



## ADAPTATION FUND

AFB/PPRC.38/14/Add.1  
10 September 2026

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Adaptation Fund Board  
Project and Programme Review Committee  
Thirty eighth Meeting  
Bonn, Germany, 19-23 October 2026

Agenda Item 4 (k)

### **PROJECT FORMULATION GRANT FOR BURKINA FASO**

## I. Background

1. The Board at its eleventh meeting discussed the document “Funding for Project Formulation Costs” (AFB/11/6) and agreed, in its Decision B.11/18, that:

- i. project formulation grants (PFG) should be given once a project concept has been approved
- ii. consideration should be given in terms of differentiating between NIEs and MIEs, since some NIEs might have financial difficulties in trying to formulate project or programme proposals;
- iii. a flat rate should be given for project formulation costs;
- iv. a list of eligible activities and items still needed to be prepared; v. the grant should be additional to the project cost; and
- v. the fate of funds if the final project document was rejected should be determined.

2. There was consensus that a three-tiered system should be considered for project formulation grants: endorse a project concept with a PFG amount, endorse a project concept without a PFG amount, or reject the project concept.

3. Following the discussion, the Board decided:

To request the secretariat to reformulate the document, to include a comparison of eligible activities provided by other funds for project formulation grants, to take into account guidance provided by the Board at the present meeting, and to submit the document to the Board at its twelfth meeting, through the EFC. The EFC should review and finalize the process and policy of the project formulation grant focusing, in particular, on: the issue of unspent project funds; the procedures followed by other funds in that regard; and the determination of a flat-rate.

4. A document was prepared by the secretariat in response to the above mandate and presented at the third EFC meeting, which made specific recommendations to the Board at its twelfth meeting. Having considered the recommendation of the Ethics and Finance Committee, the Board, in its Decision B.12/28, decided that:

*(a) Project Formulation Grants (PFGs) will only be made available for projects submitted through NIEs. The Board would continue reviewing the question of PFGs for projects submitted through MIEs and would solicit comments from members and alternate members by February 14, 2011; the views would be compiled by the secretariat for presentation to the Board at its March 2011 meeting;*

*(b) If a country required a project formulation grant, a request should be made at the same time as the submission of a project concept to the secretariat. The secretariat will review and forward it to the PPRC for a final recommendation to the Board. A PFG could only be awarded when a project concept was presented and endorsed;*

*(c) A PFG form, reproduced in Annex V, should be submitted;*

- (d) Only activities related to country costs would be eligible for PFG funding;*
  - (e) A flat rate of up to US\$30,000 shall be provided, inclusive of the management fee, which cannot exceed 8.5 per cent of the grant amount. The flat fee would be reviewed by the Board at its thirteenth and all subsequent meetings;*
  - (f) If the final project document is rejected, any unused funds shall be returned to the Adaptation Fund Trust Fund;*
  - (g) Once a project/programme formulation grant is disbursed, a fully developed project document should come to the Board for approval within 12 months. No additional grants for project preparation can be received by a country until the fully developed project/programme document has been submitted to the Board; and*
  - (h) The Trustee was instructed to remove the set-aside of US\$100,000 for project preparation that had been decided at the June 2010 meeting, as project preparation would be approved on a project-by-project basis.*
5. In its twenty-fourth meeting, the Board had initiated steps to launch a pilot programme on regional projects and programmes, not to exceed US\$ 30 million and had requested the secretariat to prepare for the consideration of the Board a proposal for such a pilot programme (Decision B.24/30). In its twenty-fifth meeting, the secretariat submitted such document and the Board decided to:
- (a) Approve the pilot programme on regional projects and programmes, as contained in document AFB/B.25/6/Rev.2;*
  - (b) Set a cap of US\$ 30 million for the programme;*
  - (c) Request the secretariat to issue a call for regional project and programme proposals for consideration by the Board in its twenty-sixth meeting; and*
  - (d) Request the secretariat to continue discussions with the Climate Technology Center and Network (CTCN) towards operationalizing, during the implementation of the pilot programme on regional projects and programmes, the Synergy Option 2 on knowledge management proposed by CTCN and included in Annex III of the document AFB/B.25/6/Rev.2.*
- (Decision B.25/28)*
6. The approved document AFB/B.25/6/Rev.2 contained provisions for the approval of project formulated grants for regional project and programme proposals, at different development stages, as follows:
- "It is proposed that the Board open a structured call for MIEs and RIEs to submit pre-concepts for regional projects and programmes. The optional pre-concepts would be very brief proposals of maximum 5 pages that would explain the proposed regional adaptation project/programme. The pre-concepts would be screened and technically reviewed by the*

*secretariat, and subsequently reviewed by the PPRC. Together with the pre-concept, the proponent could submit a Phase I PFG request, up to the maximum level of US\$ 20,000. While endorsing the pre-concept, the Board could also approve the Phase I PFG request. The endorsement of the pre-concept would not create an obligation for the Board for later funding. As the next step, the proponent would submit a concept, and with it the proponent could submit a Phase II PFG request. The maximum AFB/PPRC.18/25/Add.1 level of the Phase II PFG would be US\$ 80,000 for proposals that had been previously granted Phase I PFG, and US\$ 100,000 for proposals that bypassed the optional pre-concept stage. While endorsing the concept, the Board could also approve the Phase II PFG request. The endorsement of the concept would not create an obligation for the Board for later funding, as it is the case for the national projects. The final stage of the proposal process would be the submission of the fully-developed regional project document”.*

## **II. The Project Formulation Grant Request**

7. This addendum to the document AFB/PPRC.38/14 “Proposal for Burkina Faso” includes a request for a Project Formulation Grant, requesting a budget of US\$ 150,000, which was received by the secretariat along with the concept for the project AF00000497 “Strengthening the Climate Resilience of vulnerable communities in the Bankui, Sourou, Guiriko, Nando and Yaadga regions of Burkina Faso”. This proposal was submitted by UN Development Programme (UNDP), which is a Multilateral Implementing Entity of the Adaptation Fund, in time for consideration by the Adaptation Fund Board at its forty-seventh Board meeting.

8. In accordance with Decision B.12/28, paragraph (b), the secretariat carried out an initial review of the PFG request and found that the document provided detailed information on the use of the requested funds. The proposed activities were aligned with the goal of the project and would support comprehensive stakeholder consultation; comprehensive gender, economic and financial assessments, and preparation of full proposal development.

9. Therefore, the PPRC may want to consider and recommend to the Board to approve the PFG Request, provided that the related concept proposal is endorsed.



