as droughts and natural disasters, can exacerbate existing risks of gender-based violence and create new vulnerabilities for women. Furthermore, women's increased participation in natural resource management, particularly in light of the impacts of climate change, may expose them to greater risks of violence and harassment[63].

3. Problem statement

29. Due to their location and conditions, Cuba and the Dominican Republic (DR) are subject to high climate risk, driven by high exposure to a number of natural hazards (hurricanes, floods, droughts, among others) and low adaptive capacity. These events will become more pronounced and extreme in the coming decades due to climate change. Residents, as well as public goods and services in communities along the basins, are highly exposed to these hazards due to their location. In turn, various political, environmental, and socioeconomic dynamics have made these residents, especially women, and their goods and services more vulnerable to the impacts of these climate hazards.

i) Root causes of the problem

30. The limited access to economic and financial resources of the inhabitants of these areas limits their adaptive capacity, especially for women, who have less access to credit, property, or paid employment. Many women are heads of households and therefore have more limited opportunities for paid work, leaving them with fewer resources to meet basic needs in the event of a disaster[64]Domestic work and care for children and vulnerable people are mostly performed by women; however,

[55] Ayuntamiento Santiago de los Caballeros (2018). Santiago de Los Caballeros Resiliente: Estrategia de Resiliencia. https://resilientcitiesnetwork.org/downloadable_resources/Network/Santiago-de-los-Caballeros-Resilience-Strategy-Spanish.pdf

[56] Examples of participatory urban planning instruments in the region include the Citizen Planning Secretariat, the Secretariat of Citizen Participation and Participatory Budgeting in Medellín, Colombia. Other cities have incorporated gender strategies, such as Montevideo (2021-2025).

[57] ONE (2022). Boletín Demográfico y Social. https://www.one.gob.do/media/4piglrpy/bolet%C3%ADn-demogr%C3%A1fico-y-social-no-6.pdf

[58] UN RCP LAC (2024). Perfil Regional de Igualdad de Género América Latina y el Caribe. Entidad de las Naciones Unidas para la Igualdad de Género y el Empoderamiento de las Mujeres. https://lac.unwomen.org/sites/default/files/2024-04/es-perfilregionaligualdadgenero-alc_26marzo24.pdf

[59] UNDRR & ONU Mujeres (2024). Hacia la igualdad de género y el liderazgo de las mujeres para la resiliencia ante el riesgo de desastres en América Latina y el Caribe. CEPAL. https://lac.unwomen.org/sites/default/files/2022-03/CSW66%20-%20Reduccion%20Riesgo%20Desastres%20-%20ES%20https://lac.unwomen.org/sites/default/files/2022-03/CSW66 - Reduccion Riesgo Desastres - ES - 3MarVfinal.pdf%203MarVfinal.pdf

[60] ONE (2018). Medición del aporte de la mujer en las actividades agropecuarias en República Dominicana. https://www.one.gob.do/publicaciones/2019/medicion-del-aporte-de-la-mujer-en-las-actividades-agropecuarias-en-republica-dominicana-diciembre-2018/

[61] Aguilar Revelo, L. (2021). La igualdad de género ante el cambio climático: ¿Qué pueden hacer los mecanismos para el adelanto de las mujeres de América Latina y el Caribe? (No. 159; Asuntos de Género). Comisión Económica para América Latina y el Caribe (CEPAL). https://repositorio.cepal.org/server/api/core/bitstreams/8005c7ed-957e-4434-b6b6-cf29ceb54866/content

[62] ECLAC. (2025). Observatorio de Igualdad de Género de América Latina y el Caribe (CEPAL). https://oig.cepal.org/es/paises/

[63] Blaeker, A., Escibano, P., Candice, G., Liberati, C., & Mawby, B. (2021). Advancing gender equality in environmental migration and disaster displacement in the Caribbean (No. 98; Studies and Perspectives). ECLAC Subregional Headquarters for the Caribbean. https://www.cepal.org/sites/default/files/publication/files/46737/S2000992_en.pdf.

[64] Gender assessment annex available upon request.

this work is not recognised and therefore unpaid. Among the factors contributing to this phenomenon are the lack of gender disaggregated data and indicators that demonstrate such inequalities so as to inform gender-transformative policies and actions, as well as cultural factors of entrenched gender stereotypes.

31. On the other hand, the lack of economic and financial resources from state institutions[65] means that infrastructure and public services are often in poor condition and therefore highly sensitive to natural disasters (this was evident in Hurricane Oscar and then the earthquake that affected southeastern Cuba in October/November 2024, which caused significant damage to infrastructure and services in Granma)[66]. State institutions have limited capacity to mobilise financial resources and coordinate and implement actions for disaster risk reduction and management. In some cases, such as in Cuba, adaptation investments have been primarily concentrated on the coasts, as these are areas of greatest national interest due to the scale and potential of tourism, leaving some regions, especially inland, in the background. Furthermore, the states' capacity for territorial planning and land management is limited by a lack of resources and the necessity of prioritising basic needs. Consequently, appropriate land use management is inevitably relegated. There are currently human settlements that have been built in areas highly exposed to landslides and floods[80]. Among the drivers of this phenomenon are migration, rapid urbanisation of peri-urban areas and a lack of awareness among residents. At the same time, factors such as deforestation and unsustainable agricultural practices increase soil degradation, sedimentation, and the risk of erosion and landslides, impacting downstream cities and communities on river deltas, especially those most exposed and vulnerable. For these reasons, a basin-scale approach becomes necessary, with interventions at various scales, encompassing urban, peri-urban and rural areas along the rivers.

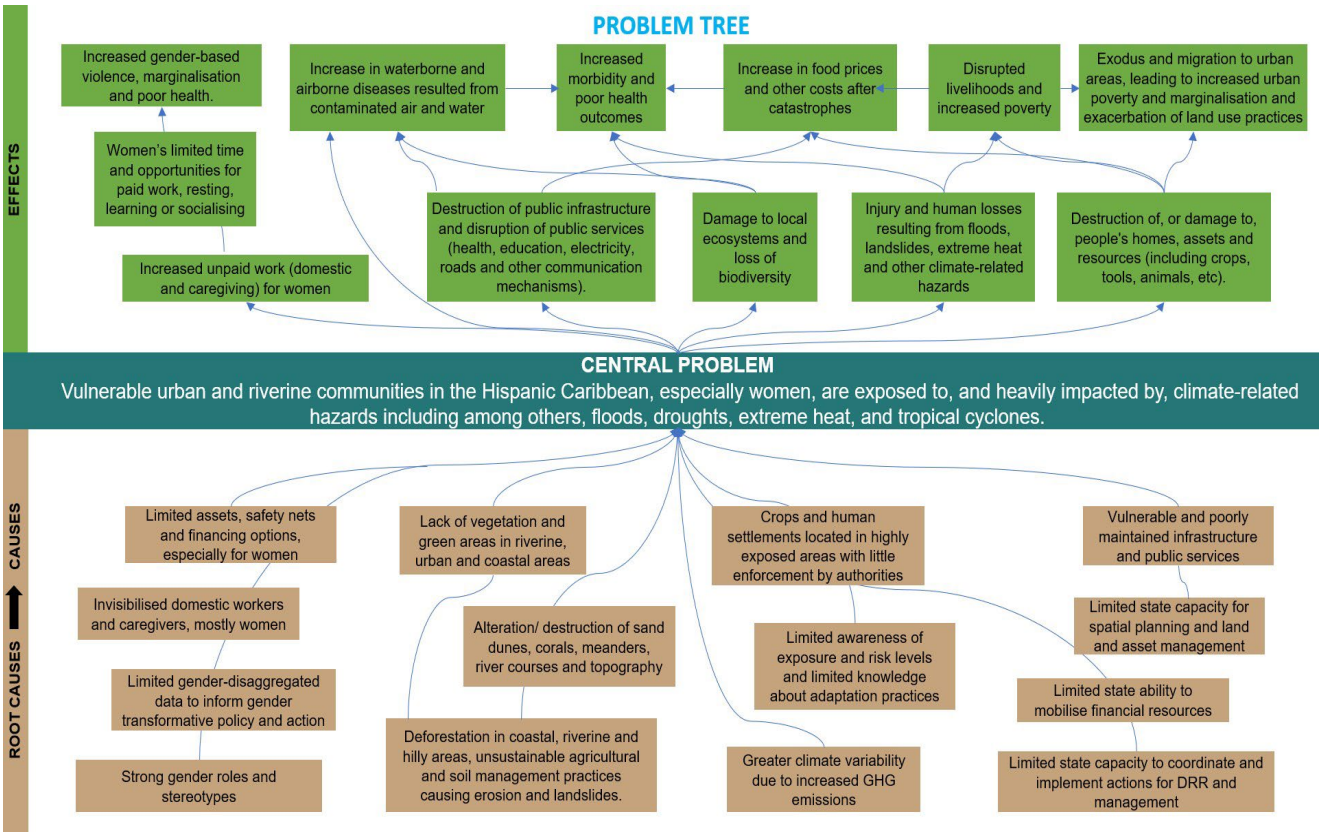

Figure 10. Problem tree diagram showing factors that contribute to people's vulnerability, especially women in both

7 _

ii) Adaptation needs and solutions

32. The above issues evidence the need to reduce the exposure of urban and riverine communities in the Yara & Jibacoa (Cuba) and Yaque del Norte (Dominican Republic) basins to droughts, floods, landslides and other climate-related problems. They also evidence the need to address persistent drivers of vulnerability. This includes effective and resilient land

[65] Especially in Cuba with the economic, commercial and financial blockade

[66] https://reliefweb.int/report/cuba/plan-action-united-nations-system-cuba-disaster-response-hurricanes-oscar-rafael-earthquakes-november-2024. [80] See, for example, the land use plans for Manzanillo (2020), Yara (2023) and Bartolomé Masó (2020), as well as the Climate Vulnerability and Risk Analysis for the city of Santiago de Los Caballeros from the Nature4Cities Project.

management based on data and evidence; supporting the empowerment of vulnerable groups, especially women, to increase their adaptive capacity; the design and implementation of nature-based solutions and sustainable development models that can reduce risk while increasing food and water security in the face of more extreme climate change; and finally, improved access to information on risk factors and adaptation methods.

33. The total population of the two river basins is estimated at 2,034,000. The targeted municipalities of Bartolomé Masó, Yara, and Manzanillo in Cuba; and Jarabacoa, Santiago de Los Caballeros, and Monte Cristi in the DR have a combined population of 1,525,960 people, of whom about 770,610 are women and 1,042,660 live in urban areas. Although poverty and vulnerability data are incomplete for all cities, and there is no gender disaggregated information, at least 185,000 people live in precarious settlements in the project's six urban areas. In Jarabacoa, Santiago and Monte Cristi (RD), there are an estimated 472,902 people below the poverty line (45% of their population) and an additional 186,000 under extreme poverty (18% of their population). The project is aiming to directly benefit 312,800 vulnerable people in the targeted municipalities, equivalent to 30% of their urban population, and indirectly benefit the entire population of the two river basins (2,034,000).

iii) Barriers

34. The barriers described below have been identified through workshops and interviews with key stakeholders held in both countries. They have been corroborated and complemented with information from key national and provincial policy documents.

Institutional and Regulatory Barriers

- Inadequate or insufficient strategies and mechanisms for gender-responsive urban and basin planning: Urban and basin planning lacks effective integration of a gender perspective, despite the existence of regulatory frameworks and national strategies. The inclusion of a gender perspective is often limited to the presentation of descriptive demographic data in development and land-use plans.
- Inadequate strategies and mechanisms for climate-risk-informed urban and basin planning: While the cities of Manzanillo and Santiago have plans and strategies for climate change adaptation, the other cities and/or provinces lack strategies to address environmental and development issues with a climate change perspective[67]. Although there is greater coordination at the basin level in Yaque del Norte, coordination between municipalities and provinces is limited. This is evident in the lack of strategies or mechanisms at the basin level, which hinders comprehensive water resource management and adaptation to climate change.

Information and Technology

- Lack of equipment and tools for sustainable land and water use and management.
- Isolated or non-existent data on land use, climate, and the environment at the basin level for climate-smart decisions.
- Lack of gender-disaggregated data on the environment, climate change, and natural disasters that can inform gender and climate change strategies.
- Lack of data on climate projections at the subnational level: although some cities have climate risk analyses under various future emissions scenarios.

Socioeconomic Barriers

- Lack of funding for Nature-based Solutions (NBS) to adapt to climate change at the local, provincial, and national levels.
- Lack of cost-benefit analysis for lasting adaptation solutions that justify investments in NBS and similar initiatives.
- Lack of climate-resilient livelihood alternatives: Dependence on climate-sensitive economic activities, such as agriculture, and a lack of economic diversification limit women's adaptive capacity.
- Lack of access to education (in some instances), training, and appropriate technologies restricts their opportunities to generate income and adapt to changing climate conditions.
- Strong gender roles and stereotypes limit women's participation in decision-making and adaptation to climate change. Social norms that assign women the primary role in caring for the home and family restrict their time and opportunities to participate in public life and decision-making. Gender stereotypes can also limit women's access to education, training, and employment in non-traditional sectors, reducing their ability to adapt to climate change.
- Inflation and currency fluctuations, with extreme currency exchange rates resulting in significant increases in product prices in Cuba. This makes labour and the purchase of equipment extremely expensive.
- Lack of recognition/awareness of differentiated impacts: The lack of recognition that climate change disproportionately affects women perpetuates gender inequalities. Women and men experience the impacts of climate change differently due to their social roles, responsibilities, and access to resources.

[67] See for example: Plan Municipal de Desarrollo de Jarabacoa (2023-2033), Plan para el desarrollo económico local de la provincia Montecristi.

Knowledge and Capacities

- Lack of knowledge about NBS: The lack of knowledge and understanding about the implementation and maintenance of NBS limits their application at the community and decision-making levels. Despite progress in Manzanillo and Santiago de Los Caballeros, thanks to initiatives such as the Nature4Cities project[68], or MiCosta[69] in Manzanillo, a low level of knowledge persists in other cities, making it difficult to incorporate NBS into both urban and basin strategies.
- Staff turnover and loss of knowledge: High staff turnover and the loss of institutional knowledge hinder the capacity to implement long-term climate adaptation measures.

4. Project/Programme Objectives

35. The project's objective is to improve the resilience of urban and riverine communities in Cuba and the Dominican Republic to the gender-differentiated impacts of climate change by integrating nature-based adaptation measures into territorial planning and implementing them with a gender-responsive lens. To this end, the following outcomes are expected:

1. Enhance the capacity of government institutions to plan and implement climate-resilient and gender-responsive land use policies and instruments.
2. Reduce the exposure of urban and riverine communities in the Yaque del Norte and Yara & Jibacoa river basins to climate hazards (floods, droughts, landslides) as a result of the implementation of NBS initiatives[70].
3. Improve the food, water, and energy security of urban and riverine communities in the basins, as well as contribute to climate-resilient livelihoods.
4. Improve data and information management (including project monitoring, evaluation, and learning) for climate-resilient planning in the Spanish-speaking Caribbean.

36. The activities will benefit people in the municipalities of Bartolomé Masó, Yara, and Manzanillo in Cuba, and Jarabacoa, Santiago de Los Caballeros, and Monte Cristi in the DR. These municipalities are located in the Yara & Jibacoa and Yaque del Norte basins, respectively. However, considering the interconnected nature of climate-related hazards and impacts (e.g., hazards occurring upstream may likely have impacts in downstream settlements), NbS project interventions may take place at multiple scales, including also rural areas or other settlements in the same river basin, in addition to the targeted six municipalities, on the basis of the climate vulnerability and risk analysis and the assessments under output 1.1.

37. The total population of these six municipalities is 1,525,960 people (1,042,660 in urban areas), and the total population of the two river basins is estimated at 2,034,000 people.

38. The project is seeking to indirectly benefit the entire population of the two river basins (2,034,000 people), as a result of improved land-use management, gender inclusion, and the implementation of NBS at the basin level. The project is also expected to directly benefit 312,800 people, equivalent to 30% of the urban population in the targeted six municipalities, who will benefit from interventions in resilient livelihoods, water and energy security to reduce vulnerability.

39. The project explicitly supports the Adaptation Fund's objectives, namely:

- Outcome 5: Increased ecosystem resilience in response to climate change variability and induced stress
- Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas
- Outcome 7: Improved policies and regulations that promote and enforce resilience measures
-

See Table 13 for more detail.

[68] https://cityadapt.com/n4c/

[69] https://www.geotech.cu/proyecto-mi-costa/

[70] There is a large amount of regional evidence about NbS contribution to climate change adaptation, especially for vulnerable communities. For case studies, see https://casestudies.naturebasedsolutionsinitiative.org/case-search/.