ADAPTATION FUND

**ADAPTATION FUND BOARD SECRETARIAT**  
**TECHNICAL REVIEW OF PROJECT PROPOSAL FOR**  
**"STRENGTHENING THE CLIMATE RESILIENCE OF VULNERABLE COMMUNITIES IN THE**  
**BANKUI, SOUROU, GUIRIKO, NANDO AND YAADGA REGIONS OF BURKINA FASO"**

|                     |                                                                                                                                         |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| Project Title       | Strengthening the Climate Resilience of vulnerable communities in the Bankui, Sourou, Guiriko, Nando and Yaadga regions of Burkina Faso |
| Project ID          | AF00000497                                                                                                                              |
| Category            | Regular size project (over \$1 million)                                                                                                 |
| Type                | Single country project                                                                                                                  |
| Stage               | Concept                                                                                                                                 |
| Country             | Burkina Faso                                                                                                                            |
| Implementing Entity | UNDP                                                                                                                                    |
| Financing Requested | USD 17,983,426.00                                                                                                                       |
| Reviewer            | Una May Gordon                                                                                                                          |

## Co-reviewer(s)

### IE Contact Person

**Dorine Jn Paul, Jiseon Park, Lela Fikrou, Mariam Zafar, Missale Woldegiorgis, Nan Zhang, Nancy Bennet, Radhika Dave, Robin Merlier, Siriboon Ketphichai**

## Secretariat's Overall Comment

The project “Strengthening the Climate Resilience of vulnerable communities in the Bankui, Sourou, Guiriko, Nando and Yaadga regions of Burkina Faso ” aims to strengthen the climate resilience of the most vulnerable communities in Burkina Faso, particularly those living in the Bankui, Sourou, Nando, Yaadga and Guiriko regions of the country. This will be done through the three components below:

Component 1: Strengthening the climate resilience of agro-silvo-pastoral and fisheries production systems (USD 10,500,000);

Component 2: Increased access of vulnerable communities to markets and innovative financing to strengthen their resilience/adaptation to climate change (USD 3,000,000);

Component 3: Strengthening local climate governance, learning and knowledge sharing (USD 1,500,000).

Requested financing overview:

Project/Programme Execution Cost: USD 1,574,586

Total Project/Programme Cost: USD 16,574,586

Implementing Fee: USD 1,408,840

Financing Requested: USD 17,983,426

The proposal includes a request for a project formulation grant of USD 150,000.

The initial technical review raises several issues, such as a revision of outcomes and outputs, how gender considerations were included in the Stakeholder analysis, quantitative estimates of the economic, social, and environmental benefits, and the beneficiaries’ gender-disaggregated, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.

The second technical review finds that most of the first review CRs and CARs are addressed. However, few remain to be adequately addressed including: aligning proposal length with AF limits, indicating the month of year in the project calendar, providing more details on the listed project activities, providing a summary table of the consultations, further revision of the AF RF alignment table, etc., among other editorial notes, as indicated in the second review.

The third technical review finds that some CRs and CARs are to be addressed such as the concept proposal length, missing assumptions and risks in the Theory of Change diagram, project alignment table

with AF Results Framework, as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.

The fourth technical review finds that a few CRs and CARs are still to be addressed such as stakeholder consultation clarification, typographical errors/sentence issues and PFG start date, and indicator level budget in results framework alignment table as is discussed in the number of Clarification Requests (CRs) and Corrective Action Requests (CARs) raised in the review.

The fifth technical review finds that there are to remaining CRs/CARs to be addressed pertaining to the results framework alignment table and the PFG request form.

The sixth technical review finds that all outstanding CRs and CARs have been addressed.

## Country Eligibility

### Is the country party to the Kyoto Protocol, or the Paris Agreement?

**Response:** Yes

**Secretariat:**

The country has ratified both the Kyoto Protocol (31 March 2005) and the Paris Agreement (11 November 2016).

### Is the country a developing country particularly vulnerable to the adverse effects of climate change?

**Response:** Yes

**Secretariat:** Yes.

Burkina Faso is experiencing rapid and intensifying climatic changes, including rising temperatures, more irregular, unpredictable and often intense rainfall, more frequent dry spells and increasingly frequent floods and violent winds. These hazards have already caused significant crop losses, infrastructure damage and displacement, undermining food security and livelihoods in the project area.

TR1\_ 26 Jan 2026

**Response:** Yes

**Secretariat:** Note: Reference pages of the second review are those of the track change version document, unless otherwise specified.

## Project Eligibility

### Has the designated government authority for the Adaptation Fund endorsed the project/programme?

**Response:** Yes

**Secretariat:** Yes.

As per the Endorsement letter dated 16 December 2025.

TR1\_ 26 Jan 2026

**Does the length of the proposal amount to no more than Fifty pages for the project/programme concept, including its annexes?**

**Response:** Pending

**Secretariat:** No.

The total number of pages, including Annexes, is 52. Kindly remember that annexes are part of the CN and therefore count toward the 50-page limit.

CR1: Please amend the page numbers throughout the document.

CR2: Please remove the small 125 from the Amount (USD) of component 2 in Table “Project/Programme Components and Financing”.

CAR1: Please present the budget in the Project/Programme Components and Financing table at the output level by adding additional rows in the amount column.

CR3: Please amend the “Error! Reference source not found” messages in throughout the document.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CR1: Cleared.

CR2: Cleared. See IE response and p. 20 (pdf clean version).

CAR1: Cleared. See pp. 21-22. Note: Add able heading and number. Apply for similar cases across the document.

CR3: Cleared. See IE response. Figures and tables cross-references have been entered manually.

CAR1 NEW: The proposal document is 50 pages excluding endorsement letter, annexes (e.g.: gender assessment, consultations), and additional text needed to address the second review comments. To ensure compliance with AF document length limit please consider the following:

1. In Part I (pdf clean version), consider deleting the following:

(i) Executive summary.

(ii) Table 1, p. 3.

(iii) On pp. 15-17, delete most of the text, only keep under “Problem analysis and impacts” an introductory paragraph to the DPSIR figure/ chart and table 3.

(iv) Consider deleting some figures if the presented key information is reflected in related discussions.

2. Reduce the Gender Assessment Annex to include only a brief initial assessment of maximum 2-3 pages

(gender action plan is not required at CN stage). A more detailed gender assessment and budgeted action plan is required at full proposal stage.

3. Reduce consultations annex to include only key details of the initial consultations and dates (maximum 5-6). Further consultations would be required at full proposal stage.

4. Foot notes numbering indicates multiple footnotes for the same source, which takes space. Same reference/ source should typically have one/ same footnote number.

5. Besides the DA endorsement letter (which could be inserted in Part IVA, or an annex), annexes at this stage would include initial gender assessment annex and consultations annex. Therefore, please revise annexes numbering sequence and their reference at related text.

6. Please update the submission section on the cover page of the proposal to reflect the latest submission date at re-submission.

CAR2 New: In the Project Calendar table, add month of the year in the "Expected Date" column, and note that Terminal Evaluation should be within 9 months after project closing. Also, please add Table number and heading

Editorial notes: To improve the quality/ clarity of the document, please consider the following:

1. Add Table of contents, and lists of abbreviations/ acronyms, tables and figures (will not count towards document length).
2. Spell out abbreviation when first used in discussions/ text and refer to tables figures and annexes as appropriate at related discussions.
3. Ensure that all tables and figures are numbered and with appropriate headings. Some tables are missing numbers and headings (e.g., components financing table, project calendar, AF RF alignment table etc.).
4. A thorough round of editing proofreading is recommended

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CAR1 NEW: Not cleared.

Amendments have been made. However, please ensure that the concept proposal is no more than 50 pages. This is inclusive of the Annexes.

CAR2 New: Not cleared.

As per page 19, please note that if the proposed closing date is May 1, 2032, then the date for the Terminal Evaluation should be 9 months after. Please adjust accordingly. Additionally, the proposal notes a PFG start date of November 2027. This does not align with the PFG application form.

Editorial notes have been addressed for most: Table of Contents, Abbreviations explained, and references to Figures/Tables and Annexes as appropriate.

Please include Table captions for all tables including those in the Annexes. Some tables are missing captions, and some are missing the numbering.

TR2\_16 June 2026

**Response:** Pending

**Secretariat:** CAR1 NEW: Cleared.

The total number of pages have been reduced to 49 pages.

CAR2: Not cleared.

All tables and figures have captions. However, please update the start date of the PFG in the proposal and the PFG application.

CAR2.1(NEW): Please address

The typographical error/repetition in the first paragraph in the Summary of Stakeholder Consultation.

**Response:** Cleared

**Secretariat:** .CAR2- Cleared

Start date updated to October 2026 while end date is April 2027.

CAR2.1- (NEW) Cleared

The repeated text “The consultation process adopted an inclusive and gender-sensitive approach to ensure the participation of vulnerable groups and communities affected by climate change impacts. A total of 83 stakeholders were consulted, including women (representing 28% of participants), youth, internally displaced persons (IDPs), and other vulnerable groups. “ has been removed.

### **Does the project / programme support concrete adaptation actions to assist the country in addressing adaptive capacity to the adverse effects of climate change and build in climate resilience?**

**Response:** Pending

**Secretariat:** Yes.

However, additional information is required.

Part II.A (pages 24-27) provides a description of the project components, outcomes and outputs, with a focus on concrete adaptation measures. The proposed project is structured around three components, three outcomes, and 12 outputs. The project aims to improve hydro-agricultural and fisheries infrastructure, strengthen the technical capacity of smallholder producers, support women in accessing microcredit or climate finance, and develop regional climate change adaptation plans, among other actions. A coherent Theory of Change is presented alongside its diagram. However, some amendments and additional information are required.

CR4: Please align the general objective throughout the document. Page 22 states that the objective of the proposed project is “The overall aim of the proposed project is to strengthen the climate resilience of the most vulnerable communities in Burkina Faso, particularly those living in the Bankui, Sourou, Nando, Yaadga and Guiriko regions of the country”, while the Theory of Change diagram presents “to restore degraded landscapes, enhance biodiversity, and promote resilient livelihoods in the drylands of Ethiopia as part of the country’s contribution to the regional Great Green Wall initiative (GGWI) by integrating sustainable land management, forest conservation, and agroecological practices”.

CR5: Please avoid repeating the context information in the description of components, outputs and activities.

CR6: Please ensure that the outcomes in Part II.A are the same as the ones in the table “Project/Programme Components and Financing”. In addition, ensure alignment with the ones included in the Table in Part III.A.

CR7: Please revise all Outputs, considering that outputs are the direct, tangible products (e.g., trainings held). For example, Output 1.1 “Degraded agro-silvo-pastoral and fisheries landscapes are restored through climate-

resilient techniques and technologies” corresponds to an outcome. In addition, please include a target for each output.

CR8: Kindly structure each output around activities (actions needed to reach an output). For each activity, include details such as stakeholders involved and the number of beneficiaries with gender-disaggregated figures.

CR9: The Theory of Change should include the activities as well as the assumptions needed to reach substantial tangible outcomes.

CR10: Please include a statement on how the Activities support one or more Adaptation Fund Strategic Objectives as this is not clearly defined.

CR11: Please provide numbers and percentages to understand the scale of the problem. For example, the context section (page 8) states that only 5.5% of women receive loans from financial institutions, while the description of Output 2.1 (page 26) indicates that the project will support at least 1,000 women to access microcredit or climate finance. It would be useful to understand how 1,000 women compare to the previously mentioned 5.5%.

CAR2: The funding distribution between the 3 components might need a revision or clarification. Despite being an important component for the project overall objective, the component 2 is largely underfunded compare to the component 1. Kindly explain how the proposed amount of USD3 million can cover the number of activities aiming at supporting value chains and private sector engagement?

CR12: The project output 3.1 aims to support “local and regional governments”. Kindly explain what is meant by regional government since the project is structured at national level?

CR13: The project plans to involve the private sector in many of the proposed activities. However, there is clear definition or description of the private sector actors targeted by the project. Kindly strengthen the document with clear definition and description of the proposed private sector actors?

CR14: Kindly revise the document and further strengthen the adaptation measures in terms of range of beneficiaries. There is little information on how the 1,000 women beneficiaries have been identified. Given the proposed amount, such figure seems very low. Kindly clarify.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CR4: Not Cleared. See p. 20.

Please address the following:

1. Have “Project Objectives” as a dedicated bold subheading.
2. Move the theory of change (ToC) and introductory paragraph after “Project Objectives”. Note: add the ToC figure/ chart heading and number.

CR5: Cleared. See pp. 22-23 and 26-27.

CR6: Cleared. See components financing table, pp. 21-22 and Part IIA, pp. 23, 25, and 27.

CR7: Cleared. See Part IIA, pp. 22-28.

CR8: Not Cleared. Please provide more details on the listed activities including location, stakeholders involved, beneficiaries disaggregated by gender, supporting quantification to demonstrate the size and number of interventions and substantiate allocated budgets. etc.

CR9: Cleared. See pp. 19-20.

CR10: Not Cleared. Please include AF objectives/ outcomes indicated in Part IIIA alignment table. Place the statement after Objectives or as appropriate in Part IIA.

CR11: Cleared. See p. 25. Also, see CR8 above.

CAR2: Cleared. Based IE response, and components revised description in Part IIA, pp. 22-28.

CR12: Cleared. See IE response and footnote at the bottom of p. 21.

CR13: Cleared. See p. 26.

CR14: Cleared. See p. 25.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CR4: Cleared

As per page 17, Project Objectives is a dedicated subheading, and the ToC is appropriately positioned and labelled.

CR4.1 New: On page 17, the Theory of Change diagram is missing assumptions and risks. Please update the diagram accordingly.

CR8: Cleared.

As per pages 19-25, Outputs 1.1-3.5, additional details are provided for the activities.

CR10: Cleared.

As per pages 17-18, two Adaptation Fund Strategic Objectives have been identified

TR3\_16 June 2026

**Response:** Pending

**Secretariat:** CR4.1 New: Cleared.

As per page 17, the Theory of Change diagram includes assumptions and risks.

**Response:** Cleared

**Secretariat:** Cleared as per updated TOC diagram.

**Does the project / programme provide economic, social and environmental benefits, particularly to vulnerable communities, including gender considerations, while avoiding or mitigating negative impacts, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?**

**Response:** Pending

**Secretariat:** Yes.

However, more information is required.

Part II.B (pages 27-29). The proposal highlights broad economic, social, and environmental benefits of the project, including the contribution to five Sustainable Development Goals. However, it offers no quantitative estimates of these benefits and does not explain how specific vulnerable groups (women, youth, others) will be targeted equitably.

CAR3: Please refer to Annex 5 in Part II.B, to determine the different needs, capabilities, roles and knowledge resources of women and men, and/or identify how changing gender dynamics might drive lasting change. In addition, kindly align the budget for the Gender Action Plan in Annex 5 to the amount presented in the PFG form.

Please provide/include an Initial Gender Assessment relevant to the project scope. Attach it as an annex and refer to it at related sections, or include this under a dedicated subsection in Part I. The proposed section for gender assessment is very short and too broad.

CR15: Please include quantitative estimates of the economic, social, and environmental benefits, along with a brief explanation of the calculation method. If exact figures are unavailable, include estimation proxies.

CR16: Please include the overall project beneficiaries (direct and Indirect), gender disaggregated, and identify the specific vulnerable groups (e.g., women, indigenous peoples) that will benefit from the project.

CR17: Please outline how the benefits will be equitably distributed. In addition, please indicate whether there are any negative concerns or risks of maladaptation related to the proposed project.