5. Project Components and Financing

Table 4 Project Components and financing

Project components	Expected Outcomes	Expected Outputs	Countries	Amount (USD)
1. Climate-resilient and gender responsive land use management	1. Government Institutions enhance their capacity to plan and implement climate-resilient and gender-responsive land use policies and instruments	1.1 Climate vulnerability and risk analyses are developed at the basin level (1 in each country). 1.2 Technical and operational government staff at the national, provincial, and municipal levels (a total of 110 in each country) receive training, technology and equipment to monitor climate risks and implement resilient land use planning. 1.3 Government staff at the national, provincial, and municipal levels and decision-makers are trained in gender differentiated impacts of climate change and gender-transformative policies (110 in total in each country). 1.4 (4x) action plans are prepared to incorporate a gender perspective into land use planning at the municipal and basin scale. 1.5 (8x) Climate resilient and inclusive land use planning instruments are strengthened or created at the basin and urban levels in both countries, based on climate risk and gender data.	Cuba and Dominican Republic	$2,900,000
2. Implementation of NbS for climate risk reduction	2.Urban and riverine communities in the Yaque del Norte (DR) and Yara & Jibacoa river basins (Cuba) reduce their vulnerability and exposure to climate hazards resulting from implemented NbS	2.1. Training to government staff (120 in each country), communities, and other actors to plan, design, implement, and maintain NBS projects. 2.2 Participatory design and implementation of NBS for flood risk reduction and resilient water management (wetlands, forests and parks, ditches, etc.) in urban areas of 6x municipalities and in peri-urban/rural areas of the 2 basins (Yara/Jibacoa and Yaque Norte).	Cuba and Dominican Republic	$16,045,460.00
3. Climate change - adaptive food, water and energy security	3.Communities improve their food, water, and energy security and adopt climate-resilient livelihoods	3.1. Women's groups, communities, and local governments in (6) municipalities receive training on gender-transformative resilient community agriculture and sustainable energy and water management. 3.2 Co-design and implementation of resilient community gardens and rainwater harvesting systems in (6) municipalities with beneficiaries. 3.3. Community photovoltaic systems are developed in (6) municipalities to facilitate NBS implementation with beneficiaries.	Cuba and Dominican Republic	$5,000,000.00

4. Knowledge management and MEL	4.Improved knowledge management and MEL (Monitoring, evaluation and learning) for climate resilient adaptation planning in the Hispanic Caribbean	4.1. Minimum 4 online platforms (climate and gender) are strengthened in both countries to improve management, coordination, and open access to data on climate impact and gender. 4.2. Regional dialogues for South-South knowledge exchange on gender and climate among Caribbean and Latin American countries to ensure the sustainability of actions	Cuba and Dominican Republic	$600,000.00
Project Execution cost				$2,727,270.00
Total Project Cost				$27,272,730.00
Project Cycle Management Fee charged by the Implementing Entity (if applicable)				$2,727,270.00
Amount of financing requested				**$30,000,000.00**

6. Projected Calendar

Table 5. Project calendar

Milestones	Expected Dates
Start of Project/Programme Implementation	March 2027
Mid-term Review (if planned)	December 2029
Project/Programme Closing	March 2032
Terminal Evaluation	September 2032

PART II: PROJECT / PROGRAMME JUSTIFICATION

A. Project components

Justification for the Regional and Strategic Approach

40. The project will contribute to facilitating the implementation of the agreement between Cuba and the Dominican Republic for binational cooperation on topics related to climate change (signed in 2022), including cooperation on data and Climate Transparency in accordance with the Paris Agreement.

41. Cuba and the DR have long been working together on bilateral cooperation and technical assistance, and this project intends to reinforce this cooperation. They have also consented to seeking possibilities of identifying potential joint projects on adaptation to climate change. Thus, the proposed project intends to advance on the implementation of this agreement by strengthening their capacities to address the challenges and risks generated by climate change, and by implementing key interventions in each country of mutual benefit. The regional approach is justified by the need to create and exchange knowledge, as both countries share similar exposures to climate hazards, have low income levels, and a limited capacity to address climate impacts. Despite these limitations, however, both countries have started to develop some adaptation and partnership initiatives with initial positive lessons worth considering for replication.

Urban Context and Common Climate Hazards

42. Although some climate hazards and risk drivers (e.g., landslides, erosion, deforestation, heavy rainfall, etc.) may originate in rural areas, their impact on urban areas can be significant, especially if they are located near rivers or water bodies. Further, while country poverty data show a higher level of poverty in rural areas, the concentration of vulnerable and low-income populations is higher in urban areas, where the poor have no option but to live in areas that are underserved, marginalised, and highly exposed to hazards (e.g., flood-prone zones). This has been evidenced in the vulnerability and exposure studies carried out in Manzanillo and Santiago de Los Caballeros as part of the Nature4Cities programme, which is intended to be scaled up and out via this AF project.

Justification of the Project Target Area

43. In addition to climate hazards exposure and vulnerability, other key factors have been considered for the selection of these two basins in the countries. These two areas represent a basin-level scale-up of Nature4Cities, which targeted the secondary cities of Manzanillo in Cuba and Santiago de Los Caballeros in the Dominican Republic. Both cities were identified by the national governments and key land use planning and environmental management departments of both countries for inclusion in Nature4Cities[71], as secondary cities to raise awareness and create the enabling conditions for NbS to be implemented to adapt to climate change impacts. During N4C, Manzanillo was identified as a vulnerable priority area in Cuba's "Tarea Vida"[72]. Santiago de Los Caballeros is DR's second largest city, it was selected after consultation with the Ministry of Environment and Natural Resources (MMARN), the Ministry of Economy, Planning, and Development, and the Vice Ministry of Territorial Planning & Development. The work undertaken during N4C in these two cities serve as a basis from which to scale up NbS planning and implementation to other cities in the same basin, as the environmental problems and climate impacts in these cities are interrelated.

Innovation and the opportunity to learn from each other

44. The two river basins represent an opportunity for learning and best practice sharing. For example, Yaque del Norte, in RD, shows a successful example of public-private partnerships for water resource management (through the Yaque del Norte Water Fund). In Cuba, long-established community-based agricultural practices have replicability potential but require support. These are entry points to foster knowledge transfer through collaboration. Thus, the production and access to data and products generated by the project in each country, such as climate and gender adaptation plans and decision-making tools for urban and land-use planning from a gender perspective, are replicable and scalable.

Theory of Change

45**. IF** national and local governments (of the Yaque del Norte basin in the DR, and Yara & Jibacoa basin in Cuba) adopt policies and instruments for the implementation of climate-resilient gender-responsive land use planning and nature- based solutions for adaptation, **THEN** urban and riverine communities in the Yaque del Norte and the Yara and Jibacoa river basins will suffer fewer losses due to climate change **BECAUSE** capacities to plan for and implement adaptation have been enhanced, flood risk exposure has been reduced and communities vulnerability has been reduced through improved food, water and energy security.

46. Figure 11 below shows the project's Theory of Change's outcomes:

1. Government institutions enhance their capacity to plan and implement climate-resilient and gender-responsive land use policies and instruments
2. Urban and riverine communities in the Yaque del Norte and Yara & Jibacoa river basins reduce their exposure and vulnerability to climate hazards as a result of the NBS initiatives being implemented.
3. Urban and riverine communities in the basins improve their food, water, and energy security and adopt climate- resilient livelihoods.
4. Improved data and information management (including project monitoring, evaluation, and learning) for climate-resilient planning in the Spanish-speaking Caribbean.

47. For example, to achieve Outcome 2 IF capacity building to governments, communities and other actors to plan, design and implement NbS projects, and participatory co-design and implementation of NbS for flood risk reduction and resilient water management in urban and riverine areas, THEN communities in both river basins will increase their resilience to the gender differentiated impacts of climate change BECAUSE communities in both river basins will reduce their exposure to climate hazards.

[71] 85https://www.greenclimate.fund/sites/default/files/document/increasing-resilience-through-nature-based-solutions-latin-american-cities-nature4citieslatam.pdf

[72] http://financiamientoclimatico.cubaenergia.cu/index.php/descargas/8-folleto-tarea-vida/file

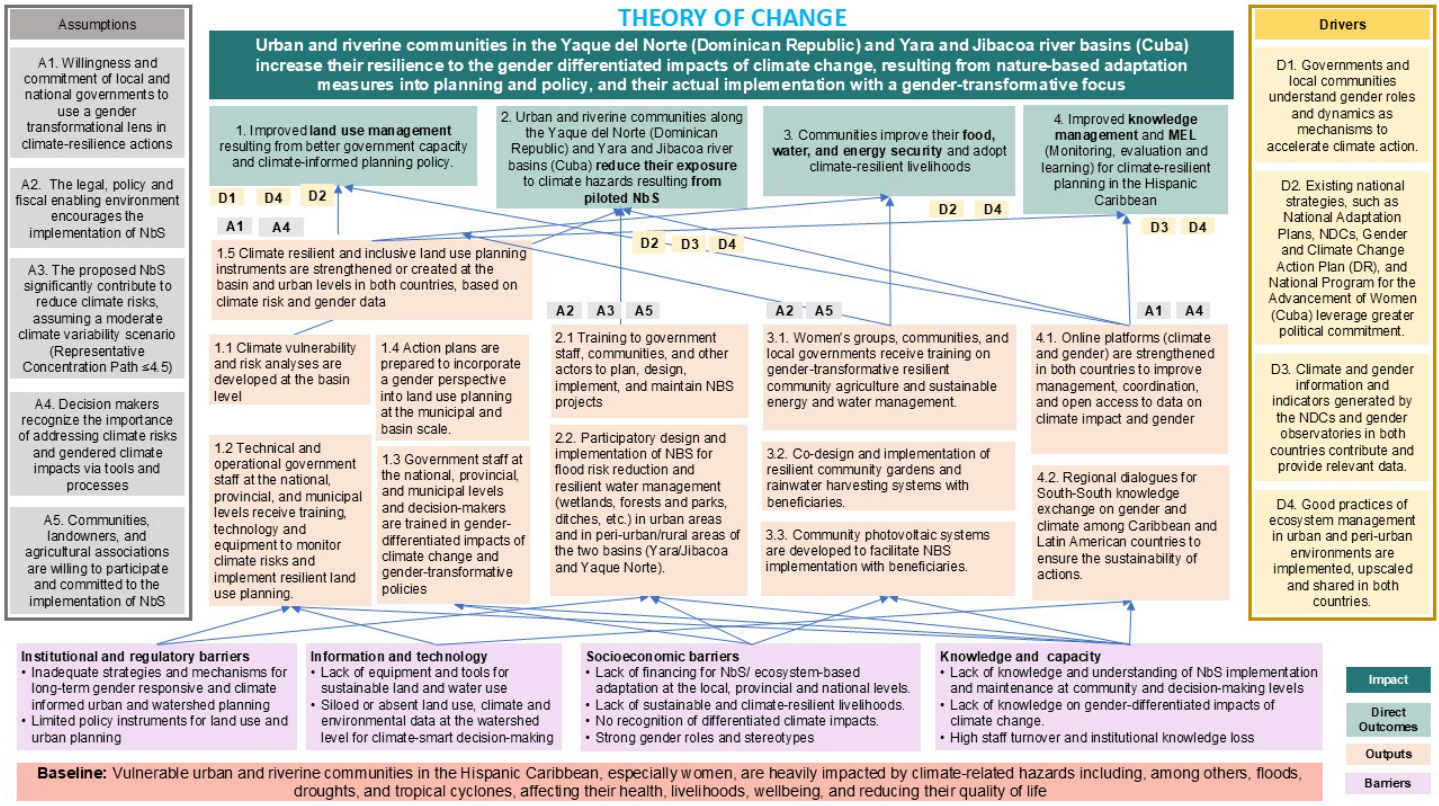

Figure 11. Project Theory of Change.

Project Description

Component 1: Climate-resilient and gender-responsive land use management

48. This component's objective is to achieve improved climate-resilient gender-responsive land use management and promote a gender perspective in adaptation and land use policies in both countries. To this end, the initiative seeks to build the capacity of government personnel at the national, provincial, and municipal levels and generate data to develop land use plans (in Spanish *Planes de Ordenamiento Territorial* or POT) informed by gender-disaggregated data on risks and vulnerabilities and action plans to incorporate a gender lens in local adaptation plans, land use and development plans. This component also informs activities in Components 2 and 3, within the pre-identified spatial boundaries and a fixed activity options menu to be further developed during full proposal stage.

49. Each country has achieved different progress in the development of these policies and plans; therefore, the activities proposed below will have different approaches. In Cuba, key stakeholders will include the National Institute of Territorial and Urban Planning (INOTU), the Ministry of Science, Technology, and Environment (CITMA), the Ministry of Agriculture (MINAG), the National Institute of Hydraulic Resources (INRH), the Federation of Cuban Women and the Office of Statistics and Information in Cuba, among others. In the DR, the Ministry of Environment and Natural Resources (MMARN), the Ministry of Economy, Planning, and Development, the Vice Ministry of Territorial Planning & Development, the Ministry of Agriculture, the National Institute of Hydraulic Resources, the Ministry of Women's Affairs, the Office of Women's Affairs, the Dominican Association for the Development of Women, among others. Outputs are described below.

50. 1.1 Vulnerability and climate risk analyses are being developed at the basin level in both countries. Activities proposed include: 1) Collection of gender-disaggregated data on risks and vulnerabilities in the municipalities of both basins. The data will inform gender action plans described in product 1.4 below. 2) Detailed hydrological, topographic, socio-ecological, and land use assessments to determine areas susceptible to landslides and pluvial and riverine flooding T10, T50, and T100 under different climate scenarios. The collected data will be used to formulate (in the case of Cuba) or strengthen (in DR) comprehensive vulnerability and climate risk analyses at the basin scale. The studies will also guide the identification of the most cost-effective and socially inclusive nature-based solutions (NbS) w, as well as specific priority locations at the basin

level to reduce flooding, erosion and landslide exposure and enhance resilience within the pre-identified geographical areas and options menu (to be developed during the full funding proposal stage). It will not introduce new activities. A re-screening of the project for ESS risks will be carried out during the detailed project design phase once the adaptation solutions have been identified.
The data will be complemented by vulnerability and gender data collected as part of output 1.3 to inform the action plans under output 1.4 and the climate-resilient resilient gender-responsive land use plans under output 1.5. This product will scale and complement the preliminary vulnerability analyses carried out in Manzanillo and Santiago de Los Caballeros as part of Nature4Cities.

51. 1.2 Technical and operational government personnel at the national, provincial, and municipal levels receive training, technology, and equipment to monitor climate risks and enforce resilient land use management. The proposed activities are: 1) Provision of equipment, computers, and software for INOTU and CITMA offices at the national, provincial, and municipal levels in Cuba, and for the MMARN and the Territorial Planning Office in the DR to collect and manage cadastral and climate information, monitor risks of floods, landslides, droughts, and other hazards, maintain up to date climate hazards, vulnerabilities, exposure, and risks analyses in the basins, and design land value capture instruments[73]. 2) To ensure the well-functioning of the equipment provided under (1) above, the acquisition and installation of photovoltaic energy generation and storage equipment (panels, batteries, etc) is proposed , given the growing conditions of energy insecurity, especially in Cuba but also extended to the DR. This can contribute to national efforts to increase the amount of renewable energy. 3) Training of state personnel in the collection and management of cadastral, climate, and hazard data, including software skills to increase their autonomy in the preparation of risk maps and design of land value capture instruments, which will inform land use plans at the municipality and basin scale. It is expected to train 25 employees at the national level, 10 at the provincial level and 75 at the municipal level in each country (total 110 per country).

52. 1.3. Government personnel and decision-makers at the national, provincial, and municipal levels are trained on gender differentiated climate impacts and transformative policies. The proposed activities are: 1) Establish a national coordination group to ensure that a gender perspective is incorporated into adaptation policies at the national and provincial levels; 2) Collect data on gender inequality and gender-differentiated climate impacts at the basin level (in both countries). This activity will inform and complement the climate risk and vulnerability analyses, as part of product 1.1. 3) Development of outreach reports and awareness campaigns on the impact of climate change on women. 4) Training for key stakeholders and decision-makers in each country on differentiated concepts of vulnerability, risk, and climate impact. It is expected to train 25 people at the national level, 10 at the provincial level and 75 at the municipal level in each country (total 110 per country). 5) Knowledge exchange activities between the DR and Cuba, including lessons learned from the creation of the Gender and Climate Change Action Plan by the Ministry of Women and other institutions in the DR. To this end, the initiative will work hand in hand with the Ministry of Women's Affairs, the Office of Women's Affairs, the Dominican Association for the Development of Women in the DR, the Federation of Cuban Women, and the Office of Statistics and Information in Cuba, among others.

53. 1.4. Four action plans prepared to incorporate a gender perspective into territorial and adaptation plans at the municipal and basin levels in both countries. The activities proposed are: 1) Identify entry points and opportunities within the legislative framework for incorporating a gender perspective into adaptation plans and land use plans at the basin level. 2) Formulate action plans for integrating a gender perspective into territorial and adaptation plans, and for advancing basin-level initiatives to reduce gender-differentiated climate risk. The action plans will include concrete short-, medium-, and long-term actions, as well as specific indicators. They will be validated by INOTU and CITMA in Cuba, and the Ministries of Environment and Natural Resources (MMARN) and Economy, Planning, and Development in the DR. Their implementation will be the responsibility of INOTU in Cuba and the Vice Ministry of Territorial Planning and Development in the DR.

54. 1.5. New, inclusive and resilient territorial planning instruments based on gender and climate data are strengthened or created. These territorial planning instruments will be informed by the vulnerability and risk analysis (output 1.1), data on gender inequality and gender-differentiated climate impacts at the basin level (output 1.3) and the action plans to incorporate a gender perspective into territorial and adaptation plans at the municipal and basin levels (output 1.4). These instruments will also serve to identify the types and locations of solutions to reduce climate risks under Component 2. The activities proposed include: 1) Technical assistance to review, update, and strengthen the climate risk components of the land use plans for the six (6) municipalities in the basins. In Cuba, municipalities have already developed their land use plans (POT). INOTU is responsible for updating them. However, assistance is required to incorporate the results of the risk and

[73] Process by which the public sector recovers all or part of the increase in land value attributable to "community effort" rather than to the individual action of the owners. Recovery is achieved by converting it into public resources in the form of taxes, contributions, levies, among others, or by investing it in local improvements for the benefit of the community.

vulnerability analysis and gender analysis and to determine how these data inform territorial planning. In the DR, Santiago de Los Caballeros has developed a Municipal Land Use Plan (PMOT); the other two cities for this project are in the process of developing theirs; therefore, assistance in the DR will focus on support to incorporate these data into upcoming plans. This activity will also complement the World Bank's Resilient Agriculture and Integrated Water Resources Management (PARGIRH) project in the DR, which is planning to develop two overarching plans to be defined between the Yaque del Norte basin and another basin. 2) Technical assistance to create a basin-level land use plan in Cuba (Yara and Jibacoa rivers) and to strengthen the existing plan for the Yaque del Norte River basin in the DR, especially on gender and climate aspects. 3) Technical assistance to conduct studies for the application of land value capture (LVC) instruments to finance NbS in the cities of the two basins. This activity is proposed as a scaling up of the Nature4Cities products, which showed that regulations in both countries offer opportunities for LVC, but the institutional capacity and requirements (financial, personnel, equipment and knowledge) for its implementation need to be determined. LVC instruments may include contributions for building rights, minimum green areas for new buildings and requirements for sustainable urban drainage, among others.

Component 2. NBS projects implemented in urban and riverine communities along the Yaque del Norte (DR) and Yara and Jibacoa (Cuba) basins.

55. This component will seek to implement ecosystem-based adaptation practices, informed by the results of components 1 and 2. Key actors in implementation are: in Cuba: INOTU, the Ministry of Agriculture, CITMA, the Environment and Development Funds, and the IRIS Foundation. In the DR: the Ministry of Economy, Planning and Development, MMARN, the Ministry of Agriculture, and the Yaque del Norte Water Fund, among others.

56.2.1. Strengthening the capacities of governments, communities, and other stakeholders to plan, design, implement, and maintain NBS projects. The activities proposed under this component are: 1) Preparation of cost-benefit analyses to determine the NBS options to be implemented and the optimal financial model for their sustainability. 2) Training for communities and vulnerable groups (especially women and Afro-descendant), private and state actors on the formulation of conservation projects, management, and improvement of ecosystems and natural resources, as well as local conservation tourism initiatives. In terms of government staff, it is expected to train 20 people at the national level, 25 at the provincial level, and 75 at the municipal level in each country (a total of 120 per country). 3) Training for community groups and women's collectives on the implementation, management, and maintenance of NBS projects. This includes, among others, training in accounting, agroforestry and sustainable agriculture practices, forest and ecosystem management, and ecotourism, among others. This activity will build on the N4C products regarding training to identify suitable NBS. A parallel Green Climate Fund project “MiCosta” is being implemented in Manzanillo and other coastal cities, which includes the provision of training classrooms. This AF project will coordinate with MiCosta in Manzanillo to make use of its classrooms for training related to the AF project. 4) Provision of tools, equipment, and technology to community groups and women's collectives to support the implementation, maintenance, and management of the interventions. Finally, 5) Awareness-raising among financial and microcredit institutions to promote microcredit lines for community-based conservation and small-scale agroforestry projects. This product scales up the financing and private sector analyses developed as part of the Nature4Cities project for Manzanillo and Santiago de Los Caballeros.

57. 2.2 Participatory design and implementation of nature-based solutions for flood risk reduction and resilient water management in urban and river basin settings. Products of the N4C programme included a list of potential nature-based solutions to climate-related hazards (pluvial and river flooding, erosion, water and food scarcity, etc). These solutions were proposed by key stakeholders during workshops during the Nature4Cities project, following a rigorous risk analysis in Manzanillo and Santiago de Los Caballeros. Therefore, output 2.2 will consider these options for potential implementation[74].

Table 5 Typologies of Nature-based Solutions and their basic attributes

Typology	Scale	Risks to address				
		Erosion	Flooding	Extreme heat	Water shortage	Food
Mangroves and ostrich corals	Coast	X	X			X
Rural and riverine forests	Basin	X	X	X	X	X
Terraces	Basin	X				

[74] There is strong evidence both in the region and across the world that nature-based solutions work. The evidence has shown that these are cost effective measures to increase climate resilience and to help communities adapt to climate change. See for example: here.

Floodplains	Basin		X		X	
Agroforestry	Basin	X		X	X	X
Wetlands	Peri-urban		X		X	
Retention ponds	Urban		X	X	X	
Detention ponds	Urban		X			
Urban forests and parks	Urban		X	X	X	X
Green corridors	Urban	X	X	X	X	X
Raingardens	Neighbourhood		X	X	X	
Urban farming	Neighbourhood		X	X		X
Bioswales	Neighbourhood		X		X	

58. However, the final selection of NBS types and their specific location will be determined by risk and vulnerability studies and hydrographic and environmental studies at the basin level, which will then inform updated land use plans (component 1). As mentioned, interventions may also take place in rural areas and other settlements, in addition to the targeted six municipalities (but within the same basin), as these may have a direct impact on the targeted population. At this stage, it is possible to identify preliminary possible solutions as follows:

59**. In Cuba**, activities may include:
- In the upper basin: Reforestation, rehabilitation, and conservation of native forests, incentives for the acceleration of agroforestry practices (e.g., shade-grown coffee, fruit trees, bananas, and others interspersed) in specific areas to be determined by INOTU, CITMA, MINAG and INRH, and development of terraces to prevent erosion.
- In the middle basin, especially peri-urban areas, natural wetlands and floodplain areas will be identified to reduce flood risk of inhabited areas; this can be realised via LVC (levies to beneficiaries and compensations to affected landowners).
- In the lower basin, UNDP and CITMA are understood to be working on mangrove restoration in the city of Manzanillo as part of the MiCosta project. Actions will be coordinated to avoid duplication.
- Within cities, existing lots (sports fields, vacant lots, parks, etc.) will be identified as multifunction areas, e.g., to serve as detention ponds that slow stormwater runoff and reduce the risk of flooding in populated areas.
- Likewise, in cities, the creation of infiltration ditches and bioswales, the adaptation of vacant lots as rain gardens, small natural and constructed wetlands, and the restoration of green corridors are proposed.

60. **In the Dominican Republic**, the project will partner with strategic stakeholders such as the Yaque Norte Water Fund (YNWF), to focus actions on locations where interventions are most relevant. The following activities are proposed:
- In peri-urban areas, solutions will focus on the implementation of riparian floodplains and wetlands, via LVC. Currently, local authorities and communities are involved in mangrove restoration in Monte Cristi and forest protection in the upper basin, therefore NBS will concentrate on urban areas to avoid duplication.
- Within cities, similar to Cuba, detention ponds are proposed on existing spaces with other uses (e.g., baseball fields, green areas, etc.). Likewise, infiltration ditches, bioswales and small lots or parks adapted as rain gardens are proposed.

Component 3. Communities improve their food, water, and energy security and adopt climate-resilient livelihoods
61. This component seeks to implement urban and peri-urban community farming initiatives, water harvesting systems, and local renewable energy generation. These practices complement each other and collectively increase resilience to climate impacts. Key implementing actors are, in Cuba: INOTU, MINAG, National Funds, CITMA, and IRIS Foundation. In the DR: MMARN, MEPD, Ministry of Agriculture, and the Yaque del Norte Water Fund.

62. 3.1. Training for women's groups, communities, and local governments on community gardens and energy and water management from a gender perspective. The following activities are proposed: 1) Initial assessment to identify specific knowledge and skills gaps in each target group, emphasising the gender gap and roles in agricultural production. 2) Based on this, training activities will be designed and tailored to the needs of the communities, focusing on the needs of women's groups, youth, and marginalised groups. 3) Training for women as trainers will be explored, enabling the transfer of knowledge to other groups in the community. 4) Provision of tools, equipment, and technologies to community groups and women's groups to implement gardens and water harvesting systems. This activity includes technical and financial support for improving existing nurseries and creating new ones as needed. This training will ensure the long-term sustainability of these activities and address one of the barriers to climate change adaptation with a gender perspective.

63. 3.2 Design and implementation of community gardens and water harvesting systems in urban and riverine areas. To support the livelihoods of the most vulnerable people in these areas, a series of activities are proposed, including the participatory design and implementation of collective initiatives for urban and peri-urban gardens and rainwater harvesting. These activities are proposed as nature-based adaptation measures to ensure water and food security through drought-resilient food production and rainwater harvesting during droughts, tailored to the context. The following activities are proposed: 1) Mobilising women's groups, communities, and local governments, with the support of NGOs in each country, to identify and plan optimal areas for urban and peri-urban agriculture. 2) Design and implementation of urban and peri-urban gardens and community farms, including small ponds and ditches to capture excess rainwater as a water source to irrigate the gardens. 3) Construction of systems at strategic points in cities, including those near gardens, to diversify water sources and improve drought resilience. This can include small-scale systems such as storage tanks or rainwater harvesting using roofs and gutters.

64. 3.3.Community photovoltaic systems to facilitate NBS implementation: One of the greatest obstacles expressed during the consultations, especially in Cuba, was the constant power outages and the lack of access to reliable energy sources, to ensure the effective implementation of agricultural and land restoration and rehabilitation activities. Therefore, activities will focus on the installation of small-scale off-grid photovoltaic systems within pilot community gardens and orchard initiatives, which will facilitate access to energy for activities such as irrigation or the implementation of NBS. As part of output 3.1, women's collectives will be trained to maintain and operate the photovoltaic systems. Related to this output, output 3.1 will also address traditional gender roles that may limit women's participation in the use of these technologies.

Component 4. Improved knowledge management, as well as monitoring, evaluation, and learning for climate resilient planning in the Hispanic Caribbean

65. 4.1. Strengthening data platforms in both countries for the management, coordination, and open access to data on climate, differentiated impacts, and adaptation practices. This output addresses the need for information coordination within government entities and with other organisations. There currently exist databases at the national and regional levels on climate risks, forecasts, and early warnings. However, the information is partial, dispersed, or restricted. This component will be implemented within the broader political agreement between Cuba and the Dominican Republic for binational cooperation on topics related to climate change (signed in 2022), including cooperation on data and Climate Transparency in accordance with the Paris Agreement

66. At the institutional level, Cuba has early warning systems in all provinces, and although relevant information and products exist, there is a lack of a centralised online platform to manage this information. The Cuban Institute of Meteorology's platform can be a starting point, as it has current climate information and forecasts that could be linked to. As part of the current GCF project "MiCosta", a new Knowledge Management Platform based in CITMA is planned. This platform is expected to integrate information derived from the project with early warning information and national data sets. However, its scope is limited to the coastal level. Consequently, this AF note proposes to strengthen it with data resulting from analyses at the basin level. In the DR, there is a state initiative to develop a hydrological, meteorological and climatic data management system (based on the recommendations of the project "Generation and Management of Hydrometeorological Data and Climate Change Scenarios in the DR "). However, it is at a very early stage. Therefore, technical assistance is proposed to learn from the Cuban experience and accelerate the implementation of the platform in the DR.

67. In terms of gender, there is a need to integrate the existing data and platforms towards improved adaptation decision-making. Currently, Cuba has a gender observatory, while the DR has a gender parity platform. However, in both cases, data on differentiated climate impacts and better coordination with climate data platforms are lacking. At the non-governmental level, there have been efforts to disseminate information on climate adaptation practices. For example, the Bohío platform in the DR monitors biodiversity and ecosystem resilience on the island of Hispaniola. Similarly, through the Nature4Cities project in Latin America, risk analyses were developed in some cities (including Manzanillo in Cuba and Santiago de Los Caballeros in the DR), as well as relevant guides and products.

68. Technical assistance is proposed to interconnect these with institutional platforms and improve coordination among governmental, academic, non-governmental, and private sector entities. Thus, existing climate and gender databases will be strengthened in each country. This AF project proposes the creation of a repository of useful and easily accessible information on initiatives and opportunities for ecosystem-based climate change adaptation. This includes, for example,

links to other regional platforms such as City Adapt[75]and Climate Action-ACL [76](UNEP), which document practices, training, and knowledge exchange. In agreement with state entities, these repositories can be linked to environmental institutions or hosted by non-governmental organisations, ensuring data exchange between ministries and other institutions. For example, in the case of Cuba, INOTU, CITMA -through the Environmental Agency (AMA) and its Study Group on Hazards, Vulnerability, and Risks, the municipal governments, and the Provincial Delegations of CITMA can coordinate and share data on gender-differentiated risks in the face of climate change, with the participation and input of the Federation of Cuban Women. This could also be reflected in the ONEI gender portal in Cuba.

69. 4.2. Regional dialogues for South-South knowledge exchange between countries in the Hispanic Caribbean and Latin America for the long-term sustainability of NBS actions. This product entails a series of workshops and events, both between the two countries and with other countries in the region such as Haiti and Jamaica (to be defined). It aims to promote South-South knowledge exchange to strengthen states' capacity to implement adaptation strategies with a gender perspective. These dialogues will seek to share experiences on national and regional initiatives to empower women and reduce their vulnerability to climate hazards. Likewise, they seek to exchange practices on land use planning, the inclusion of climatological data in land-use and urban planning instruments, and the use of tools for effective land management.

70. In this regard, the idea is to delve into specific topics to ensure effective knowledge creation. For example, understanding the opportunities and limitations of the different regulatory frameworks; land-based financing instruments available and applicability to the context of both countries; potential innovative financial models involving the private sector and other actors for the implementation of nature-based solutions; among others. Cuba has been working on urban agriculture for decades, from which positive lessons can be learned. DR has initiated noteworthy gender equity initiatives, including the creation of the Ministry of Women and the Office of Women's Affairs of the Ministry of Agriculture. The Yaque del Norte Fund (RD) has been working with public and private investors on innovative investment models for environmental protection, climate adaptation and water security in the basin. This model has been applied elsewhere in Latin America with considerable success. In addition to binational dialogues, multilateral meetings with other countries in Latin America and the Caribbean are proposed, as well as virtual exchanges with other small island states to share lessons on NBS for adaptation. To this end, the project will work hand in hand with regional networks such as the Latin American Water Funds Alliance, the Platform for Climate Action in Agriculture in Latin America and the Caribbean (PLACA), among others.

B. New and innovative solutions to climate change adaptation

71. One of the project's key innovations is its gender-based approach to ecosystem-based adaptation measures. The project seeks to open opportunities, especially for women and vulnerable groups, to implement actions -at the policy and project levels- that contribute to addressing differentiated impacts and increase their autonomy and resilience. This will be done via the collection of differentiated data and incorporation into land use and adaptation plans. Furthermore, during the consultations that took place in both Cuba and the DR for the development of the concept note, participants repeatedly expressed the need to open up opportunities for the inclusion of private actors in the design and implementation of adaptation measures.[77] Therefore, this project proposes the participation of beneficiaries and the private sector as key actors to co-finance and scale the project's initiatives.

72. The project offers an opportunity for learning and knowledge exchange between the two countries. Both Cuba and the DR have strengths and exemplary cases in specific areas of nature-based adaptation, from which the other one can draw valuable lessons. Cuba has extensive experience with community initiatives and public policies for urban agriculture worth considering. Likewise, there are public-private initiatives in the DR, such as the Yaque del Norte Water Fund, as a successful and financially sustainable example of water resource management. It is hoped that the participation of the Yaque del Norte Water Fund and the Latin American Alliance of Water Funds will help explore opportunities for similar models in the Cuban context and understand the barriers and entry points for such models.

73. Finally, as a scaling up of Nature4Cities, this project will explore the feasibility of developing instruments for land value capture. A Technical Report is currently being prepared as a product of N4C and explores the potential of Land Value Capture Mechanisms for Urban Nature-Based Solutions in Latin America and the Caribbean, particularly in the project cities. Such land-based financing instruments are considered highly innovative, as they involve the participation of private actors and residents in the financial sustainability of the projects. Many of these have been implemented in various Latin American

[75] https://cityadapt.com/en/home/

[76] https://accionclimatica-alc.org/blog/iniciativa/sdg-cuba/

[77] During the consultations for the development of this note, participants consistently expressed the need for private sector participation in the project.

cities with great success[78]. These include, for instance, requirements for sustainable urban drainage, minimum green areas obligations, or betterment contributions (including taxes levied on residents which are then invested in environmental protection and nature-based solutions for risk reduction), among others.

C. Economic, social and environmental benefits

74. **Economic:** This project is designed to bring economic benefits to the local population, especially women. Output 2.1 (raising awareness among financial and microcredit institutions) will result in access to financial resources with more favourable conditions for women and vulnerable groups. Agroforestry and community farming activities (outputs 2.2 and 3.2) will result in increased income for women's groups in the project cities, creating green jobs and improving livelihoods for the most vulnerable groups. Training on resource and habitat conservation practices can create eco-tourism business opportunities.