CR18: As currently presented, the proposed activities under components 1 and 2 are not localized or better assessed, which implies that the activities can be categorized as unspecified projects (USPs). This is the case for example for the proposed activities under outputs 1.1, 1.2 and 2.3. Such approach of USPs is not usually recommended by AF and require meeting specific AF requirements. Please clearly/ identify the USPs, clarify if any of them would be identified at full proposal stage, and briefly discuss how they will be managed to meet AF requirements. Refer to this link for guidance.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CAR3: Cleared. However, please see CAR1 New above. Also, see IE response regarding the Gender Action Plan Budget

“Regarding the budget in the PFG form, this only references the costs associated with procuring a Gender and Social Inclusion Specialist to conduct the relevant analyses and to ensure mainstreaming of gender and social inclusion into the project. The amounts in the gender action plan show the proportion of the budget allocated to different gender-related activities in the project itself”.

CR15: Cleared. Sufficient for CN level. See pp. 30-31.

CR16: Cleared. Sufficient for CN stage. See pp. 30-31, and IE response.

CR17: Cleared. Sufficient for CN stage. See “Targeting strategy”, pp. 30-31.

CR18: Cleared. See “Component 1” introduction, p. 23, and IE response.

TR2\_8 May 2026

**Response:** Cleared

**Secretariat:** N/A

**Response:** Cleared

**Secretariat:** The concept note is within the page limit.

### Is the project / programme cost effective?

**Response:** Pending

**Secretariat:** Yes.

However, further information is required.

Part II.C (page 29-31). The proposal provides an explanation of how the proposed programme ensures effectiveness, outlining the rationale for each major activity. In addition, it presents less cost-effective alternatives for comparison with the interventions included in the proposed project. It states that “A detailed economic and financial analysis of the project’s interventions will be carried out as part of the development of the full funding proposal”. However, the proposal does not clarify the selected scope and approach.

CR19: Please clarify the selected scope and approach of the project’s cost-effectiveness.

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CR19: Cleared. Sufficient for CN stage, See IE response and Part IIC first para, p. 31. A more detailed cost effectiveness analysis is needed at full proposal stage.

TR2\_8 May 2026

**Response:** Cleared

**Secretariat:** N/A

Is the project / programme consistent with national or sub-national sustainable development strategies, national or sub-national development plans, poverty reduction strategies, national communications and adaptation programs of action and other relevant instruments?

**Response:** Pending

**Secretariat:** Yes.

As per information provided in Part II.D (pages 32-33). The proposed programme is consistent with 13 national instruments, including the National Climate Change Adaptation Plan 2024-2028, the NDC 2021-2025, and the National Gender Strategy 2020-2024.

TR1\_ 26 Jan 2026

**Response:** Cleared

**Secretariat:** N/A

### Does the project / programme meet the relevant national technical standards, where applicable, in compliance with the Environmental and Social Policy of the Fund?

**Response:** Pending

**Secretariat:** Yes.

As per information provided in Part II.E (pages 33-36), specifically in Table 5. The proposal identifies 25 relevant legal frameworks aligned with the proposed project, including the Constitution, Environmental Code, Framework Law on Sustainable Development, Framework Law on Water Management, and the Labour Code, among others.

TR1\_ 26 Jan 2026

**Response:** Cleared

**Secretariat:** N/A

### Is there duplication of project / programme with other funding sources?

**Response:** Pending

**Secretariat:** No.

However, further information is required.

Part II.F (pages 37-42). The concept note lists 13 ongoing projects and initiatives that are complements to the proposed project. However, a clear justification to ensure non-duplication is needed.

CR20: Please clarify whether past projects implemented in the intervention regions are related to the proposed project. If so, please include them in Table 6. In addition, the no-duplication statement should be explicit and clearly justified (e.g., by indicating the distinct geographic locations and/or types of interventions) for each related project identified.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CR20: Cleared. See Part IIF, p. 39.

TR2\_8 May 2026

**Response:** Cleared

**Secretariat:** N/A

**Does the project / programme have a learning and knowledge management component to capture and feedback lessons?**

**Response:** Pending

**Secretariat:** Yes.

However, further information is required.

Part II.G (pages 42-43). The proposed project includes Component 3 on learning and knowledge management. The CN states that the expected results include lessons learned, publications and research, documentation of adaptation experiences, and knowledge sharing. However, more details are needed.

CR21: Kindly clarify the following:

- Which entities will be responsible for tracking the experiences gained, how this will be done, and when the tracking will take place.
- Explain how the knowledge generated will be sustained after the project concludes and what arrangements will be needed to support these actions.

CR22: Although KM is presented under section G, it is not clear how KM activities will be funded specifically. Kindly clarify an indicative budget for KM activities.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CR21: Cleared. See pp. 45-46 and IE response.

CR22: Cleared. See, Part IIG, p. 46. The knowledge management activities of the project will be funded through Component 3, at a total of USD 1,500,000.

TR2\_8 May 2026

**Response:** Cleared

**Secretariat:** N/A

**Has a consultative process taken place, and has it involved all key stakeholders, and vulnerable groups, including gender considerations in compliance with the Environmental and Social Policy and Gender Policy of the Fund?**

**Response:** Pending

**Secretariat:** Yes.

However, further information is required.

Part II.H (page 43). The proposal indicates that a participatory consultation process was carried out at two levels:

central and decentralised. Key stakeholders participated with a total of 83 individuals through 36 interviews, 28% of whom were women, from the following institutions: sectoral ministries, local authorities, NGOs and CSOs, private sector, researchers, among others. However, it is unclear how gender considerations and the concerns of vulnerable groups have been addressed.

CR23: Please refer to the Stakeholder Consultation Report and include the following information in the CN, rather than as a separate document:

- (i) Background information to verify whether marginalized and vulnerable groups have been consulted.
- (ii) A summary of the subjects/issues discussed and any agreements reached, for each session.
- (iii) Indicate how the participants' interests have been incorporated in the proposed project, including how gender and other vulnerable group considerations were addressed.

CAR4: Please refer to Annex 5 and include an Initial Gender Analysis in the CN, rather than as a separate document. The information provided should inform and guide the identification of women's specific needs.

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CR23: Not Cleared. Please provide a summary table of the consultations. The Table should include consultation date, consulted entity/ group/ community, number of participants disaggregated by gender, key topics discussed, outcomes and how these outcomes were considered in project design. Also, see CAR1 New above.

CAR4: Cleared. See p. 8. Also, see CAR1 New above. A detailed gender assessment and budgeted gender action plan is needed at full proposal stage. Note: ensure proper annex numbering sequence.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CR23: Not cleared.

As per Annex 2 (Pages 52), a summary table of the consultation is provided. However, it remains unclear how vulnerable groups have been consulted. Please make this more explicit.

In addition, has the gender machinery (Ministry responsible for gender, associations and those with mandates to address gender equity) been consulted as part of the process? If these have not been consulted, please indicate this will happen during the PFG phase.

TR3\_16 June 2026

**Response:** Pending

**Secretariat:** CR23: Not cleared

As per Annex 2 a paragraph has been included to highlight the vulnerable groups have been consulted, as well as the gender machinery. It is acknowledged that further consultations will be held.

For consistency:

- (i) Please include the preliminary meeting with the Ministry responsible for Gender in table 10.
- (ii) Please include the dates of the consultations with the vulnerable groups and other relevant information in

paragraph in table 10 or confirm that the meetings 30-31 July 2024 represent the consultations with the vulnerable groups. If so, please also update the table so this is clear.

**Response:** Cleared

**Secretariat:** CR23: Cleared

(i) Cleared. The dates of the consultations with the vulnerable groups are now explicit in Table 24.

(ii) Cleared The meeting with the Ministry responsible for Gender and Social Inclusion was held on June 18, 2024

### Is the requested financing justified on the basis of full cost of adaptation reasoning?

**Response:** Pending

**Secretariat:** Yes.

However, further information is required.

Part II.I (pages 43-45). The CN outlines a baseline and AF Project scenarios for each of the three components. However, the document does not provide clear information on additional funding sources, and further details are required.

CR24: At the last paragraph of the section, please confirm whether the AF will be the sole source of funding. If not, please clearly indicate how the project, using only AF resources, will be able to effectively meet its objectives

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CR24: Not Cleared. Please clearly indicate that “the project will achieve its planned adaptation objectives/ outcomes solely based on the requested AF funds, irrespective of additional co-financing/ in-kind contribution from other sources”.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CR24: Cleared

As per page 39, the project will achieve its planned objectives/outcomes solely based on the requested AF fund, irrespective of in-kind contribution from other sources

TR3\_16 Jun 2026

**Response:** Cleared

**Secretariat:** N/A

## Is the project / program aligned with AF's results framework?

**Response:** Pending

**Secretariat:** Yes.

As per information provided in Part III.A (pages 49-51). The alignment of the project with the Adaptation Fund Results Framework is presented, considering outcomes and outputs for each component. However, amendment is required to the alignment table. Please utilize updated template available at Results Framework Alignment Table (Amended in November 2025) (77 kB, DOC

CAR5: Please also include a sentence on the alignment presented in Part III A at Part II B identifying the AF outcomes to which the proposal is aligned.

CAR6: Please delete the implementation arrangements information presented at Part III A as this information is not required at the CN state.

TR1\_ 26 Jan 2026

**Response:** Pending

**Secretariat:** CAR5: Not Cleared. Please address the following:

1. Outcome 6 at AF outcome level ( table upper part) is not reflected at AF Output level (table lower part). Please reflect at output level for consistency.
2. Add rows to indicate totals at AF outcome level (table upper part) and AF output level (lower part). Total at each level should be \$15,000,000.
3. Add table number and heading.
4. Add the budget at the indicator level in the grant amount column.

CAR6: Cleared. Implementation arrangements info. deleted. See p. 56

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CAR5: Not cleared

On page 47 (Table 9), please ensure the entire Output 6 statement is included.

Please label the totals row as per guidance document ( Results Framework Alignment Table (Amended in November 2025) (77 kB, DOC) i.e.

- i)Total objective level grant amount
- ii)Total outcome level grant amount

Additionally, it is advised that only one column is used for the Grant Amount. Please use the template provided in the guidance document.

TR3\_16 June 2026

**Response:** Pending

**Secretariat:** CAR5: Not Cleared

The full AF Output 6 statement is included.

The total rows in the now Table 21 are aligned to the guidance document as well as the grant amount column. However please provide the grant amount at the outcome indicator /output indicator level.

**Response:** Cleared

**Secretariat:** CAR5: Not Cleared

As per Table 21, the grant amount is not presented at the outcome indicator /output indicator level. Please address for example, 4.1. Responsiveness of development sector services to evolving needs from changing and variable climate;

5. Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress; 6.1 Percentage of households and communities having more secure access to livelihood assets  
6.2. Percentage of targeted population with sustained climate-resilient alternative livelihoods;  
are lumped together for 10,500,000; please separate indicator costs throughout the table.

NOTE: At the next submission of this proposal (fully developed stage), please transition the results framework alignment table to utilize the Updated 2026 SRF outcomes and indicators.

**Response:** Cleared

**Secretariat:** CAR5: Cleared.

Based on updated Table 21.

**Has the sustainability of the project/programme outcomes been taken into account when designing the project?**

**Response:** Pending

**Secretariat:** Yes.

However, additional information is required.

Part II.J (page 45-46). The proposed project provides general information on its sustainability. It states that local authorities will sign community-based infrastructure management agreements, and that financial arrangements will rely on public funds. However, more specific information is needed.

CR25: Please refer to sustainability from at least these four areas: economic, social, environmental, institutional, and financial. For the latter, kindly explain whether any existing arrangements with the National Treasury are already in place.

CR26: Kindly explain clearly how replication and scaling up of the proposed project activities and benefits will be achieved. This should include all necessary arrangements to guarantee the project's sustainability and long-term maintenance.

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CR25: Not Cleared. No related revisions have been noted in Part III. Please revise Part III discussion to address the key areas of sustainability (economic, social, environmental, financial) under dedicated headings

and to explain the arrangements via which sustainability under these areas will be ensured, including those with National Treasury.

CR26: Not Cleared. No related revisions noted in Part IIJ. Under dedicated subheadings, briefly explain the O&M arrangements for the infrastructures, etc., planned by the project. Also, briefly discuss the potential replication/ scaling up of the project outcomes with other funds after its completion/ end.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CR25: Not Cleared

As per pages 41-42, revisions have been made to address key areas of sustainability. However please tighten the financial sustainability section as there is some overlap with other sections. Financial sustainability should highlight how the project results will continue to be financed, managed and supported after project closure, including through the National Treasury and government systems.

CR26: Not cleared.

Under Operation and Maintenance (page 42), the final sentence is unclear. Please clarify whether these elements of the O&M arrangements are to be addressed under the PFG. If so, please amend paragraph accordingly. Notably the funding arrangements for O&M must be explored for post-project sustainability.

Under potential for replication and scaling up, PAMED and LIFE-AR should be positioned as background initiatives. Please explain how this proposed project's outcomes can be replicated or scaled up after completion

TR3\_16 June 2026

**Response:** Pending

**Secretariat:** CR25: Cleared

Financial sustainability adequately reflects how the project results will continue to be financed, managed and supported after project closure such as embedding LoCAL in public finance system.

CR26: Not Cleared

The proposal acknowledges O&M will be addressed under the PFG. However, please amend the sentence as there are some typographical errors & repetition: "Detailed O&M arrangements, such as maintenance schedules, lifecycle costs, spare parts, fee collection, asset ownership, or post-project financing for repairs will be determined during the full proposal development. he detailed O&M arrangements will be further developed during the Project Formulation Grant (PFG) phase. "

PAMED and LIFE-AR have been adequately positioned as background initiatives.

**Response:** Cleared

**Secretariat:** CR26:

Cleared As per page 40, the typographical errors and repetition under O&M have been addressed

**Does the project / programme provide an overview of environmental and social impacts / risks identified, in compliance with the Environmental and Social Policy and Gender Policy of the Fund?**

**Response:** Pending

**Secretariat:** No.

Part II.K (pages 46-48). The proposed project provides general information about environmental and social risks, stating the project will generate significant positive impacts. It also states the project classification as Category B in the screening process. The risks have been identified against the 15 ESP principles. However, no mitigation measures are described, and some amendments are needed. The negative impacts are not provided.

CR27: Please note for the checklist that Adaptation Fund Principles 1, 4 and 6 always apply. For more information, please visit: AF's ESP guidance and Environmental and Social Policy .

CR28: Please revise the Table in Part II.K to ensure the following:

- Describe all potential impacts (direct, indirect, transboundary and cumulative) and risks that could result from the project.
- Revise the magnitude of the risks and impacts. Risk should describe as: "There is a risk ...." and should be accompanied by mitigation plans.