Improved flood risk reduction will lessen economic losses for communities vulnerable to flooding.By implementing NbS for flood risk reduction, the project aims to mitigate economic damages from future extreme weather events. A precise figure for avoided losses cannot be calculated upfront at the concept note stage, however, historical events indicate the potential savings. For instance, at a country level, a single storm in the Dominican Republic in November 2016 caused damage exceeding 4.39 billion Dominican pesos (approximately 74.4 million USD). Similarly, a World Bank assessment of the economic cost of climate change estimated that due to increases in inland flooding will result in 0.06-0.09% losses in the Dominican Republic's capital stock by 2050. [79]

The specific NBS proposed by this project also have favourable benefit-cost ratios. For instance, mangrove restoration, assessed for flood reduction benefits, show that the ratio is 0.12-0.09 for Cuba and 0.36-0.26 (depending on the discount rate applied). [80] In the Caribbean, rain gardens can avoid losses of up to $30 per square foot of impervious surface protected, costing up to $5 per square foot. Similarly, bioswales can avoid losses of up to $80 per square foot and cost $30 per square foot. [81] Furthermore, watershed-level interventions also have economic benefits. In other parts of the world, a UK evaluation of 10 schemes found that the benefit-cost ratio for 10 years is 4:1, and approximately 10:1 in 30 years. [82]

Additionally, Component 3 has economic benefits through improving food and water security. Urban agriculture has economic benefits through the reduction of household expenditure.[83] For example, in other cities in Latin American, urban agriculture reduced food costs by up to 36%for low-income households. [84]

75. **Social:** The project's combined components are designed to bring multiple social benefits. For example, greater empowerment and participation of women in decision-making, including access to climate information, and opportunities for learning, socialization, and personal development. Urban agriculture will increase the supply of healthy, locally grown food, contributing to the food security of vulnerable groups, especially single mothers in the project cities. These activities will promote greater social cohesion through community participation in the implementation and maintenance of these practices. They will also contribute to the well-being and health of local communities by offering access to green open spaces, improving air quality, and reducing the urban heat island effect by mitigating extreme temperatures. Finally, activities related to the installation of photovoltaic energy will bring clear benefits to families significantly affected by the lack of electricity, thereby contributing to the energy sovereignty of vulnerable communities. Overall, the project aims to target 312,800 direct beneficiaries, which is equivalent to 30% of the urban population in the 6 target municipalities. It is expected that the entire population of the two river basins, 2,034,000 people are indirect beneficiaries.[85]

76. **Environmental:** Component 1 outputs will bring environmental benefits represented by improved management of green areas in urban and rural settings. These include zones designated for conservation and environmental protection, forests, and river buffer zones. The project will also result in improved water and soil quality. Activities under Components 3 and 4, will contribute to improved infiltration and increased soil capacity to store water during storms, thereby reducing runoff and flood risk. Likewise, greater soil stabilization will be reflected in less erosion and a reduction of landslides risk and silting,

[78] See for example the several publications from the Lincoln Institute of Land Policy on Land Value Capture in Latin America.

[79] Siegmann (2023) *Estimating Economic Damages of Climate Change in the Dominican Republic*

[80] Menéndez, P., Lowrie, C., and Beck, M. W. (2022). "Building Mangrove Capital: Assessing the Benefit Cost Ratio for Mangrove Restoration Across the Wider Caribbean." The Nature Conservancy, Arlington, VA

[81] Bailey, J., Becker-Birck, C., Bissoon, D., Fox, A., Gischler, C., Hampton, D., Lee, M., Minoja, L., & Sloan, W. (2022). *Building a more Resilient and Low-Carbon Caribbean: Report 4: Infrastructure Resilience in the Caribbean through Nature Based Solutions*. https://doi.org/10.18235/0004603

[82] The Wildlife Trusts and RSA Insurance (2025) *Natural Flood Management.*

[83] FAO (2007) Profitability and sustainability of urbn and peri-urban agriculture.

[84] Córdoba-Balcells, V., Parada-Molina, F., Toboso-Chavero, S., López-Eccher, C., Nadal, A., Rieradevall, J., & Muñoz, E. (2025). Socio-economic impact of urban agriculture in Latin America cities: The case of Santiago de Chile. *Cities*, *161*, 105905. doi:10.1016/j.cities.2025.105905

[85] Based on the assumption that 20% of urban populations in the 6 selected beneficiaries will benefit. The assumption of 20% is a conservative estimate, given that the poverty rate in urban population in the Dominican Republic municipalities ranges from 30-60% (no available data for Cuba)

currently affecting the middle and lower basin areas. These environmental improvements will help reduce disaster risks for the most vulnerable and poor populations, many of whom are located in areas highly exposed to these natural hazards[86]. Further, NbS lead to net benefits in terms of biodiversity and enhanced ecosystem services (ecosystem composition, biodiversity, biomass population dynamics, water infiltration and purification, soil conservation and reduced erosion). [87] These, in turn, have positive impacts on surface and groundwater, providing socio-economic benefits.

Such conservation and protection of forests and ecosystems also provides improved carbon storage capacity and thus contributes to national and global climate change mitigation commitments.

77. **Gender:** Together, the components and activities seek to reduce women's differentiated vulnerability through gender disaggregated data collection, gender-responsive planning, training and livelihood support for women's groups, and institutional awareness-raising on the gendered impacts of climate change. This will enable informed decision-making by local governments, greater adaptive capacity for communities, especially women, and greater awareness and integration of the gender perspective into local planning. Component 1, focused on resilient land-use management, contributes to narrowing the gender gap by ensuring that vulnerability and climate risk analyses include gender-differentiated data and that territorial planning instruments are based on this information to be inclusive and address existing inequalities. Component 1 is explicitly dedicated to incorporating gender-transformative strategies into policies and plans, closing the gender gap by training government personnel on gender-differentiated impacts, collecting data on gender inequality, and formulating action plans to integrate a gender perspective at the municipal and basin levels. This strengthens institutional capacity to respond to climate change while taking into account gender needs. Component 2 contributes to improving adaptive capacity by strengthening the capacity of communities and key actors to plan, design, and implement. It provides training and tools/equipment to women's groups. The component also contributes to raising awareness among financial institutions for microcredit loans that support their economic participation. Component 3 seeks to improve food, water, and energy security by boosting adaptive capacity through community gardens, water harvesting systems, and photovoltaic systems. It closes the gender gap by offering targeted training to women's groups on resilient agriculture and gender responsive energy and water management, providing them with resources and technology, and addressing traditional gender roles. Finally, Component 4, focused on information management, improves adaptive capacity and helps close the gap by strengthening open-access climate and gender data platforms, making visible the disaggregated information needed for informed policies. Regional dialogues foster South-South knowledge exchange on gender and climate, strengthening regional capacity for inclusive adaptation. The project aims to benefit indirectly 1,028,253 women (assuming male to female split is 49.5% to 50.5% in the Dominican Republic, and 49.4 to 50.6% in Cuba based on the most recent census information). It will directly benefit 145,300 women in both countries through the implementation of water and food security solutions under Component 3. [88]

78. The risk of maladaptation under this project is considered limited. The design is grounded in a participatory and inclusive approach, ensuring that interventions are informed by the needs, priorities, and knowledge of diverse stakeholders, including women, youth and marginalized groups. This inclusive process significantly reduces the risk of reinforcing existing inequalities or creating new forms of social exclusion. The project's ecosystem-based approach at the basin level also minimizes the risk of unintended negative impacts elsewhere—such as downstream effects of upstream activities—by promoting integrated and nature-based management of land, water, and ecosystems. Furthermore, the project explicitly promotes the use of renewable and low-emission energy solutions, avoiding reliance on fossil fuels or technologies that could lock communities into unsustainable practices. Finally, by prioritizing Nature-based Solutions (NbS) and soft, community-led interventions rather than large-scale hard infrastructure, the project reduces environmental disruption and maintains the adaptive flexibility of ecosystems and livelihoods over time.

D. Cost-effectiveness of the project

79. This project is presented as a regional initiative with activities in strategic themes aimed at maximising the project's effectiveness and positive impact. They are aimed at increasing the resilience of vulnerable groups—particularly women—in the project's target cities. These groups are also the most exposed to environmental hazards and therefore likely to be more adversely impacted by these hazards. It is estimated that these activities will directly benefit 312,800 people (the

[86] Municipal land use plans and N4C products have shown that in cities like Manzanillo (Cuba) and Santiago (DR), slums and high-poverty areas are the most exposed to climate hazards.

[87] Biodversa and James Hutton Institute (2023) Scoping review: What is the state of knowledge on the role of biodiversity in the design, delivery and benefits of NbS?

[88] Based on the assumption that 20% of urban populations in the 6 selected beneficiaries will benefit. The assumption of 20% is a conservative estimate, given that the poverty rate in urban population in the Dominican Republic municipalities ranges from 30-60% (no available data for Cuba)

estimated 30% most vulnerable urban population), and an additional 1,721,200 people indirectly (the rest of the population in the two river basins). This demonstrates a highly beneficial cost-benefit ratio. Furthermore, the benefits will be multiplied by the exchange of knowledge and experiences between the two countries, as they share similar environmental and socioeconomic characteristics, but also distinct advances in areas such as gender equity, environmental and territorial management, among others. Thus, the activities are presented as cost-effective measures, compared to infrastructure interventions, with short-, medium, and long-term multiplier effects. The requested amount is the minimum necessary for implementation; the regional approach allows for cost rationalisation of administrative and implementation costs, avoiding duplication of efforts and improving the project's cost-effectiveness.

80. Evidence demonstrating the cost-effectiveness and sustainability of the proposed measures is presented below:

81.Land management plays a fundamental role in climate change adaptation; this involves, for example, the capacity of state and non-state actors to plan land uses and effectively enforce them, reducing the exposure of settlements, infrastructure, goods, and services to climate hazards. Therefore, component 1 focuses on effective land management through capacity, as well as the review and improvement of land-use planning instruments. Although land-use planning instruments alone do not ensure effective land management, they are a step in the right direction, as they complement development plans at the different scales. To strengthen them, land-use planning decisions must be updated and informed by climate risk data; for instance, it is vital to know what settlements, goods, and services are located in hazard-prone zones and what land-use management measures can be implemented to reduce disaster risk. There is considerable evidence in the region on the effectiveness of good land-use management in climate adaptation processes. For example, climate-informed land use plans and the use of urban NBS parameters, such as minimum green area and tax incentives to reduce flooding, in Sao Paulo and Belo Horizonte, Brazil. Similarly, development obligations for green areas in new urban developments in Colombia contribute as an adaptation and flood risk reduction measure[89]. The Component ensures that the building blocks for integrated climate resilient land use planning and management exist in both countries at the local government level through capacity building of actors.

82. Regarding component 1, while there is global interest in including gender considerations in adaptation and ecosystem protection plans, in practice, concrete actions fall short[90]. Therefore, this component focuses on specific training measures to stakeholders, ensure the long-term impact of the project, and the development of action plans to increase a gender lens and effectively implement initiatives to reduce climate risk for women. There is evidence of the impact of similar initiatives in the region. For example, the "From Knowledge to Action" projects work on Ecosystem-Based Adaptation (EbA), gender equality, and social inclusion (GESI) to address climate change. These have resulted in the empowerment of women in key adaptation roles, the strengthening of community leadership and planning, and the integration of traditional knowledge with modern approaches in projects[91]. Similarly, Nature4Cities, from which this project is planning to scale, has promoted gender approaches in urban planning to address the specific challenges faced by women in cities. As a result, integrating gender perspectives into urban planning has become a cornerstone of the project's work, which has spanned 13 cities in 7 countries.

83.Regarding component 2, the potential of nature-based interventions for climate change adaptation is globally recognised. While information on cost-benefit analyses of NBS is limited, useful examples with cost-effectiveness data have been identified[92]. In a recent review of scientific literature, 71% of studies indicated that NBS have consistently proven to be a cost-effective approach to reduce the impact of hazards. Studies comparing the cost-effectiveness of NBS versus grey solutions for certain hazards showed that NBS are equally effective as engineering-based solutions, with 65% finding NBS consistently more effective at mitigating hazards compared to grey solutions[93]. The specific NbS proposed by this project also have favourable benefit-cost ratios. For instance, mangrove restoration, assessed for flood reduction benefits, show that the ratio is 0.12-0.09 for Cuba and 0.36-0.26 (depending on the discount rate applied). [94] In the Caribbean, rain gardens can avoid losses of up to $30 per square foot of impervious surface protected, costing up to $5 per square foot. Similarly, bioswales can avoid losses of up to $80 per square foot, and cost $30 per square foot. [95] Furthermore, watershed-level

[89] https://www.lincolninst.edu/app/uploads/legacy-files/pubfiles/de_la_sala_wp19sd1sp.pdf
[90] https://www.iisd.org/publications/toward-gender-responsive-EbA
[91] https://cdkn.org/es/story/iniciativa-regional-impulsa-la-adaptacion-climatica-con-enfoque-en-genero-e-inclusion-social-en-colombia-peru-y-ecuador [9]
[92] https://www.naturebasedsolutionsinitiative.org/news/the-cost-effectiveness-of-nature-based-solutions-for-reducing-disaster-risk/
[93] https://www.sciencedirect.com/science/article/abs/pii/S0048969724046722
[94] Menéndez, P., Lowrie, C., and Beck, M. W. (2022). “Building Mangrove Capital: Assessing the Benefit Cost Ratio for Mangrove Restoration Across the Wider Caribbean.” The Nature Conservancy, Arlington, VA
[95] Bailey, J., Becker-Birck, C., Bissoon, D., Fox, A., Gischler, C., Hampton, D., Lee, M., Minoja, L., & Sloan, W. (2022). *Building a more Resilient and Low-Carbon Caribbean: Report 4: Infrastructure Resilience in the Caribbean through Nature Based Solutions*. https://doi.org/10.18235/0004603

interventions also have economic benefits. In other parts of the world, a UK evaluation of 10 schemes found that the benefit-cost ratio for 10 years is 4:1, and approximately 10:1 in 30 years. [96]

84. Component 2's focus on co-design with government stakeholders ensures country ownership in the long-term, as well as capacity building on implementation, and maintenance.

85. Regarding component 3, the activities proposed -gardens, rainwater harvesting, irrigation and photovoltaic systems- complement each other to increase resilience to climate impacts, thus being a cost-effective measure. The contribution of urban and peri-urban agriculture to resilience has been widely documented over the past decades, not only in terms of food security—providing basic nutrition, crucial in times of climate variability and crisis—but also from a social, economic, environmental, and educational perspective, proving to be a cost-effective practice with multiple benefits for urban dwellers[97]. In this sense, knowledge exchange increases the effectiveness of the proposed activities. Cuba has a long history of promoting urban and peri-urban agricultural practices, such as the organoponic system, which uses organic waste to achieve very high productivity[98]. These and other practices can be replicated in project cities with the support of the Adaptation Fund. There is evidence of similar practices in multi-country programs in the region. For example, as part of the UNEP CityAdapt program, more than 130,000 people have benefited in Jamaica, El Salvador, and Mexico from access to urban farms, rainwater harvesting and irrigation systems to cope with climate change. Component 3's sustainability is assured through the training of local civil society organizations and stakeholders on the implementation and maintenance of solutions to increase adaptive capacity into the future.

86. Finally, as part of component 4, the project intends to work hand in hand with national and international partners such as the Yaque del Norte Water Fund and the Latin American Alliance of Water Funds. They have worked for several years in the DR and other Latin American countries. The project seeks to create partnerships to develop pre-feasibility and viability studies for scaling up the water fund in both countries. To this end, the regional events will be a cost-effective option that creates the right environment to spark the interest of local and national stakeholders to support these initiatives and are an effective way to scale up efforts in the region. This component ensures the sustainability of the project through engaging with further actors to disseminate knowledge, tools and practices for nature-based adaptation.

E. Consistency with national or sub-national sustainable development strategies

Table 6. Consistency with national or sub-national sustainable development strategies

Strategies	Description	Relationship with the project
Cuba		
'Tarea Vida' (2017)	The State Plan for Combating Climate Change, "Tarea Vida" under Macroprogram #5 of the National Economic and Social Development Plan is a progressive investment program. The main objectives are 1) to carry out climate change adaptation actions and projects in the 15 most vulnerable areas; 2) to restore and conserve priority beaches; 3) to guarantee water use and availability to prevent drought; 4) to direct reforestation toward maximum soil protection; 5) to rehabilitate coral reefs and the most affected mangroves; and 6) to implement, monitor, and evaluate adaptation and mitigation measures. Two priority coastal human settlements in the province of Granma have been identified as being partially vulnerable to climate change.	This project aims to strengthen water security and reforestation to protect soils in three municipalities in Granma province.
Nationally Determined Contribution (3.0) (2025)	In terms of climate change adaptation, the NDC identifies the need to adapt agricultural activities and land-use changes, and to plan urban redevelopment processes in threatened human settlements. It also prioritizes reforestation for soil protection.	The project aligns with Cuba's new NDC, as it promotes urban and basin-level planning to foster resilient land use and NBS measures to protect soils, including agricultural NBS to support climate resilient livelihoods.