CAR7: Please ensure that the Gender Analysis, including qualitative and quantitative data, in order to clarify the opportunities and challenges/risks for men and women.

CR29: The document states in section K that the project is categorized as B without further justification. Kindly substantiate category B with elements justifying it.

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CAR3 New: Align E&S Checklist table with AF format (3 columns needed) and add table number and heading. Also, please address the following:

1. In column 2 mark with (✓ ) the principles for which no further assessment is needed (unmarked ones will by default require further assessment to be described in column 3). In this respect, please note that AF Principles 1, 4 and 6 always apply. For more information, visit: AF's ESP guidance and Environmental and Social Policy.
2. In column 3, specify risks (and level) for each AF E&S principle, further assessments needed, and measures to ensure compliance. Refer to USPs (if any) and initial gender assessment at related E&S principles.

CR27: Will be cleared upon clearing CAR3 New above.

CR28: Cleared. See CAR3 New above.

CAR7: Cleared. See CAR4 above. A detailed gender assessment and budget gender action plan is needed at full proposal stage.

CR29: Cleared. See p. 49.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CAR3: Not cleared

As per Table 8 (pages 44-45) the risks and risk level of each E&S principle have been added. However please add a (✓ ) if no further assessment is needed.

As Principles 1 (Compliance with the law), 4 (Human Rights) and 6 (Core Labour Rights) always apply, these remain blank. Please remove the (✓ ).

For the other Principles, those with no risk should have a (✓ ). Note that Human Rights (Principle 4) should be considered as a low risk.

In addition, Principle 11 (Climate change) refers to reducing greenhouse gas emissions.

Please review the text under column 3 for coherency.

CR27:Not cleared

Please refer to CAR3.

TR3\_16 June 2026

**Response:** Pending

**Secretariat:** A (✓ ) indicating 'no further assessment is needed' was applied as appropriate.

Principles 1 (Compliance with the law), 4 (Human Rights) and 6 (Core Labour Rights) are blank reflecting further assessment required.

Human Rights (Principle 4) adjusted to be as a low risk.

Column 3 is more coherent providing the justification of the risk(s) followed by the mitigation measure.

CR27: Cleared.

As per CAR3.

## Resource Availability

**Is the requested project / programme funding within the cap of the country?**

**Response:** Pending

**Secretariat:** Yes.

The project is USD 17,983,426.

TR\_26 Jan 2026

**Response:** Cleared

**Secretariat:** N/A

**Is the Implementing Entity Management Fee at or below 8.5 per cent of the total project/programme budget before the fee?**

**Response:** Pending

**Secretariat:** Yes.

As per information in Table "Project/Programme Components and Financing" and PFG Form.

All figures are rounded to whole numbers, and the Implementing Entity Management Fee is 8.5% (USD 1,408,840). The figures add up across the tables alongside the CN. The PFG request is correct in size (USD 150,000), including the PFG fee for the Implementing Entity's Management of 8.5% (USD 12,750).

CAR8:

1. Please clarify if the PFG is intended to be executed by Ministry of Environment, Water, and Sanitation and the Ministry of Agriculture, Animal and Fisheries Resources (MEEA/MARAH). If not please replace with UNDP if UNDP will execute the PFG.
2. Please clarify if the Economist is a consultant and amend the PFG to reflect that this is a consultant.

TR1\_26 Jan 2026

**Response:** Pending

**Secretariat:** CAR8: Cleared. See revised PFG. UNDP will execute the PFG, and the economist will be a consultant.

CR1 (NEW): Please update the start date of the PFG.

TR2\_8 May 2026

**Response:** Pending

**Secretariat:** CR1(NEW): Not cleared.

On page 19, there is some misalignment with the PFG start date in the PFG form and the concept proposal. Please confirm the date and ensure alignment across the two documents.

TR3\_16 June 2026

**Response:** Cleared

**Secretariat:** CR1(NEW): Not Cleared. As per page 17, the PFG start and end date in the proposal is the same as the PFG application.

However, since decimal points should not be used please round up the figures presented for \*Stakeholders' workshops for collecting stakeholder inputs and validating the project design;

\* PPG Activity Total and;  
\*Implementing Entity's Management  
Fee

**Response:** Cleared

**Secretariat:** CR1(NEW): Cleared.

The PFG has been updated to remove the decimal points.

**Are the Project/Programme Execution Costs at or below 9.5 per cent of the total project/programme budget (including the fee)?**

**Response:** Pending

**Secretariat:** Yes.

As per information in Table "Project/Programme Components and Financing".

All figures are rounded to whole numbers, and the Implementing Entity Management Fee is 9.5% (USD 1,574,586). The figures add up across the tables alongside the CN.

TR1\_26 Jan 2026

**Response:** Cleared

**Secretariat:** N/A

## Eligibility of IE

**Is the project/programme submitted through an eligible Implementing Entity that has been accredited by the Board?**

**Response:** Pending

**Secretariat:** Yes.

UN Development Programme (UNDP) is an accredited Multilateral Implementing Entity (MIE).

Accreditation Expiration Date: 11 October 2029

TR1\_Jan 2026

**Response:** Cleared

**Secretariat:** N/A



**Revised PFG Submission Form<sup>1</sup>**  
**Project Formulation Grant (PFG)**

**Submission Date:** 18 December 2025

**Adaptation Fund Project ID:** AF00000497

**Country/ies:** Burkina Faso

**Title of Project/Programme:** Strengthening the Climate Resilience of vulnerable communities in the Bankui, Sourou, Guiriko, Nando and Yaadga regions of Burkina Faso

**Type of IE (NIE/RIE/MIE):** MIE

**Implementing Entity:** UNDP

**Executing Entity/ies:** UNDP

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**A. Project Preparation Timeframe**

|                               |              |
|-------------------------------|--------------|
| <b>Start date of PFG</b>      | October 2026 |
| <b>Completion date of PFG</b> | April 2027   |

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**B. Proposed Project Preparation Activities (\$)**

| List of Proposed Project Preparation Activities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Output of the PFG Activities                                                                                                                                                                                                                                                        | US\$ Amount | Budget note <sup>2</sup>                                                                                                                                                                                                                                                                                                                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>International consultant - Lead Consultant: Project Design and Development</b><br><br><b>Scope includes:</b> <ul style="list-style-type: none"><li>Overseeing and guiding the situation analysis</li><li>Developing a feasibility study, incorporating inputs from the National Consultant</li><li>Providing technical oversight for the gender assessment conducted by national consultant</li><li>Leading project strategy development (theory of change, component structuring)</li><li>Developing the project logframe and results framework</li></ul> | Situation analysis report capturing on-the-ground conditions across target regions<br><br>Feasibility Study<br><br>Draft project strategy including logframe outline, M&E plan, results framework, KM plan, budget structure<br><br>Detailed Project Logframe and Results Framework | 35,000      | <a href="#">1 Lead International Consultant on Project Design and Development : overseeing and guiding the situation analysis - Developing a feasibility study - Providing technical oversight for the gender assessment - Leading project strategy development -Developing the project logframe and results framework - Designing the M&amp;E strategy - Developing the detailed</a> |

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<sup>1</sup> As presented in AFB/PPRC.33/40 Annex 1.

<sup>2</sup> The proposal should include a detailed budget with budget notes indicating the break-down of costs at the activity level. It should also include a budget on the Implementing Entity management fee use.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                          |        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>Designing the Monitoring &amp; Evaluation (M&amp;E) strategy</li> <li>Developing the detailed project budget</li> <li>Lead the design of Gender Action Plan – in collaboration with NC – Gender and Social Inclusion Specialist</li> <li>Ensuring coherence across all analytical inputs and integrating them into the full proposal</li> </ul>                                                                                                                                                                                                                                                                                                        | <p>Feasibility study reports with technical options analysis and costing</p> <p>Detailed and concrete project budget</p> |        | <p><u>project budget - Lead the design of Gender Action Plan -Ensuring coherence across all analytical inputs and integrating them into the full proposal Total consultancy amount = 35,000 USD</u></p> <p><u>1 international Consultant on Environmental &amp; Social Safeguards Consultant:</u></p> <p><u>Conducting the environmental and social risk screening (ESS)</u></p> <p><u>- Preparing safeguards documents - Leading the Environmental Impact Assessment (EIA)-</u></p> <p><u>Developing the Environmental and Social Management Plan (ESMP/ESMF) -Supporting stakeholder consultations related to safeguards -</u></p> <p><u>Ensuring compliance with national regulations and AF Environmental and Social Policy Total consultancy amount</u></p> |
| <p><b>International Consultant Environmental &amp; Social Safeguards Consultant (ESS Specialist)</b></p> <p><b>Scope includes:</b></p> <ul style="list-style-type: none"> <li>Conducting the environmental and social risk screening (ESS)</li> <li>Preparing safeguards documents required by the AF (screening report, risk register, disclosure notes)</li> <li>Leading the Environmental Impact Assessment (EIA) where required</li> <li>Developing the Environmental and Social Management Plan (ESMP/ESMF)</li> <li>Supporting stakeholder consultations related to safeguards</li> <li>Ensuring compliance with national regulations and AF Environmental and Social Policy</li> </ul> | <p>ESIA report, ESMP outline, clearance certificate</p>                                                                  | 15,000 | <p><u>15,000 USD</u></p> <p><u>1 International Consultant on Economic &amp; financial analysis Total consultancy amount = 6,250 USD</u></p> <p><u>Total International consultants budget= 56,250 USD</u></p> <p><u>Lead Consultant to serve as penholder for developing of full project proposal</u></p> <p><u>ESS expert and field surveys Economist + desk work</u></p>                                                                                                                                                                                                                                                                                                                                                                                        |
| <p><b>International consultant on economic and financial analysts</b></p> <p>Develop the EFA</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <p>Full economic &amp; financial analysis required for AF submission</p>                                                 | 6,250  | <p><u>1 Local Consultant on Adaptation to support project design and development :</u></p> <p><u>Conducting research and data gathering for the situation analysis -</u></p> <p><u>preparing background documentation and local inputs for pre-feasibility assessments - Supporting the organisation and</u></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <p><b>Local Consultant(s) – Adaptation to CC to support project design and development</b></p> <p><b>Scope includes:</b></p> <ul style="list-style-type: none"> <li>Conducting research and data gathering for the situation analysis</li> <li>Preparing background documentation and local inputs for pre-feasibility assessments</li> <li>Supporting the organisation and logistics of field missions for international and national experts</li> </ul>                                                                                                                                                                                                                                     | <p>Situation analysis report</p> <p>Feasibility Study</p> <p>Project budget</p>                                          | 20,000 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>Providing contextual information and facilitating local-level coordination</li> <li>Provide inputs for budget development</li> <li>Assisting the lead consultant with compilation of documents and information validation</li> </ul>                                                                                                                                                                                                                                                                                                         |                                                   |        | <a href="#">logistics of field missions for international and national experts - Providing contextual information and facilitating local-level coordination - Provide</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Local Consultant – Gender and Social Inclusion Specialist</b><br><br><b>Scope includes:</b> <ul style="list-style-type: none"> <li>Conducting the gender assessment, including field consultations and data collection</li> <li>Analysing gender-differentiated vulnerabilities and capacities</li> <li>Preparing the Gender Assessment Report and contributing to the Gender Action Plan</li> <li>Ensuring alignment with the Adaptation Fund Gender Policy</li> <li>Collaborating closely with the international consultant to integrate findings into the proposal</li> </ul> | Gender Assessment<br><br>Gender Action Plan       | 11,500 | <a href="#">inputs for budget development - Assisting the lead consultant with compilation of documents and information validation. <b>Total consultancy amount = 20,000 USD</b></a><br><a href="#">1 Local Consultant on Gender and Social Inclusion : Conducting the gender assessment, including field consultations and data collection - Analysing gender-differentiated vulnerabilities and capacities - Preparing the Gender Assessment Report and contributing to the Gender Action Plan</a>                                                                                                                                                                                                          |
| <b>Local Consultant on Environmental &amp; Social Safeguards</b><br><br><ul style="list-style-type: none"> <li>Conducting stakeholder consultations related to safeguards</li> <li>Ensuring compliance with national regulations and AF Environmental and Social Policy</li> </ul>                                                                                                                                                                                                                                                                                                  | Consultation reports on ESS                       | 10,000 | <a href="#">- Ensuring alignment with the Adaptation Fund Gender Policy. <b>Total consultancy amount = 11,500 USD</b></a><br><a href="#">1 Local Consultant on Environmental &amp; Social Safeguards (ESS) : - Conducting the stakeholder consultations related to safeguards - Ensuring compliance with national regulations and AF Environmental and Social Policy <b>Total consultancy amount = 10,000 USD</b></a><br><a href="#">Total National Consutancy = 41,500 USD</a><br><a href="#">National consultant to support project development</a><br><a href="#">NC – GESI Specialist to develop Gender Assessment and support development of the GAP</a><br><a href="#">ESS expert and field surveys</a> |
| <b>Field missions to project areas</b> (site verification, vulnerability assessment, local consultations)                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Pre-feasibility Study<br><br>Field mission report | 20,500 | <a href="#">Field visits to project target areas</a><br><a href="#">Field missions to project areas (site verification,</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

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|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Scope includes:</b> <ul style="list-style-type: none"> <li>Site visits to verify site information and identify specific climate change vulnerabilities and appropriate local level responses at these sites.</li> <li>Workshops and meetings with local beneficiaries and stakeholders to identify local issues, concerns and priorities and obtain support for the project.</li> <li>Identification of indicative project concepts.</li> <li>Compilation of stakeholder and beneficiary inputs for implementation plan.</li> </ul> |                                                                                    |                                    | <a href="#">vulnerability assessment, local consultations)</a><br><b>20,500 USD</b>                                                                                                                                            |
| <b>Translation, editing, design &amp; formatting of proposal package</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                               | French/English versions of full proposal and annexes                               | 2,000                              | <a href="#">Translation, editing, design &amp; formatting of proposal package</a> <b>2,000 USD</b><br><a href="#">Translation of key documents</a>                                                                             |
| <b>Stakeholders' workshops for collecting stakeholder inputs and validating the project design</b>                                                                                                                                                                                                                                                                                                                                                                                                                                     | Workshop report, validated elements of project design, improved proposal structure | <b>17,999</b><br><del>17,000</del> | <a href="#">Stakeholders' workshops for collecting stakeholder inputs and validating the project design</a><br><b>17,999</b> <del>18,000</del> USD<br><a href="#">Projects design/consultation workshops with stakeholders</a> |
| <b>PFPG Activity Total</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                    | <b>138,249</b>                     |                                                                                                                                                                                                                                |
| <b>Implementing Entity's Management Fee</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                    | <b>11,751</b> <del>12,750</del>    | 8.5% of PFG activities                                                                                                                                                                                                         |
| <b>Total Project Formulation Grant</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                    | <b>150,000</b>                     |                                                                                                                                                                                                                                |