[96] The Wildlife Trusts and RSA Insurance (2025) *Natural Flood Management.*
[97] https://openknowledge.fao.org/server/api/core/bitstreams/0bd766db-e6e7-4e53-860b-248de7e983e8/content
[98] https://openknowledge.fao.org/server/api/core/bitstreams/0bd766db-e6e7-4e53-860b-248de7e983e8/content

State Plan for the Implementation of the New Urban Agenda in Cuba (NAU Cuba) (2017)	The State Plan (NAU-Cuba) shows the Cuban government's commitment to the Quito Declaration on Sustainable Cities and Human Settlements for All. The climate change objectives are: to reduce the exposure of human settlements to risks; increase the resilience of human settlements; and implement nature-based measures to reduce vulnerability.	This project aims to reduce exposure to climate risks in three Cuban municipalities through NBS that simultaneously increase the resilience of urban and peri-urban communities.
Política Nacional del Agua (2012)	The National Water Policy (Política Nacional del Agua) is a strategic document aimed at ensuring the efficient and sustainable management of Cuba's water resources. Its main objectives are to promote the rational and productive use of available water, ensure the efficient use of existing water infrastructure through rehabilitation and maintenance, and manage the risks associated with both water quality and extreme climate events like droughts and floods.	The project's focus on implementing nature-based solutions (NbS) for climate risk reduction directly supports the water policy's fourth objective: *"managing risks associated with extreme climate events."* By rehabilitating riverine ecosystems and using sustainable urban drainage systems, the project aims to mitigate the impacts of floods and droughts.
National Program on Biological diversity (NPBD) (2025)	Presented at the COP16 UN Biodiversity Conference, it outlines the country's strategy for biodiversity protection until 2030. The program has four main objectives: conserving landscapes, ecosystems, species, and genes; ensuring the sustainable use and management of biodiversity; promoting the fair and equitable sharing of benefits from genetic resources; and strengthening national capacities for implementation.	The project's focus on rehabilitating ecosystems in urban and riverine areas through NbS supports the NPBD's first objective. The project also directly supports the NPBD's explicit national goal of minimizing the impact of climate change on biodiversity by using natural systems as protective barriers and adaptive tools,
National Hydraulic Program (2020)	The National Hydraulic Program guarantee the right to water and sanitation for the entire population by updating and improving the country's hydraulic infrastructure. The program aims to order, expand, and sustain safe drinking water and sanitation services, reduce the number of people who rely on water tankers or lack network access, and carry out rehabilitation and network sectorization projects to ensure a more efficient water supply.	While the hydraulic program focuses on infrastructure projects like aqueduct networks and system rehabilitation, the proposed NbS address flood and droughts through improving ecosystems' water regulation functions, and enhances water security through rainwater harvesting.
Dominican Republic (DR)		
National Adaptation Plan (2015-2030) (NAP)	The DR's National Action Plan (PAN) aims to reduce vulnerability to climate change in key sectors through seven cross-cutting strategic objectives, the last of which is to "integrate the gender perspective" and recognize women as agents of and disproportionately affected by climate change.	The project is in line with measures *14) Improve urban planning* and land use, *17) Strengthen the capacity of planning professionals and institutions to prevent and mitigate exposure to climate change risk* and measure *20) Incorporate Ecosystem-Based Adaptation (EBA) into sectoral climate change adaptation plans, biodiversity and sustainable development policies.*
NDC (2020)	The DR's NDC identifies 37 sectoral adaptation measures, including water and food security, resilient cities and human settlements, and resilient ecosystems. Furthermore, one of the cross-cutting themes is the integration of a gender perspective.	The project seeks to mainstream a gender perspective into adaptation measures. NBS aims to improve water and food security and reduce settlements' exposure to climate risks.
NDC Action Plan (2020)	The NDC Action Plan aims to create the enabling conditions to leverage investment flows for implementation, monitor and evaluate, and develop and strengthen government capacity to implement the NDC. These overarching objectives are distributed across 27 outcomes across the six NDC sectors.	The project aligns with Industry, infrastructure and settlements Objectives 1 and 2, Awareness and TA to improve land use policy, support to municipalities to implement adaptation and territorial planning measures, Objective 2 on Ecosystems, forestry and REDD+ on Agroforestry and agriculture with an ecosystem approach in targeted basins, etc.
Gender and Climate Change Action Plan (PAGCC-RD) (2018)	This action plan integrates gender into climate change with actions across nine sectors: energy, transportation, infrastructure, agriculture, food security, waste, forests, water, health, coastal and marine areas, tourism, and risk management.	This project promotes the integration of a gender perspective in agriculture, food security, and risk management.

Santiago de los Caballeros Resilience Strategy (ER-SC) (2017)	The strategy focused on the Santiago de Los Caballeros Metropolitan Area (AMSC) with the objective of climate-proofing infrastructure, incorporating climate resilience into land use and urban planning, and improving environmental management of the Yaque River. Specifically, actions C2.1, C2.2, and C2.3 aim to establish a gender policy, create investment plans for gender, health, and education, and validate them, respectively.	The ER-SC promotes the use of risk analysis tools and climate data for territorial plans. The AF Project Component 1 is aligned with ER-SC's Resilient Territorial Planning (strengthening of the land governance framework). Component 1 also aligns with ER-SC Axis 2 Gender Equity and Social Inclusion by recognising gender-differentiated climate vulnerabilities and incorporating a gender lens into land and climate policy. Component 2 aligns with ER-SC on Green Infrastructure and Ecosystem Adaptation (rain gardens, sustainable drainage, urban wetlands, and riparian conservation) by having similar interventions that reinforce urban ecological adaptation and key ecosystem restoration goals. Component 3 aligns with AER-SC axis on Local Water, Energy, and Food Security by addressing community-based natural resources management, sustainable urban production, and equitable access to basic services. Component 4 aligns with ER-SC axis on Participatory Governance and Citizen Science by promoting public access to data, citizen monitoring, and collaborative institutional learning
National Development Strategy (2030)	The NDS 2030, developed through a broad consultation and consultation process, is structured around four main strategic axes: a social and democratic state governed by the rule of law, a society with equal rights and opportunities, a territorial and sectoral economic integration, and a society with a culture of sustainable production and consumption. It has seven sectoral objectives, of which 3) Equality of rights and opportunities and 4) Territorial cohesion, are relevant to this project.	Components 1, 2 and 4 are aligned with Objective 3) Equality of rights and opportunities and 4) Territorial cohesion.
Biodiversity Law 333-15	The law seeks to establish a legal framework to promote the maintenance and recovery of biodiversity, guaranteeing its conservation and sustainable use, regulating access to genetic resources, and ensuring fair and equitable sharing of the benefits derived from their use.	Component 2 of the project contributes to the restoration of riparian ecosystems.
Dominican Pact for Water (2021-2036)	The main objectives are to ensure the long-term water security of the Dominican Republic by tackling a wide range of water-related challenges. The pact aims to overhaul the current management system by creating a new regulatory framework and a single, unified entity to oversee water resources. This includes securing significant investment—estimated at **$8.5 billion by 2036**—to build and modernize essential infrastructure like aqueducts, dams, and wastewater treatment plants, ensuring water security Additionally, it focuses on conservation and efficiency through plans to protect river basins, reduce pollution, and modernize irrigation systems. The pact also seeks to foster a culture of responsible water use by promoting public education and ensuring active participation from citizens and local communities in water management decisions.	This project's watershed approach (targeting municipalities on the upper, middle and lower watersheds) and emphasis on riparian directly supports the Pacto's objectives to protect watersheds, restore ecosystems, and involve the public in water management through NbS and rainwater harvesting.

F. Compliance with national technical standards and AF Environmental and Social Policy

Table 7 Compliance with national technical standards and AF Environmental and Social Policy

Regulation	Description	Adherence	AF Policy
Cuba			

Law 145 of 2021 on Territorial and Urban Planning and Land Management. Decree 68/2022, Resolutions 172/2022, 173/2022, and 174/2022 INOTU	It establishes the objectives, content, stages, areas, scope, and approval processes for territorial and urban planning instruments, the classification and qualification of land, its delimitation, definition, and regulation, its occupation, use, and the urbanization process, including for restricted gardens or urban agriculture, as well as participation in the development and management of these instruments, and their communication. The resolutions also include specific urban planning regulations on land use, including public spaces and green areas.	The project will be governed by the parameters established in this law and resolutions, including land uses and classification, increasing green areas, and seeking to improve the protection and quality of soils, ecosystems, and ecological activities.	Compliance with the law; Access and equity; Land and soil conservation.

Resolution 90/2023 “Regulation of the strategic environmental assessment process”	It establishes the procedure and guidelines for Strategic Environmental Assessment as the process designed to evaluate the environmental impacts of a policy, plan, or program in the transportation, urban development, industrial, agricultural, water, tourism, mining, gas, and oil, fishing and marine, forest management, and new materials sectors, among others.	The project's activities will be governed by the instruments established in the resolution, including guidelines for assessing the project's environmental impacts (positive or negative, if any).	Climate Change; Compliance with Law 150/2022 Decree 86/2023
Decree-Law 77 of 2023 From Coasts	It establishes mechanisms, actions, and instruments for the sustainable management of coastal zones, including permitted uses and activities, environmental licenses, and the protection of human settlements from climate hazards, among others.	The project promotes compatible measures for climate risk reduction and the protection of human settlements without resorting to involuntary relocation.	Marginalized or vulnerable groups; Involuntary resettlement
Law 150/2022 “On the Natural Resources System and the Environment” Atmosphere"	It establishes the principles and standards for the implementation and operation of the Natural Resources and Environment System. It establishes, among other things, the integration of the environmental dimension into development planning, environmental management instruments, and guidelines for ecosystem management and sustainable agriculture. It also establishes the National Environment Fund.	The project seeks to improve the integration of environmental factors into development planning through optimal use of the law's tools.	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
Presidential Decree-Law No. 198/2021 "National Program for the Advancement of Women"	It is conceived as the Cuban State's agenda for the advancement of women; it integrates actions and measures toward gender equality in a single document. It includes an Action Plan, which outlines a set of actions that will allow for the implementation and evaluation of the National Program for the Advancement of Women.	The project will contribute to the action plan's objectives regarding women's economic empowerment, access to decision-making, and statistics and research.	Gender equality and women's empowerment
Granma Provincial Territorial Planning Scheme 2021-2035	It formulates the planning model, territorial and urban planning policies and determinations for the province, with a long-term scope until 2035. It determines land uses, conservation and protection zones, agroforestry and tourism use, among others.	The project promotes ecosystem conservation, agroforestry, and other initiatives in harmony with existing human settlements.	Marginalized or vulnerable groups; Informal settlements.
Agreement 8928/2020 (GOC2020-673-O75)	This approves the National Territorial Planning Framework, which contains the Territorial Structuring Model, policies, and territorial determinations for development through 2030. Among other objectives, it promotes the efficient and sustainable use of agricultural land; encourages protective forestry activities in hydro-regulating zones of rivers and reservoirs; promotes a shift in the energy mix toward renewable sources; and directs actions in the	The project seeks to promote agroforestry activities, protect riverbanks with natural solutions, and increase green areas in cities to reduce climate risks, conserve ecosystems,	Land and soil conservation; Climate change

	eastern region to address drought and flooding caused by heavy rains.	and provide a portion of residents with photovoltaic energy.	
Special Plan for the System of Green Areas and Public Spaces 2013. Methodological Instruction	It determines the structure, functions, and dimensions of the different public spaces and urban green areas, based on their location as determined by the General Urban Planning Plans (PGU), and defines guidelines and regulations regarding the design and morphology of the spaces.	The proposed solutions will be governed by the guidelines in the land use plans, urban development plans, and the special plan for the green areas system.	Access and equity; Protection of natural habitats
Law 85, Forestry Law 1998	On forest control and management. It provides regulations on areas where afforestation or reforestation is mandatory, specifies the classification of forests (production protection or conservation), rules on their use, guidelines for conservation and protection, and available incentives. It formalizes the creation of the National Forest Development Fund.	The project adheres to the land uses designated by law and will propose compatible solutions for reforestation, conservation, sustainable farming, and other areas.	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
Law 124 on freshwater systems.	Governs the integrated and sustainable management of all inland waters. The law establishes that water is state-owned and recognizes that access to drinking water and sanitation is a basic human right. The law focuses on the planning, use, protection, and preservation of water resources, while also addressing disaster risk reduction and adaptation to climate change.	Project adheres and promotes this law. All proposed solutions in the watershed will focus on integrated management and risk reduction, two main principles. The law will adhere to state and local government's competencies and responsibilities under this law.	Protection of natural habitats
Law 150/2022 on Natural Resources and Environment	Oversees the protection and sustainable use of natural resources and their management.	The project is aligned through the implementation of NbS and ensure sustainable resource use through rainwater harvesting and small scale PV systems. The proposed solutions will be guided by the law.	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
Law 92/2023 on Biodiversity	The law seeks to establish a legal framework to promote the maintenance and recovery of biodiversity, guaranteeing its conservation and sustainable use, regulating access to genetic resources, and ensuring fair and equitable sharing of the benefits derived from their use.	Activities in Component 2 and 3 will ensure adherence to this law.	Conservation of biological diversity
Dominican Republic			

Guide for incorporating climate change adaptation considerations into the Dominican Republic's Environmental Impact	The methodological guide aims to facilitate the integration of climate change adaptation into the various steps and stages of the EIA process. Its methodological approach reflects the specific and cross-cutting nature of its different approaches, seeking to integrate it into all its steps in a harmonious and technically sound manner. To this end, it begins with a conceptual framework that provides stakeholders (NRM, project owners, and environmental service providers) with the conceptual aspects that facilitate understanding of the topics covered.	The project will be subject to an environmental and social impact assessment. This assessment will determine the project's adaptation attributes. Additionally, as part of the proposal, an environmental and social impact analysis will be conducted, detailing compliance with relevant regulations.	Climate Change; Law Enforcement
Assessment process. 2024 Territorial Planning Law 368-2022	It establishes the regulatory framework for territorial planning, types of land use, the formulation and implementation of territorial planning plans, parameters for the protection and conservation of ecosystems, among others.	The project will be aligned with the parameters for protection and conservation and territorial planning plans.	Land and soil conservation
National Forest Inventory of the Dominican Republic, 2021	Detailed report on the quantity, location, and current condition of the Dominican Republic's forest resources, including the different types of forests and other ecosystems.	This inventory will be key to the project, providing guidelines on the native species and ecosystems to be protected and restored.	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
National Plan for Equality and Gender Equity 2018-2030 (PLANEG III)	A national policy instrument that addresses the main problems facing women in the country and proposes seven topics and guidelines to determine the necessary actions by state entities.	The project seeks to empower women economically and overcome poverty, as well as promote access to and control of goods and services, information, and knowledge.	Gender equality and women's empowerment
Technical Standards of the National Public Investment System (2017).	These regulations include specifications on the technical procedures for project eligibility and prioritization, including those for external financing, as well as guidelines for project related evaluations.	The project will comply with the guidelines established by the Directorate General of Public Investment (DGIP) for project approval and endorsement.	Compliance with the law
Law 64-00 of 2000 on the environment and natural resources	It establishes, among other things, instruments for environmental assessment, environmental and natural resource management, the incorporation of the environmental dimension into planning, and territorial planning.	The project will support and be governed by the instruments established by law, including land use plans.	Protection of natural habitats

Resolution No. 0025/2024 and No. 0038/2024, on Environmental Impact Assessment Management Policy and amending Resolution No. 0025-2024, dated August 13, 2024.	Modifies the Regulations of the Environmental Assessment Process and its Annexes (List of Activities, works, projects, study category corresponding to Annex A and the Exclusion List of Projects, Works and Activities of Annex B) and the Environmental Assessment Procedure established in the Compendium of Regulations and Procedures for Environmental Authorizations of the Dominican Republic	The project will be governed by this process-	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
Law 57-18, Forestry Sector of the Dominican Republic, 11th December 2018	This law regulates and promotes sustainable forest management, ensuring their conservation, use, production, industrialization, and marketing, as well as the protection of other natural resources that form part of their ecosystems, maintaining their biodiversity and regenerative capacity.	The activities of Components 2 and 3 will be governed by that law and ensure that sites are chosen accordingly.	Compliance with the law
Law No. 44-18, of August 31, 2018, which establishes Payments for Environmental Services;	Law No. 44-18's main objective is the conservation, preservation, restoration, and sustainable use of ecosystems in the Dominican Republic, through a system of compensation and remuneration for the environmental services they provide. The law establishes a legal framework for the application of Payments for Environmental Services, recognizing the importance of these services for the maintenance of life and economic development.	The project will explore the possibility of establishing financial incentives such as PES.	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity
Law 202-04 on Protected A reas	This law aims to guarantee the conservation and preservation of representative samples of the different ecosystems and the natural and cultural heritage of the Dominican Republic to ensure the permanence and optimization of the environmental and economic services that these ecosystems offer or may offer to Dominican society for present and future generations. The law describes the areas that make up the National System of Protected Areas, with their respective conservation categories, surfaces, locations, and boundaries.	The project is not planned to be implemented in Protected Areas.	Compliance with the law
Biodiversity Law 333-15	The law seeks to establish a legal framework to promote the maintenance and recovery of biodiversity, guaranteeing its conservation and sustainable use, regulating access to genetic resources, and ensuring fair and equitable sharing of the benefits derived from their use.	Activities in Component 2 and 3 will be governed by this law	Conservation of biological diversity
Law 368-22 on Land use planning and human settlements	Establishes local governments' responsibilities in land use planning in a sustainable manner, and to develop projects aligned with these land use plans..	The activities in Component 2 and 3 will ensure that the measures happen in line with local and ratified land use plans and that sites are accordingly .	Land and soil conservation; Protection of natural habitats; Conservation of biological diversity

G. Describe if there is duplication of project/programme with other funding sources, if any

87.There is no expected duplication with other projects in the area. The table below details the complementarity of this regional project with other initiatives in both countries:

Table 8 Project complementarity with projects under implementation

Relevant project	Description	Relevance and complementarity with the proposed project.
Regional		
Caribbean Biological Corridor UNEP and EU **2022-2030**	The CBC is a long-term South-South cooperation initiative established in 2007, currently operating under a Strategic Plan spanning 2022–2030. Its objective is to facilitate sustainable development across member nations (Cuba, Haiti, the Dominican Republic, Puerto Rico, and Jamaica) by ensuring ecological connectivity and conserving regional marine and terrestrial biodiversity. The current strategy focuses on three specific goals: biodiversity conservation, strengthening governance, and enhancing climate resilience through Ecosystem-Based Adaptation (EbA). The CBC OCEAN 2030 "Strengthening Conservation, Sustainable Use and Governance of coastal and marine biodiversity in the (CBC)" project, has a total grant 10.5 million NOK (Norwegian Krone) per year initially for 3 years (approximately USD 1.5 million) for the period 2024-2027, with the potential for continued funding (additional USD $1.5 million). In addition, the CBC Secretariat and CEDAF are currently implementing the CBF Funded project "Resilience of Ecosystems and Communities to Climate Change Through EbA in the Caribbean Biological Corridor -ECOADAP". This 36-month project implemented 2024-2026 has a total budget of USD$ 2.000.000. it is considered co-finance and in addition, a grant between CEDAF and UNEP for Approximately USD$200.000 (Including a recent amendment – currently being processed).	The CBC programme focusses on EbA, biodiversity conservation and governance at national levels, and has a strong emphasis on conservation and ecological connectivity. The CBC, through its national focal points, implements conservation and monitoring measures to 14 groups of species in the Caribbean. 45% of the CBC demarcation is made up of the Dominican Republic, and 34% of Cuba, with many of the core and connectivity areas in both countries focussed on marine and coastal areas, especially in Cuba. The proposed AF project complements the CBC through its shared focus on ecological connectivity, nature-based solutions, and regional cooperation. While CBC concentrates on conservation and EbA in marine and terrestrial areas, the AF project focuses on urban and riverine systems within shared ecological corridors in Cuba and the Dominican Republic. The proposed project will contribute to the CBC objectives by piloting upstream–downstream coordination, sharing monitoring and planning tools, and promoting south-south knowledge exchange under Component 4, including lessons for integrating biodiversity into urban adaptation strategies.
Cuba		
Increasing the climate resilience of rural households and communities through the rehabilitation of productive landscapes in selected locations in the Republic of Cuba (IRES). GCF and FAO: USD 119.9 million 2020-2027	The project seeks to increase the climate resilience of agricultural production and ensure food security by improving ecosystem services through agroforestry, silvo-pasture systems, reforestation, and assisted natural forest regeneration in seven vulnerable municipalities. The project's target areas are Las Tunas Province (Eastern Region) and Villa Clara/Matanzas Province (Central Region). The IRES project aims to create a Landscape Resilience Fund.	Lessons learned and best practices from IRES in rural households of Cuba will be respectively considered and to the extent possible integrated and tailored to the measures this proposed AF project will develop/implement in the cities of the Yara and Jibacoa River basin (Cuba) and Yaque del Norte Basin (DR) in order to replicate and expand the development of financially sustainable conservation and agroforestry models. Additionally, despite the difference in geographic scope within Cuba, during the PFG phase, UNEP will explore the extent to which it could create a project pipeline for the Landscape Resilience Fund under Component 2 if the Landscape Resilience Fund scales up and expand interventions in the project areas and beyond.

Coastal resilience to climate change in Cuba through ecosystem-based adaptation "MiCosta". **CITMA, UNDP GCF: $44.3 million 2021-2029**	The project aims to increase the climate resilience of more than 1.3 million vulnerable people living in targeted coastal communities. It will restore mangroves, swamp forests, and grasslands, seagrass beds and coral reefs in Manzanillo's coastal and marine areas. The project includes training for 60 percent of the population in the targeted municipalities on ecosystems protection for climate change adaptation. Although this project includes the city of Manzanillo, its interventions focus on mangrove and swamp forest rehabilitation, training, classrooms, and monitoring equipment.	The proposed project complements MiCosta by extending the geographic and ecological reach of adaptation interventions from coastal ecosystems into riverine and urban areas of the Yara basin. It builds on MiCosta's established community networks and training infrastructure in Manzanillo, strengthening local capacities and reinforcing ecosystem connectivity. This upstream-downstream approach will help reduce pressure on coastal ecosystems such as mangroves and wetlands by addressing climate risks further inland through Nature-based Solutions (NbS)..
"Strengthening agro-environmental policies in Latin American and Caribbean countries through dialogue and the exchange of national experiences". **FAO and the Brazilian Cooperation Agency.** **2012–present.**	The project's objective is to contribute to strengthening agroenvironmental public policies as a tool for reducing rural poverty and food insecurity in the context of climate change in the countries of the region. This project produced a set of tools designed to promote dialogue and knowledge exchange among key stakeholders in the design and implementation of rural development and natural resource management policies and plans.	A national diagnostic study on agroenvironmental policies and lessons learned was conducted for Cuba. This study was key to identifying the gaps, challenges, and strengths of the institutional and regulatory framework for agroforestry in Cuba and thus providing the basis for some of the components of the AF project.
Dominican Republic		
GAIA Project, **GCF and MUFG Bank Ltd.** **1.5 billion USD** **2023-2053 (30 years)**	This program covers nineteen countries in three regions, including the Dominican Republic. It offers a blended finance platform that provides long-term loans for climate change adaptation and mitigation, enabling access to funds through sources previously unavailable to these countries. The platform allocates 70 percent of its portfolio to adaptation projects and an additional 25 percent to least developed countries and small island developing states. Partners include FinDev Canada, UNDP, GGGI, Resilient Cities, C40 Cities, R20, and CAF, which help generate, select, and develop adaptation and mitigation projects driven by country needs. GAIA will support small-scale community adaptation and resilience projects ($5 million), with the option to bundle smaller projects into a single transaction to meet the size requirement.	Funds have not yet been accessed by the Cuba and Dominican Republic but once accessed, the proposed project can create investable project pipeline for the GAIAin the target area and beyond.
Ecosystem-based adaptation to increase climate resilience in the Central American Dry Corridor and the Arid Zones of the Dominican Republic. **Green Climate Fund and Central American Bank for Economic Integration (CABEI)** **$268.3 million USD** **2021-2031.**	This regional program aims to strengthen the adaptive capacity and climate resilience of vulnerable rural communities, including farmers and entrepreneurs, through loans, grants, and guarantees from the Green Climate Fund. It will include a $28 million grant to implement EbA interventions in rural communities in seven target basins in the Dry Corridor and Arid Zones. In addition, an EbA credit line (a $60 million loan from the GCF and $42.8 million in cofinancing from CABEI) will be established and operationalized at below-market rates for small- and large-scale EbA investments at the farm, business, and household levels.	Although funds have not yet been accessed by the DR, this GCF project will complement the AF project by focusing on other vulnerable areas of the DR, namely the Guayubín and Mao watersheds areas It may also offer the opportunity to create investable project pipeline for the CABEI in agroforestry and ecosystem-based adaptation.

Integrated Landscape Management in River Basins of the Dominican Republic **GEF and WB** **$20 million USD** **2021-2026**	The project's objective is to strengthen integrated landscape management in selected basins in the DR, of which Yaque del Norte is one of them. The expected direct beneficiaries of this project are 3,275 people, including at least 30 percent women. Additionally, 2.45 million inhabitants of the Yaque del Norte and Yuna basins are expected to benefit indirectly from improved environmental services. Activities include capacity development in integrated land management, expansion of sustainable rice production, and restoration of biodiversity and hydrological services in critical ecosystems. Through a competitive process, subprojects are selected that provide incentives for farmers to adopt agroforestry for shade-grown coffee and cacao; the restoration and protection of riverbanks, wetlands, forests, and riparian forests; and the diversification of environmentally sustainable livelihoods.	The GEF/WB project focusses on agricultural value chains and the restoration of areas in the Yaque del Norte basin, which is in the same watershed in the Dominican Republic. Some parts of the project overlap with this project. While the project will focus on subsistence, small scale urban farms rather than agricultural value chains, the proposed AF project will take stock of and build upon the results of the existing community training as part of this GEF/WB project, and build upon it, to ensure continuity and an incremental approach regarding watershed restoration initiatives and required capacity building activities. Similarly, coordinated efforts will be made to incorporate both projects as part of a comprehensive basin management plan that can be replicated in Cuba.
REDD+ regional programme (Belize, Costa Rica, Dominican Republic, Guatemala, Nicaragua, Panama) **GIZ and Green Development Fund** **2013-2027** **Value N/A**	This project helps the six countries develop and implement strategies, legal frameworks, and financing mechanisms for forest landscape restoration, with a specific focus on involving indigenous groups and women. The initiative will also establish a regional knowledge platform, provide training for local experts, and set up pilot projects to improve the water balance, sequester carbon, and boost the region's resilience to climate change.	This project can provide important data on the status of forests in the country., including the Yaque del Norte. However, this project's beneficiaries are people living in cities along the watershed, rather than providing direct support to communities in rural areas that could benefit from carbon credits.

Table 9. Project complementarity with completed projects

88.Table 9 contains information of projects that have closed that are relevant to this project proposal. Lessons learned from the projects will be gathered during the PFG phase and fed into this project's design.

Relevant project	Description	Relevance and complementarity with the proposed project.
Regional		
Nature4Cities : NbS in 13 Cities in Latin America and the Caribbean **UNEP, GCF, EU** **2.5M USD** **2021-2024**	This readiness project supported seven Latin American and Caribbean countries through interventions in 13 selected secondary cities, aiming to identify and address key barriers to nature-based adaptation and mitigation solutions. It provided the necessary assessments, capacities, and alternative financing schemes to accelerate the adoption of these strategies. At the regional level, replicable methodologies and tools were developed, and multinational collaboration was fostered to replicate and scale up lessons learned from these cities. Furthermore, the project contributed to existing regional and global platforms, which currently offer limited information and examples of NBS in urban areas.	The proposed project builds on the methodological tools and participatory vulnerability assessments developed under N4C, especially in the cities of Manzanillo and Santiago de los Caballeros, where initial diagnostics and trainings were carried out. It will scale up Nature-based Solutions (NbS) through a basin-wide approach, expanding from urban nodes to upstream and riverine systems. Additionally, the proposed project also strengthens gender-responsive planning by integrating N4C's inclusive frameworks into adaptation actions at the municipal level.
Cuba		

Introduction of new production methods for the conservation and sustainable use of biodiversity, including plant and animal genetic resources, in productive landscapes in selected areas of Cuba (COBIMAS). **GEF/FAO** **$26.4 million** **. 2019-2025**	The COBIMAS project is being implemented in three pilot zones (east, central, and west) and four designated protected areas. It supports a landscape production strategy, with special attention to gender and youth, applying the Save and Grow approach (FAO). It seeks the adoption of sustainable agricultural intensification practices at the farm level, supporting rural development through capacity building for farmers, cooperatives, and forest guards with a gender perspective. This includes agroforestry and silvopasture systems, conservation agriculture, and sustainable forest management.	As COBIMAS concludes in 2025, the proposed project will capitalize on its gender-responsive approaches and monitoring frameworks. In particular, it will apply lessons related to gender-transformative practices in agroforestry, community engagement, and institutional strengthening. The project will integrate these practices in Components 1 and 3 to ensure inclusive planning and tracking of adaptation measures across rural and peri-urban areas.
Environmental Foundations for Local Food Sustainability (BASAL) **UNDP, Swiss Cooperation Agency (COSUDE) and EU** **14.9M USD** 2012-2020	This project was designed to benefit approximately 1,820,000 people in 33 Cuban municipalities (outside the proposed municipalities). Its objective was to record and systematize information on differentiated vulnerabilities and climate risks, as well as best practices, lessons, and tools from previous projects on agroecological and sustainable agriculture. The project sought to compile experiences and lay the environmental foundations for local food production.	The proposed project provides lessons on the differential climate impacts and needs of women and men, as well as best practices and tools that were important for developing a participatory approach to climate adaptation and local food production.
Dominican Republic		
Mainstreaming biodiversity conservation and ecosystem services into productive landscapes in threatened mountain areas. **GEF, EU, WB, UNEP, and FAO.** **$62.8 million.** **2018-2024**	This program aimed at integrating agroforestry practices and ecosystem services into public policies. The project is working in the South side of the Sierra de Neyba; (II) Corridors that connect Valle Nuevo NP, La Humeadora NP, Barbacoa Reserve; and (III) Mid-watershed of Ozama River, all in the south of the country. Outcomes include sustainable productive management of 58,000 hectares, strengthening of the capacities of provincial and local governments in the sustainable management of productive mountain landscapes, development of provincial environmental plans, municipal land use plans, municipal development plans, an inter-institutional coordination platform, and access to financial instruments for small farmers.	While this multi-donor program focused on three southern regions (Sierra de Neiba, Ozama, and Nizao), lessons are drawn from the mid-term review include the need for adaptive management in th face of new environmental degradation drivers such as *latifundia.* During the PFG stage, the project will examine the presence of large-scale farming in the project area.
Plan Sierra III **AFD and Plan Sierra** **20M EUR loan + 1.5M USD Grant** **2020**	This PPP tackles deforestation in the Yaque del Norte river's watershed since 2001. By reconciling reforestation with agriculture and livestock farming, the project has replanted 10,250 hectares and provided continuous water access to 1,000 families. Building on these achievements, a third phase, funded by a €20 million loan and a €1.5 million grant from AFD, aims to scale up the model, professionalize local sectors, and explore new financing methods like carbon credits.	Plan Sierra III focused on large-scale reforestation and water access improvements along the Yaque del Norte watershed. The proposed AF project, while operating in different intervention areas, complements these efforts by potentially expanding reforestation as an NbS in upstream segments of the same watershed. The project will coordinate with Plan Sierra stakeholders to avoid duplication, build on successful models of land restoration, and explore potential synergies around financing mechanisms, particularly under Component 2 and 3.

H. Learning and knowledge management to capture and disseminate lessons learned

89. The project's regional focus permits the application of a broader range of solutions, enabling innovation and the exchange of experiences between countries. In this regard, mutual learning is expected, for example, based on the

Dominican Republic's experience with the Yaque del Norte River Water Fund model, which involves public and private actors to finance environmental projects. This model has proven successful in the DR and other Latin American countries; so, lessons can be learned with a view to exploring feasibility opportunities in the Cuban context. Similarly, the experience with the creation of the Ministry of Women and the Office of Women's Affairs of the Ministry of Agriculture (among others) in the Dominican Republic can be shared with similar efforts in Cuba. On the other hand, Cuba has been a pioneer in the region in urban agriculture, permaculture practices, participatory budgeting, and community garden cooperatives. Regional dialogues will facilitate learning lessons from these practices with the aim of scaling them up. To this end, dialogues have already been established with non-governmental organisations and institutions working on food security and the circular economy, such as the Antonio Núñez Jiménez Foundation in Cuba, to bring these sustainable urban agriculture approaches to the project's target cities in both Cuba and the Dominican Republic.

90. In addition, the project will contribute to facilitating the implementation of the agreement between Cuba and the Dominican Republic for binational cooperation on topics related to climate change (signed in 2022), including cooperation on data and Climate Transparency in accordance with the Paris Agreement, so that data is transparent and accessible to all. Currently, emissions data exists, but there are gaps regarding climate risks and vulnerabilities. Component 4 will seek to build an accessible and centralised information repository in each country and seeks to share best practices and lessons learned on mainstreaming a gender perspective in climate change adaptation. Finally, and based on the innovative approach to gender in climate adaptation, it is foreseen that the lessons from this project can be replicated in the region and other small island states. To this end, component 4's activities regarding management, dissemination, and knowledge exchange will be crucial.

91. Together, INOTU, CITMA, FMC and ONEI in Cuba, as well as the Dominican Republic Ministry of Environment and Natural Resources, and the Yaque del Norte Fund will co-create the data platforms and report knowledge products and data collected throughout the project and link it to the regional knowledge hubs such as UNEP's CityAdapt. Knowledge management is planned as a continuous process throughout the project's five-year duration under Component 4 (total budget of 600,000 USD). The products of Components 1 and 2 will be published (detailed CRVAs, NbS case studies and best practices). The repository will also include case studies pertaining to Component 3 of successful experiences and best practices which will be compiled in the last year of the project, with UNEP assistance. In terms of capture and dissemination of lessons learned, a dedicated Monitoring, Evaluation, and Learning (MEL) officer within the Project Management Unit (PMU) will be responsible for the continuous capture of data and lessons. The project PMU and Steering Committee will oversee the dissemination strategy. UNEP will facilitate the connection between these national platforms and regional/global networks (e.g., REGATTA, GAN) to ensure lessons reach a wider audience. Cooperation between CITMA and MARN regarding data platforms' technical standards, data-sharing frequencies and interoperability requirements will be governed and facilitated under the 2022 Binational Cooperation Agreement, and will be supported by the project to ensure seamless lessons sharing under component 4.

I. Consultative process, including the list of stakeholders consulted

92. During the design of the Concept Note, stakeholder consultations were held in Cuba and the Dominican Republic. In Cuba, as part of the Nature4Cities closure, an AF concept note initiative was presented to participants in April 2024; key stakeholders were mapped and potential risks identified in Manzanillo, Yara, and Bartolomé Masó. Subsequently, bilateral workshops and meetings were held in both countries in November and December 2024. The objective was to obtain baseline information at the basin level on the risks and impacts of climate change, as well as gender-specific information.

93. In Havana, Cuba, two workshops (12 women, 8 men in the first workshop and 10 women and 8 men in the second workshop) were held with key stakeholders. In the first workshop participants developed a problem tree of cause and effect to identify root problems and barriers, and in the second workshop participants collectively identified short- and medium-term objectives, barriers to adaptation, and potential strategies. This informed the problem tree and theory of change of the proposed project. Specifically, solutions identified were improving ecosystem services for water regulation, promoting sustainable land use, raising awareness and knowledge dissemination on NbS, and promoting female empowerment and decision-making in the medium and long term for climate adaptation. One of the greatest obstacles expressed during the consultations, especially in Cuba, was the constant power outages and the lack of access to reliable energy sources, to ensure the effective implementation of agricultural and land restoration and rehabilitation activities. This has been integrated into component 3.