Please describe below each of the PFG activities and provide justifications for their need and for the amount of funding required:

The Project Formulation Grant (PFG) will enable the Government of Burkina Faso and UNDP to prepare a technically robust, evidence-based and fully compliant Adaptation Fund full project proposal. Given the scale of the intervention area, the diversity of agro-silvo-pastoral and fisheries systems, and the complexity of climate risks affecting the target regions, substantial preparatory work is required to ensure the project is grounded in accurate field data, community priorities and sound technical assessments. PFG funding is therefore essential to undertake detailed feasibility studies, safeguards assessments, stakeholder consultations and institutional analyses that cannot be financed through regular programme resources. These activities will collectively ensure that the final proposal is credible, implementable and capable of delivering measurable, equitable and sustainable adaptation outcomes for vulnerable communities in Burkina Faso.

#### International Lead Consultant – Project Design and Development

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This consultancy will provide overall technical leadership for the development of the Adaptation Fund full proposal. Activities include overseeing the situation analysis; designing and conducting a pre-feasibility study; leading the development of the project strategy, theory of change and component structure; and preparing the detailed project logframe and results framework. The consultant will also design the monitoring and evaluation (M&E) strategy, prepare the full multi-year budget, and ensure consistency across all analytical inputs. In addition, the lead consultant will guide the national gender specialist, review gender assessment findings and co-develop the Gender Action Plan. This role is essential to ensure the proposal is coherent, technically robust and fully compliant with Adaptation Fund requirements.

**Local Consultant – Adaptation Specialist to Support Project Design and Development**

The consultant will support the technical preparation of the proposal through local research and data collection for the situation analysis; provision of contextual inputs for the pre-feasibility study; and assistance with the organisation, logistics and facilitation of field missions. They will gather information from municipal authorities, local organisations and community structures, helping refine the understanding of baseline conditions and localised climate risks. The consultant(s) will also contribute inputs for cost estimation, budget justification, and technical documents, and will support the compilation and validation of deliverables under the supervision of the Lead Consultant.

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**Local Consultant – Gender and Social Inclusion Specialist**

This consultant will conduct a comprehensive gender assessment, including field consultations, interviews and collection of sex-disaggregated data across target municipalities. The assessment will identify gender-differentiated vulnerabilities, climate-related impacts and barriers to participation. The consultant will prepare a Gender Assessment Report and contribute substantively to the Gender Action Plan under the guidance of the Lead Consultant. The work will ensure full alignment with the Adaptation Fund Gender Policy and integration of gender-responsive approaches into the project design.

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**Consultants on Environmental & Social Safeguards (1 Intl and 1 Local ESS Specialist)**

The ESS Specialists will conduct the environmental and social risk screening (ESS), conduct the field consultations prepare the full safeguards documentation package required by the Adaptation Fund, and lead the Environmental Impact Assessment (EIA) where necessary. This work includes preparing the screening report, risk register, stakeholder disclosure records and supporting documentation. The consultants will also prepare the Environmental and Social Management Plan (ESMP/ESMF), participate in safeguards-related consultations, and ensure adherence to both national regulations and the Adaptation Fund Environmental and Social Policy. These roles are critical to risk identification, mitigation planning and safeguards compliance.

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**International Consultant on Economic and Financial Analysis**

A cost–benefit and/or cost-effectiveness analysis will be conducted to assess the economic rationale for proposed interventions and demonstrate value for money in accordance with Adaptation Fund guidelines. This includes comparison of adaptation options, analysis of long-term economic gains, and justification for major investment areas.

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**Field Missions to Project Areas**

Extensive field missions will be conducted to validate project design elements, collect site-specific information, and engage municipalities and communities in the proposal development process. Activities include site verification, assessment of local vulnerabilities, identification of feasible adaptation

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interventions, and consultation with beneficiaries and local stakeholders. These missions will generate essential operational information—such as local priorities, environmental constraints, and indicative project concepts—and support the refinement of implementation arrangements. Costs cover transport, per diem, local coordination and community consultations.

**Stakeholder Workshops for Collecting Inputs and Validating the Project Design**

Workshops held in the target areas and at national level will serve to collect stakeholder inputs, validate project design elements and refine proposed activities. These sessions ensure the full proposal reflects community priorities, integrates technical contributions from government and civil society, and aligns with local development planning. Workshops also help verify climate vulnerabilities, implementation feasibility and sustainability considerations. Funding covers venue costs, facilitation, travel, and workshop materials necessary to ensure inclusive participation.

**Translation, Editing, Design and Formatting of the Proposal Package**

The final project proposal and annexes will be translated between French and English, edited, and professionally formatted for submission. This ensures accessibility for national authorities and compliance with Adaptation Fund submission standards.

**Implementing Entity Management Fee**

The Implementing Entity (UNDP) will provide oversight, technical backstopping, quality assurance and fiduciary management throughout the PFG process. The management fee covers these supervisory responsibilities in accordance with Adaptation Fund policy.

**C. Implementing Entity**

This request has been prepared in accordance with the Adaptation Fund Board’s procedures and meets the Adaptation Fund’s criteria for project identification and formulation

| Implementing Entity Coordinator, IE Name                                                                                                                                                                                    | Signature | Date (Month, day, year)    | Project Contact Person | Telephone | Email Address           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------------------|------------------------|-----------|-------------------------|
| <a href="#">Robin Merlier</a><br><a href="#">Director, a.i., Quality and Impact Hub and Executive Coordinator, a.i., Vertical Funds Bureau for Policy and Programme Support (BPPS) United Nations Development Programme</a> |           | <del>August 04, 2026</del> | Dorine Jn Paul         |           | Dorine.jn.paul@undp.org |

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# BURKINA FASO

*La Patrie ou la Mort, Nous Vaincrons*

**Ministry of Economy and Finance**

**General Directorate of Cooperation**



**ADAPTATION FUND**



## **Letter of Endorsement by Government**

Ouagadougou, 16th December 2025

To: Adaptation Fund Board  
C/o Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

**Subject:** Endorsement for the programme “**Strengthening the Climate Resilience of vulnerable communities in the Bankui, Sourou, Guiriko, Nando and Yaadga regions of Burkina Faso**”

In my capacity as designated authority for the Adaptation Fund in Burkina Faso, I confirm that the above national programme proposal is in accordance with the government's national priorities in implementing adaptation activities to reduce adverse impacts of, and risks, posed by climate change in the Burkina Faso.

Accordingly, I am pleased to endorse the above programme proposal with support from the Adaptation Fund. If approved, the programme will be implemented by the United Nations Development Programme (UNDP) and executed by the Ministry of Environment, Water, and Sanitation.

Sincerely,



**Mr. Amidou OUEDRAOGO**

Primary Focal Point/Designated Authority

General Director of Cooperation

Tel : +226 76 58 49 28

Email: [amidoued11@gmail.com](mailto:amidoued11@gmail.com)

**Annex 3: DA endorsement letter**

**BURKINA FASO**

*La Patrie ou la Mort, Nous Vaincrons*

**Ministry of Economy and Finance**

**General Directorate of Cooperation**



**ADAPTATION FUND**



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