Due to electricity and mobility restrictions in Cuba, stakeholder consultations were carried out in Havana only, through meetings, interviews with experts from ministries, government and non-government institutes, complemented with telephone communications with stakeholders in the municipalities. The communication disruptions caused by the national energy crisis limited the possibility of deep consultation in the provinces.

In Havana, Cuba, the following participated in the consultations during the week of November 25, 2024:

Table 10 Participants in Cuba

Actor	Organization	Mode of participation
National Government	National Institute of Territorial and Urban Planning (INOTU)	As a government counterpart
	National Institute of Hydraulic Resources (INRH)	Bilateral meeting, 2 workshops
	Ministry of Agriculture (MINAG)	Bilateral meeting, 2 workshops
	Forest Development Fund and Environmental Fund	Bilateral meeting, 2 workshops
	National Office of Statistics and Information (ONEI)	Bilateral meeting, 2 workshops
	Ministry of Finance and Prices (MFP)	2 workshops
	Ministry of Science, Technology and Environment (CITMA)	Bilateral meeting, 2 workshops
	Civil Defence General Staff (EMDC)	2 workshops
Local government	Municipal Directorate of Territorial Planning (Manzanillo)	Bilateral meeting, 2 workshops
Civil society, educational institutions and NGOs	Generacción	Participation in workshops
	Federation of Cuban Women (FMC) National	Bilateral meeting, 2 workshops
	Federation of Cuban Women (FMC) Bartolomé Masó	1 telephone conversation
	Federation of Cuban Women (FMC) Yara	1 telephone conversation
	Latin American Faculty of Social Sciences (FLACSO)	1 workshop
	Antonio Núñez Jiménez Foundation	Bilateral meeting, 2 workshops
	IRIS Foundation	Bilateral meeting, 2 workshops
	University of Granma	2 workshops

94.In the Dominican Republic, consultations were held in the 3 project cities through workshops in December 2024. The workshops in Monte Cristi (9 women and 14 men), Jarabacoa (6 women and 11 men) and Santiago de los Caballeros (10 women and 8 men) focused on identifying and analysing the causes and effects of climate threats in the region through problem trees. The main topics addressed were drought, rising sea temperatures, and coastal erosion. The participants also worked on developing short- and long-term objectives to address these issues. The problem trees informed the potential type of NbS to be implemented in the watershed as well as the technical assistance components for integrated planning.

Specifically, the impacts identified in Monte Cristi were droughts, increased sea temperatures, and coastal erosion. In Jarabacoa, flooding, erosion and irregular precipitation patterns. In Santiago, urban flooding and heat islands were impacts identified. Strategies were identified for each of these impacts, for example, for droughts, rainwater harvesting solutions were identified and integrated into the project's design, as well as reforestation. For coastal erosion, mangrove restoration was identified as a solution. Similarly, for flooding and erosion, reforestation and ecosystem restoration of riparian areas were identified as a measure. In the three workshops, institutional coordination and knowledge sharing were highlighted. This was also included in the project's design.

Table 11 Parties consulted in the Dominican Republic

Actor	Organization	City	Mode of participation
National Government	Ministry of Environment and Natural Resources	Monte Cristi, Santiago de Los Caballeros, Jarabacoa	Government counterpart
	Directorate General for Border Development (DGDF)	Monte Cristi,	Workshop
	National Council for Food and Nutritional Sovereignty and Security (CONASSAN)	Santiago de los Caballeros	Workshop
	Ministry of Economy, Planning and Development (MEPYD)	Jarabacoa	Workshop
	Ministry of Women	Jarabacoa	Workshop

Local government	Civil Defence	Jarabacoa	Workshop
	Municipal government representatives	Monte Cristi, Santiago de los Caballeros, Jarabacoa	3 workshops
	Dominican Federation of Municipalities (FEDOMU) - Monte Cristi	Monte Cristi	Workshop
	Ministry of Tourism	Monte Cristi	Workshop
Civil society, universities, Private sector, community and NGOs	University of Granma	Manzanillo	Workshop
	Joven Club Manzanillo	Manzanillo	Workshop
	Academy of Sciences, Culture and Arts	Monte Cristi	Workshop
	Monte Cristi Ecological Group (GREMONT)	Monte Cristi	Workshop
	Centre for Urban and Regional Studies (CEUR)	Santiago de Los Caballeros	Workshop
	Technological University of Santiago (UTESA)	Santiago de Los Caballeros	Workshop
	Yaque del Norte Water Fund	Online	Meeting
	The Pontifical Catholic Mother and Teacher University (PUCCM)	Santiago de Los Caballeros	Workshop
	Center for Financial Studies (CEFS)	Santiago de Los Caballeros	Workshop
	SOSCLIMA	Santiago de Los Caballeros	Workshop
	Association of Fishing Women - Monte Cristi	Santiago de Los Caballeros	Workshop
	Manzanillo EcoAdventure	Santiago de Los Caballeros	Workshop
	Hotel El Cayito	Santiago de Los Caballeros	Workshop
	Association of Industries of the Dominican Republic (AIREN)	Santiago de Los Caballeros	Workshop
	Dominican Corporation of State Electric Companies (CDES)	Santiago de Los Caballeros	Workshop
	BANFONDESA	Santiago de Los Caballeros	Workshop
	Kayak Adventures	Santiago de Los Caballeros	Workshop

J. Justification for funding requested, focusing on the full cost of adaptation reasoning

95. The Caribbean is one of the most vulnerable regions to climate-related disasters. Both Cuba and the DR have been severely impacted by hurricanes, tropical rains, floods, and extended periods of drought in recent years. However, the financial resources available for climate change adaptation fall far short of what is required to meet adaptation needs. For example, according to the DR latest Nationally Determined Contribution (NDC 2020) report, $8.7 billion is needed for climate adaptation, in sectors such as food and water security, resilient cities and coasts, and ecosystems, among others. In Cuba, on the other hand, presents significant adaptation needs in infrastructure, coastal zone management, agriculture, settlements, water, marine ecosystems, and forests, among others[99].

96. At the same time, both countries have very low incomes and limited capacity to cope with climate impacts. The DR, for example, ranks 81st out of 196 countries in terms of GDP and has a public debt reaching 60.02% of its GDP[100]. Cuba, on the other hand, is suffering the impacts of the US economic, commercial, and financial blockade, which limits its room for manoeuvre. This, combined with the effects of the pandemic, has resulted in a significant increase in inflation in Cuba (24% as of January 2025)[101] and, consequently, in the cost of living for its inhabitants. Cuba's state budget for 2025 estimates a deficit of 88.538 billion pesos[102] (CUP88 billion), equivalent to almost 20% of gross state revenue. Furthermore, the credit ratings of both countries limit their borrowing capacity. For example, the DR's latest credit rating is "non-investment grade speculative"[103], while Cuba's latest credit rating was set at "extremely speculative"[104]. Given these conditions, the extent of

[99] https://unfccc.int/sites/default/files/resource/UNFCCC_NBF_SD_Cuba_2022.pdf
[100] https://datosmacro.expansion.com/paises/republica-dominicana
[101] Cuba's annual inflation rate has seen significant increases since the pandemic, reaching a record high of 77.30% in December 2021 and 46.42% in March 2023.
[102] https://www.mfp.gob.cu/ficheros/publicaciones/P-GOC[126-2025].pdf
[103] https://www.fitchratings.com/research/sovereigns/fitch-affirms-dominican-republic-at-bb-outlook-positive-21-11-2024
[104] https://countryeconomy.com/ratings/cuba

public debt and the ability to obtain financing for climate change adaptation exceed their capacities, requiring additional assistance to implement the necessary interventions.

97. However, despite economic limitations, both countries will seek to contribute in-kind co-financing and human resources to ensure the long-term sustainability of the project. For example, the Government of Cuba, through the National Environmental Fund and the Local Development Fund, has committed to contributing support for the implementation and management of NBS, with training, labor, and native seed banks as needed. Likewise, the project seeks the active participation of the private sector in the scaling up of these activities (e.g., value capture and the development of water funds), a participation that is actively supported by state actors in both Cuba and the DR[105].
98. The project is expected to achieve its stated objectives solely with the funding provided by the Adaptation Fund. It does not rely on cash co-financing or additional donor resources for implementation, and the requested amount represents the minimum necessary to deliver the intended adaptation outcomes. Each component constitutes an incremental, climate-justified investment that can stand alone and deliver tangible adaptation benefits exclusively with Adaptation Fund resources. All components and activities have been formulated on the basis of full cost of adaptation reasoning, meaning that each intervention directly addresses climate-induced risks and vulnerabilities that would not be tackled under a baseline or development-only scenario.
99. The project's ecosystem-based and basin-level approach ensures that adaptation measures reduce the exposure and vulnerability of communities to floods, droughts, landslides, and coastal hazards that are intensifying due to climate change, while minimizing the risk of generating negative spillover effects elsewhere, such as downstream of upstream interventions. Component 1 addresses a fundamental element of climate adaptation — effective and climate-informed land management — which represents an incremental investment beyond ordinary land-use practices. Component 2 is justified by the recognized cost-effectiveness and long-term benefits of Nature-based Solutions (NbS), using AF resources to restore and protect wetlands, forests, and coastal ecosystems that buffer against climate hazards. These NbS interventions go beyond baseline conservation efforts by explicitly targeting the reduction of vulnerability to projected climate impacts such as sea-level rise, flooding, and drought. Under Component 3, AF funding will finance the adoption of resilient food, water, and energy systems that enhance the adaptive capacity of vulnerable households, particularly in communities most exposed to climate variability. These measures are additional to standard development actions because they integrate future climate scenarios and promote renewable and low-emission energy sources, avoiding maladaptation or increased dependency on fossil fuels. Component 4 focuses on strengthening data, information, and knowledge systems for climate-resilient and gender-responsive planning. AF resources are essential for this component, as they will support the generation of climate data and the facilitation of South-South knowledge exchange, which is a cost-effective means to scale up adaptation lessons across countries and prevent duplication of efforts.
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K. Sustainability of the project outcomes

100.The project incorporates several design features that will ensure the sustainability of activities once the project is completed. These are described below.
101.Institutional Strengthening and policy: Currently, barriers such as limited state capacity and limited technical, equipment, and energy resources restrict the implementation of inclusive, climate-data-driven land management policies. The outputs of component 1 are aimed at strengthening institutional capacities in both countries. The goal is to reduce these technological and resource barriers to climate risk data management and inform territorial planning policies and instruments. They will also provide the physical and knowledge tools for improved territorial management in the medium term. Once these climate-informed strategies and plans (for e.g. land use plans, masterplans) are approved, these climate risk-informed plans will be implemented by INOTU and MMARN and include the designated NbS areas. Likewise, the outputs of component 1 are focused on a paradigm shift in gender and inclusion policies in the medium and long term, reflected in the real and effective integration of actions that reduce the environmental impact gap between men and women.

102. Private Sector Participation: Efforts are also directed toward finding innovative ways to finance projects based on land management and the equitable distribution of burdens and benefits (e.g. via land value capture mechanisms). The project aims to increase institutional capacity to capture such capital gains resulting from improved land management, which can be used to reinvest in NbS and climate adaptation projects. This will increase the financial sustainability of project outputs.
103.Participation and Empowerment of Local Communities and Women: The proposed training (outputs 2.1 and 3.1) will contribute to the sustainability of the solutions past the duration of the project. With the support of non-governmental organisations[108], local communities, especially women's groups, will improve their knowledge and skills in the management and maintenance of gardens, in value-added products, the circular economy, accounting, local tourism, water resource

[106] Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.

management, and renewable energy generation, among others. Thus, the income obtained from the sale of products and services is reinvested in the management and maintenance of the proposed solutions (outputs 2.2, 3.2, and 3.3). These activities will be complemented by institutional support and co-financing (for example, in the maintenance of power generators) as well as training for financial institutions to access more favourable lines of credit, thus improving the livelihoods and achieving long-term economic sustainability of these groups. Overall, through training local women's groups and cooperatives to operate and maintain the photovoltaic and water harvesting systems (Component 3), technical capacity remains within the community. The equipment ownership will be formally transferred to these organized community groups upon project completion.

104.Strategic alliances: The experience of Water Funds in the DR, and in the region in general, offers lessons with quite positive results on the role of strategic alliances between public and private actors in profitably financing and implementing green projects. Therefore, the project includes the Yaque del Norte Water Fund as a key stakeholder in the DR, which in turn is supported by national and international organizations[109]. The project seeks to establish alliances for the scaling up of environmental protection and ecosystem-based adaptation initiatives, both in the DR and in Cuba. Likewise, the project expects to work with the Dominican Microfinance Network (REDOMIF), which is part of the Central American and Caribbean Microfinance Network (REDCAMIF), as well as with the Banco de Credito y Comercio de Cuba, which recently, with the support of the Central Bank of Cuba have advanced on the creation of a 'Green Fun'. Equally, Granma Province, and the United Nations Development Program (UNDP) have jointly developed a new microcredit line called "Crece" to facilitate financing opportunities for sustainable agriculture and resource conservation initiatives.

105.Knowledge for replication and scaling up: Component 4 is entirely dedicated to capturing and disseminating knowledge to ensure that the project's successes can be replicated and scaled across the region, through centralised information platforms and publication of knowledge products (dedicated AF funding of 600,000 USD). Additionally, the project's regional approach, and regional dialogues will Favor South-South knowledge exchange of favourable models, such as the Yaque del Norte Water fund and its gender equality initiatives, while Cuba will share its decades of experience in Urban agriculture .The project will scale up NbS as well vertically, through policy integration to ensure that NbS approaches become mainstream and building on the CityAdapt project.

106.Finally, it is proposed implementing the project within a reasonable period (six years) to ensure sufficient time for, among other things: 1) the consolidation of these partnerships and the development of interest and participation among key stakeholders; 2) the implementation and implementation of land management and gender inclusion policies; and 3) the development and maturation of nature-based solutions, which will require several years to become effective.

L. Environmental and social impacts and risks identified as relevant to the project

Table 12 Environmental and social impacts and risks identified as relevant to the project

Checklist of environmental and social principles	**No further assessment required for compliance**	**Potential impacts and risks: further assessment and management required for compliance**
Compliance with the law		**Low risk.** There is a risk that the potential adoption of new relevant legislation in one of the participating countries may require revision during project implementation. The legal framework has been thoroughly described in both countries for compliance. The risk will be mitigated by ensuring compliance through (i) identifying all specific permitting requirements for NbS interventions during implementation (ii) including clauses in all project agreements requiring strict adherence to national laws; and (iii) using the project's Grievance Mechanism to identify and resolve any potential legal issues. Implementation will be monitored through the Environmental and Social Management Plan (ESMP).
Access and equity	X	**Medium risk.** There is a risk that disparities in access to information, resources, and decision-making processes could lead to unequal vulnerability and resilience outcomes. The risk will be mitigated by providing fair and equitable access to Nature-Based Solutions (NBS) and their benefits, including training, information, experience sharing, and other opportunities. This risk will be mitigated by the Gender Action Plan (see below) and stakeholder consultation processes will be carried out in a way that information, resources and decision-making processes are inclusive and consider vulnerable groups.

		No further assessment is required as the project design explicitly integrates measures to ensure fair and equitable access to benefits, particularly for vulnerable and marginalized groups. A GAP and inclusive stakeholder consultation processes have been embedded into the full project design process to ensure that information, resources (such as Nature-based Solutions), and decision-making powers are accessible to all, with a specific focus on women and youth. Furthermore, the project will establish a grievance mechanism to address and resolve any issues regarding access that may arise during implementation, ensuring that equity is maintained without the need for a separate standalone assessment.
Marginalized and vulnerable groups		**Medium risk.** As identified in the gender assessment, there is a risk that vulnerable groups, such as women and girls, children, the elderly, people with disabilities, dependent family members, and informal workers, may not be able to actively participate in project activities. This could be due to factors like poverty, informal employment, unemployment, or caregiving responsibilities. This risk will be mitigated by a gender analysis and action plan (GAAP) during the full proposal and implementation stages to facilitate the participation of marginalized groups, especially women.
Human rights		**Low risk.** No activities are proposed that may present a risk of non-compliance with national human rights requirements or international human rights laws and conventions. This risk will be mitigated by adhering to the UN Declaration of Human Rights. UNEP and the Executing Entities will ensure that all project activities, including stakeholder consultations and benefit distribution, are conducted in a non-discriminatory manner. The Grievance Mechanism will be explicitly mandated to receive and process any complaints related to human rights violations. Implementation will be monitored through the Environmental and Social Management Plan (ESMP).
Gender Equity and Women's Empowerment		**Low risk.** As identified during the gender assessment, women in project areas are disproportionately affected by climate change impacts. This is due to several factors: they tend to be more dependent on public services and infrastructure, have caregiving responsibilities, and possess a lower capacity to adapt. The increased frequency of extreme weather events, such as floods and droughts, could affect women more severely because of their roles as caregivers and providers. Additionally, women often have limited access to resources, making it harder for them to adapt to changing climate conditions. Their participation in the informal economy also makes them more economically vulnerable to climate-related disruptions. The project proposal has been designed to counter these risks. It aims to be gender-responsive and inclusive in all trainings, workshops, planning, and knowledge-sharing activities. The project also intends to transform gender relations by creating tailored opportunities through Nature-Based Solutions (NBS) that specifically support women's livelihoods and respond to their needs. This will be facilitated by data collection on gender inequality and gender-differentiated climate impacts at the basin level under output 1.3. Additionally, the GAAP will facilitate the participation and empowerment of women through specific actions and will serve to ensure equity during implementation.
Core labour rights		**Low risk.** There is a risk that irregularities with workers' rights may be found among migrant populations, women, children, and others. This risk will be mitigated by providing support and training for project participants involved in local livelihoods will include content related to formalization, respect for human rights, occupational health and safety, and compliance with the core labour standards of the International Labor Organization and the labour laws of Cuba and the Dominican Republic. Implementation will be monitored through the Environmental and Social Management Plan (ESMP).
Indigenous peoples	X	**Low risk.** There are no indigenous peoples in the project area. As a UN agency, UNEP's activities are aligned with the UN Declaration on the Rights of Indigenous Peoples. No further assessment is required as the initial screening has determined that this standard is not triggered as the project's activities will not take place on lands or territories inhabited or claimed by Indigenous Peoples.

Involuntary resettlement		**Medium risk.** .The revision of land use planning and urban plans may lead to restrictions of types of land use. This risk will be mitigated by an ESMP. The ESMP will specify measures to mitigation measures such risk . In places where the rehabilitation of riverine ecosystems or the implementation of NbS intend to be implemented, there might be economic displacement on communities relying on those resources for their livelihoods. The sites will be carefully selected to avoid this risk at full project proposal stage and if necessary a Resettlement and Livelihood Restoration Plan will be developed outlining the appropriate strategies needed to restore lost livelihood, including any needed training and capacity building to ensure that anyone resettled or affected by the project is not worse-off than they were prior to the project. Where restoration/rehabilitation works are required in private land ex. riverbank consent from land owners will be sought. Where the works take place in public land, permits from authorities will be sought as well as consent from informal/customary/concessional land users of the public land
Protection of natural habitats	X	**Low risk.** The project implementation area is not located within any protected area. The project will foster the integration of legally sound best practices and NBS into local livelihoods. This will promote sustainable land use, contributing to its conservation and restoration. This risk will be mitigated by complying with the legal and operational requirements in both countries regarding protected areas . No further assessment is required as EEs are both organisations in the respective countries' governments and are familiar with legal and operational requirements regarding protected areas in each country.
Conservation of Biological Diversity		**Low risk.** Recommended good practices and NBS will contribute to strengthening biodiversity conservation and enhancing critical habitats and corridors, including terrestrial and riparian ecosystems. The project will avoid the use of any potentially invasive species in the implementation of NBS. This risk will be mitigated by a species suitability assessment will be caried out prior to planting activities in the river basins. Implementation will be monitored through the Environmental and Social Management Plan (ESMP).
Climate change		**Medium risk.** Extreme weather events, such as hurricanes, floods, and droughts, pose significant threats to urban and peri-urban communities. These risks can lead to extensive damage to infrastructure, loss of livelihoods, population displacement, and exacerbation of socioeconomic inequalities. Rising temperatures and altered precipitation patterns can impact water resources, agriculture, and public health, as well as human comfort, further diminishing community resilience. This risk is mitigated by additional climate impact assessments during implementation under Component 1 to identify potential future effects in the project areas. The results will inform the design of interventions and other project activities within the set menu of interventions developed at full proposal stage.
Pollution prevention and resource efficient	X	**Low risk.** The project involves the installation of small-scale photovoltaic infrastructure and will adopt low carbon approaches to minimize pollution and optimize resource efficiency. No further assessment is required as the project primarily focuses on ecosystem restoration and NbS, which inherently improve environmental quality and resource efficiency. The proposed physical interventions, such as rainwater harvesting and small-scale photovoltaic systems, are designed to reduce reliance on fossil fuels and improve water security, actively preventing pollution and enhancing resource efficiency. The project does not involve activities that generate significant quantities of pollutants or hazardous waste.
Public health	X	**Low risk.** This risk will be mitigated by ensuring that project activities, including field visits, interviews, workshops, and training, are carried out following the guidelines established by the national health authorities of each country. The IA and EEs will be kept informed and updated on these guidelines. No further assessment is required as the project activities are designed to improve, rather than threaten, public health outcomes. By implementing nature-based solutions for flood management and improved water security (e.g., rainwater harvesting), the project directly addresses key drivers of waterborne diseases and sanitary risks associated with flooding and drought
Physical and cultural heritage	X	**Low risk.** In the case that previous unidentified physical and cultural heritage elements are discovered during minor groundworks, this risk will be mitigated by strictly adhering to natural heritage laws.

		No further assessment is required as the project implementation sites are not located in areas of physical or cultural heritage. Additionally, the proposed activities, which focus on nature-based solutions and ecosystem restoration, are low-impact and do not involve significant excavation or alteration of historical structures.
Lands and soil conservation	X	**Low risk.** The project contemplates the implementation of NBS to incorporate soil conservation strategies, such as restoration practices that will help reduce erosion, protect soil biodiversity, increase productivity, and decrease GHG emissions. No further assessment is required as this risk will be directly addressed by the project activities.

107. The project is determined to be Category C according to the Adaptation Fund Environmental and Social Policy (corresponding to Moderate risk category of UNEP's UNEP Environmental and Social Sustainability Framework).

108. The project will establish and ensure the availability of a grievance mechanism accessible to all stakeholders or anyone wishing to file a complaint related to project activities. Information on the operation of this mechanism will be widely distributed among project participants, beneficiaries, organizations, and communities potentially affected by project activities. Emphasis will be placed on informing the existence of the grievance mechanism and on building local capacity to ensure that key implementing entities and stakeholders are informed of potential social and environmental impacts. Efforts will also focus on enhancing their capabilities to monitor and ensure compliance with social and environmental standards.

PART III: IMPLEMENTATION ARRANGEMENTS

Project alignment with the Results Framework of the Adaptation Fund

Table 13 AF Results Framework alignment

Project Objective	Project Objective Indicator	Fund Outcome	Fund outcome indicator	Grant amount
To improve the resilience of urban and riverine communities in the Yaque del Norte (Dominican Republic) and Yara and Jibacoa river basins (Cuba) to the gender-differentiated impacts of climate change by integrating nature-based adaptation measures into planning and policy and implementing them with a gender-transformative lens.	Number of beneficiaries, disaggregated by gender, with reduced exposure and increased adaptive capacity thanks to the implementation of nature-based adaptation solutions and climate resilient gender-responsive land use planning	**Outcome 7**: Improved policies and regulations that promote and enforce resilience measures	7. Climate change priorities are integrated into national development strategy	**3,500,000**
		Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress	5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress	**16,045,460**
		Outcome 6 Diversified and strengthened livelihoods and sources of income for	6.1 Percentage of households and communities	**5,000,000**

		vulnerable people in targeted areas	having more secure access to livelihood assets	
Total objective level grant amount				**24,545,460**
Project Outcomes	**Project Outcome Indicator(s)**	**Adaptation Fund Output**	**Adaptation Fund Output Indicator**	**Grant amount (USD)**
1. Government institutions enhance their capacity to plan and implement climate-resilient and gender-responsive land use policies and instruments	Number of climate risk and vulnerability analyses developed. Number of land use plans and instruments based on climate risk analyses at the basin level. Number of local and basin-level adaptation and resilience policies and plans that integrate a gender perspective.	**Output 7:** Improved integration of climate-resilience strategies into country development plans	7.2. No. of targeted development strategies with incorporated climate change priorities enforced	**2,900,000**
2. Urban and riverine communities along the Yaque del Norte (DR) and Yara and Jibacoa (Cuba) river basins reduce their vulnerability to climate risks as a result of the NbS initiatives being implemented.	Number of hectares under enhanced management for climate resilience.	**Output 5:** Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability	5.1. No. of natural resource assets created, maintained or improved to withstand conditions resulting from climate variability and change (by type and scale)	**16,045,460**
3. Urban and riverine communities improve their food, water, and energy security and adopt climate-resilient livelihoods	Number of beneficiaries with improved energy, water and food security by gender	**Output 6:** Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability	6.1.1 .No. and type of adaptation assets (tangible and intangible) created or strengthened in support of individual or community livelihood strategies	**5,000,000**
4. Improved data and information management (including monitoring and learning from the project) for climate-resilient planning in the Spanish speaking Caribbean.	Number of tools, guidelines and lessons shared. Number of resilient planning initiatives resulting from regional dialogues	**Output 7:** Improved integration of climate-resilience strategies into country development plans	7.2 No. of targeted development strategies with incorporated climate change priorities enforced	**600,000**
Total outcome level grant amount				**24,545,460**

Project implementation arrangements include a project management unit (PMU) with dedicated project country officers within the EEs and a project steering committee (PSC) with representatives of both countries organisations. Detailed implementation and institutional arrangements will be presented at full proposal stage.

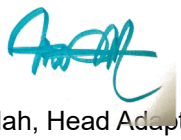
PART IV: ENDORSEMENT BY GOVERNMENTS AND CERTIFICATION BY THE IMPLEMENTING ENTITY

A. Record of endorsement on behalf of the government[106]

Provide the name and position of the government official and indicate date of endorsement for each country participating in the proposed project/programme. Add more lines as necessary. The endorsement letters should be attached as an annex to the project/programme proposal. Please attach the endorsement letters with this template; add as many participating governments if a regional project/programme:

Ulises Fernandez Gomez Director International Relations Ministry of Science, Technology and Environment Cuba	Date: July 7th , 2025
Ana Emilia Pimentel Deputy Minister of Climate Change and Sustainability Ministry of Environment and Natural Resources Dominican Republic	Date: June 2nd, 2025

B. Implementing Entity certification *Provide the name and signature of the Implementing Entity Coordinator and the date of signature. Provide also the project/programme contact person's name, telephone number and email address*

I certify that this proposal has been prepared in accordance with guidelines provided by the Adaptation Fund Board, and prevailing National Development and Adaptation Plans of Cuba and the Dominican Republic and subject to the approval by the Adaptation Fund Board, commit to implementing the project/programme in compliance with the Environmental and Social Policy of the Adaptation Fund and on the understanding that the Implementing Entity will be fully (legally and financially) responsible for the implementation of this project/programme.	
 Mirey Atallah, Head Adaptation and Resilience Branch, Climate Division, UNEP Implementing Entity Coordinator	
Date: *November 27th, 2025*	Tel. and email: mirey.atallah@un.org
Project Contact Person: Jessica Troni	
Tel. And Email: (+254) 795751062 jessica.troni@un.org	

[106] Each Party shall designate and communicate to the secretariat the authority that will endorse on behalf of the national government the projects and programmes proposed by the implementing entities.

GOBIERNO DE LA
REPÚBLICA DOMINICANA

MEDIO AMBIENTE

VCCyS-0139-2025

Santo Domingo, Dominican Republic
August 8th, 2025

To: **The Adaptation Fund Board**
c/o Adaptation Fund Board Secretariat
Email: afbsec@adaptation-fund.org
Fax: 202 522 3240/5

Subject: Endorsement for ***"Nature-based adaptation in urban and riverine areas of Cuba and the Dominican Republic."***

In my capacity as designated national authority for the Adaptation Fund in Dominican Republic, I confirm that the above regional project proposal is in accordance with the government's regional priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the Dominican Republic.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by The United Nations Environment Programme (UNEP) and executed by the Ministry of Environment and Natural Resources.

Sincerely,

MINISTERIO DE MEDIO AMBIENTE Y RECURSOS NATURALES
VICEMINISTERIO DE CAMBIO CLIMÁTICO Y SOSTENIBILIDAD
REPÚBLICA DOMINICANA
MEDIO AMBIENTE

Ana Emilia Pimentel
Designated Authority for the Adaptation Fund
Deputy Minister of Climate Change and Sustainability
Ministry of Environment and Natural Resources

AEP/ICB/sd

CITMA

INOTU
INSTITUTO NACIONAL DE ORDENAMIENTO TERRITORIAL Y URBANISMO

ADAPTATION FUND

Letter of Endorsement by Government

DRI: 787/2025

September 25, 2025

To: The Adaptation Fund Board
c/o Adaptation Fund Board Secretariat
Email: afbsec@adaptation-fund.org
Fax: 202 522 3240/5

Subject: Approval of Nature-based adaptation in urban and riverine areas of Cuba and the Dominican Republic.

In my capacity as designated authority for the Adaptation Fund in Cuba, I confirm that the above regional project proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in Cuba.

Accordingly, I am pleased to endorse the above project proposal with support from the Adaptation Fund. If approved, the project will be implemented by UNEP and executed by National Institute of Territorial Planning and Urbanism (INOTU).

Sincerely,

Ministerio de Ciencia, Tecnología y Medio Ambiente
DIRECCIÓN DE RELACIONES INTERNACIONALES

Dr. C. Lisbet Font Vila
Designated Authority of Cuba for the Adaptation Fund

Revised PFG Submission Form[1] (additions in red)

Project Formulation Grant (PFG)

Submission Date: 21/11/2025

Adaptation Fund Project ID:
Country/ies: Cuba and the Dominican Republic
Title of Project/Programme:
Type of IE (MIE): Nature-based adaptation in urban and riverine areas of Cuba and the Dominican Republic.
Implementing Entity: United Nations Environment Programme (UNEP)
Executing Entity/ies: United Nations Environment Programme (UNEP)

A. Project Preparation Timeframe

Start date of PFG	May 2026
Completion date of PFG	May 2027

B. Proposed Project Preparation Activities ($)

List of Proposed Project Preparation Activities	Output of the PFG Activities	US$ Amount	Budget note[2]
1.Stakeholder consultations	Stakeholder consultation reports	25,250	Includes stakeholder consultation meetings and community level consultations per country
2. Full proposal formulation	Full proposal document and annexes endorsed by the Designated Authorities.	102,000	Consultancy firm cost based on market rates
3 Validation workshop	Validation workshop report	10,000	1 validation workshop per country
Total PFG activities		137250	
IE management fee		12,750	

[1] As presented in AFB/PPRC.33/40 Annex 1.
[2] The proposal should include a detailed budget with budget notes indicating the break- down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

Total Project Formulation Grant		150,000	

The PFG activities include:

1. Stakeholder consultation

Building on the concept note stage consultation workshops carried out in Cuba and in Dominical Republic as part of the Nature for Cities project the PFG funding will enable the implementation of more in-depth stakeholder consultation including key informant interviews and field level consultations among the communities in the selected river basins and target municipalities and to collect further data and information to develop the full proposal. In particular more in-depth local level consultations will allow:

• Further site prioritization within target municipalities and river basins.
• Identification of the roles and responsibilities of each government institution and stakeholders at the national, regional, district, and community levels in project implementation and monitoring at different levels.
• Identification of result framework SMART indicators and targets aligned with the Adaptation Results Framework.
• Identification of costed activities necessary to attain the planned outputs and outcomes and a detailed budget.
• M&E and knowledge management plan, Gender Action Plan and Environmental and Social Management Plan.
• Sustainability and exit strategy

2. Full proposal development and annexes

The information gathered during the above in-depth and implementation-oriented consultation process will be used to develop the full proposal (PART III), including:

• Detailed Results Framework for the project, including SMART indicators and targets aligned with the Adaptation Results Framework.
• Implementation workplan and milestones.
• Costed M&E and knowledge management plan.

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• Risk register
• Implementation arrangements
• Detailed budget and budget notes including quantification of stakeholders' in-kind contributions
• Sustainability and exit strategy

During full proposal development and particularly during stakeholder consultations phase, the geographic scope with delineation of the boundaries of the target basin and urban areas of intervention will be defined in a participatory and consultative manner. This will result in the identification of exclusion zones such as protected areas, areas of disputed land to avoid uncertainty on the risk categorization. Additionally, the full proposal will develop a fixed menu of interventions with eligibility and exclusion criteria. Based on the above, this level of spatial clarity and scope of eligible activities will allow the project to conduct an ESS screening and ESMP at full proposal stage, avoiding USPs due to undetermined location.

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Annexes:
• Stakeholder Engagement and Project Implementation Plan defining the roles and responsibilities of each government institution, implementation partners and stakeholders at the national, regional, district and community level in the project implementation and monitoring at different levels. The plan will include measures to mitigate financial, reputational, security and other risks.

• Gender Assessment and Gender Action Plan
A project gender assessment will be developed to identify gender-differentiated climate impacts, risks, and opportunities to address gender gaps and promote women's empowerment in the specific context of target rural and urban settings along the Shabelle watershed. A costed Gender Action Plan for the project will be developed identifying gender-responsive measures to address differences, identified impacts, risks, and opportunities. The plan will include indicators and sex-disaggregated targets in the project results framework.

• Environmental and Social Management Plan (ESMP)
A re-screening of the project design for ESS risks will be carried out. An environmental and social management plan will scope the main environmental and social safeguards risks and identify mitigation measures through consultations and lessons learned. The ESMP will be developed to align with the Environmental and Social Policy of the Adaptation Fund and UNEP's Social and Environmental Sustainability Framework.

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3. Validation workshop

A validation workshop will enable the incorporation of final stakeholder's feedback and validate the project design prior to the obtention of the letters of endorsement and submission.

C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures and meets the Adaptation Fund's criteria for project identification and formulation

Implementing Entity Coordinator, IE Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
UNEP Mirey Atallah		21/10/2025	Jessica Troni	+254795751062	Jessica.troni@un.org